



LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF MARCH 31, 2021

OF THE CONDITION AND AFFAIRS OF THE

TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

NAIC Group Code 4926 4926 NAIC Company Code 71153 Employer's ID Number 39-1052598
(Current) (Prior)

Organized under the Laws of Connecticut, State of Domicile or Port of Entry CT

Country of Domicile United States of America

Licensed as business type: Life, Accident and Health [X] Fraternal Benefit Societies []

Incorporated/Organized 01/09/1956 Commenced Business 07/01/1965

Statutory Home Office 1 Griffin Road N Windsor, CT, US 06095-1512
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 1 Griffin Road N
(Street and Number) Windsor, CT, US 06095-1512 800-862-6668
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 1 Griffin Road N Windsor, CT, US 06095-1512
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 1 Griffin Road N
(Street and Number) Windsor, CT, US 06095-1512 800-862-6668
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.talcottresolution.com

Statutory Statement Contact Andrew G. Helming 860-791-0166
(Name) (Area Code) (Telephone Number)
Statement.questions@talcottresolution.com 860-624-0444
(E-mail Address) (FAX Number)

OFFICERS

President and Chief Executive Officer Peter Francis Sannizzaro VP and Controller Michael Robert Hazel
 VP and Chief Financial Officer Robert Raymond Siracusa AVP and Treasurer Jeremy Matthew Billiel

OTHER

John Buck Brady, VP and Appointed Actuary Christopher Benedict Cramer, VP and Corporate Secretary

DIRECTORS OR TRUSTEES

Peter Francis Sannizzaro Matthew James Poznar Robert Raymond Siracusa

State of Connecticut SS:
 County of Hartford

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Peter F. Sannizzaro Michael R. Hazel Christopher B. Cramer
 President and Chief Executive Officer Vice President and Controller Vice President and Corporate Secretary

Subscribed and sworn to before me this 11 day of May 2021

a. Is this an original filing? Yes [X] No []

- b. If no,
 1. State the amendment number.....
 2. Date filed
 3. Number of pages attached.....

Sandra D. Mangeri
 Sandra D. Mangeri
 August 31, 2023
SANDRA D. MANGERI
NOTARY PUBLIC
 MY COMMISSION EXPIRES AUG. 31, 2023

STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	4,578,749,903		4,578,749,903	4,571,087,432
2. Stocks:				
2.1 Preferred stocks	21,070,160		21,070,160	6,639,285
2.2 Common stocks	18,623,368	2,652,595	15,970,773	15,802,546
3. Mortgage loans on real estate:				
3.1 First liens	791,581,020		791,581,020	766,622,883
3.2 Other than first liens.....				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)				
4.2 Properties held for the production of income (less \$ encumbrances)				
4.3 Properties held for sale (less \$ encumbrances)				
5. Cash (\$5,721,972), cash equivalents (\$168,356,660) and short-term investments (\$29,627,374)	203,706,005		203,706,005	289,850,828
6. Contract loans (including \$ premium notes)	93,898,461		93,898,461	95,042,308
7. Derivatives	324,627,768		324,627,768	196,265,361
8. Other invested assets	515,006,352		515,006,352	515,641,476
9. Receivables for securities	312,145,366		312,145,366	4,957,507
10. Securities lending reinvested collateral assets				
11. Aggregate write-ins for invested assets	3,320,002		3,320,002	39,666,467
12. Subtotals, cash and invested assets (Lines 1 to 11)	6,862,728,406	2,652,595	6,860,075,811	6,501,576,093
13. Title plants less \$ charged off (for Title insurers only)				
14. Investment income due and accrued	57,451,538		57,451,538	56,966,047
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	56,999		56,999	70,127
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)				
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	36,714,867		36,714,867	30,932,369
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts	20,045,703		20,045,703	31,808,813
17. Amounts receivable relating to uninsured plans				
18.1 Current federal and foreign income tax recoverable and interest thereon	73,964,890		73,964,890	74,413,249
18.2 Net deferred tax asset	107,825,466	33,061,701	74,763,765	79,536,000
19. Guaranty funds receivable or on deposit	779,378		779,378	779,378
20. Electronic data processing equipment and software				
21. Furniture and equipment, including health care delivery assets (\$)				
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates				
24. Health care (\$) and other amounts receivable	1,463		1,463	12,829
25. Aggregate write-ins for other than invested assets	47,594,618	14,715,443	32,879,175	31,029,367
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	7,207,163,328	50,429,739	7,156,733,589	6,807,124,272
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	28,716,064,997		28,716,064,997	28,430,266,880
28. Total (Lines 26 and 27)	35,923,228,325	50,429,739	35,872,798,586	35,237,391,152
DETAILS OF WRITE-INS				
1101. Collateral on derivatives	3,320,002		3,320,002	39,666,467
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	3,320,002		3,320,002	39,666,467
2501. Disbursements and items not allocated	47,594,618	14,715,443	32,879,175	31,029,367
2502.				
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page				
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	47,594,618	14,715,443	32,879,175	31,029,367

STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY
LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$4,831,004,524 less \$ included in Line 6.3 (including \$805,224,065 Modco Reserve).....	4,831,004,524	4,849,163,626
2. Aggregate reserve for accident and health contracts (including \$ Modco Reserve).....	15,733,684	15,773,266
3. Liability for deposit-type contracts (including \$1,156,195 Modco Reserve).....	195,841,890	199,264,917
4. Contract claims:		
4.1 Life	25,156,275	27,836,011
4.2 Accident and health	280,007	283,621
5. Policyholders' dividends/refunds to members \$ and coupons \$3,910 due and unpaid	3,910	3,252
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$ Modco).....	556,873	586,882
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$ Modco).....		
6.3 Coupons and similar benefits (including \$ Modco).....		
7. Amount provisionally held for deferred dividend policies not included in Line 6		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ discount; including \$ accident and health premiums	145,033	121,738
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts		
9.2 Provision for experience rating refunds, including the liability of \$ accident and health experience rating refunds of which \$ is for medical loss ratio rebate per the Public Health Service Act	24,731	239,296
9.3 Other amounts payable on reinsurance, including \$ assumed and \$11,262,809 ceded	11,262,809	18,544,854
9.4 Interest Maintenance Reserve	104,848,903	101,434,239
10. Commissions to agents due or accrued-life and annuity contracts \$21,172,136 , accident and health \$ and deposit-type contract funds \$	21,172,136	20,900,994
11. Commissions and expense allowances payable on reinsurance assumed		
12. General expenses due or accrued	19,633,715	14,871,207
13. Transfers to Separate Accounts due or accrued (net) (including \$(32,541,209) accrued for expense allowances recognized in reserves, net of reinsured allowances).....	(41,982,962)	(40,378,588)
14. Taxes, licenses and fees due or accrued, excluding federal income taxes	971,284	1,965,617
15.1 Current federal and foreign income taxes, including \$ on realized capital gains (losses).....		
15.2 Net deferred tax liability		
16. Unearned investment income	3,359,084	3,408,681
17. Amounts withheld or retained by reporting entity as agent or trustee	10,704,678	10,703,029
18. Amounts held for agents' account, including \$56,639 agents' credit balances	58,102	26,089
19. Remittances and items not allocated	13,182,282	12,084,734
20. Net adjustment in assets and liabilities due to foreign exchange rates		
21. Liability for benefits for employees and agents if not included above		
22. Borrowed money \$ and interest thereon \$		
23. Dividends to stockholders declared and unpaid		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve	136,519,087	134,693,701
24.02 Reinsurance in unauthorized and certified (\$) companies		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$) reinsurers		
24.04 Payable to parent, subsidiaries and affiliates	20,267,639	10,779,853
24.05 Drafts outstanding	56,531,622	57,603,850
24.06 Liability for amounts held under uninsured plans		
24.07 Funds held under coinsurance		
24.08 Derivatives	652,853,689	437,969,150
24.09 Payable for securities	313,917,428	5,401,451
24.10 Payable for securities lending		
24.11 Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities	154,246,416	302,152,962
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	6,546,292,839	6,185,434,432
27. From Separate Accounts Statement	28,716,064,997	28,430,266,880
28. Total liabilities (Lines 26 and 27)	35,262,357,836	34,615,701,312
29. Common capital stock	2,500,000	2,500,000
30. Preferred capital stock		
31. Aggregate write-ins for other than special surplus funds	193,726,950	199,649,232
32. Surplus notes		
33. Gross paid in and contributed surplus	85,431,561	85,431,561
34. Aggregate write-ins for special surplus funds		
35. Unassigned funds (surplus)	328,782,239	334,109,047
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 29 \$)		
36.2 shares preferred (value included in Line 30 \$)		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement)	607,940,750	619,189,840
38. Totals of Lines 29, 30 and 37	610,440,750	621,689,840
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	35,872,798,586	35,237,391,152
DETAILS OF WRITE-INS		
2501. Payable for repurchase agreements	69,486,900	158,756,325
2502. Collateral on derivatives	32,656,107	93,719,979
2503. Other liabilities - abandoned property unpaid funds	29,017,248	28,474,712
2598. Summary of remaining write-ins for Line 25 from overflow page	23,086,161	21,201,946
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	154,246,416	302,152,962
3101. Gain on inforce reinsurance	193,726,950	199,649,232
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page		
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)	193,726,950	199,649,232
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page		
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)		

SUMMARY OF OPERATIONS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	45,053,077	37,194,009	135,503,495
2. Considerations for supplementary contracts with life contingencies	94,300		401,140
3. Net investment income	89,508,954	75,365,768	284,999,031
4. Amortization of Interest Maintenance Reserve (IMR)	2,194,159	1,974,299	8,839,112
5. Separate Accounts net gain from operations excluding unrealized gains or losses			
6. Commissions and expense allowances on reinsurance ceded	10,146,912	9,873,320	40,176,892
7. Reserve adjustments on reinsurance ceded	(133,782,310)	(105,109,765)	(455,831,436)
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	133,567,442	125,994,148	515,178,848
8.2 Charges and fees for deposit-type contracts			
8.3 Aggregate write-ins for miscellaneous income	16,375,315	16,220,580	51,438,498
9. Totals (Lines 1 to 8.3)	163,157,849	161,512,359	580,705,580
10. Death benefits	784,526	7,681,600	4,828,321
11. Matured endowments (excluding guaranteed annual pure endowments)		3,579	8,197
12. Annuity benefits	84,411,806	74,208,269	305,164,991
13. Disability benefits and benefits under accident and health contracts	88,840	102,024	410,916
14. Coupons, guaranteed annual pure endowments and similar benefits			
15. Surrender benefits and withdrawals for life contracts	743,639,533	727,320,383	2,554,992,951
16. Group conversions			
17. Interest and adjustments on contract or deposit-type contract funds	2,318,624	1,436,181	8,742,675
18. Payments on supplementary contracts with life contingencies	321,717	340,485	1,402,950
19. Increase in aggregate reserves for life and accident and health contracts	(18,198,684)	197,445,083	(107,901,611)
20. Totals (Lines 10 to 19)	813,366,362	1,008,537,604	2,767,649,390
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	34,372,996	31,068,547	128,604,462
22. Commissions and expense allowances on reinsurance assumed	1,947,104	1,278,309	5,423,126
23. General insurance expenses and fraternal expenses	28,717,700	17,915,937	72,340,299
24. Insurance taxes, licenses and fees, excluding federal income taxes	139,050	1,768,904	3,856,003
25. Increase in loading on deferred and uncollected premiums	(8,225)	43,831	45,273
26. Net transfers to or (from) Separate Accounts net of reinsurance	(767,948,387)	(748,155,585)	(2,605,128,491)
27. Aggregate write-ins for deductions	(37,805,475)	(29,055,912)	(129,532,033)
28. Totals (Lines 20 to 27)	72,781,125	283,401,635	243,258,029
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	90,376,724	(121,889,276)	337,447,551
30. Dividends to policyholders and refunds to members	(25,254)	5,885	19,152
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	90,401,978	(121,895,161)	337,428,399
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	(1,219,137)	(21,465,223)	(65,215,649)
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	91,621,115	(100,429,938)	402,644,048
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$ (271,816) (excluding taxes of \$ 1,490,953 transferred to the IMR)	(79,813,665)	73,453,900	(355,549,269)
35. Net income (Line 33 plus Line 34)	11,807,450	(26,976,038)	47,094,779
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year	621,689,840	979,284,334	979,284,334
37. Net income (Line 35)	11,807,450	(26,976,038)	47,094,779
38. Change in net unrealized capital gains (losses) less capital gains tax of \$ 4,739,761	(15,077,400)	366,718,495	63,801,748
39. Change in net unrealized foreign exchange capital gain (loss)	81,239	(1,364,532)	943,174
40. Change in net deferred income tax	9,662,269	(27,827,555)	(69,213,609)
41. Change in nonadmitted assets	(9,974,980)	54,304,596	29,654,393
42. Change in liability for reinsurance in unauthorized and certified companies			
43. Change in reserve on account of change in valuation basis, (increase) or decrease			
44. Change in asset valuation reserve	(1,825,386)	(561,606)	(6,185,849)
45. Change in treasury stock			
46. Surplus (contributed to) withdrawn from Separate Accounts during period			
47. Other changes in surplus in Separate Accounts Statement			
48. Change in surplus notes			
49. Cumulative effect of changes in accounting principles			
50. Capital changes:			
50.1 Paid in			
50.2 Transferred from surplus (Stock Dividend)			
50.3 Transferred to surplus			
51. Surplus adjustment:			
51.1 Paid in			
51.2 Transferred to capital (Stock Dividend)			
51.3 Transferred from capital			
51.4 Change in surplus as a result of reinsurance			
52. Dividends to stockholders			(400,000,000)
53. Aggregate write-ins for gains and losses in surplus	(5,922,282)	(5,922,282)	(23,689,130)
54. Net change in capital and surplus for the year (Lines 37 through 53)	(11,249,090)	358,371,078	(357,594,494)
55. Capital and surplus, as of statement date (Lines 36 + 54)	610,440,750	1,337,655,412	621,689,840
DETAILS OF WRITE-INS			
08.301. Other investment management fees	15,864,526	15,906,209	50,542,735
08.302. Miscellaneous income	393,212	124,199	333,918
08.303. Separate Account loads	117,577	190,172	561,845
08.398. Summary of remaining write-ins for Line 8.3 from overflow page			
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	16,375,315	16,220,580	51,438,498
2701. Miscellaneous deductions	203,546	165,243	(469,018)
2702. MODCO adjustment	(38,009,021)	(29,221,155)	(129,063,015)
2703.			
2798. Summary of remaining write-ins for Line 27 from overflow page			
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	(37,805,475)	(29,055,912)	(129,532,033)
5301. Gain on inforce reinsurance	(5,922,282)	(5,922,282)	(23,689,130)
5302.			
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page			
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	(5,922,282)	(5,922,282)	(23,689,130)

STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY
CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	44,977,460	37,112,348	136,031,492
2. Net investment income	93,171,501	76,571,863	372,021,855
3. Miscellaneous income	154,167,387	41,056,001	127,273,674
4. Total (Lines 1 to 3)	292,316,348	154,740,212	635,327,021
5. Benefit and loss related payments	981,095,249	825,911,492	2,867,926,352
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	(766,344,013)	(756,651,384)	(2,614,652,271)
7. Commissions, expenses paid and aggregate write-ins for deductions	11,568,948	(245,221,823)	151,798,964
8. Dividends paid to policyholders	4,097	11,939	39,407
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses)	(448,359)		(15,155,445)
10. Total (Lines 5 through 9)	225,875,922	(175,949,776)	389,957,007
11. Net cash from operations (Line 4 minus Line 10)	66,440,426	330,689,988	245,370,014
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	235,228,332	241,013,915	976,426,133
12.2 Stocks	1,424,804	1,769,402	10,133,339
12.3 Mortgage loans	2,692,856	31,982,971	131,129,986
12.4 Real estate			
12.5 Other invested assets	22,548,896	36,358,692	64,491,881
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	(91,723)	1,060,576	2,185,032
12.7 Miscellaneous proceeds	344,862,442	123,446,085	3,340,666
12.8 Total investment proceeds (Lines 12.1 to 12.7)	606,665,608	435,631,641	1,187,707,037
13. Cost of investments acquired (long-term only):			
13.1 Bonds	240,205,253	365,697,273	984,005,477
13.2 Stocks	15,845,577	1,491,574	11,980,227
13.3 Mortgage loans	27,638,534	18,074,370	54,734,810
13.4 Real estate			
13.5 Other invested assets	5,343,769	7,455,214	42,847,540
13.6 Miscellaneous applications	327,449,806	54,922,299	80,565,140
13.7 Total investments acquired (Lines 13.1 to 13.6)	616,482,939	447,640,730	1,174,133,194
14. Net increase (or decrease) in contract loans and premium notes	(1,143,847)	(619,998)	(4,883,195)
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(8,673,484)	(11,389,091)	18,457,038
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock			
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities	(3,423,027)	(5,029,158)	(16,877,321)
16.5 Dividends to stockholders			400,000,000
16.6 Other cash provided (applied)	(140,488,737)	3,014,213	33,280,701
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(143,911,764)	(2,014,945)	(383,596,620)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(86,144,822)	317,285,952	(119,769,568)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	289,850,828	409,620,396	409,620,396
19.2 End of period (Line 18 plus Line 19.1)	203,706,006	726,906,348	289,850,828

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Non-cash proceeds from invested asset exchanges – bonds, mortgage loans, and other invested assets	(28,381,571)	(7,342,221)	(8,481,263)
20.0002. Non-cash proceeds from invested asset exchanges – bonds, mortgage loans, and other invested assets	(28,381,571)	(7,342,221)	(8,481,263)

EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life			
2. Ordinary life insurance	178,213,974	186,221,799	722,553,506
3. Ordinary individual annuities	48,852,780	43,506,756	156,013,841
4. Credit life (group and individual)			
5. Group life insurance	442,471	170,863	1,289,831
6. Group annuities			
7. A & H - group			
8. A & H - credit (group and individual)			
9. A & H - other	57,378	69,999	243,098
10. Aggregate of all other lines of business			
11. Subtotal (Lines 1 through 10)	227,566,603	229,969,417	880,100,276
12. Fraternal (Fraternal Benefit Societies Only)			
13. Subtotal (Lines 11 through 12)	227,566,603	229,969,417	880,100,276
14. Deposit-type contracts		359,410	846,296
15. Total (Lines 13 and 14)	227,566,603	230,328,827	880,946,572
DETAILS OF WRITE-INS			
1001.			
1002.			
1003.			
1098. Summary of remaining write-ins for Line 10 from overflow page			
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)			

NOTES TO FINANCIAL STATEMENTS

Note 1 - Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The accompanying statutory-basis financial statements of Talcott Resolution Life and Annuity Insurance Company (the "Company" or "TLA") have been prepared in conformity with statutory accounting practices prescribed or permitted by the State of Connecticut Insurance Department ("the Department"). The Department recognizes only statutory accounting practices prescribed or permitted by the State of Connecticut for determining and reporting the financial condition and results of operations of an insurance company and for determining solvency under the State of Connecticut Insurance Law. The National Association of Insurance Commissioners' Accounting Practices and Procedures Manual ("NAIC SAP") has been adopted as a component of prescribed practices by the State of Connecticut.

A difference prescribed by Connecticut state law allows the Company to receive a reinsurance reserve credit for reinsurance treaties that provide for a limited right of unilateral cancellation by the reinsurer. Even if the Company did not obtain reinsurance reserve credit for this reinsurance treaty, the Company's risk-based capital would not have triggered a regulatory event.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed by the Department is shown below:

	SSAP #	F/S Page	F/S Line #	2021	2020
Net Income					
1. TLA state basis (Page 4, Line 35, Columns 1 & 3)	XXX	XXX	XXX	\$ 11,807,450	\$ 47,094,779
2. State prescribed practices that are an (increase)/decrease from NAIC SAP: Less: Reinsurance reserve credit (as described above)	61	4	19	(17,998,402)	12,370,401
				(17,998,402)	12,370,401
3. State permitted practices that are an (increase)/decrease from NAIC SAP				—	—
4. NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ 29,805,852	\$ 34,724,378
Surplus					
5. TLA state basis (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	\$ 610,440,750	\$ 621,689,840
6. State prescribed practices that are an (increase)/decrease from NAIC SAP: Less: Reinsurance reserve credit (as described above)	61	3	1	25,674,746	43,673,148
				25,674,746	43,673,148
7. State permitted practices that are an (increase)/decrease NAIC SAP				—	—
8. NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$ 584,766,004	\$ 578,016,692

The Company does not follow any other prescribed or permitted statutory accounting practices that have a material effect on statutory surplus, statutory net income or risk-based capital of the Company.

C. Accounting Policy

- The Company had no SVO - identified investments in exchange traded funds or bond mutual funds that qualifies for bond accounting treatment.
- Loan-backed bonds and structured securities are carried at either amortized cost or the lower of amortized cost or fair value in accordance with the provisions of SSAP No. 43-Revised, Loan-backed and Structured Securities. Significant changes in estimated cash flows from the original purchase assumptions are accounted for using the prospective method, except for highly rated fixed rate securities, which use the retrospective method.

D. Going Concern

The Company is not aware of any conditions or events which raise substantial doubts concerning the Company's ability to continue as a going concern.

Note 2 - Accounting Changes and Corrections of Errors

No significant change.

Note 3 - Business Combinations and Goodwill

No significant change.

Note 4 - Discontinued Operations

No significant change.

Note 5 - Investments

D. Loan-Backed Securities

- Prepayment assumptions for single class and multi-class mortgage-backed/asset-backed securities were obtained from broker dealer survey values or internal estimates.
- The Company had no other-than-temporary impairments ("OTTI") for loan-backed securities recorded during the year where the Company had either the intent to sell the securities or the inability or lack of intent to retain.
- The Company did not recognize any OTTI for loan-backed securities held as of March 31, 2021.

NOTES TO FINANCIAL STATEMENTS

4. Security Unrealized Loss Aging

All impaired securities (fair value is less than cost or amortized cost) for which an OTTI has not been recognized in earnings as a realized loss (including securities with a recognized OTTI for non-interest related declines when a non-recognized interest related impairment remains):

a. The aggregate amount of unrealized losses:	
1. Less than 12 Months	\$ 1,792,485
2. 12 Months or Longer	\$ 2,162,817
b. The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 Months	\$ 168,597,159
2. 12 Months or Longer	\$ 117,787,662

5. As of March 31, 2021 loan-backed securities in an unrealized loss position comprised 70 securities, primarily related to commercial mortgage-backed securities ("CMBS U.S government agency securities, which were primarily depressed due to widening of credit spreads since the securities were purchased. The Company does not intend the securities outlined above. Furthermore, based upon the Company's cash flow modeling and the expected continuation of contractually required principal and payments, the Company has deemed these securities to be temporarily impaired as of March 31, 2021.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

3. Collateral Received

- b. The Company did not accept collateral that is permitted by contract or custom to sell or repledge as of March 31, 2021.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

1. Company Policies or Strategies for Repo Programs

From time to time, the Company enters into repurchase agreements to manage liquidity or to earn incremental income. A repurchase agreement is a transaction in which one party (transferor) agrees to sell securities to another party (transferee) in return for cash (or securities), with a simultaneous agreement to repurchase the same securities at a specified price at a later date. These transactions generally have a contractual maturity of ninety days or less. Repurchase agreements include master netting provisions that provide both counterparties the right to offset claims and apply securities held by them with respect to their obligations in the event of a default. Although the Company has the contractual right to offset claims, the Company's current positions do not meet the specific conditions for net presentation.

Under repurchase agreements, the Company transfers collateral of U.S. government and government agency securities and receives cash. For repurchase agreements, the Company obtains cash in an amount equal to at least 95% of the fair value of the securities transferred. The agreements require additional collateral to be transferred when necessary and provide the counterparty the right to sell or re-pledge the securities transferred. The cash received from the repurchase program is typically invested in short-term investments or fixed maturities and is reported as an asset on the Company's balance sheets. The Company accounts for the repurchase agreements as collateralized borrowings. The securities transferred under repurchase agreements are included in bonds, with the obligation to repurchase those securities recorded in Aggregate write-ins for liabilities on the Company's balance sheets.

From time to time, the Company enters into reverse repurchase agreements where the Company purchases securities and simultaneously agrees to resell the same or substantially the same securities. The agreements require additional collateral to be transferred to the Company when necessary and the Company has the right to sell or re-pledge the securities received as collateral. The Company accounts for reverse repurchase agreements as collateralized financing.

2. Type of Repo Trades Used

	1 First Quarter	2 Second Quarter	3 Third Quarter	4 Fourth Quarter
a. Bilateral (YES/NO)	YES			
b. Tri-Party (YES/NO)	NO			

3. Original (Flow) & Residual Maturity

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Open - No Maturity	\$ —	\$ —	\$ —	\$ —
2. Overnight	—	—	—	—
3. 2 days to 1 week	—	—	—	—
4. >1 week to 1 month	—	—	—	—
5. >1 month to 3 months	—	—	—	—
6. >3 months to 1 year	158,756,325	—	—	—
7. > 1 year	—	—	—	—
b. Ending Balance	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
1. Open - No Maturity	\$ —	\$ —	\$ —	\$ —
2. Overnight	—	—	—	—
3. 2 days to 1 week	—	—	—	—
4. >1 week to 1 month	—	—	—	—
5. >1 month to 3 months	—	—	—	—
6. >3 months to 1 year	69,486,900	—	—	—
7. > 1 year	—	—	—	—

NOTES TO FINANCIAL STATEMENTS

4. Counterparty, Jurisdiction and Fair Value (FV)

The Company has no investments in defaulted repurchase agreements.

5. Securities "Sold" Under Repo - Secured Borrowing

The Company has no securities sold under repurchase agreement transactions accounted for as secured borrowings.

6. Securities Sold Under Repo - Secured Borrowing by NAIC Designation

The Company has no securities sold under repurchase agreement transactions accounted for as secured borrowings.

7. Collateral Received - Secured Borrowing

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Cash	\$ 158,756,325	\$ —	\$ —	\$ —
2. Securities (FV)	—	—	—	—
b. Ending Balance	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
1. Cash	\$ 69,486,900	\$ —	\$ —	\$ —
2. Securities (FV)	—	—	—	—

8. Cash & Non-Cash Collateral Received - Secured Borrowing by NAIC Designation

Ending Balance	1 None	2 NAIC 1	3 NAIC 2	4 NAIC 3	5 NAIC 4	6 NAIC 5	7 NAIC 6	8 Does Not Qualify as Admitted
a. Cash	\$ —	\$69,486,900	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
b. Bonds - FV	—	—	—	—	—	—	—	—
c. LB & SS - FV	—	—	—	—	—	—	—	—
d. Preferred stock - FV	—	—	—	—	—	—	—	—
e. Common stock	—	—	—	—	—	—	—	—
f. Mortgage loans - FV	—	—	—	—	—	—	—	—
g. Real estate - FV	—	—	—	—	—	—	—	—
h. Derivatives - FV	—	—	—	—	—	—	—	—
i. Other invested assets - FV	—	—	—	—	—	—	—	—
j. Total collateral assets - FV	\$ —	\$69,486,900	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —

9. Allocation of Aggregate Collateral by Remaining Contractual Maturity

	Fair Value
a. Overnight and continuous	\$ —
b. 30 days or less	44,923,200
c. 31 to 90 days	24,563,700
d. >90 days	—

10. Allocation of Aggregate Collateral Reinvested by Remaining Contractual Maturity

	Amortized Cost	Fair Value
a. 30 days or less	\$ 21,424,611	\$ 21,433,486
b. 31 to 60 days	13,873,904	13,876,015
c. 61 to 90 days	11,557,606	11,563,341
d. 91 to 120 days	—	—
e. 121 to 180 days	—	—
f. 181 to 365 days	2,961,404	3,111,438
g. 1 to 2 years	284,957	284,978
h. 2 to 3 years	—	—
i. >3 years	19,384,418	19,384,418

11. Liability to Return Collateral - Secured Borrowing (Total)

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Cash	\$ 158,756,325	\$ —	\$ —	\$ —
2. Securities (FV)	—	—	—	—
b. Ending Balance				
1. Cash	\$ 69,486,900	\$ —	\$ —	\$ —
2. Securities (FV)	—	—	—	—

NOTES TO FINANCIAL STATEMENTS

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

1. Company Policy or Strategies for Engaging in Repo Programs

From time to time, the Company enters into reverse repurchase agreements where the Company purchases securities and simultaneously agrees to resell the same or substantially the same securities. The agreements require additional collateral to be transferred to the Company when necessary and the Company has the right to sell or re-pledge the securities received as collateral. The Company accounts for reverse repurchase agreements as collateralized financing. The receivable for reverse repurchase agreements is included within short term investments.

2. Type of Repo Trades Used

	1 First Quarter	2 Second Quarter	3 Third Quarter	4 Fourth Quarter
a. Bilateral (YES/NO)	YES			
b. Tri-Party (YES/NO)	NO			

3. Original (Flow) and Residual Maturity

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Open - No Maturity	\$ —	\$ —	\$ —	\$ —
2. Overnight	—	—	—	—
3. 2 days to 1 week	—	—	—	—
4. >1 week to 1 month	—	—	—	—
5. >1 month to 3 months	—	—	—	—
6. >3 months to 1 year	8,529,737	—	—	—
7. > 1 year	—	—	—	—
b. Ending Balance	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
1. Open - No Maturity	\$ —	\$ —	\$ —	\$ —
2. Overnight	—	—	—	—
3. 2 days to 1 week	—	—	—	—
4. >1 week to 1 month	—	—	—	—
5. >1 month to 3 months	—	—	—	—
6. >3 months to 1 year	4,928,177	—	—	—
7. > 1 year	—	—	—	—

4. Counterparty, Jurisdiction and Fair Value (FV)

None

5. Fair Value of Securities Acquired Under Repo - Secured Borrowing

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount	\$ 11,688,768	\$ —	\$ —	\$ —
b. Ending Balance	6,740,137	—	—	—

6. Securities Acquired Under Repo - Secured Borrowing by NAIC Designation

	1	2	3	4	5	6	7	8
Ending Balance	None	NAIC 1	NAIC 2	NAIC 3	NAIC 4	NAIC 5	NAIC 6	Does Not Qualify as Admitted
a. Bonds - FV	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
b. LB & SS - FV	—	—	—	—	—	6,569,556	—	—
c. Preferred stock - FV	—	—	—	—	—	—	—	—
d. Common stock	—	—	—	—	—	—	—	—
e. Mortgage loans - FV	—	—	—	—	—	—	—	—
f. Real estate - FV	—	—	—	—	—	—	—	—
g. Derivatives - FV	—	—	—	—	—	—	—	—
h. Other invested assets - FV	—	—	—	—	—	—	—	170,582
i. Total assets - FV	\$ —	\$ —	\$ —	\$ —	\$ —	\$ 6,569,556	\$ —	\$ 170,582

NOTES TO FINANCIAL STATEMENTS

7. Collateral Pledged - Secured Borrowing

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Cash	\$ 8,529,737	\$ —	\$ —	\$ —
2. Securities (FV)	—	—	—	—
3. Securities (BACV)	XXX	XXX	XXX	XXX
4. Nonadmitted subset (BACV)	XXX	XXX	XXX	XXX
b. Ending Balance	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
1. Cash	\$ 4,928,177	\$ —	\$ —	\$ —
2. Securities (FV)	—	—	—	—
3. Securities (BACV)	XXX	XXX	XXX	XXX
4. Nonadmitted subset (BACV)	XXX	XXX	XXX	XXX

8. Allocation of Aggregate Collateral Pledged by Remaining Contractual Maturity

	Amortized Cost	Fair Value
a. Overnight and Continuous	\$ —	\$ —
b. 30 days or less	—	—
c. 31 to 90 days	—	—
d. >90 days	4,928,177	4,928,177

9. Recognized Receivable for Return of Collateral - Secured Borrowing

None

10. Recognized Liability to Return Collateral - Secured Borrowing (Total)

None

H. Repurchase Agreements Transactions Accounted for as a Sale

The Company had no reverse repurchase agreements transactions accounted for as secured borrowing transactions.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

The Company had no reverse repurchase agreements transactions accounted for as a sale transaction.

M. Working Capital Finance Investments

The Company had no working capital finance investments.

N. Retained Assets

The Company had no offsetting and netting of assets and liabilities.

R. Share of Cash Pool by Asset type

The Company did not participate in a short term investment pool as of March 31, 2021.

Note 6 - Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

Note 7 - Investment Income

No significant change.

Note 8 - Derivative Instruments**Other Investment and/or Risk Management Activities**

The premium payments for derivatives with financing premiums due within the next four years are listed in the table below, as well as the undiscounted premium commitments, the fair value of these contracts and the aggregate fair value excluding the impact of these premiums as of March 31, 2021 and Dec. 31, 2020, respectively.

(amount in thousands)	
Fiscal Year	Derivative Premium Payments Due
2021	\$ (86,877)
2022	(53,712)
2023	(30,854)
2024	—
Thereafter	136,084
Total Future Settled Premiums	\$ (35,359)

NOTES TO FINANCIAL STATEMENTS

(amount in thousands)			
Date	Undiscounted Future Premium Commitments	Derivative Fair Value with Premium Commitments	Derivative Fair Value Excluding Impact of Future Settled Premiums
March 31, 2021	\$ (35,359)	\$ (206,329)	\$ (170,970)
December 31, 2020	\$ 40,551	\$ (151,245)	\$ (191,796)

Note 9 - Income Taxes

No significant change.

Note 10 - Information Concerning Parent, Subsidiaries and Affiliates

No significant change.

Note 11 - Debt**B. FHLB (Federal Home Loan Bank) Agreements**

- The Company is a member of the Federal Home Loan Bank of Boston ("FHLB"). Membership allows the Company access to collateralized advances, which may be used to support various spread-based businesses or to enhance liquidity management. FHLB membership requires the Company to own member stock and borrowings require the purchase of activity-based stock in an amount (generally between 3% and 4% of the principal balance) based upon the term of the outstanding advances. FHLB stock held by the Company is classified within Page 2, Line 2.2 (Common stocks) in the General Account. As of March 31, 2021 there were no advances outstanding.

State law limits the Company's ability to pledge, hypothecate or otherwise encumber its assets. The amount of advances that can be taken by the Company are dependent on the assets pledged by the Company to secure the advances, and are therefore subject to this legal limit. The pledge limit is recalculated annually based on statutory admitted assets and capital and surplus. For 2021, the Company's pledge limit is \$155 million. The Company would need to seek prior written approval from the Department in order to exceed this limit. If the Company were to pursue borrowing additional amounts under its estimated capacity it may have to purchase additional shares of activity stock.

- a. FHLB Capital Stock - Aggregate Totals

1. March 31, 2021

		Total 2+3	General Account	Separate Accounts
a.	Membership Stock - Class A	\$ —	\$ —	\$ —
b.	Membership Stock - Class B	1,560,700	1,560,700	—
c.	Activity Stock	—	—	—
d.	Excess Stock	—	—	—
e.	Aggregate Total (a+b+c+d)	\$ 1,560,700	\$ 1,560,700	\$ —
f.	Actual or estimated borrowing capacity as determined by the insurer	\$ 155,000,000	155,000,000	\$ —

2. December 31, 2020

		Total 2+3	General Account	Separate Accounts
a.	Membership Stock - Class A	\$ —	\$ —	\$ —
b.	Membership Stock - Class B	1,560,700	1,560,700	—
c.	Activity Stock	—	—	—
d.	Excess Stock	—	—	—
e.	Aggregate Total (a+b+c+d)	\$ 1,560,700	\$ 1,560,700	\$ —
f.	Actual or estimated borrowing capacity as determined by the insurer	\$ 155,000,000	155,000,000	\$ —

- b. Membership Stock (Class A and B) Eligible for Redemption

Membership Stock		Current Period Total (2+3+4+5+6)	Not Eligible for Redemption	Eligible for Redemption			
				Less Than 6 Months	6 Months to Less than 1 Year	1 to Less than 3 Years	3 to 5 Years
1	Class A	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
2	Class B	1,560,700	1,560,700	—	—	—	—

- The Company had no collateral pledged to the FHLB as of March 31, 2021.
- The Company had no borrowings from the FHLB as of March 31, 2021.

Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**A Defined Benefit Plans**

The Company has no direct plans.

Note 13 - Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No significant change.

NOTES TO FINANCIAL STATEMENTS

Note 14 - Liabilities, Contingencies, and Assessments

A. Contingent Commitments

2. Detail of Other Contingent Commitments

1	2	3	4	5
Nature and Circumstances of Guarantee and Key Attributes, Including Date and Duration of Agreement	Liability Recognition of Guarantee	Ultimate Financial Statement Impact if Action Under the Guarantee is Required	Maximum Potential Amount of Future Payments the Guarantor Could be Required to Make	Current Status of Payment or Performance Risk of Guarantee
Effective February 1, 2018, TLA guaranteed the obligations of Talcott Resolution Comprehensive Employee Benefit Service Company ("TCB"), a wholly-owned subsidiary, with respect to certain structured settlement liability obligations to provide an increased level of security to claimants under such structured settlements; these obligations were assumed from Talcott Resolution Life Insurance Company ("TL") on February 1, 2018. As of March 31, 2021 and December 31, 2020, no liability was recorded for this guarantee, as TCB was able to meet these policyholder obligations.	\$ —	Increase in Investments in SCA, Dividends to stockholders (capital contribution), Expense, or Other	Unlimited (1)	The guaranteed affiliate maintains surplus in addition to policyholder reserves. The payment or performance risk of this guarantee is low as it is unlikely that this guarantee will be triggered.
Total	\$ —		Unlimited	

(1) There is no limit on the Company's guarantee to pay policyholder obligations on behalf of the affiliate for the contracts covered in the guarantee agreement.

B. Assessments

No significant change.

C. Gain Contingencies

No significant change.

D. Claims related extra contractual obligations and bad faith losses stemming from lawsuits

No significant change.

E. Joint and Several Liabilities

No significant change.

F. All Other Contingencies

The Company is or may become involved in various legal actions, some of which assert claims for substantial amounts. Management expects that the ultimate liability, if any, with respect to such lawsuits, after consideration of provisions made for estimated losses and costs of defense, will not be material to the financial condition of the Company.

For additional information, please refer to the current and periodic reports filed by TL with the United States Securities and Exchange Commission.

Note 15 - Leases

No significant change.

Note 16- Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No significant change.

Note 17- Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

B. The Company had no transfer or servicing of financial assets.

C. Wash sales

a. In the course of the Company's asset management, securities are sold and reacquired within 30 days of the sale date to enhance the Company's total return on its investment portfolio.

b. The details by NAIC designation 3 or below of securities sold during the quarter ended March 31, 2021 and reacquired within 30 days of the sale date are:

Description	NAIC Designation	Number of Transactions	Book Value of Securities Sold	Cost of Securities Repurchased	Gain/(Loss)
Bonds	4	3	54,000	54,540	743

Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant change.

NOTES TO FINANCIAL STATEMENTS

Note 20 - Fair Value Measurements

A. Fair Value Measurements

Fair value is determined based on the "exit price" notion which is defined as the price that would be received to sell an asset or paid to transfer a liability in the principal or most advantageous market for the asset or liability in an orderly transaction between market participants. Financial instruments carried at fair value in the Company's financial statements include certain bonds, stocks, derivatives, and Separate Account assets.

The Company's investment manager for the Company's general account (a registered investment adviser under the Investment Advisers Act of 1940), with oversight by the Company's Investment Management Department and its Finance and Investment Committee ("FIC"), a committee co-chaired by the Chief Investment Officer and the Chief Risk Officer of the Company, estimates the fair value for financial assets held in the Company's general account and guaranteed separate accounts based on the framework established in the fair value accounting guidance. The Company reviews its investment manager's pricing policy on a periodic basis, with any changes to be approved by the FIC. The Company reserves the right to take exception to its investment manager's pricing of a particular asset and, with FIC's approval, to adjust the price received from its investment manager for that particular asset. The Company estimates the fair value for financial liabilities based on the framework established in the fair value accounting guidance. The framework is based on the inputs used in valuation, gives the highest priority to quoted prices in active markets and requires that observable inputs be used in the valuations when available. The Company categorizes its assets and liabilities measured at estimated fair value based on whether the significant inputs into the valuation are observable. The fair value hierarchy categorizes the inputs in the valuation techniques used to measure fair value into three broad Levels (Level 1, 2, or 3)

- Level 1 Unadjusted quoted prices for identical assets or liabilities in active markets that the Company has the ability to access at the measurement date.
- Level 2 Observable inputs, other than quoted prices included in Level 1, for the asset or liability, or prices for similar assets and liabilities.
- Level 3 Valuations that are derived from techniques in which one or more of the significant inputs are unobservable (including assumptions about risk). Because Level 3 fair values, by their nature, contain one or more significant unobservable inputs as there is little or no observable market for these assets and liabilities, considerable judgment is used to determine the Level 3 fair values. Level 3 fair values represent the Company's best estimate of amounts that could be realized in a current market exchange absent actual market exchanges.

In many situations, inputs used to measure the fair value of an asset or liability position may fall into different levels of the fair value hierarchy. In these situations, the Company's investment manager will determine the level in which the fair value falls based upon the lowest level input that is significant to the determination of the fair value. In most cases, both observable (e.g., changes in interest rates) and unobservable (e.g., changes in risk assumptions) inputs are used in the determination of fair values that the Company has classified within Level 3. Consequently, these values and the related gains and losses are based upon both observable and unobservable inputs. The Company's bonds included in Level 3 are classified as such because these securities are primarily within illiquid markets and/or priced by independent brokers.

The following table presents assets and (liabilities) carried at fair value by hierarchy level:

March 31, 2021					
(Amounts in thousands)	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)	Net Asset Value (NAV)	Total
a. Assets accounted for at fair value					
Common stocks - unaffiliated	\$ 6,833	\$ —	\$ 1,561	\$ —	\$ 8,394
Preferred stocks - unaffiliated	—	14,410	—	—	14,410
Cash equivalents	110,372	—	—	—	110,372
Total bonds and stocks	117,205	14,410	1,561	—	133,176
Derivative assets					
Interest rate derivatives	—	4,624	—	—	4,624
Macro hedge program	—	21,381	298,623	—	320,004
Total derivative assets	—	26,005	298,623	—	324,628
Separate Account assets [1]	28,704,060	—	—	—	28,704,060
Total assets accounted for at fair value	\$ 28,821,265	\$ 40,415	\$ 300,184	\$ —	\$ 29,161,864
b. Liabilities accounted for at fair value					
Derivative liabilities					
Foreign exchange derivatives	\$ —	\$ (1,337)	\$ —	\$ —	\$ (1,337)
Interest rate derivatives	—	(5,794)	—	—	(5,794)
Macro hedge program	—	(31,811)	(613,912)	—	(645,723)
Total liabilities accounted for at fair value	\$ —	\$ (38,942)	\$ (613,912)	\$ —	\$ (652,854)

- a. Excludes approximately \$12 million of investment sales receivable net of investment purchases payable that are not subject to SSAP No. 100 (Fair Value Measurements).

Valuation Techniques, Procedures and Controls

The Company determines the fair values of certain financial assets and liabilities based on quoted market prices where available and where prices represent reasonable estimates of fair value. The Company also determines fair values based on future cash flows discounted at the appropriate current market rate. Fair values reflect adjustments for counterparty credit quality, the Company's default spreads, liquidity and, where appropriate, risk margins on unobservable parameters. The following is a discussion of the methodologies used to determine fair values for the financial instruments listed in the preceding tables.

The fair value process is monitored by the Valuation Committee of the Company's investment manager, which is a cross-functional group of senior management that meets at least quarterly. The purpose of the committee is to oversee the pricing policy and procedures by ensuring objective and reliable valuation practices and pricing of financial instruments as well as addressing valuation issues and approving changes to valuation methodologies and pricing sources. There are also two working groups under the Valuation Committee of the Company's investment manager, a Securities Valuation Group and a Derivatives Valuation Group, which include various investment, operations, accounting, compliance and risk management professionals that meet on a regular basis, to review market data trends, pricing and trading statistics and results, and any proposed pricing methodology changes.

In addition, the Finance and Investment Committee of the Company, co-chaired by its Chief Investment Officer and Chief Financial Officer, is responsible for the approval and monitoring of the Valuation Policy of the Company as well as the adjudication of any valuation disputes thereunder. The Valuation Policy addresses valuation of all financial instruments held in the general account and guaranteed separate accounts of the Company, including all derivative positions. The Finance and Investment Committee meets regularly, and its members include a cross-functional group of senior management as well as various investment, accounting, finance, and risk management professionals.

NOTES TO FINANCIAL STATEMENTS

The Company also has an enterprise-wide Operational Risk Management function which is responsible for establishing, maintaining and communicating the framework, principles and guidelines of the Company's operational risk management program. This includes model risk management which provides an independent review of the suitability, characteristics and reliability of model inputs as well as an analysis of significant changes to current models.

Bonds and Stocks

The fair value of bonds and stocks in an active and orderly market (e.g., not distressed or forced liquidation) are determined by the Company's investment manager using a "waterfall" approach after considering the following pricing sources: quoted prices for identical assets or liabilities, prices from third-party pricing services, independent broker quotations, or internal matrix pricing processes. Typical inputs used by these pricing sources include, but are not limited to, benchmark yields, reported trades, broker/dealer quotes, issuer spreads, benchmark securities, bids, offers, and/or estimated cash flows, prepayment speeds, and default rates. Most bonds do not trade daily. Based on the typical trading volumes and the lack of quoted market prices for bonds, third-party pricing services utilize matrix pricing to derive security prices. Matrix pricing relies on securities' relationships to other benchmark quoted securities, which trade more frequently. Pricing services utilize recently reported trades of identical or similar securities making adjustments through the reporting date based on the preceding outlined available market observable information. If there are no recently reported trades, the third-party pricing services may develop a security price using expected future cash flows based upon collateral performance and discounted at an estimated market rate. Both matrix pricing and discounted cash flow techniques develop prices by factoring in the time value for cash flows and risk, including liquidity and credit.

Prices from third-party pricing services may be unavailable for securities that are rarely traded or are traded only in privately negotiated transactions. As a result, certain securities are priced via independent broker quotations which utilize inputs that may be difficult to corroborate with observable market based data. Additionally, the majority of these independent broker quotations are non-binding.

The Company's investment manager utilizes an internally developed matrix pricing process for private placement securities for which the Company is unable to obtain a price from a third-party pricing service. The process is similar to the third-party pricing services. The Company's investment manager develops credit spreads each month using market based data for public securities adjusted for credit spread differentials between public and private securities which are obtained from a survey of multiple private placement brokers. The credit spreads determined through this survey approach are based upon the issuer's financial strength and term to maturity, utilizing independent public security index and trade information and adjusting for the non-public nature of the securities. Credit spreads combined with risk-free rates are applied to contractual cash flows to develop a price.

The Company's investment manager performs ongoing analyses of the prices and credit spreads received from third parties to ensure that the prices represent a reasonable estimate of the fair value. In addition, the Company's investment manager ensures that prices received from independent brokers represent a reasonable estimate of fair value through the use of internal and external cash flow models utilizing spreads, and when available, market indices. As a result of these analyses, if the Company's investment manager determines that there is a more appropriate fair value based upon the available market data, the price received from the third party is adjusted accordingly and approved by the Valuation Committee of the Company's investment manager.

The Company's investment manager conducts other specific monitoring controls around pricing. Daily, weekly and monthly analyses identify price changes over pre-determined thresholds for bonds and equity securities. Monthly analyses identify prices that have not changed, and missing prices. Also, on a monthly basis, a second source validation is performed on most sectors. Analyses are conducted by a dedicated pricing unit that follows up with trading and investment sector professionals and challenges prices with vendors when the estimated assumptions used differs from what the Company's investment manager feels a market participant would use. Examples of other procedures performed include, but are not limited to, initial and ongoing review of third-party pricing services' methodologies, review of pricing statistics and trends and back testing recent trades.

The Company's investment manager has analyzed the third-party pricing services' valuation methodologies and related inputs, and has also evaluated the various types of securities in its investment portfolio to determine an appropriate fair value hierarchy level based upon trading activity and the observability of market inputs. Most prices provided by third-party pricing services are classified into Level 2 because the inputs used in pricing the securities are observable. Due to the lack of transparency in the process that brokers use to develop prices, most valuations that are based on brokers' prices are classified as Level 3. Some valuations may be classified as Level 2 if the price can be corroborated with observable market data.

Derivative Instruments

Derivative instruments are fair valued using pricing valuation models for OTC derivatives that utilize independent market data inputs, quoted market prices for exchange-traded derivatives and OTC-cleared derivatives, or independent broker quotations.

The Company performs ongoing analysis of the valuations, assumptions, and methodologies used to ensure that the prices represent a reasonable estimate of the fair value. The Company performs various controls on derivative valuations which include both quantitative and qualitative analyses. Analyses are conducted by a cross-functional group of investment, actuarial, risk and information technology professionals that analyze impacts of changes in the market environment and investigate variances. There is a monthly analysis to identify market value changes greater than pre-defined thresholds, stale prices, missing prices and zero prices. Also on a monthly basis, a second source validation, typically to broker quotations, is performed for certain of the more complex derivatives and all new deals during the month. A model validation review is performed on any new models, which typically includes detailed documentation and validation to a second source. As to certain derivatives that are held by the Company as well as its investment manager's other clients, the Company's investment manager performs ongoing analysis of the valuations, assumptions, and methodologies used to ensure that the prices represent a reasonable estimate of the fair value. On a daily basis, the Company's investment manager compares market valuations to counterparty valuations for all OTC derivatives held by the Company for collateral purposes.

The Company utilizes derivative instruments to manage the risk associated with certain assets and liabilities. However, the derivative instrument may not be classified with the same fair value hierarchy level as the associated assets and liabilities. Therefore the realized and unrealized gains and losses on derivatives reported in Level 3 may not reflect the offsetting impact of the realized and unrealized gains and losses of the associated assets and liabilities.

Valuation Inputs for Investments

For Level 1 investments, which are comprised of exchange-traded securities and open-ended mutual funds, valuations are based on observable inputs that reflect quoted prices for identical assets in active markets that the Company has the ability to access at the measurement date.

For the Company's Level 2 and 3 bonds and stocks, typical inputs used by pricing techniques include, but are not limited to, benchmark yields, reported trades, broker/dealer quotes, issuer spreads, benchmark securities, bids, offers, and/or estimated cash flows, prepayment speeds, and default rates.

A description of additional inputs used in the Company's Level 2 and Level 3 measurements is included in the following discussion:

Level 2 The fair values of most of the Company's Level 2 investments are determined by management after considering prices received from third-party pricing services. These investments include mostly bonds and preferred stocks.

Asset-backed securities, collateralized loan obligations, commercial and residential mortgage-backed securities - Primary inputs also include monthly payment information, collateral performance, which varies by vintage year and includes delinquency rates, collateral valuation loss severity rates, collateral refinancing assumptions, and credit default swap indices. Commercial and residential mortgage-backed securities prices also include estimates of the rate of future principal prepayments over the remaining life of the securities. Such estimates are derived based on the characteristics of the underlying structure and prepayment speeds previously experienced at the interest rate levels projected for the underlying collateral.

Foreign government/government agencies - Primary inputs also include observations of credit default swap curves related to the issuer and political events in emerging market economies.

Credit derivatives - Primary inputs include the swap yield curve and credit default swap curves.

NOTES TO FINANCIAL STATEMENTS

Foreign exchange derivatives - Primary inputs include the swap yield curve, currency spot and forward rates, and cross currency basis curves.

Interest rate derivatives - Primary input is the swap yield curve.

Level 3 Most of the Company's securities classified as Level 3 include less liquid securities such as lower quality asset-backed securities, commercial and residential mortgage-backed securities primarily backed by sub-prime loans. Also included in Level 3 are securities valued based on broker prices or broker spreads, without adjustments. Primary inputs for non-broker priced investments including structured securities, are consistent with the typical inputs used in Level 2 measurements noted above but are Level 3 due to their less liquid markets. Additionally, certain long-dated securities are priced based on third-party pricing services, including certain municipal securities, foreign government/government agency securities, and bank loans, which are included with corporate bonds. Primary inputs for these long-dated securities are consistent with the typical inputs used in the preceding described Level 1 and Level 2 measurements, but include benchmark interest rate or credit spread assumptions that are not observable in the marketplace. Primary inputs for privately traded equity securities are internal discounted cash flow models utilizing earnings multiples or other cash flow assumptions that are not observable. Significant inputs for Level 3 derivative contracts primarily include the typical inputs used in the preceding Level 1 and Level 2 measurements, but also may include equity and interest volatility, and swap yield curves beyond observable limits.

Separate Account assets

Non-guaranteed Separate Account assets are primarily invested in mutual funds and are valued by the underlying mutual funds in accordance to their valuation policies and procedures.

Assets and Liabilities Measured at Fair Value Using Significant Unobservable Inputs (Level 3)

b. The table below provides a roll-forward of financial instruments measured at fair value using significant unobservable inputs (Level 3) for the quarter ended March 31, 2021:

(Amounts in thousands)	Beginning Balance As of Prior Quarter End	Transfers into Level 3 [2]	Transfers out of Level 3 [2]	Total Gains and (Losses) Included in:		Purchases	Sales	Settlements	Ending Balance As of Current Quarter End
				Net Income [1]	Surplus				
Assets									
All other corporate bonds – asset-backed	\$ 1	\$ —	\$ —	\$ —	\$ (1)	\$ —	\$ —	\$ —	\$ —
Common stocks - unaffiliated	1,561	—	—	—	—	—	—	—	1,561
Total bonds and stocks	1,562	—	—	—	(1)	—	—	—	1,561
Derivatives									
Macro hedge program	\$ (246,778)	\$ —	\$ —	\$ —	\$(114,329)	\$ 6,753	\$ —	\$ 39,065	\$ (315,289)
Total derivatives [3]	(246,778)	—	—	—	(114,329)	6,753	—	39,065	(315,289)
Total assets	\$ (245,216)	\$ —	\$ —	\$ —	\$(114,330)	\$ 6,753	\$ —	\$ 39,065	\$ (313,728)

[1] All amounts in this column are reported in net realized capital gains (losses). All amounts are before income taxes.

[2] Transfers in and/or (out) of Level 3 are primarily attributable to changes in the availability of market observable information and changes to the bond and stock carrying value based on the lower of cost and market requirement.

[3] Derivative instruments are reported in this table on a net basis for asset/(liability) positions.

B. Other Fair Value Disclosures

Not applicable.

NOTES TO FINANCIAL STATEMENTS

C. Fair Values for All Financial Instruments by Levels 1, 2 and 3

The tables below reflect the fair values and admitted values of all admitted assets and liabilities that are financial instruments excluding those accounted for under the equity method (subsidiaries, joint ventures and partnerships). The fair values are also categorized into the three-level fair value hierarchy as described in Note 20A above.

(Amounts in thousands)	March 31, 2021						
	Aggregate Fair Value	Admitted Value	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Assets							
Bonds - unaffiliated	\$ 5,166,281	\$ 4,578,750	\$ 15,326	\$ 4,898,290	\$ 252,665	\$ —	\$ —
Preferred stocks - unaffiliated	21,070	21,070	—	21,070	—	—	—
Common stocks - unaffiliated	8,394	8,394	6,833	—	1,561	—	—
Mortgage loans	823,728	791,581	—	—	823,728	—	—
Cash, cash equivalents and short-term investments - unaffiliated	203,712	203,706	121,093	77,998	4,621	—	—
Derivative related assets	322,258	324,628	—	23,635	298,623	—	—
Contract loans	95,939	93,898	—	—	95,939	—	—
Surplus debentures	46,861	36,379	—	40,345	6,516	—	—
Low-income housing tax credits	126	126	—	—	126	—	—
Separate Account assets [1]	28,704,060	28,704,060	28,704,060	—	—	—	—
Total assets	\$ 35,392,429	\$ 34,762,592	\$ 28,847,312	\$ 5,061,338	\$ 1,483,779	\$ —	\$ —
Liabilities							
Liability for deposit-type contracts	\$ (195,842)	\$ (195,842)	\$ —	\$ —	\$ (195,842)	\$ —	\$ —
Derivative related liabilities	(652,659)	(652,853)	—	(38,778)	(613,881)	—	—
Separate Account liabilities	(28,704,060)	(28,704,060)	(28,704,060)	—	—	—	—
Total liabilities	\$ (29,552,561)	\$ (29,552,755)	\$ (28,704,060)	\$ (38,778)	\$ (809,723)	\$ —	\$ —

[1] Excludes approximately \$12 million at March 31, 2021, of investment sales receivable net of investment purchases payable that are not subject to SSAP No. 100.

(Amounts in thousands)	December 31, 2020						
	Aggregate Fair Value	Admitted Value	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Assets							
Bonds - unaffiliated	\$ 5,442,164	\$ 4,571,087	\$ —	\$ 5,220,741	\$ 221,423	\$ —	\$ —
Preferred stocks - unaffiliated	6,798	6,639	—	6,798	—	—	—
Common stocks - unaffiliated	8,226	8,226	6,665	—	1,561	—	—
Mortgage loans	815,453	766,623	—	—	815,453	—	—
Cash, cash equivalents and short-term investments - unaffiliated	289,838	289,851	259,308	22,022	8,508	—	—
Derivative related assets	220,861	196,265	—	78,614	142,247	—	—
Contract loans	95,939	95,939	—	—	95,939	—	—
Surplus debentures	49,059	36,401	—	41,566	7,493	—	—
Low-income housing tax credits	150	150	—	—	150	—	—
Separate Account assets [1]	28,421,105	28,421,105	28,421,105	—	—	—	—
Total assets	\$ 35,349,593	\$ 34,392,286	\$ 28,687,078	\$ 5,369,741	\$ 1,292,774	\$ —	\$ —
Liabilities							
Liability for deposit-type contracts	\$ (199,265)	\$(199,264.917)	\$ —	\$ —	\$ (199,265)	\$ —	\$ —
Derivative related liabilities	(437,410)	(437,969)	—	(48,385)	(389,025)	—	—
Separate Account liabilities	(28,421,105)	(28,421,105)	(28,421,105)	—	—	—	—
Total liabilities	\$ (29,057,780)	\$(29,058,338.9)	\$ (28,421,105)	\$ (48,385)	\$ (588,290)	\$ —	\$ —

[1] Excludes approximately \$9.2 million, at December 31, 2020, of investment sales receivable net of investment purchases payable that are not subject to SSAP No. 100.

The valuation methodologies used to determine the fair values of bonds, stocks and derivatives are described in the above Fair Value Measurements section of this note.

The amortized cost of cash, cash equivalents and short-term investments approximates fair value.

Fair values for mortgage loans on real estate were estimated using discounted cash flow calculations based on current lending rates for similar type loans. Current lending rates reflect changes in credit spreads and the remaining terms of the loans.

The fair value of contract loans was determined using current loan coupon rates which reflect the current rates available under the contracts. As a result, the fair value approximates the carrying value of the contract loans.

The carrying amounts of the liability for deposit-type contracts and Separate Account liabilities approximate their fair values.

D. At March 31, 2021, the Company had no investments where it was not practicable to estimate fair value.

NOTES TO FINANCIAL STATEMENTS

Note 21 – Other Items**C. Other Disclosures**

In October 2020, the Circuit Court of the State of Wisconsin approved the rehabilitation order filed by the Commissioner of Insurance of the State of Wisconsin to place Time Insurance Company (TIC) in rehabilitation. TLA assumes, through reinsurance, life and annuity reserves from TIC and also provides certain administrative services to TIC's policyholders. In turn, TLA retrocedes much of the reinsured reserves to nonaffiliated reinsurers which also provide certain administrative services to the covered policyholders. While the ultimate outcome of the rehabilitation is unknown at this time it is not expected to be material to TLA. TLA will continue to monitor the developments.

The continuing impact of the outbreak of the novel coronavirus ("COVID-19") and the related disruption to the worldwide economy are affecting companies across all industries. Worldwide health emergency measures to combat the spread of the virus have caused severe disruption resulting in an economic slowdown. The duration and impact of the ongoing COVID-19 public health crises on the financial markets, overall economy and our operations are still uncertain, as is the efficacy of government and central bank interventions. Additionally, further actions regulators may take in response to the COVID-19 public health crisis could impact financial markets and our operations. At this time, the Company is not able to reliably estimate the length and severity of the COVID-19 public health crises and, as such, cannot fully quantify its impact on the financial results, liquidity and capital resources of the Company and its operations in future periods. The Company has reviewed COVID-19 related Interpretations from the Statutory Accounting Principles Working Group of the NAIC. These interpretations as well as relief granted to customers to date have not had a material impact on the Company's financial condition or results of operations.

Note 22 - Events Subsequent

On January 18, 2021, the Company's indirect owners, Hopmeadow Holdings GP LLC, and Hopmeadow Holdings LP, entered into a definitive agreement to merge Hopmeadow Holdings LP with a subsidiary of Sixth Street, a leading global investment firm. The merger is subject to regulatory approvals and other customary closing conditions and is expected to close in the second quarter of 2021. As part of the transaction, the Company will have new indirect owners.

The Company had no other material subsequent events through the filing date of May 11, 2021.

Note 23 - Reinsurance**A. Ceded Reinsurance Report****Section 2 - Ceded Reinsurance Report - Part A**

1. The Company has one reinsurance agreement in effect under which the reinsurer has a limited right to unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits. See Note 1 for further discussion of prescribed practices.
 - a. For the periods ended March 31, 2021 and December 31, 2020, the estimated amount of the aggregate reduction in surplus of this limited right to unilaterally cancel this reinsurance agreement by the reinsurer for which cancellation results in a net obligation of the Company to the reinsurer, and for which such obligation is not presently accrued was \$25,674,746 and \$43,673,148, respectively.
 - b. For the periods ended March 31, 2021 and December 31, 2020, the total amount of reinsurance credit taken for this agreement was \$32,499,678 and \$55,282,466, respectively.

Note 24 - Retrospectively Rated Contracts & Contracts Subject to Redetermination**E. Risk-Sharing Provisions of the Affordable Care Act ("ACA")**

The Company had no accident and health insurance premiums that are subject to the Affordable Care Act risk-sharing provisions.

Note 25 - Changes in Incurred Losses and Loss Adjustment Expenses

Reserves as of December 31, 2020 were \$4.5 million. As of March 31, 2021, \$0.1 million has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$4.4 million as a result of re-estimation of unpaid claims and claim adjustment expenses principally on Accident and Health lines of insurance. As a result, there has been a \$0.0 million prior-year development from December 31, 2020 to March 31, 2021. The change is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims.

Note 26 - Intercompany Pooling Arrangements

No significant change.

Note 27 - Structured Settlements

No significant change.

Note 28 - Health Care Receivables

No significant change.

Note 29 - Participating Policies

No significant change.

Note 30 - Premium Deficiency Reserves

No significant change.

Note 31 - Reserves for Life Contracts and Deposit-Type Contracts

No significant change.

Note 32 - Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics

No significant change.

Note 33 - Analysis of Life Actuarial Reserves by Withdrawal Characteristics

No significant change.

NOTES TO FINANCIAL STATEMENTS

Note 34 - Premium and Annuity Considerations Deferred and Uncollected

No significant change.

Note 35 - Separate Accounts

No significant change.

Note 36 - Loss/Claim Adjustment Expenses

No significant change.

STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY
GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
 If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [] No [X]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
 If yes, complete and file the merger history data file with the NAIC.
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A []
 If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2017
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2017
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 05/10/2019
- 6.4 By what department or departments?
 State of Connecticut Insurance Department
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] N/A []
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No []
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
Talcott Resolution Distribution Company, Inc.	Windsor, CT	NO	NO	NO	YES

STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY
GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No []
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 (c) Compliance with applicable governmental laws, rules and regulations;
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes [] No [X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No [X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No [X]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [X] No []
- 11.2 If yes, give full and complete information relating thereto:
 The Company has \$360,384,643 of cash and bonds pledged as collateral for derivative activity; \$1,560,700 of FHLB capital stock; \$4,632,276 of short term investments subject to reverse repurchase agreements, \$48,944,066 of bonds subject to repurchase agreements and \$6,456,802 securities pledged for repurchase activity.
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 21,590,755
13. Amount of real estate and mortgages held in short-term investments: \$
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [X] No []
- 14.2 If yes, please complete the following:
- | | 1 | 2 |
|---|---|--|
| | Prior Year-End
Book/Adjusted
Carrying Value | Current Quarter
Book/Adjusted
Carrying Value |
| 14.21 Bonds | \$ | \$ |
| 14.22 Preferred Stock | \$ | \$ |
| 14.23 Common Stock | \$ 10,234,281 | \$ 10,229,472 |
| 14.24 Short-Term Investments | \$ | \$ |
| 14.25 Mortgage Loans on Real Estate | \$ | \$ |
| 14.26 All Other | \$ | \$ |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$ 10,234,281 | \$ 10,229,472 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$ | \$ |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [X] No []
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [X] No [] N/A []
 If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. \$
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$
- 16.3 Total payable for securities lending reported on the liability page. \$

STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY
GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JPMorgan Chase Bank, N.A.	4 Chase Metro Tech Center, 16th Floor, Brooklyn, NY 11245
The Bank of New York Mellon	101 Barclay Street, 8 West, New York, NY 10286
Federal Home Loan Bank of Boston	800 Boylston St., Boston, MA 02199

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Hartford Investment Management Company	U.....
PGIM, Inc.	U.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [X] No []
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [X] No []

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
106699	Hartford Investment Management Company	FE0BULMG7PY8G4MG7C65	SEC	DS.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
 - b. Issuer or obligor is current on all contracted interest and principal payments.
 - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes [X] No []

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes [X] No []

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
 - d. The fund only or predominantly holds bonds in its portfolio.
 - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
 - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No [X]

STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY
GENERAL INTERROGATORIES

PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

Life and Accident Health Companies/Fraternal Benefit Societies:

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories: 1
Amount
- 1.1 Long-Term Mortgages In Good Standing
- 1.11 Farm Mortgages \$
 1.12 Residential Mortgages \$ 8,816,753
 1.13 Commercial Mortgages \$ 782,764,267
 1.14 Total Mortgages in Good Standing \$ 791,581,020
- 1.2 Long-Term Mortgages In Good Standing with Restructured Terms
- 1.21 Total Mortgages in Good Standing with Restructured Terms \$
- 1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months
- 1.31 Farm Mortgages \$
 1.32 Residential Mortgages \$
 1.33 Commercial Mortgages \$
 1.34 Total Mortgages with Interest Overdue more than Three Months \$
- 1.4 Long-Term Mortgage Loans in Process of Foreclosure
- 1.41 Farm Mortgages \$
 1.42 Residential Mortgages \$
 1.43 Commercial Mortgages \$
 1.44 Total Mortgages in Process of Foreclosure \$
- 1.5 Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2) \$ 791,581,020
- 1.6 Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter
- 1.61 Farm Mortgages \$
 1.62 Residential Mortgages \$
 1.63 Commercial Mortgages \$
 1.64 Total Mortgages Foreclosed and Transferred to Real Estate \$
2. Operating Percentages:
- 2.1 A&H loss percent %
 2.2 A&H cost containment percent %
 2.3 A&H expense percent excluding cost containment expenses %
- 3.1 Do you act as a custodian for health savings accounts? Yes [] No [X]
- 3.2 If yes, please provide the amount of custodial funds held as of the reporting date \$
- 3.3 Do you act as an administrator for health savings accounts? Yes [] No [X]
- 3.4 If yes, please provide the balance of the funds administered as of the reporting date \$
4. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [X] No []
- 4.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [] No []

Fraternal Benefit Societies Only:

- 5.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? Yes [] No [] N/A []
- 5.2 If no, explain:

- 6.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? Yes [] No []
- 6.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount
.....

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating
NONE									

STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY
SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year To Date - Allocated by States and Territories

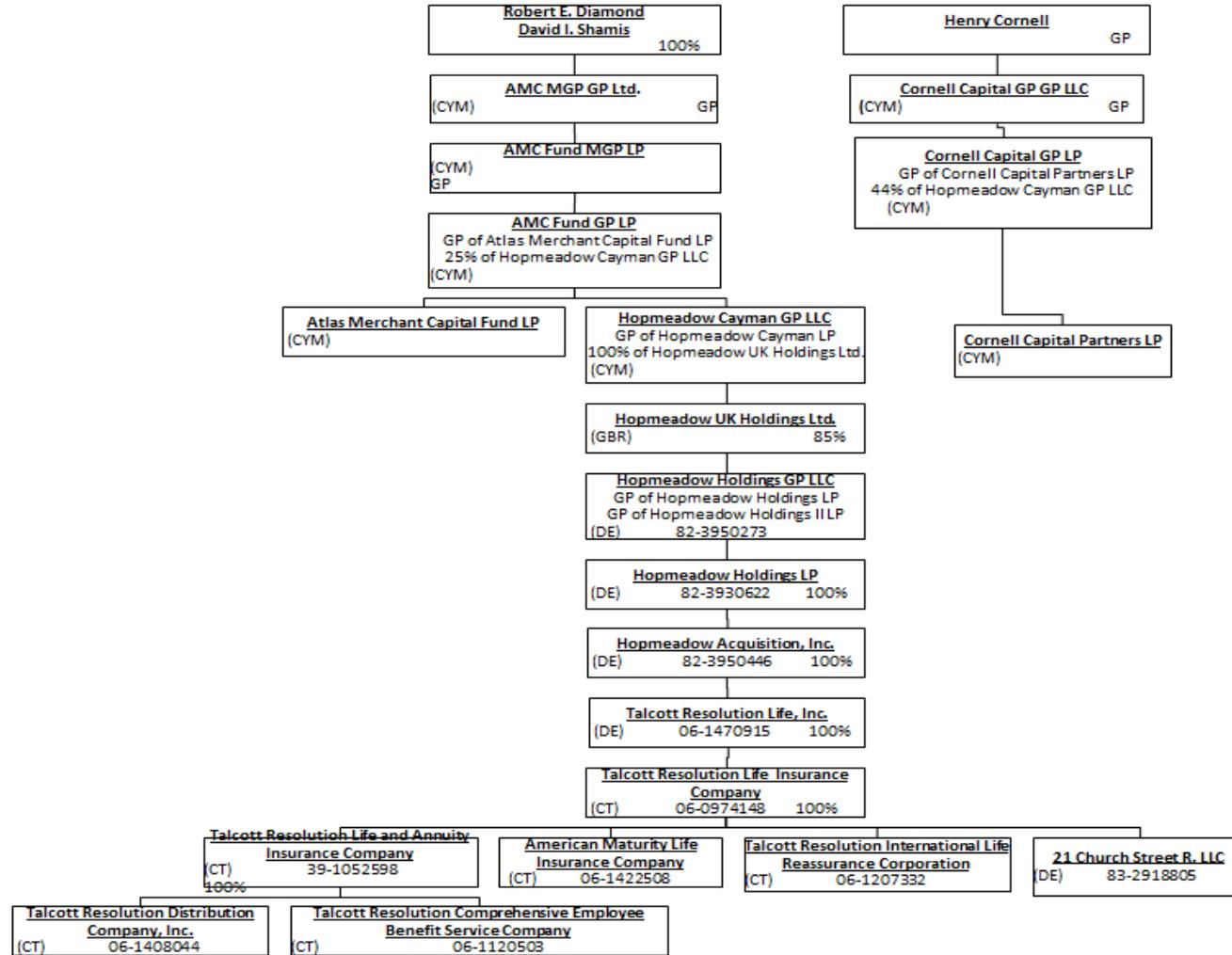
States, Etc.	1	Life Contracts		Direct Business Only			7	
		2	3	4	5	6		
	Active Status (a)	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 Through 5	Deposit-Type Contracts	
1. Alabama	AL	L	1,737,110	18,575	143	17,099	1,772,927	
2. Alaska	AK	L	195,356			13,151	208,507	
3. Arizona	AZ	L	3,880,935	763,190	1,478	526,873	5,172,476	
4. Arkansas	AR	L	1,615,601	1,075,472	192	15,533	2,706,798	
5. California	CA	L	22,568,361	3,881,730	4,131	423,084	26,877,306	
6. Colorado	CO	L	4,063,541	1,388,807	519	830,332	6,283,199	
7. Connecticut	CT	L	3,238,724	710,069	89	46,924	3,995,806	17,857
8. Delaware	DE	L	719,864	293,483		750	1,014,097	
9. District of Columbia	DC	L	365,622	119,598		3,000	488,220	
10. Florida	FL	L	14,927,643	3,643,320	3,233	992,700	19,566,896	
11. Georgia	GA	L	3,708,898	311,119	1,245	70,538	4,091,800	
12. Hawaii	HI	L	805,572	177	159	24,568	830,476	
13. Idaho	ID	L	642,777	24,226	206	4,802	672,011	
14. Illinois	IL	L	9,187,526	2,499,942	2,591	166,491	11,856,550	
15. Indiana	IN	L	2,261,982	532,869	1,850	11,316	2,808,017	(17,857)
16. Iowa	IA	L	2,038,234	217,617	3,869	250,154	2,509,874	
17. Kansas	KS	L	2,024,537	308,923	302	19,741	2,353,503	
18. Kentucky	KY	L	1,836,943	314,260	902	55,823	2,207,928	
19. Louisiana	LA	L	3,318,465	11,064	1,450	125,092	3,456,071	
20. Maine	ME	L	256,380	333,329		44,501	634,210	
21. Maryland	MD	L	6,414,808	1,039,684		120,112	7,574,604	
22. Massachusetts	MA	L	2,792,969	502,030		340,241	3,635,240	
23. Michigan	MI	L	5,004,573	474,593	2,653	181,454	5,663,273	
24. Minnesota	MN	L	5,099,395	1,160,285	4,117	381,220	6,645,017	
25. Mississippi	MS	L	1,125,323	207	178	379,020	1,504,728	
26. Missouri	MO	L	3,888,499	2,359,995	1,173	53,276	6,302,943	
27. Montana	MT	L	254,380	264,793	185	1,100	520,458	
28. Nebraska	NE	L	1,373,376	391,485	1,367	143,314	1,909,542	
29. Nevada	NV	L	1,506,820	71,723	840	6,990	1,586,373	
30. New Hampshire	NH	L	498,468			41,904	540,372	
31. New Jersey	NJ	L	4,963,507	707,772	63	293,360	5,964,702	
32. New Mexico	NM	L	950,193	77,672	175	4,821	1,032,861	
33. New York	NY	N	1,755,728	12,678	114	8,750	1,777,270	
34. North Carolina	NC	L	8,223,269	2,069,812	5,254	145,919	10,444,254	
35. North Dakota	ND	L	833,363	909,322	90	44,372	1,787,147	
36. Ohio	OH	L	5,203,017	1,102,768	1,870	150,472	6,458,127	
37. Oklahoma	OK	L	1,667,317	2,264,224	1,399	216,976	4,149,916	
38. Oregon	OR	L	1,118,453	966,635	368	5,875	2,091,331	
39. Pennsylvania	PA	L	9,065,676	697,146	131	612,184	10,375,137	
40. Rhode Island	RI	L	353,524	101,845	243	12,635	468,247	
41. South Carolina	SC	L	2,230,460	241,634	161	29,223	2,501,478	
42. South Dakota	SD	L	1,268,676	147,207	275	27,071	1,443,229	
43. Tennessee	TN	L	3,569,786	3,512,022	978	58,279	7,141,065	
44. Texas	TX	L	14,403,879	2,165,481	1,307	764,007	17,334,674	
45. Utah	UT	L	861,532	113,176	184	26,251	1,001,143	
46. Vermont	VT	L	394,665	136,061		6,463	537,189	
47. Virginia	VA	L	5,012,776	555,822	482	149,358	5,718,438	
48. Washington	WA	L	3,543,703	257,286	340	186,269	3,987,598	
49. West Virginia	WV	L	926,081	62,367	369	44,271	1,033,088	
50. Wisconsin	WI	L	4,171,855	1,662,949	10,503	115,044	5,960,351	
51. Wyoming	WY	L	188,311	161,419	(1,067)	20,885	369,548	
52. American Samoa	AS	N						
53. Guam	GU	N	3,396				3,396	
54. Puerto Rico	PR	L	18,068				18,068	
55. U.S. Virgin Islands	VI	L	597				597	
56. Northern Mariana Islands	MP	N						
57. Canada	CAN	N	178,400				178,400	
58. Aggregate Other Aliens	OT	XXX	345,902				345,902	
59. Subtotal	XXX		178,604,816	40,637,863	56,111	8,213,588	227,512,378	
90. Reporting entity contributions for employee benefits plans	XXX							
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX		615				615	
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX							
93. Premium or annuity considerations waived under disability or other contract provisions	XXX		423,188	1,329	1,271		425,788	
94. Aggregate or other amounts not allocable by State	XXX							
95. Totals (Direct Business)	XXX		179,028,619	40,639,192	57,382	8,213,588	227,938,781	
96. Plus Reinsurance Assumed	XXX		17,947,062	3,442,126		1,207,688	22,596,876	
97. Totals (All Business)	XXX		196,975,681	44,081,318	57,382	9,421,276	250,535,657	
98. Less Reinsurance Ceded	XXX		196,980,484	6,226		8,674,013	205,660,723	
99. Totals (All Business) less Reinsurance Ceded	XXX		(4,803)	44,075,092	57,382	747,263	44,874,934	
DETAILS OF WRITE-INS								
58001. ZZZ Other Alien	XXX		345,902				345,902	
58002.	XXX							
58003.	XXX							
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX							
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		345,902				345,902	
9401.	XXX							
9402.	XXX							
9403.	XXX							
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX							
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX							

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG..... 52
 E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....
 N - None of the above - Not allowed to write business in the state..... 5

R - Registered - Non-domiciled RRGs.....
 Q - Qualified - Qualified or accredited reinsurer.....

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 - ORGANIZATIONAL CHART



STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
4926	Hopmeadow Holdings Grp	.00000	82-3930622				Hopmeadow Holdings, LP	DE	UIP	Hopmeadow Holdings, GP LLC	Ownership	100.000	David Schamis/Robert E. Diamond/Henry Cornell	N	
4926	Hopmeadow Holdings Grp	.00000	82-3950446				Hopmeadow Acquisition, Inc.	DE	UIP	Hopmeadow Holdings, LP	Ownership	100.000	David Schamis/Robert E. Diamond/Henry Cornell	N	
4926	Hopmeadow Holdings Grp	.00000	06-1470915		0001032204		Talcott Resolution Life, Inc.	DE	UIP	Hopmeadow Acquisition, Inc.	Ownership	100.000	David Schamis/Robert E. Diamond/Henry Cornell	N	
4926	Hopmeadow Holdings Grp	.88072	06-0974148		0000045947		Talcott Resolution Life Insurance Company	CT	UDP	Talcott Resolution Life, Inc.	Ownership	100.000	David Schamis/Robert E. Diamond/Henry Cornell	N	
4926	Hopmeadow Holdings Grp	.81213	06-1422508				American Maturity Life Insurance Company	CT	IA	Talcott Resolution Life Insurance Company	Ownership	100.000	David Schamis/Robert E. Diamond/Henry Cornell	N	
4926	Hopmeadow Holdings Grp	.93505	06-1207332				Talcott Resolution International Life Reassurance Corporation	CT	IA	Talcott Resolution Life Insurance Company	Ownership	100.000	David Schamis/Robert E. Diamond/Henry Cornell	N	
4926	Hopmeadow Holdings Grp	.00000	83-2918805				21 Church Street R, LLC	DE	NIA	Talcott Resolution Life Insurance Company	Ownership	100.000	David Schamis/Robert E. Diamond/Henry Cornell	N	
4926	Hopmeadow Holdings Grp	.71153	39-1052598				Talcott Resolution Life and Annuity Insurance Company	CT	RE	Talcott Resolution Life Insurance Company	Ownership	100.000	David Schamis/Robert E. Diamond/Henry Cornell	N	
4926	Hopmeadow Holdings Grp	.00000	06-1120503				Talcott Resolution Comprehensive Employee Benefit Service Company	CT	DS	Talcott Resolution Life and Annuity Insurance Company	Ownership	100.000	David Schamis/Robert E. Diamond/Henry Cornell	Y	
4926	Hopmeadow Holdings Grp	.00000	06-1408044		0000940622		Talcott Resolution Distribution Company	CT	DS	Talcott Resolution Life and Annuity Insurance Company	Ownership	100.000	David Schamis/Robert E. Diamond/Henry Cornell	Y	

Asterisk	Explanation
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STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

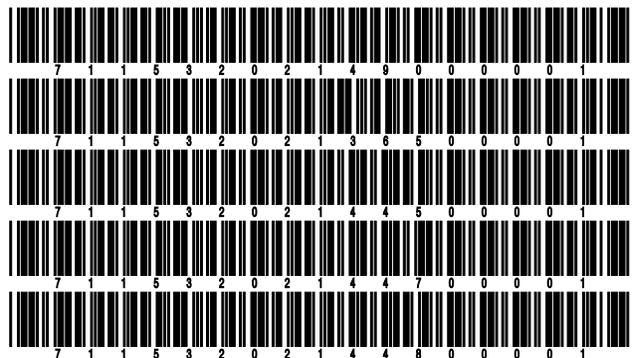
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	YES
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	YES
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	N/A

Explanation:

- 1.
- 2.
- 3.
- 5.
- 6.

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]



STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY
OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Liabilities Line 25

	1 Current Statement Date	2 December 31 Prior Year
2504. Miscellaneous liabilities	15,958,608	14,585,888
2505. Accrued interest on derivatives in a liability position	5,642,006	5,375,823
2506. Provision for future dividends	1,467,259	1,222,551
2507. Interest on policy or contract funds due or accrued	18,288	17,684
2597. Summary of remaining write-ins for Line 25 from overflow page	23,086,161	21,201,946

STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	766,622,882	843,320,642
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	27,540,000	45,802,179
2.2 Additional investment made after acquisition	98,534	16,103,887
3. Capitalized deferred interest and other		
4. Accrual of discount	2,034	25,529
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		(267,727)
7. Deduct amounts received on disposals	2,692,856	138,301,243
8. Deduct amortization of premium and mortgage interest points and commitment fees	(10,427)	60,385
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	791,581,020	766,622,882
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)	791,581,020	766,622,882
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)	791,581,020	766,622,882

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	515,641,472	523,096,361
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	51,975	16,528,080
2.2 Additional investment made after acquisition	5,291,794	26,319,460
3. Capitalized deferred interest and other		
4. Accrual of discount	6,369	26,674
5. Unrealized valuation increase (decrease)	20,068,977	29,425,759
6. Total gain (loss) on disposals		185
7. Deduct amounts received on disposals	22,548,896	64,491,881
8. Deduct amortization of premium and depreciation	51,807	244,986
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized	3,453,532	15,018,180
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	515,006,352	515,641,472
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	515,006,352	515,641,472

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	4,596,187,201	4,572,088,267
2. Cost of bonds and stocks acquired	284,432,401	1,059,824,188
3. Accrual of discount	1,086,387	5,821,031
4. Unrealized valuation increase (decrease)	393,023	(31,111)
5. Total gain (loss) on disposals	7,294,594	30,173,750
6. Deduct consideration for bonds and stocks disposed of	265,751,469	1,051,707,962
7. Deduct amortization of premium	5,251,044	18,152,309
8. Total foreign exchange change in book/adjusted carrying value	(563,500)	1,614,450
9. Deduct current year's other than temporary impairment recognized	100,923	4,753,109
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	716,762	1,310,006
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	4,618,443,431	4,596,187,201
12. Deduct total nonadmitted amounts	2,652,595	2,657,959
13. Statement value at end of current period (Line 11 minus Line 12)	4,615,790,836	4,593,529,242

STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	2,940,354,424	314,647,286	303,559,367	(98,512,824)	2,852,929,518			2,940,354,424
2. NAIC 2 (a)	1,565,227,642	94,369,247	130,886,315	105,983,738	1,634,694,311			1,565,227,642
3. NAIC 3 (a)	152,644,705	31,188,195	21,864,018	(12,258,451)	149,710,431			152,644,705
4. NAIC 4 (a)	18,384,867	11,951,742	1,277,788	(290,513)	28,768,308			18,384,867
5. NAIC 5 (a)				247,680	247,680			
6. NAIC 6 (a)	16,917			(4,798)	12,119			16,917
7. Total Bonds	4,676,628,554	452,156,470	457,587,488	(4,835,169)	4,666,362,368			4,676,628,554
PREFERRED STOCK								
8. NAIC 1								
9. NAIC 2	6,639,285	14,200,000		230,875	21,070,160			6,639,285
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock	6,639,285	14,200,000		230,875	21,070,160			6,639,285
15. Total Bonds and Preferred Stock	4,683,267,839	466,356,470	457,587,488	(4,604,294)	4,687,432,527			4,683,267,839

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ 59,615,034 ; NAIC 2 \$ 27,997,430 ; NAIC 3 \$; NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

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SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	29,627,374	xxx	29,620,295	21,372	

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	52,551,246	45,151,353
2. Cost of short-term investments acquired	19,993,801	118,579,224
3. Accrual of discount	7,102	276,361
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals	(7,513)	
6. Deduct consideration received on disposals	42,887,227	111,367,924
7. Deduct amortization of premium	30,035	87,768
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	29,627,374	52,551,246
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	29,627,374	52,551,246

SCHEDULE DB - PART A - VERIFICATION

Options, Caps, Floors, Collars, Swaps and Forwards

1. Book/Adjusted Carrying Value, December 31, prior year (Line 10, prior year)	(241,703,789)
2. Cost Paid/(Consideration Received) on additions	39,179,850
3. Unrealized Valuation increase/(decrease)	(31,735,429)
4. SSAP No. 108 adjustments	
5. Total gain (loss) on termination recognized	(70,703,852)
6. Considerations received/(paid) on terminations	23,826,201
7. Amortization	
8. Adjustment to the Book/Adjusted Carrying Value of hedged item	
9. Total foreign exchange change in Book/Adjusted Carrying Value	563,500
10. Book/Adjusted Carrying Value at End of Current Period (Lines 1+2+3+4+5-6+7+8+9)	(328,225,921)
11. Deduct nonadmitted assets	
12. Statement value at end of current period (Line 10 minus Line 11)	(328,225,921)

SCHEDULE DB - PART B - VERIFICATION

Futures Contracts

1. Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year)	6,330,465
2. Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column)	(6,330,465)
3.1 Add:	
Change in variation margin on open contracts - Highly Effective Hedges	
3.11 Section 1, Column 15, current year to date minus	
3.12 Section 1, Column 15, prior year	
Change in variation margin on open contracts - All Other	
3.13 Section 1, Column 18, current year to date minus	
3.14 Section 1, Column 18, prior year	(940,858) 940,858 940,858
3.2 Add:	
Change in adjustment to basis of hedged item	
3.21 Section 1, Column 17, current year to date minus	
3.22 Section 1, Column 17, prior year	
Change in amount recognized	
3.23 Section 1, Column 19, current year to date minus	
3.24 Section 1, Column 19, prior year plus	(940,858)
3.25 SSAP No. 108 adjustments	940,858 940,858
3.3 Subtotal (Line 3.1 minus Line 3.2)	
4.1 Cumulative variation margin on terminated contracts during the year	(5,930,394)
4.2 Less:	
4.21 Amount used to adjust basis of hedged item	
4.22 Amount recognized	(4,989,536)
4.23 SSAP No. 108 adjustments	(4,989,536)
4.3 Subtotal (Line 4.1 minus Line 4.2)	(940,858)
5. Dispositions gains (losses) on contracts terminated in prior year:	
5.1 Total gain (loss) recognized for terminations in prior year	940,858
5.2 Total gain (loss) adjusted into the hedged item(s) for terminations in prior year	
6. Book/Adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2)	
7. Deduct total nonadmitted amounts	
8. Statement value at end of current period (Line 6 minus Line 7)	

STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART C - SECTION 1

Replication (Synthetic Asset) Transactions Open as of Current Statement Date

Replication (Synthetic Asset) Transactions								Components of the Replication (Synthetic Asset) Transactions							
1 Number	2 Description	3 NAIC Designation or Other Description	4 Notional Amount	5 Book/Adjusted Carrying Value	6 Fair Value	7 Effective Date	8 Maturity Date	Derivative Instrument(s) Open			Cash Instrument(s) Held				
								9 Description	10 Book/Adjusted Carrying Value	11 Fair Value	12 CUSIP	13 Description	14 NAIC Designation or Other Description	15 Book/Adjusted Carrying Value	16 Fair Value
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	2,356,946	2,356,946	2,667,511	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29	95,370	190760-HT-8	COBB-MARIETTA GA COLISEUM & EX	1.A FE	2,356,946	2,572,140	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	5,710,517	5,783,072	6,352,028	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29	231,067	12629N-AH-8	COMM 15-DC1	1.A FM	5,783,072	6,120,961	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	10,981,764	10,964,895	16,651,566	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29	444,360	44841S-AC-3	HUTOCHISON WAMPOA INTERNATIONAL (O	1.F FE	10,964,895	16,207,206	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	5,951,408	5,979,751	6,628,270	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29	240,814	61765T-AE-3	MSBAM 15-C25	1.A FM	5,979,751	6,387,456	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	3,778,672	4,807,807	5,634,394	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29	152,898	64972F-L2-0	NEW YORK N Y CITY MUN WTR FIN	1.B FE	4,807,807	5,481,496	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	3,603,908	4,403,957	5,401,096	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29	145,827	702274-AW-1	PASADENA CALIF PUB FING AUTH L	1.C FE	4,403,957	5,255,269	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	35,321,133	34,556,506	45,608,216	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29	1,429,215	912810-RD-2	TREASURY BOND	1.A	34,556,506	44,179,002	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	1,298,918	1,236,809	1,471,728	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29	52,559	912810-RU-4	TREASURY BOND	1.A	1,236,809	1,419,170	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	9,446,679	9,420,274	8,978,723	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29	382,245	912828-ZO-6	TREASURY NOTE	1.A	9,420,274	8,596,478	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	5,856,941	7,462,834	9,060,087	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29	236,992	91324P-BK-7	UNITEDHEALTH GROUP INC	1.G FE	7,462,834	8,823,095	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	10,289,613	10,289,613	11,296,917	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29	416,353	92783#-AB-2	VIRGINIA INTERNATIONAL GATEWAY INC	1.G PL	10,289,613	10,880,564	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	3,514,165	3,558,462	3,914,447	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29	142,195	94989C-AZ-4	WFCM 15-C26	1.A FM	3,558,462	3,772,252	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	1,889,336	1,882,145	2,051,187	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29	76,449	95000H-BL-5	WFCM 16-LC24	3.B FM	1,882,145	1,974,738	
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B	3,555,095	3,625,304	3,635,352	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49	(218,964)	06541W-BK-5	BANK 17-BNK5	1.A FM	3,625,304	3,854,316	
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B	14,615,389	11,746,509	15,351,499	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49	(900,185)	07274N-BH-5	BAYER US FINANCE II LLC	2.A FE	11,746,509	16,251,684	
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B	29,625,789	29,625,789	29,099,794	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49	(1,824,700)	09056#-AA-8	BIO MED GROUND LEASE TRUST 2016 UW	1.B PL	29,625,789	30,924,494	
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B	4,471,101	4,470,430	4,338,731	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49	(275,382)	12510H-AB-6	CAUTO_20-1A	1.A FE	4,470,430	4,614,113	
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B	3,950,105	4,023,773	4,018,246	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49	(243,293)	125156-AF-4	CD 17-CD3	1.A FM	4,023,773	4,261,539	
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B	20,254,164	23,894,109	30,718,871	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49	(1,247,486)	313309-AP-1	FEDERAL EXPRESS CORP	2.B FE	23,894,109	31,966,358	
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B	4,768,765	4,768,529	4,435,897	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49	(293,716)	34964M-AA-2	FORTI_21-1A - ABS	1.A FE	4,768,529	4,729,613	
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B	5,390,461	5,388,864	5,325,336	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49	(332,007)	38218D-AA-7	GOODG_19-1A - ABS	1.C FE	5,388,864	5,657,343	
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B	5,962,684	5,962,684	6,836,965	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49	(367,251)	882484-AA-6	TEXAS HEALTH RESOURCES	1.C FE	5,962,684	7,204,216	
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B	7,406,447	7,450,210	7,494,935	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49	(456,175)	95000J-AU-2	WFCM 16-LC25	1.A FM	7,450,210	7,951,110	
999999999 - Totals				203,659,272	236,971,797	XXX	XXX	XXX	(2,112,816)	XXX	XXX	XXX	203,659,272	239,084,613	

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STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART C - SECTION 2

Replication (Synthetic Asset) Transactions Open

	First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Year To Date	
	1 Number of Positions	2 Total Replication (Synthetic Asset) Transactions Statement Value	3 Number of Positions	4 Total Replication (Synthetic Asset) Transactions Statement Value	5 Number of Positions	6 Total Replication (Synthetic Asset) Transactions Statement Value	7 Number of Positions	8 Total Replication (Synthetic Asset) Transactions Statement Value	9 Number of Positions	10 Total Replication (Synthetic Asset) Transactions Statement Value
1. Beginning Inventory	2	203,874,937							2	203,874,937
2. Add: Opened or Acquired Transactions										
3. Add: Increases in Replication (Synthetic Asset) Transactions Statement Value	XXX									
4. Less: Closed or Disposed of Transactions										
5. Less: Positions Disposed of for Failing Effectiveness Criteria										
6. Less: Decreases in Replication (Synthetic Asset) Transactions Statement Value	XXX	215,665	XXX		XXX		XXX		XXX	215,665
7. Ending Inventory	2	203,659,272							2	203,659,272

SCHEDULE DB - VERIFICATION

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

		Book/Adjusted Carrying Value Check
1.	Part A, Section 1, Column 14.....	(328,225,921)
2.	Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance.....
3.	Total (Line 1 plus Line 2).....	(328,225,921)
4.	Part D, Section 1, Column 6.....	324,627,768
5.	Part D, Section 1, Column 7.....	(652,853,689)
6.	Total (Line 3 minus Line 4 minus Line 5).....
		Fair Value Check
7.	Part A, Section 1, Column 16.....	(330,431,394)
8.	Part B, Section 1, Column 13.....
9.	Total (Line 7 plus Line 8).....	(330,431,394)
10.	Part D, Section 1, Column 9.....	322,258,126
11.	Part D, Section 1, Column 10.....	(652,689,520)
12.	Total (Line 9 minus Line 10 minus Line 11).....
		Potential Exposure Check
13.	Part A, Section 1, Column 21.....	15,998,542
14.	Part B, Section 1, Column 20.....
15.	Part D, Section 1, Column 12.....	15,998,542
16.	Total (Line 13 plus Line 14 minus Line 15).....

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	213,502,545	249,810,754
2. Cost of cash equivalents acquired	524,758,158	5,758,046,367
3. Accrual of discount	17,580	1,345,315
4. Unrealized valuation increase (decrease)	34	
5. Total gain (loss) on disposals	551	(9,655)
6. Deduct consideration received on disposals	569,922,208	5,795,675,511
7. Deduct amortization of premium		14,725
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	168,356,660	213,502,545
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	168,356,660	213,502,545

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE B - PART 2

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Loan Number	2 Location		3 State	4 Loan Type	5 Date Acquired	6 Rate of Interest	7 Actual Cost at Time of Acquisition	8 Additional Investment Made After Acquisition	9 Value of Land and Buildings
	City								
BHM11L7F8	ARLINGTON		TX		01/01/2021	4.360		(64,988)	
BHM11M2K0	MIAMI		FL		03/01/2021	4.100		193,022	467,858
BHM26M447	NOBLESVILLE		IN		01/01/2021	3.250		(29,500)	
BHM281LV6	CHICAGO		IL		03/17/2021	2.850	12,600,000		23,350,284
BHM282MF4	PHOENIX		AZ		01/21/2021	3.840	4,700,000		8,233,437
BHM285VX8	LAKE FOREST		CA		02/26/2021	3.200	4,240,000		11,432,886
BHM2869U7	GROVEPORT		OH		02/12/2021	2.700	6,000,000		9,600,000
0599999. Mortgages in good standing - Commercial mortgages-all other							27,540,000	98,534	53,084,465
0899999. Total Mortgages in good standing							27,540,000	98,534	53,084,465
1699999. Total - Restructured Mortgages									
2499999. Total - Mortgages with overdue interest over 90 days									
3299999. Total - Mortgages in the process of foreclosure									
3399999 - Totals							27,540,000	98,534	53,084,465

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment					14 Book Value/Recorded Investment Excluding Accrued Interest on Disposal	15 Consid-eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	City	State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)					
BHM01LDV8	BALTIMORE	MD		11/15/2017	03/01/2021	75,993						75,993	75,993			
BHM01VSS7	WASHINGTON	DC		02/01/2018	03/01/2021	399,427						399,427	399,427			
BHM01WEB7	NASSAU COUNTY	NY		02/01/2018	03/01/2021	123,369		813			813	124,182	124,182			
BHM03Z7L4	MULTI-CITY			08/21/2008	02/01/2021	104,120						104,120	104,120			
BHM0JEH2	MULTI-CITY			05/10/2019	03/01/2021	9,452			(224)		(224)	9,228	9,228			
BHM0JEH22	MULTI-CITY			04/14/2011	03/01/2021	45,999						45,999	45,999			
BHM0JEJK3	MULTI-CITY			04/14/2011	02/01/2021	37,205						37,205	37,205			
BHM0JEUJ2	SANTA BARBARA	CA		04/14/2011	03/01/2021	21,317						21,317	21,317			
BHM0KTYD7	IRVINE	CA		09/28/2011	03/01/2021	12,175						12,175	12,175			
BHM0KTYF2	NEWPORT BEACH	CA		02/01/2018	03/01/2021	98,868						98,868	98,868			
BHM0KTYG0	IRVINE	CA		10/26/2011	03/01/2021	12,131						12,131	12,131			
BHM0L66Z8	MULTI-CITY			05/10/2019	02/01/2021	33,350					9	33,359	33,359			
BHM0LC8T7	SAN BRUNO	CA		01/08/2020	03/01/2021	131,392						131,392	131,392			
BHM0LKG86	ENCINITAS	CA		02/16/2012	03/01/2021	59,875						59,875	59,875			
BHM0LZHT6	CARLSBAD	CA		02/01/2018	03/01/2021	180,363						180,363	180,363			
BHM0M2AN8	CHICAGO	IL		04/24/2012	03/01/2021	49,758						49,758	49,758			
BHM0M2C06	EVANSTON	IL		04/05/2012	02/01/2021	61,248						61,248	61,248			
BHM0M3UB0	BELLEVUE	WA		07/17/2012	03/01/2021	251,343						251,343	251,343			
BHM10N625	IRVINE	CA		02/01/2018	03/01/2021	287,326						287,326	287,326			
BHM190WN1	SAN DIEGO	CA		02/01/2018	03/01/2021	182,949						182,949	182,949			
BHM1KA3P3	CHARLOTTE	NC		02/01/2018	03/01/2021	164,929						164,929	164,929			
BHM1R1K02	FORT WORTH	TX		02/01/2018	03/01/2021	163,355						163,355	163,355			
BHM1T12H3	COLUMBUS	OH		12/19/2017	03/01/2021	8,877						8,877	8,877			

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SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Change in Book Value/Recorded Investment					14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consid- eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other- Than- Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)					
BHM1TLVF8	ARLINGTON	TX		06/28/2018	03/01/2021	54,889						54,889	54,889			
BHM1UHQB3	HOUSTON	TX		04/27/2018	03/01/2021	20,071						20,071	20,071			
BHM1VMB76	BALTIMORE	MD		08/29/2018	02/01/2021	47,072						47,072	47,072			
BHM21C6E0	MIAMI	FL		08/14/2019	03/01/2021	3,818		11			11	3,829	3,829			
BHM21LBR5	MADISON	WI		02/11/2020	03/01/2021	51,577						51,577	51,577			
0299999. Mortgages with partial repayments						2,692,247		610			610	2,692,856	2,692,856			
0599999 - Totals						2,692,247		610			610	2,692,856	2,692,856			

STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	3 Location		5 Name of Vendor or General Partner	6 NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made After Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
		City	State									
BHM1J8-8K-6	AEA INVESTORS FUND VI LP	NEW YORK	NY	CAPITAL CALL		03/10/2021			1,294		2,394,767	0.867
BHM21P-3U-8	ARLINGTON CAPITAL PARTNERS V LP	CHEVY CHASE	MD	CAPITAL CALL		01/05/2021			41,559		8,003,254	1.222
BHM15W-66-0	BLACKSTONE STRATEGIC CAPITAL HOLDI	NEW YORK	NY	CAPITAL CALL		03/10/2021			1,698,553		8,528,000	0.827
BHMOK4-9L-2	CORTEC GROUP FUND V LP	NEW YORK	NY	CAPITAL CALL		01/11/2021			2,228		71,390	1.227
BHM22Z-RJ-4	CORTEC GROUP FUND VII LP	NEW YORK	NY	CAPITAL CALL		01/11/2021			77,792		7,256,741	0.800
BHMOKJ-87-1	GRIDIRON CAPITAL FUND III LP	NEW CANAAN	CT	CAPITAL CALL		02/19/2021			16,703		2,796,032	1.982
BHM1CV-9A-3	GRIDIRON CAPITAL FUND III LP	NEW CANAAN	CT	CAPITAL CALL		02/03/2021			26,462		9,371,620	2.555
BHM03B-4L-0	GRP III LP	LOS ANGELES	CA	CAPITAL CALL		01/29/2021			40,278		5,289,025	6.117
BHM0MG-1V-9	HEARTWOOD PARTNERS II LP	GREENWICH	CT	CAPITAL CALL		03/12/2021			3,333		732,714	3.140
BHM1JV-YA-8	JUGGERNAUT CAPITAL PARTNERS III LP	CHEVY CHASE	MD	CAPITAL CALL		03/05/2021			1,082,510			2.940
BHM1AK-95-0	LEXINGTON CAPITAL PARTNERS VIII LP	NEW YORK	NY	CAPITAL CALL		02/04/2021			278,403		5,768,408	0.261
BHM0FS-8H-5	LINCOLNSHIRE EQUITY FUND IV LP	NEW YORK	NY	CAPITAL CALL		01/04/2021			11,014		43,284	0.991
BHM0LU-T1-5	MSOUTH EQUITY PARTNERS II LP	WILMINGTON	DE	CAPITAL CALL		02/17/2021			210,038		387,234	2.233
BHM205-77-0	OAKTREE PORTS AMERICA FUND LP	LOS ANGELES	CA	CAPITAL CALL		03/25/2021			13,736		150,426	1.064
BHM252-3H-4	PARTHENON INVESTORS VI LP	SAN FRANCISCO	CA	CAPITAL CALL		01/22/2021			283,902		8,896,339	0.888
BHM22R-5B-3	REVELSTOKE CAP PARTNERS FUND II	DENVER	CO	CAPITAL CALL		02/25/2021			188,917		5,039,224	1.407
BHM28L-F6-0	SOUTHFIELD CAPITAL III LP	GREENWICH	CT	DIRECT WITH ISSUER		02/24/2021		51,975			3,448,025	1.110
BHM22R-5C-1	UPFRONT GROWTH III LP	LOS ANGELES	CA	CAPITAL CALL		01/04/2021			403,064		3,220,811	3.791
BHM0ME-7Z-9	UPFRONT IV L.P.	LOS ANGELES	CA	CAPITAL CALL		01/28/2021			30,755		5,713,447	5.404
BHM1DU-0C-9	VMG PARTNERS III LP	SAN FRANCISCO	CA	CAPITAL CALL		01/22/2021			89,697		1,842,915	4.212
BHM22J-9D-3	WIND POINT PARTNERS IX-A LP	CHICAGO	IL	CAPITAL CALL		03/11/2021			485,594		7,487,945	1.042
1999999. Joint Venture Interests - Common Stock - Unaffiliated									51,975	4,979,831	86,441,600	XXX
BHM20C-LC-8	KKR RECOF FEEDER II L.P.	NEW YORK	NY	CAPITAL CALL		03/25/2021			311,963		11,880,000	12.903
4699999. Any Other Class of Assets - Unaffiliated										311,963	11,880,000	XXX
4899999. Total - Unaffiliated									51,975	5,291,794	98,321,600	XXX
4999999. Total - Affiliated												XXX
5099999 - Totals									51,975	5,291,794	98,321,600	XXX

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	3 Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/ Adjusted Carrying Value Less Encumbrances, Prior Year	9 Change in Book/Adjusted Carrying Value						15 Book/ Adjusted Carrying Value Less Encumbrances on Disposal	16 Consid-eration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Invest-ment Income
		City	State					9 Unrealized Valuation Increase (De-crease)	10 Current Year's (Depreci-ation) or (Amorti-zation)/ Accretion	11 Current Year's Other Than Temporary Impair-ment Recog-nized	12 Capital-ized Deferred Interest and Other	13 Total Change in Book/ Adjusted Carrying Value (9+10-11+12)	14 Total Foreign Exchange Change in Book/ Adjusted Carrying Value						
BHM1J8-8K-6	AEA INVESTORS FUND VI LP	NEW YORK	NY	CAPITAL DISTRIBUTION	03/29/2018	03/10/2021	1,098,893	(236,039)				(236,039)	862,854	862,854				198,251	
BHM15W-66-0	BLACKSTONE STRATEGIC CAPITAL HOLDI	NEW YORK	NY	CAPITAL DISTRIBUTION	03/01/2018	02/12/2021	258,740	6,759				6,759	265,499	265,499				3,099	
BHM05J-0C-7	BUNKER HILL CAPITAL II LP	BOSTON	MA	CAPITAL DISTRIBUTION	03/29/2018	02/08/2021	5,439,347	(930,625)				(930,625)	4,508,722	4,508,722				(18,873)	
BHM0LL-V8-7	CENTURY FOCUSED FUND III LP	BOSTON	MA	CAPITAL DISTRIBUTION	03/29/2018	01/15/2021	42,548	69,943				69,943	112,491	112,491					
BHMOK4-9L-2	CORTEC GROUP FUND V LP	NEW YORK	NY	CAPITAL DISTRIBUTION	02/28/2018	03/01/2021	440,494	(54,119)				(54,119)	386,375	386,375				(3,166)	
BHM273-T4-1	DOVER STREET X LP	BOSTON	MA	CAPITAL CALL	10/08/2020	03/30/2021	177,300					177,300	177,300	177,300					
BHM1AM-CX-1	DIH EQUITY INVESTORS LP	ST LOUIS	MO	CAPITAL DISTRIBUTION	03/29/2018	02/18/2021	8,957	86,785				86,785	95,742	95,742					

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STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/ Adjusted Carrying Value Less Encum- brances, Prior Year	Change in Book/Adjusted Carrying Value						15 Book/ Adjusted Carrying Value Less Encum- brances on Disposal	16 Consid- eration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Invest- ment Income
		3 City	4 State					9 Unrealized Valuation Increase (De- crease)	10 Current Year's (Depre- ciation) or (Amorti- zation)/ Accretion	11 Current Year's Other Than Temporary Impair- ment Recog- nized	12 Capital- ized Deferred Interest and Other	13 Total Change in Book/ Adjusted Carrying Value (9+10- 11+12)	14 Total Foreign Exchange Change in Book/ Adjusted Carrying Value						
BHM02H-1W-1	GOLDMAN PETERSHILL II LP	NEW YORK	NY	CAPITAL DISTRIBUTION	03/29/2018	03/11/2021	1,390,158	(365,807)				(365,807)	1,024,350	1,024,350				69,796	
BHM0KJ-87-1	GRIDIRON CAPITAL FUND II LP	NEW CANAAN	CT	CAPITAL DISTRIBUTION	03/29/2018	02/19/2021	151,845	(38,998)				(38,998)	112,847	112,847				561	
BHM1CV-9A-3	GRIDIRON CAPITAL FUND III LP	NEW CANAAN	CT	CAPITAL DISTRIBUTION	03/29/2018	02/03/2021	22,857	(16,024)				(16,024)	6,834	6,834				(1,083)	
BHM27T-0L-7	GRYPHON HERITAGE PARTNERS LP	LOS ANGELES	CA	CAPITAL DISTRIBUTION	12/15/2020	03/30/2021	68,169						68,169	68,169				116	
BHM27W-84-0	GRYPHON PARTNERS VI LP	LOS ANGELES	CA	CAPITAL DISTRIBUTION	12/18/2020	03/30/2021	1,097,750						1,097,750	1,097,750					
BHM0MG-1V-9	HEARTWOOD PARTNERS II LP	GREENWICH	CT	CAPITAL DISTRIBUTION	03/29/2018	03/12/2021	55,425	95				95	55,519	55,519				1,587	
BHM1JV-YA-8	JUGGERNAUT CAPITAL PARTNERS III LP	CHEVY CHASE	MD	CAPITAL DISTRIBUTION	03/29/2018	03/05/2021	4,211,463	(650,484)				(650,484)	3,560,979	3,560,979				(39,786)	
BHM1AK-95-0	LEXINGTON CAPITAL PARTNERS VIII LP	NEW YORK	NY	CAPITAL DISTRIBUTION	03/29/2018	02/25/2021	336,611	(5,934)				(5,934)	330,677	330,677				(4,485)	
BHM0LU-T1-5	MSOUTH EQUITY PARTNERS II LP	WILMINGTON	DE	CAPITAL DISTRIBUTION	03/29/2018	01/22/2021	110,953	90,362				90,362	201,315	201,315				1,446	
BHM205-77-0	OAKTREE PORTS AMERICA FUND LP	LOS ANGELES	CA	CAPITAL DISTRIBUTION	05/14/2019	03/25/2021	5,857	80				80	5,937	5,937					
BHM252-3H-4	PARTHENON INVESTORS VI LP	SAN FRANCISCO	CA	CAPITAL DISTRIBUTION	04/30/2020	01/22/2021	33,753	(2,857)				(2,857)	30,896	30,896				17	
BHM22R-5B-3	REVELSTOKE CAP PARTNERS FUND II	DENVER	CO	CAPITAL DISTRIBUTION	11/04/2019	02/25/2021	14,323	(2,985)				(2,985)	11,338	11,338				42	
BHM0LT-ZL-7	SILVER OAK SERVICE PARTNERS II LP	EVANSTON	IL	VARIOUS	03/01/2018	03/31/2021	980,593	(157,592)				(157,592)	975,433	975,433				(42,732)	
BHM0MD-LK-8	SUMMER STREET CAPITAL III LP	BUFFALO	NY	CAPITAL DISTRIBUTION	03/29/2018	02/03/2021	2,625,373	58,070				58,070	2,683,443	2,683,443				2,124	
BHM0ME-7Z-9	UPFRONT IV L.P.	LOS ANGELES	CA	CAPITAL DISTRIBUTION	02/01/2018	02/17/2021	425,412	(252,138)				(252,138)	173,274	173,274				(32,541)	
1999999	Joint Venture Interests - Common Stock - Unaffiliated						18,996,821	(2,401,509)				(2,401,509)	16,747,744	16,747,744				132,198	
BHM1S9-UL-7	PRETIUM RESIDENTIAL REAL ESTATE	NEW YORK	NY	CAPITAL DISTRIBUTION	03/01/2018	03/03/2021	7,723,916	(1,922,764)				(1,922,764)	5,801,152	5,801,152				179,919	
2199999	Joint Venture Interests - Real Estate - Unaffiliated						7,723,916	(1,922,764)				(1,922,764)	5,801,152	5,801,152				179,919	
4899999	Total - Unaffiliated						26,720,737	(4,324,273)				(4,324,273)	22,548,896	22,548,896				312,117	
4999999	Total - Affiliated																		
5099999	Totals						26,720,737	(4,324,273)				(4,324,273)	22,548,896	22,548,896				312,117	

STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
91282C-AV-3	TREASURY NOTE		01/21/2021	SG AMERICAS, LLC		8,805,938	9,000,000	14,793	1.A
91282C-BD-2	TREASURY NOTE		01/04/2021	TD SECURITIES (USA) LLC		35,006,836	35,000,000	604	1.A
91282C-BL-4	TREASURY NOTE		03/29/2021	MORGAN STANLEY & CO. LLC		2,839,805	3,000,000	4,102	1.A FE
91282C-BU-4	TREASURY NOTE		03/31/2021	JP MORGAN SECURITIES LLC		12,492,188	12,500,000	213	1.A
0599999. Subtotal - Bonds - U.S. Governments						59,144,766	59,500,000	19,713	XXX
3140KT-TW-5	FINMA 30YR UMBS		03/04/2021	CITIGROUP GLOBAL MARKETS, INC		10,771,405	10,999,999	4,583	1.A
3140KT-TY-1	FINMA 30YR UMBS		03/04/2021	CITIGROUP GLOBAL MARKETS, INC		11,055,248	10,979,762	6,100	1.A
3140KT-XT-7	FINMA 30YR UMBS		02/08/2021	NOMURA SECURITIES INTERNATIONAL INC		2,461,303	2,384,911	1,325	1.A
3140L3-QQ-7	FINMA 30YR UMBS		02/08/2021	PNC CAPITAL MARKETS LLC		1,382,262	1,333,908	741	1.A
3140Y4-7L-4	FINMA 30YR UMBS SUPER		02/08/2021	BOK FINANCIAL SECURITIES, INC.		3,825,595	3,533,017	3,435	1.A
3140X9-SL-0	FINMA 30YR UMBS SUPER		02/08/2021	CITIGROUP GLOBAL MARKETS, INC		1,605,000	1,500,000	1,458	1.A
3199999. Subtotal - Bonds - U.S. Special Revenues						31,100,814	30,731,598	17,642	XXX
00164V-AE-3	AMC NETWORKS INC		03/09/2021	BARCLAYS CAPITAL INC		450,753	443,000	2,338	3.C FE
00164V-AF-0	AMC NETWORKS INC		01/25/2021	JP MORGAN SECURITIES LLC		124,155	124,000		3.C FE
00253X-AA-9	AMERICAN AIRLINES INC		03/10/2021	GOLDMAN SACHS & CO LLC		223,000	223,000		3.B FE
03966V-AA-5	ARCONIC ROLLED PRODUCTS CORP		02/24/2021	GOLDMAN SACHS & CO LLC		353,813	333,000	1,020	3.C FE
039653-AA-8	ARCOSEA INC		03/31/2021	JP MORGAN SECURITIES LLC		31,000	31,000		3.B FE
03969Y-AA-6	ARDAGH METAL PACKAGING USA LLC/ AR		03/02/2021	Various		469,673	469,000		3.B FE
04018E-AA-9	ARES 21-59A - ABS	C.	03/09/2021	NATIXIS SECURITIES AMERICA		15,000,000	15,000,000		1.A FE
05684L-AE-5	BCC 21-1A - ABS	C.	03/01/2021	JEFFERIES & CO. INC.		10,000,000	10,000,000		1.C FE
118230-AQ-4	BUCKEYE PARTNERS LP		03/16/2021	Various		727,675	730,000	7,868	3.B FE
12467A-AF-5	C&S GROUP ENTERPRISES LLC		02/01/2021	Various		272,723	274,000	2,148	4.B FE
13033D-AF-2	CAHFA 21-1 IS		03/16/2021	CITIGROUP GLOBAL MARKETS, INC		1,122,581		8,644	2.A FE
131347-CM-6	CALPINE CORP		02/01/2021	Various		505,703	491,000	10,311	3.A FE
14879E-AH-1	CATALENT PHARMA SOLUTIONS INC		02/10/2021	JP MORGAN SECURITIES LLC		23,000	23,000		4.A FE
1248EP-CJ-0	CCO HOLDINGS LLC/CCO HOLDINGS CAPI		02/17/2021	FLOW TRANSFERS US INSTITUTIONAL TRA		259,315	248,000	3,317	3.B FE
12508E-AJ-0	CDK GLOBAL INC		03/29/2021	MORGAN STANLEY & CO. LLC		193,830	182,000	425	3.A FE
159864-AG-2	CHARLES RIVER LABORATORIES INTERNA		03/08/2021	JP MORGAN SECURITIES LLC		175,000	175,000		3.B FE
16411R-AJ-8	CHENIERE ENERGY INC		03/29/2021	Various		480,680	466,000	11,172	3.C FE
166756-AQ-9	CHEVRON USA INC		01/08/2021	EXCHANGE		10,456,467	10,230,000	220,513	1.D FE
12598G-AL-1	COMM 21-LBA		03/03/2021	DEUTSCHE BANK SECURITIES INC		5,000,000	5,000,000		3.C FE
12598G-AN-7	COMM 21-LBA		03/03/2021	DEUTSCHE BANK SECURITIES INC		3,000,000	3,000,000		4.C FE
201723-AP-8	COMMERCIAL METALS COMPANY		01/19/2021	CITIGROUP GLOBAL MARKETS, INC		92,000	92,000		3.A FE
202712-BK-0	COMMONWEALTH BANK OF AUSTRALIA	D.	02/24/2021	CREDIT SUISSE SECURITIES (USA) LLC		6,076,770	5,700,000	93,740	2.A FE
20451R-AB-8	COMPASS GROUP DIVERSIFIED HOLDINGS		03/03/2021	BANC OF AMERICA SECURITIES LLC		2,000	2,000		4.A FE
23918K-AS-7	DAVITA INC		03/04/2021	Various		122,872	120,000	1,441	4.A FE
23311V-AG-2	DOP MIDSTREAM LP		02/16/2021	BARCLAYS CAPITAL INC		349,375	325,000	1,601	3.A FE
23311V-AJ-6	DOP MIDSTREAM OPERATING LP		02/04/2021	SUNTRUST ROBINSON HUMPHREY, INC.		437,000	400,000	1,438	3.A FE
251526-CD-9	DEUTSCHE BANK AG (NEW YORK BRANCH)		02/04/2021	DEUTSCHE BANK SECURITIES INC		1,404,416	1,314,000	18,125	2.C FE
25278X-AQ-2	DIAMONDBACK ENERGY INC		03/18/2021	CREDIT SUISSE SECURITIES (USA) LLC		3,715,660	3,728,000		2.C FE
26251C-AU-8	DRSLF 18-61A - ABS	C.	03/03/2021	BARCLAYS CAPITAL INC		15,000,000	15,000,000		1.C FE
280350-AA-0	EDGEWELL PERSONAL CARE CO		03/05/2021	Various		362,786	342,000	3,083	3.C FE
28260F-AD-2	ENDEAVOR ENERGY RESOURCES LP		03/10/2021	Various		567,488	555,000	2,872	3.C FE
34407D-AC-3	FLY LEASING LTD	D.	02/04/2021	MORGAN STANLEY & CO. LLC		191,000	200,000	3,296	3.C FE
345397-B5-1	FORD MOTOR CREDIT COMPANY LLC		02/10/2021	SMBC NIKKO SECURITIES AMERICA, INC.		500,000	500,000		3.A FE
34964M-AA-2	FORTI 21-1A - ABS	C.	03/10/2021	NATIXIS SECURITIES AMERICA		4,828,727	4,829,000		1.A FE
34960P-AB-7	FORTRESS TRANSPORTATION AND INFRAS		01/28/2021	Various		89,460	86,000	1,777	3.C FE
370334-CM-4	GENERAL MILLS INC		01/27/2021	CONVERSION		3,957,780	6,092,618		2.B FE
417558-AA-1	HARVEST MIDSTREAM I LP		02/03/2021	Various		255,054	241,000	8,654	3.C FE
42770A-AA-5	HERO 21-1A - ABS		03/12/2021	BARCLAYS CAPITAL INC		3,299,986	3,300,000		1.A FE
431318-AS-3	HILCORP ENERGY I LP/HILCORP FINANC		03/22/2021	Various		294,748	287,000	6,665	3.C FE
431318-AU-8	HILCORP ENERGY I LP/HILCORP FINANC		01/27/2021	Various		105,963	105,000	39	3.C FE
443628-AJ-1	HUDBAY MINERALS INC	A.	02/22/2021	BARCLAYS CAPITAL INC		9,000	9,000		4.B FE
446413-AS-5	HUNTINGTON INGALLS INDUSTRIES INC		01/05/2021	EXCHANGE		567,324	523,000	3,574	2.C FE
45674G-AA-2	INEOS QUATTRO FINANCE 1 PLC	D.	03/03/2021	Various		932,360	931,000	3,040	3.B FE
45688C-AB-3	INGEVITY CORP		03/15/2021	Various		483,430	485,000	6,426	3.C FE

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STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
46266T-AA-6	IQVIA INC		03/09/2021	Various		712,191	688,000	11,084	3.C FE
48254@-AA-8	KKR_20-11-RE - ABS		03/25/2021	CAPITAL CALL		579,359	579,359		2.C PL
513075-BR-1	LAMAR MEDIA CORP		01/07/2021	JP MORGAN SECURITIES LLC		53,928	53,000	972	4.A FE
527298-BN-2	LEVEL 3 FINANCING INC		03/26/2021	FLOW TRANSFERS US INSTITUTIONAL TRA		295,560	288,000	555	3.B FE
527298-BT-9	LEVEL 3 FINANCING INC		01/13/2021	Various		318,638	318,000	27	3.B FE
53218C-AL-4	LIFE_21-BMR		03/18/2021	DEUTSCHE BANK SECURITIES INC		7,500,000	7,500,000		3.C FE
53218C-AN-0	LIFE_21-BMR		03/18/2021	DEUTSCHE BANK SECURITIES INC		7,500,000	7,500,000		4.C FE
50212Y-AD-6	LPL HOLDINGS INC		03/04/2021	JP MORGAN SECURITIES LLC		139,000	139,000		3.B FE
575767-AM-0	MASSACHUSETTS MUTUAL LIFE INSURANC		03/26/2021	EXCHANGE		13,400,000	13,400,000	77,481	1.D FE
588056-BA-8	MERCER INTERNATIONAL INC.	C.	01/14/2021	CREDIT SUISSE SECURITIES (USA) LLC		14,000	14,000		4.A FE
59001A-BD-3	MERITAGE HOMES CORP		03/31/2021	MIZUHO SECURITIES USA INC		161,000	161,000		3.A FE
60855R-AJ-9	MOLINA HEALTHCARE INC		03/22/2021	GOLDMAN SACHS & CO LLC		428,400	420,000	5,053	3.C FE
55336V-AN-0	MPLX LP		02/22/2021	MORGAN STANLEY & CO. LLC		10,494,507	9,580,000	161,343	2.B FE
626738-AE-8	MURPHY OIL USA INC		01/22/2021	JEFFERIES & CO. INC.		146,248	137,000	2,359	3.B FE
626738-AF-5	MURPHY OIL USA INC		01/21/2021	RBC CAPITAL MARKETS, LLC		43,000	43,000		3.B FE
63861C-AA-7	NATIONSTAR MORTGAGE HOLDINGS INC		01/06/2021	JP MORGAN SECURITIES LLC		79,688	75,000	2,163	4.B FE
63938C-AL-2	NAVIENT CORP		01/28/2021	JP MORGAN SECURITIES LLC		267,979	268,000		3.C FE
683715-AC-0	OPEN TEXT CORP	A.	03/04/2021	Various		669,345	654,000	6,556	3.B FE
70137W-AG-3	PARKLAND CORP	A.	03/29/2021	JP MORGAN SECURITIES LLC		74,000	74,000		3.B FE
69327R-AG-6	PDC ENERGY INC		02/25/2021	SUNTRUST ROBINSON HUMPHREY, INC.		138,038	135,000	3,813	3.C FE
74387P-AE-2	PFWST_21-1 - ABS		03/17/2021	JEFFERIES & CO. INC.		3,567,540	3,567,540		2.B FE
72147K-AF-5	PILGRIMS PRIDE CORP		03/25/2021	BARCLAYS CAPITAL INC		632,572	639,000		3.A FE
74112B-AM-7	PRESTIGE BRANDS INC		02/10/2021	MORGAN STANLEY & CO. LLC		9,000	9,000		4.B FE
74166M-AF-3	PRIME SECURITY SERVICES BORROWER L		01/06/2021	Various		135,288	137,000	295	3.C FE
75975B-AA-6	RENEW_21-1 - ABS		03/25/2021	NATIXIS SECURITIES AMERICA		1,839,723	1,840,000		1.A FE
78410G-AE-4	SBA COMMUNICATIONS CORP		03/01/2021	Various		90,625	92,000	148	4.A FE
80874Y-AW-0	SCIENTIFIC GAMES CORPORATION		02/03/2021	Various		418,770	405,000	5,396	4.A FE
81180W-BA-8	SEAGATE HDD CAYMAN	D.	02/03/2021	MORGAN STANLEY & CO. LLC		342,125	350,000	1,732	3.A FE
82873M-AA-1	SIMMONS FOODS INC		02/17/2021	JP MORGAN SECURITIES LLC		18,000	18,000		4.C FE
857740-AE-4	SPST_21-WL1 - ABS		01/19/2021	JEFFERIES & CO. INC.		3,495,535	3,495,535		2.C FE
857740-AF-1	SPST_21-WL1 - ABS		01/19/2021	JEFFERIES & CO. INC.		4,111,110	4,111,110		2.C FE
853496-AC-1	STANDARD INDUSTRIES INC (DELAWARE)		03/19/2021	Various		645,888	623,000	2,182	3.B FE
858912-AG-3	STERICYCLE INC		03/01/2021	Various		403,449	397,000	3,845	3.C FE
87166B-AA-0	SYNEOS HEALTH INC		02/05/2021	JP MORGAN SECURITIES LLC		241,200	240,000	1,813	4.B FE
87470L-AJ-0	TALLGRASS ENERGY PARTNERS LP/TALLG		01/14/2021	Various		135,893	131,000	558	3.C FE
87612B-BE-1	TARGA RESOURCES PARTNERS LP		02/17/2021	BARCLAYS CAPITAL INC		279,755	269,000	723	3.C FE
87724R-AG-7	TAYLOR MORRISON COMMUNITIES INC		01/08/2021	Various		109,211	101,000	3,290	3.C FE
88023U-AH-4	TEMPUR SEALY INTERNATIONAL INC		03/11/2021	JP MORGAN SECURITIES LLC		93,000	93,000		3.B FE
880349-AT-2	TENNECO INC		03/03/2021	BARCLAYS CAPITAL INC		652,000	652,000		3.C FE
87264A-AU-9	T-MOBILE USA INC		03/10/2021	Various		789,285	773,000	3,674	3.B FE
92343V-GC-2	VERIZON COMMUNICATIONS INC		03/11/2021	JP MORGAN SECURITIES LLC		4,606,433	4,606,000		2.A FE
92564R-AA-3	VICI PROPERTIES LP		03/09/2021	Various		1,032,794	1,000,000	11,221	3.B FE
95825A-AF-1	WESTERN MIDSTREAM OPERATING LP		03/01/2021	Various		479,274	458,000	3,540	3.B FE
961214-EF-6	WESTPAC BANKING CORP	D.	02/23/2021	Various		5,317,368	4,800,000	16,714	2.A FE
3899999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						164,928,279	163,442,162	750,061	XXX
65559C-AA-9	NORDEA BANK ABP	D.	03/03/2021	MORGAN STANLEY & CO. LLC		11,756,750	10,250,000	299,919	2.B FE
4899999 Subtotal - Bonds - Hybrid Securities						11,756,750	10,250,000	299,919	XXX
BHM28W-2V-5	AADVANTAGE LOYALTY IP LTD	C.	03/31/2021	BARCLAYS CAPITAL INC		471,783	463,000		3.B FE
BHM1VQ-06-3	BAUSCH HEALTH COMPANIES INC	A.	03/31/2021	UBS SECURITIES LLC		71,910	72,000		3.B FE
BHM27W-U5-2	ENERGIZER HOLDINGS INC		01/14/2021	JP MORGAN SECURITIES LLC		42,039	42,250		3.A FE
BHM27X-DY-6	ENERGIZER HOLDINGS INC		01/16/2021	CANCELLED TRADE		(42,039)	(42,250)		3.A FE
BHM28A-N6-5	INEOS US PETROCHEM LLC		02/25/2021	JP MORGAN SECURITIES LLC		39,800	40,000		3.B FE
59921P-AB-2	MILEAGE PLUS HOLDINGS LLC		03/31/2021	Various		266,250	250,000		2.C FE
BHM28E-8L-1	PETSMART INC		03/31/2021	JP MORGAN SECURITIES LLC		96,938	97,000		4.A FE
76090L-AE-4	RESIDEO FUNDING INC		03/18/2021	JP MORGAN SECURITIES LLC		325,515	325,000		3.B FE
24736C-BS-2	SKYMILES IP LIMITED	C.	03/18/2021	CREDIT SUISSE SECURITIES (USA) LLC		384,020	364,000		2.B FE

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STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
84857H-AW-0	SPIRIT AEROSYSTEMS INC		03/31/2021	Various					3.C FE
8299999. Subtotal - Bonds - Unaffiliated Bank Loans						1,656,215	1,611,000		XXX
8399997. Total - Bonds - Part 3						268,586,824	265,534,760	1,087,336	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						268,586,824	265,534,760	1,087,336	XXX
808513-BK-0	CHARLES SCHWAB CORPORATION (THE)		03/15/2021	CREDIT SUISSE SECURITIES (USA) LLC	14,200,000.000	14,200,000	100.00		2.B FE
8499999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred						14,200,000	XXX		XXX
8999997. Total - Preferred Stocks - Part 3						14,200,000	XXX		XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						14,200,000	XXX		XXX
64110L-10-6	NETFLIX INC		02/03/2021	HIMCO OPERATIONAL TRANSACTION	1,114,000	601,034			
L8681T-10-2	SPOTIFY TECHNOLOGY SA	D	03/08/2021	HIMCO OPERATIONAL TRANSACTION	3,093,000	1,044,543			
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						1,645,577	XXX		XXX
9799997. Total - Common Stocks - Part 3						1,645,577	XXX		XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						1,645,577	XXX		XXX
9899999. Total - Preferred and Common Stocks						15,845,577	XXX		XXX
9999999 - Totals						284,432,401	XXX	1,087,336	XXX

STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
25044-AA-1	DESERT SUNLIGHT FUNDING I-GTD		01/07/2021	SCHEDULED REDEMPTION		9,095	9,095	9,095	9,095						9,095				80	09/30/2036	1-A PL
36200Q-3L-6	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		111	111	114	118		(7)		(7)		111				2	02/01/2032	1-A
36200R-YQ-9	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		149	149	153	149		(10)		(10)		149				2	01/01/2032	1-A
36200U-WJ-0	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		65	65	67	68		(3)		(3)		65				1	09/01/2031	1-A
36200W-CB-5	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		592	592	642	592		(50)		(50)		592				8	01/01/2032	1-A
36200X-JF-7	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		174	174	195	202		(28)		(28)		174				3	12/01/2031	1-A
36200Y-KN-8	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		900	900	939	930		(30)		(30)		900				13	01/01/2032	1-A
36201A-UL-0	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		825	825	864	882		(57)		(57)		825				13	07/01/2032	1-A
36201C-6E-9	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		184	184	201	199		(15)		(15)		184				3	03/01/2032	1-A
36201C-PY-4	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		216	216	220	221		(5)		(5)		216				3	01/01/2032	1-A
36201F-Q6-7	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		601	601	617	630		(29)		(29)		601				9	05/01/2032	1-A
36201F-UH-8	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		902	902	927	950		(48)		(48)		902				13	04/01/2032	1-A
36201F-UQ-8	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		1,729	1,729	1,779	1,825		(95)		(95)		1,729				25	04/01/2032	1-A
36201F-UR-6	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		305	305	314	323		(18)		(18)		305				4	04/01/2032	1-A
36201F-Y6-9	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		475	475	482	487		(12)		(12)		475				7	02/01/2032	1-A
36201H-WX-7	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		2,592	2,592	2,658	2,655		(63)		(63)		2,592				37	06/01/2032	1-A
36201J-F6-1	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		118	118	122	126		(8)		(8)		118				2	05/01/2032	1-A
36201J-FD-6	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		5,554	5,554	5,798	6,214		(660)		(660)		5,554				86	04/01/2032	1-A
36201L-7K-4	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		508	508	532	557		(49)		(49)		508				8	08/01/2032	1-A
36201M-G8-9	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		10,405	10,405	10,686	11,059		(654)		(654)		10,405				179	06/01/2032	1-A
36201M-JJ-7	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		614	614	632	658		(44)		(44)		614				9	07/01/2032	1-A
36201M-LH-3	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		569	569	615	622		(54)		(54)		569				8	08/01/2032	1-A
36201T-AM-9	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		1,796	1,796	1,847	1,860		(64)		(64)		1,796				26	08/01/2032	1-A
36203L-CQ-3	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		328	328	335	332		(4)		(4)		328				5	09/01/2032	1-A
36209D-R8-9	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		15	15	15	16		(1)		(1)		15				1	09/01/2031	1-A
36209R-VG-5	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		150	150	151	153		(3)		(3)		150				3	08/01/2030	1-A
36209Y-X4-5	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		202	202	206	207		(5)		(5)		202				3	09/01/2031	1-A
3620A1-X7-8	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		39	39	40	40		(1)		(1)		39				3	06/01/2039	1-A
3620A8-LU-5	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		140	140	145	144		(4)		(4)		140				2	08/01/2039	1-A
3620A9-SH-5	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		2,045	2,045	2,112	2,099		(54)		(54)		2,045				25	09/01/2039	1-A
3620AC-3Z-5	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		5,751	5,751	5,937	5,904		(153)		(153)		5,751				63	09/01/2039	1-A
3620AC-4G-6	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		1,013	1,013	1,046	1,041		(28)		(28)		1,013				12	09/01/2039	1-A
36211C-2S-0	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		205	205	208	210		(5)		(5)		205				3	07/01/2039	1-A
36213D-3C-0	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		830	830	856	851		(21)		(21)		830				12	02/01/2032	1-A
36213E-AB-2	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		991	991	1,036	1,040		(48)		(48)		991				14	05/01/2032	1-A
36213E-SK-3	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		2,041	2,041	2,098	2,097		(56)		(56)		2,041				29	01/01/2032	1-A
36213E-YS-9	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		922	922	1,001	978		(56)		(56)		922				13	04/01/2032	1-A
36213G-AL-5	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		903	903	921	937		(34)		(34)		903				14	02/01/2032	1-A
36213G-TY-7	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		69	69	70	73		(3)		(3)		69				1	11/01/2031	1-A
36213J-V2-8	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		366	366	390	399		(32)		(32)		366				5	04/01/2032	1-A
36213N-LL-8	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		253	253	257	260		(7)		(7)		253				4	12/01/2031	1-A
36213U-C9-9	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		19	19	20	21		(2)		(2)		19				4	11/01/2031	1-A
36213X-SB-1	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		1,416	1,416	1,515	1,472		(57)		(57)		1,416				20	04/01/2032	1-A
36213X-T5-3	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		56	56	57	59		(3)		(3)		56				1	05/01/2032	1-A
36213X-T6-1	GNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		790	790	812	830		(40)		(40)		790				12	05/01/2032	1-A
36225B-ND-6	GNMA 30YR PLATINUM		03/01/2021	SCHEDULED REDEMPTION		2,288	2,288	2,356	2,432		(144)		(144)		2,288				32	05/01/2031	1-A
36225B-PM-4	GNMA 30YR PLATINUM		03/01/2021	SCHEDULED REDEMPTION		15,937	15,937	16,302	16,684		(747)		(747)		15,937				250	09/01/2031	1-A
36179U-CA-8	GNMA2 30YR		03/01/2021	SCHEDULED REDEMPTION		57,813	57,813	57,705	57,702		111		111		57,813				438	09/01/2048	1-A
36179U-CB-6	GNMA2 30YR		03/01/2021	SCHEDULED REDEMPTION		232,508	232,508	237,230	237,262		(4,754)		(4,754)		232,508				1,740	09/01/2048	1-A
36202E-AL-3	GNMA2 30YR		03/01/2021	SCHEDULED REDEMPTION		12,673	12,673	13,030	13,018		(346)		(346)		12,673				167	09/01/2034	1-A
36202F-B4-7	GNMA2 30YR		03/01/2021	SCHEDULED REDEMPTION		1,670	1,670	1,786	1,798		(128)		(128)		1,670				18	10/01/2039	1-A
36202F-DB-9	GNMA2 30YR		03/01/2021	SCHEDULED REDEMPTION		7,443	7,443	7,635	7,599		(156)		(156)		7,443				74	12/01/2039	1-A
36202F-E6-9	GNMA2 30YR		03/01/2021	SCHEDULED REDEMPTION		5,916	5,916	5,983	5,978		(62)		(62)		5,916				59	03/01/2040	1-A
36202F-EH-5	GNMA2 30YR		03/01/2021	SCHEDULED REDEMPTION		96,775	96,775	97,527	97,447		(672)		(672)		96,775				939	02/01/2040	1-A

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STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
36202F-GW-0	GNMA2 30YR		03/01/2021	SCHEDULED REDEMPTION		9,112	9,112	9,333	9,316		(204)		(204)		9,112				91	06/01/2040	1.A
36202F-KN-5	GNMA2 30YR		03/01/2021	SCHEDULED REDEMPTION		247,378	247,378	261,951	261,205		(13,826)		(13,826)		247,378				2,416	09/01/2040	1.A
36202F-LP-9	GNMA2 30YR		03/01/2021	SCHEDULED REDEMPTION		4,396	4,396	4,636	4,625		(229)		(229)		4,396				44	10/01/2040	1.A
38380J-DW-5	GNR 17-168 IS		03/16/2021	PREPAYMENT PENALTY		18,870													18,870	12/01/2059	1.A
38380J-FE-3	GNR 17-169 IS		03/16/2021	PREPAYMENT PENALTY		183,792													183,792	01/01/2060	1.A
38380M-G5-4	GNR 19-14 IS		03/16/2021	PREPAYMENT PENALTY		148,546													148,546	11/01/2060	1.A
38380M-E9-8	GNR 19-8 IS		03/16/2021	PREPAYMENT PENALTY		183,076													183,076	11/01/2060	1.A
83162C-VV-2	SBAP_13-20J		02/12/2021	BOK FINANCIAL SECURITIES, INC.		228,106	209,092	209,092	209,092						209,092		19,014	19,014	2,682	10/01/2033	1.A
912828-Z6-0	TREASURY NOTE		02/08/2021	SG AMERICAS, LLC		7,645,850	7,550,000	7,544,396	7,546,938		299		299		7,547,237		98,612	98,612	54,487	01/31/2022	1.A
0599999 Subtotal - Bonds - U.S. Governments						9,159,171	8,510,024	8,527,701	8,530,741		(23,480)		(23,480)		8,507,261		117,627	117,627	598,504	XXX	XXX
12634M-AE-0	CNOOC FINANCE 2015 USA LLC		02/03/2021	WELLS FARGO ADVISORS, LLC		227,532	200,000	199,182	199,366		7		7		199,373		28,159	28,159	2,260	05/02/2028	1.E FE
Y2R03T-AA-1	GEORGIAN RAILWAY JSC	D	03/22/2021	BARCLAYS CAPITAL INC		211,750	200,000	218,000	204,070		(594)		(594)		203,476		8,274	8,274	10,893	07/11/2022	4.A FE
P75744-AG-0	PARAGUAY REPUBLIC OF (GOVERNMENT)	D	02/02/2021	MORGAN STANLEY & CO. LLC		249,500	200,000	224,000	223,581		(37)		(37)		223,544		25,956	25,956	3,720	03/30/2050	3.B FE
75405U-AA-4	RAS LNG 3	D	03/31/2021	SCHEDULED REDEMPTION		9,725	9,725	10,406	10,016		(291)		(291)		9,725				284	09/30/2027	1.E FE
1099999 Subtotal - Bonds - All Other Governments						698,507	609,725	651,588	637,033		(914)		(914)		636,118		62,389	62,389	17,157	XXX	XXX
31288F-6X-7	FGOLD 30YR		03/01/2021	SCHEDULED REDEMPTION		37	37	38	38		(1)		(1)		37					03/01/2033	1.A
3128KR-WQ-3	FGOLD 30YR		03/01/2021	SCHEDULED REDEMPTION		29,495	29,495	31,113	31,338		(1,843)		(1,843)		29,495				374	10/01/2036	1.A
31292G-Y5-9	FGOLD 30YR		03/01/2021	SCHEDULED REDEMPTION		108	108	110	110		(2)		(2)		108				2	03/01/2029	1.A
31292H-4H-4	FGOLD 30YR		03/01/2021	SCHEDULED REDEMPTION		21,322	21,322	21,015	21,108		214		214		21,322				224	12/01/2033	1.A
31292H-SQ-8	FGOLD 30YR		03/01/2021	SCHEDULED REDEMPTION		451	451	467	467		(15)		(15)		451				6	11/01/2032	1.A
31296J-TJ-5	FGOLD 30YR		03/01/2021	SCHEDULED REDEMPTION		4,022	4,022	4,052	4,046		(24)		(24)		4,022				49	06/01/2033	1.A
31296M-2N-8	FGOLD 30YR		03/01/2021	SCHEDULED REDEMPTION		4,397	4,397	4,616	4,594		(197)		(197)		4,397				60	09/01/2033	1.A
31296P-TL-6	FGOLD 30YR		03/01/2021	SCHEDULED REDEMPTION		4,826	4,826	4,860	4,853		(27)		(27)		4,826				59	10/01/2033	1.A
31296S-AC-0	FGOLD 30YR		03/01/2021	SCHEDULED REDEMPTION		85	85	88	88		(3)		(3)		85				1	01/01/2034	1.A
31296U-EU-1	FGOLD 30YR		03/01/2021	SCHEDULED REDEMPTION		193	193	199	201		(8)		(8)		193				3	03/01/2034	1.A
31297A-3S-1	FGOLD 30YR		03/01/2021	SCHEDULED REDEMPTION		46,945	46,945	44,928	45,685		1,260		1,260		46,945				575	06/01/2034	1.A
31297A-3T-9	FGOLD 30YR		03/01/2021	SCHEDULED REDEMPTION		5,638	5,638	5,395	5,465		173		173		5,638				63	06/01/2034	1.A
31297A-5J-9	FGOLD 30YR		03/01/2021	SCHEDULED REDEMPTION		9,556	9,556	9,146	9,303		253		253		9,556				105	06/01/2034	1.A
31297A-5K-6	FGOLD 30YR		03/01/2021	SCHEDULED REDEMPTION		7,555	7,555	7,230	7,348		207		207		7,555				84	06/01/2034	1.A
31297B-AM-4	FGOLD 30YR		03/01/2021	SCHEDULED REDEMPTION		2,766	2,766	2,647	2,692		74		74		2,766				31	06/01/2034	1.A
31298F-JL-7	FGOLD 30YR		03/01/2021	SCHEDULED REDEMPTION		79	79	78	78						79				1	01/01/2031	1.A
3128LO-YL-0	FGOLD 30YR ALT-A		03/01/2021	SCHEDULED REDEMPTION		61	61	64	64		(2)		(2)		61				1	11/01/2037	1.A
31283H-OX-6	FGOLD 30YR GIANT		03/01/2021	SCHEDULED REDEMPTION		315	315	325	326		(11)		(11)		315				4	03/01/2032	1.A
31283H-UA-1	FGOLD 30YR GIANT		03/01/2021	SCHEDULED REDEMPTION		476	476	492	493		(17)		(17)		476				6	12/01/2032	1.A
31283H-XH-3	FGOLD 30YR GIANT		03/01/2021	SCHEDULED REDEMPTION		373	373	386	386		(13)		(13)		373				5	06/01/2033	1.A
31283H-Y5-8	FGOLD 30YR GIANT		03/01/2021	SCHEDULED REDEMPTION		12,027	12,027	12,665	12,570		(544)		(544)		12,027				191	12/01/2033	1.A
3128M5-LF-5	FGOLD 30YR GIANT		03/01/2021	SCHEDULED REDEMPTION		3,513	3,513	3,835	3,961		(447)		(447)		3,513				55	12/01/2037	1.A
3128M7-BX-3	FGOLD 30YR GIANT		03/01/2021	SCHEDULED REDEMPTION		6,788	6,788	7,148	7,249		(461)		(461)		6,788				96	12/01/2038	1.A
3128JR-LE-4	FHLMC 1YR OMT ARM		03/02/2021	Various		99,568	99,568	106,522	163,115						99,568				628	04/01/2034	1.A
3133A3-3Y-2	FHLMC 30YR UMBS		03/01/2021	SCHEDULED REDEMPTION		25,332	25,332	26,337	26,223		(891)		(891)		25,332				106	04/01/2050	1.A
3133A9-D8-5	FHLMC 30YR UMBS		03/01/2021	SCHEDULED REDEMPTION		282,075	282,075	292,256	291,820		(9,745)		(9,745)		282,075				1,400	08/01/2050	1.A
3132AC-RS-1	FHLMC 30YR UMBS MIRROR		03/01/2021	SCHEDULED REDEMPTION		601,348	601,348	658,664	661,846		(60,498)		(60,498)		601,348				4,009	08/01/2048	1.A
3132WP-LD-7	FHLMC GOLD 30YR		03/01/2021	SCHEDULED REDEMPTION		57,252	57,252	56,671	56,701		551		551		57,252				494	07/01/2047	1.A
3132XV-MY-2	FHLMC GOLD 30YR		03/01/2021	SCHEDULED REDEMPTION		403,420	403,420	399,197	400,279		3,141		3,141		403,420				3,284	03/01/2048	1.A
3132Y1-UJ-5	FHLMC GOLD 30YR		03/01/2021	SCHEDULED REDEMPTION		1,194,152	1,194,152	1,239,306	1,230,824		(36,672)		(36,672)		1,194,152				12,354	08/01/2048	1.A
3132LA-K5-0	FHLMC GOLD 30YR CASH ISSUANCE		03/01/2021	SCHEDULED REDEMPTION		331,176	331,176	347,063	344,078		(12,902)		(12,902)		331,176				3,505	10/01/2048	1.A
31335B-BR-6	FHLMC GOLD 30YR GIANT		03/01/2021	SCHEDULED REDEMPTION		110,016	110,016	110,395	110,330		(315)		(315)		110,016				746	01/01/2047	1.A
3133TH-A5-6	FHMS 2104		03/01/2021	SCHEDULED REDEMPTION		54,220	54,220	55,368	55,201		(981)		(981)		54,220				682	12/01/2028	1.A
3137AJ-MF-8	FHMS K016		03/01/2021	SCHEDULED REDEMPTION		5,745	5,745	5,802	5,749		(4)		(4)		5,745				38	10/01/2021	1.A FE
3137BE-VJ-0	FHMS K040 IS		02/09/2021	ROBERT W. BAIRD & CO. INCORPORATED		1,310,424		3,401,093	1,277,377		(41,405)		(41,405)		1,235,973		74,451	74,451	81,413	09/01/2024	1.A FE

E05.1

STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
3137BJ-P7-2	FHMS_K046 IS		02/09/2021	NATIONAL ALLIANCE SECURITIES LLC		84,052		190,375	80,492		(2,105)		(2,105)		78,387		5,665	5,665	4,296	03/01/2025	1.A FE
3137FT-G2-7	FHMS_K109 IS		02/04/2021	BREAN CAPITAL, LLC		4,622,413		4,709,306	4,495,329		(48,138)		(48,138)		4,447,191		175,221	175,221	60,983	04/01/2030	1.A FE
3137FU-ZE-7	FHMS_K111 IS		02/09/2021	PERFORMANCE TRUST				4,946,681	4,727,482		(53,727)		(53,727)		4,673,755		196,663	196,663	118,375	05/01/2030	1.A FE
3137FU-ZX-5	FHMS_K112 IS		02/05/2021	BREAN CAPITAL, LLC		2,998,062		3,048,833	2,920,138		(31,685)		(31,685)		2,888,453		109,608	109,608	69,999	05/01/2030	1.A FE
3137F8-3S-0	FHMS_K121 IS		02/04/2021	BREAN CAPITAL, LLC		1,527,842		1,496,783	1,488,594		(15,171)		(15,171)		1,473,423		54,419	54,419	34,586	10/01/2030	1.A FE
31362J-UN-3	FN 6/12 11TH COFI ARM		03/01/2021	SCHEDULED REDEMPTION		298	298	290	294		4		4		298				3	06/01/2028	1.A
3136A7-2G-6	FNA_12-M9 IS		03/25/2021	PREPAYMENT PENALTY		107,309													107,309	04/01/2022	1.A FE
3136AE-X6-9	FNA_13-M2		03/25/2021	Various		21,541	21,360	20,376	21,240		121		121		21,360				293	01/01/2023	1.A FE
31418M-VX-7	FNMA 15YR		03/01/2021	SCHEDULED REDEMPTION		2,205	2,205	2,350	2,273		(69)		(69)		2,205				24	02/01/2024	1.A
31418T-XF-9	FNMA 15YR		03/01/2021	SCHEDULED REDEMPTION		180	180	189	186		(6)		(6)		180				2	05/01/2025	1.A
31418U-2M-5	FNMA 15YR		03/01/2021	SCHEDULED REDEMPTION		1,690	1,690	1,769	1,736		(46)		(46)		1,690				17	06/01/2025	1.A
31419A-BJ-5	FNMA 15YR		03/01/2021	SCHEDULED REDEMPTION		50,604	50,604	54,131	52,403		(1,799)		(1,799)		50,604				51	06/01/2025	1.A
31419A-HL-4	FNMA 15YR		03/01/2021	SCHEDULED REDEMPTION		1,563	1,563	1,636	1,602		(39)		(39)		1,563				15	08/01/2025	1.A
31371J-L4-4	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		46	46	46	50		(4)		(4)		46				1	06/01/2030	1.A
31371J-XA-7	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		159	159	162	166		(8)		(8)		159				3	03/01/2031	1.A
31371K-7E-5	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		52,435	52,435	53,524	53,740		(1,305)		(1,305)		52,435				571	07/01/2033	1.A
31371K-HY-0	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		650	650	645	645		7		7		650				9	01/01/2032	1.A
31371L-CD-9	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		332	332	342	343		(11)		(11)		332				4	09/01/2033	1.A
31371L-DH-9	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		7,393	7,393	7,443	7,437		(44)		(44)		7,393				90	10/01/2033	1.A
31382S-6P-0	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		547	547	566	583		(46)		(46)		547				8	04/01/2029	1.A
31383P-2X-3	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		447	447	453	452		(5)		(5)		447				7	09/01/2029	1.A
31383R-FV-9	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		134	134	131	131		3		3		134				2	08/01/2029	1.A
31383W-X7-1	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		52	52	51	50		2		2		52				1	11/01/2029	1.A
31385J-GG-7	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		832	832	882	887		(55)		(55)		832				12	06/01/2032	1.A
31386E-C4-8	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		318	318	328	331		(12)		(12)		318				5	04/01/2031	1.A
31386H-MR-9	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		9	9	10	10						9					01/01/2031	1.A
31386M-ZB-9	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		573	573	583	585		(13)		(13)		573				8	10/01/2030	1.A
31386P-UJ-0	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		42	42	43	44		(2)		(2)		42				1	01/01/2031	1.A
31386R-KK-4	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		242	242	248	254		(11)		(11)		242				4	02/01/2031	1.A
31389C-08-5	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		206	206	208	209		(3)		(3)		206				3	12/01/2031	1.A
3138A2-BL-2	FNMA 30YR		03/04/2021	Various		190,730	172,199	179,464	177,807		(316)		(316)		177,491		13,239	13,239	1,873	12/01/2040	1.A
3138A4-4H-5	FNMA 30YR		03/04/2021	Various		2,415,534	2,191,259	2,205,297	2,200,645		(961)		(961)		2,199,684		215,851	215,851	16,433	02/01/2041	1.A
3138AK-SA-8	FNMA 30YR		03/04/2021	Various		222,464	199,772	208,200	208,176		(32)		(32)		208,144		14,320	14,320	2,211	08/01/2041	1.A
3138AR-X3-3	FNMA 30YR		03/04/2021	Various		338,389	308,894	321,926	318,685		(1,592)		(1,592)		317,093		21,296	21,296	3,195	09/01/2041	1.A
3138AV-P7-4	FNMA 30YR		03/04/2021	Various		1,991,332	1,797,359	1,871,342	1,854,242		(3,043)		(3,043)		1,851,199		140,133	140,133	19,473	10/01/2041	1.A
3138EG-EW-0	FNMA 30YR		03/04/2021	Various		556,443	503,192	524,341	520,289		(1,230)		(1,230)		519,059		37,385	37,385	5,418	11/01/2040	1.A
3138EG-GC-2	FNMA 30YR		03/04/2021	Various		2,639,469	2,387,039	2,498,186	2,476,353		(6,487)		(6,487)		2,469,866		169,603	169,603	25,645	01/01/2041	1.A
31390K-CM-8	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		806	806	826	852		(46)		(46)		806				13	06/01/2032	1.A
31390K-W0-7	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		901	901	932	943		(42)		(42)		901				13	08/01/2032	1.A
31390P-GK-7	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		4,265	4,265	4,460	4,574		(309)		(309)		4,265				61	08/01/2032	1.A
31391U-J2-2	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		322	322	337	342		(20)		(20)		322				5	01/01/2033	1.A
31391W-5H-0	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		2,594	2,594	2,671	2,684		(90)		(90)		2,594				32	04/01/2033	1.A
31400J-PF-0	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		93	93	96	97		(4)		(4)		93				1	05/01/2033	1.A
31400J-SJ-9	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		700	700	721	725		(25)		(25)		700				6	02/01/2033	1.A
31400Q-TN-3	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		1,164	1,164	1,172	1,171		(7)		(7)		1,164				13	04/01/2033	1.A
31400R-NT-4	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		498	498	529	564		(66)		(66)		498				8	02/01/2033	1.A
31400T-B2-2	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		143	143	143	143		(1)		(1)		143				2	05/01/2033	1.A
31401B-NS-0	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		5,691	5,691	5,737	5,730		(39)		(39)		5,691				77	04/01/2033	1.A
31401N-4U-0	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		3,488	3,488	3,620	3,683		(194)		(194)		3,488				42	09/01/2033	1.A
31402C-PL-0	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		48,248	48,248	50,783	51,559		(3,310)		(3,310)		48,248				409	11/01/2033	1.A
31402C-U6-7	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		487	487	501	504		(17)		(17)		487				5	03/01/2034	1.A
31402E-A0-1	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		369	369	380	385		(16)		(16)		369				4	07/01/2033	1.A

STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
31402K-CE-2	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		324	324	334	335		(11)		(11)		324				4	08/01/2033	1.A
31403F-JII-5	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		47,206	47,206	47,590	47,447		(241)		(241)		47,206				641	10/01/2033	1.A
31404M-6Q-6	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		3,505	3,505	3,436	3,505		58		58		3,505				48	06/01/2034	1.A
31405A-TY-9	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		1,002	1,002	983	987		16		16		1,002				12	06/01/2034	1.A
31405D-D4-6	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		102	102	100	101		2		2		102				1	07/01/2034	1.A
31408E-G5-5	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		3,208	3,208	3,107	3,100		108		108		3,208				43	01/01/2036	1.A
31410F-Z9-9	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		18,963	18,963	20,925	21,572		(2,609)		(2,609)		18,963				244	03/01/2037	1.A
31412N-SL-1	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		11,914	11,914	12,567	12,811		(897)		(897)		11,914				177	12/01/2038	1.A
31413U-TQ-2	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		15,759	15,759	16,611	16,709		(951)		(951)		15,759				230	12/01/2037	1.A
31416B-VH-8	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		1,811	1,811	1,865	1,879		(68)		(68)		1,811				19	12/01/2034	1.A
31418M-A2-8	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		2,143	2,143	2,235	2,256		(113)		(113)		2,143				26	08/01/2037	1.A
3138ES-AV-0	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		10,854	10,854	10,893	10,886		(32)		(32)		10,854				61	02/01/2047	1.A
3138W5-KA-5	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		1,642	1,642	1,647	1,645		(3)		(3)		1,642				11	03/01/2043	1.A
3138W6-GB-6	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		3,548	3,548	3,557	3,554		(6)		(6)		3,548				23	05/01/2043	1.A
3138WJ-AU-2	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		15,882	15,882	15,942	15,932		(50)		(50)		15,882				99	10/01/2046	1.A
3138WJ-UL-0	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		83,903	83,903	84,218	84,157		(254)		(254)		83,903				622	01/01/2047	1.A
3138WM-XK-2	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		7,341	7,341	7,361	7,354		(13)		(13)		7,341				47	03/01/2043	1.A
3138WP-G2-4	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		18,607	18,607	18,655	18,642		(36)		(36)		18,607				126	04/01/2043	1.A
3138WQ-A2-8	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		3,119	3,119	3,125	3,123		(4)		(4)		3,119				21	05/01/2043	1.A
3138WQ-AY-8	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		8,663	8,663	8,682	8,677		(14)		(14)		8,663				57	05/01/2043	1.A
3138WT-RV-0	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		3,031	3,031	3,038	3,036		(5)		(5)		3,031				20	06/01/2043	1.A
3138WT-US-3	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		5,802	5,802	5,818	5,813		(11)		(11)		5,802				39	04/01/2043	1.A
3138X1-UK-0	FNMA 30YR		03/04/2021	Various		639,879	578,464	612,991	602,399		(1,622)		(1,622)		600,777		39,101	39,101	4,359	08/01/2043	1.A
3138X2-RR-7	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		6,521	6,521	6,534	6,530		(9)		(9)		6,521				46	08/01/2043	1.A
3138X2-YC-2	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		3,162	3,162	3,169	3,167		(5)		(5)		3,162				21	07/01/2043	1.A
3138X3-2Q-4	FNMA 30YR		03/04/2021	Various		606,717	545,865	576,400	568,829		(485)		(485)		568,344		38,373	38,373	5,961	10/01/2043	1.A
3138X6-Y5-8	FNMA 30YR		03/04/2021	Various		9,241,689	8,358,855	8,693,209	8,625,016		(19,305)		(19,305)		8,605,710		635,979	635,979	66,619	11/01/2043	1.A
3138X9-A8-2	FNMA 30YR		03/04/2021	Various		529,146	478,654	493,374	492,289		(1,084)		(1,084)		492,289		36,857	36,857	5,158	10/01/2043	1.A
3138XF-C4-5	FNMA 30YR		03/04/2021	Various		268,414	241,146	252,073	249,404		(54)		(54)		249,351		19,064	19,064	2,659	04/01/2044	1.A
31402R-UN-7	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		1,237	1,237	1,290	1,307		(70)		(70)		1,237				15	02/01/2035	1.A
3140F9-VX-0	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		28,528	28,528	28,444	28,460		69		69		28,528				202	01/01/2047	1.A
3140FN-AS-3	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		820,146	820,146	843,725	841,110		(20,964)		(20,964)		820,146				7,793	07/01/2047	1.A
3140GQ-BT-8	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		2,376,850	2,376,850	2,527,260	2,544,942		(168,093)		(168,093)		2,376,850				13,865	09/01/2047	1.A
3140GX-BT-7	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		382,281	382,281	393,123	390,640		(8,358)		(8,358)		382,281				3,175	11/01/2047	1.A
31410L-VC-3	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		542,311	542,311	545,446	544,961		(2,650)		(2,650)		542,311				2,712	01/01/2047	1.A
31417E-ZA-2	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		1,007	1,007	1,010	1,009		(1)		(1)		1,007				7	02/01/2043	1.A
31417F-VB-1	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		32,296	32,296	33,088	32,978		(682)		(682)		32,296				205	03/01/2043	1.A
31417G-TQ-9	FNMA 30YR		03/01/2021	SCHEDULED REDEMPTION		3,030	3,030	3,037	3,035		(5)		(5)		3,030				20	06/01/2043	1.A
31410G-NB-5	FNMA 30YR 10/20 INT FIRST		03/01/2021	SCHEDULED REDEMPTION		4,746	4,746	4,745	4,745		2		2		4,746				65	10/01/2037	1.A
3140K4-LB-4	FNMA 30YR UMBS		03/01/2021	SCHEDULED REDEMPTION		406,452	406,452	429,442	428,179		(21,727)		(21,727)		406,452				2,032	03/01/2050	1.A
3140KC-IV-0	FNMA 30YR UMBS		03/01/2021	SCHEDULED REDEMPTION		330,048	330,048	344,178	342,703		(12,655)		(12,655)		330,048				1,408	04/01/2050	1.A
3140KT-XT-7	FNMA 30YR UMBS		03/01/2021	SCHEDULED REDEMPTION		4,750	4,750	4,902	4,902		(152)		(152)		4,750				8	11/01/2050	1.A
3140L3-0Q-7	FNMA 30YR UMBS		03/01/2021	SCHEDULED REDEMPTION		5,597	5,597	5,800	5,800		(203)		(203)		5,597				9	02/01/2051	1.A
3140K4-7L-4	FNMA 30YR UMBS SUPER		03/01/2021	SCHEDULED REDEMPTION		5,590	5,590	6,053	6,053		(463)		(463)		5,590				16	09/01/2049	1.A
3140X7-S4-2	FNMA 30YR UMBS SUPER		03/01/2021	SCHEDULED REDEMPTION		194,306	194,306	205,812	205,079		(10,773)		(10,773)		194,306				810	09/01/2050	1.A
3140X9-SL-0	FNMA 30YR UMBS SUPER		03/01/2021	SCHEDULED REDEMPTION		84,287	84,287	90,187	90,187		(5,900)		(5,900)		84,287				246	01/01/2050	1.A
31359S-J3-5	FNMA 01-19		03/01/2021	SCHEDULED REDEMPTION		5,886	5,886	6,175	6,067		(181)		(181)		5,886				81	05/01/2031	1.A
31359S-JT-8	FNMA 01-5		03/01/2021	SCHEDULED REDEMPTION		1,551	1,551	1,569	1,563		(12)		(12)		1,551				24	03/01/2031	1.A
31392C-KP-8	FNMA 02-15		03/25/2021	SCHEDULED REDEMPTION		6,027	6,027	6,075	6,027						6,027				11	04/25/2032	1.A
31392F-P9-2	FNMA 02-82		03/25/2021	SCHEDULED REDEMPTION		6,186	6,186	6,188	6,186						6,186				9	12/25/2032	1.A
31394A-E2-8	FNMA 04-69		03/01/2021	SCHEDULED REDEMPTION		11,034	11,034	11,514	11,321		(286)		(286)		11,034				162	05/01/2033	1.A
31396X-QJ-6	FNMA 07-89		03/25/2021	SCHEDULED REDEMPTION		27,026	27,026	26,878	26,911		114		114		27,026				44	09/25/2037	1.A
31397L-TB-5	FNMA 08-49		03/01/2021	SCHEDULED REDEMPTION		13,939	13,939	14,622	14,612		(673)		(673)		13,939				159	04/01/2038	1.A
3199999	Subtotal - Bonds - U.S. Special Revenues					44,271,454	26,873,246	45,734,802	42,737,869		(614,528)		(614,528)		42,166,736		1,997,228	1,997,228	703,272	XXX	XXX

E05.3

STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
04542B-LY-6	ABFC_05-WIF1		03/25/2021	SCHEDULED REDEMPTION		40,313	40,313	37,743	39,553		760		760		40,313				59	11/25/2034	1.A FM
004421-WF-7	ACE_05-HE2		03/25/2021	SCHEDULED REDEMPTION		6,887	6,887	6,663	6,815		72		72		6,887				14	04/25/2035	1.D FM
004421-MG-5	ACE_05-HE2		03/25/2021	SCHEDULED REDEMPTION		3,885	3,885	3,321	3,667		218		218		3,885				11	04/25/2035	1.D FM
00868P-AA-3	AHOLD LEASE SERIES 2001-A-2 PASS T - ABS		01/02/2021	SCHEDULED REDEMPTION		383,181	383,181	482,808	430,532		(47,351)		(47,351)		383,181				16,515	01/02/2025	2.B FE
00909B-A*-5	AIR CARGO LOGISTICS FT WORTH TX C.		03/10/2021	SCHEDULED REDEMPTION		27,585	27,585	27,585	27,585						27,585				276	11/10/2039	1.C
00969D-AA-8	AJAXM_17-B		02/25/2021	SCHEDULED REDEMPTION		1,601,851	1,601,851	1,601,846	1,601,846		3		3		1,601,851				8,348	09/25/2056	1.B FE
01185*-AA-3	ALASKA VENTURES LLC		03/31/2021	SCHEDULED REDEMPTION		136,101	136,101	136,101	136,101						136,101				1,589	06/30/2033	2.C PL
00164V-AD-5	AMC NETWORKS INC		02/26/2021	CALL TRANSACTION		178,350	174,000	177,130	176,701		(339)		(339)		176,361		(2,361)	(2,361)	7,854	04/01/2024	3.C FE
03066P-AF-6	AMCAR_20-3		01/29/2021	MUFG SECURITIES AMERICAS INC		3,097,000	3,040,000	3,038,951	3,038,975		20		20		3,038,995		58,005	58,005	8,330	09/18/2026	2.B FE
03072S-XD-2	AMSI_04-R12		03/25/2021	SCHEDULED REDEMPTION		3,022	3,022	2,918	2,995		27		27		3,022				6	01/25/2035	1.D FM
03072S-QC-2	AMSI_04-R3		03/25/2021	SCHEDULED REDEMPTION		32,931	32,931	31,531	32,142		789		789		32,931				67	05/25/2034	1.D FM
03072S-RX-5	AMSI_04-R5		03/25/2021	SCHEDULED REDEMPTION		39,163	39,163	34,836	37,083		2,080		2,080		39,163				84	07/25/2034	1.D FM
03464N-AA-0	AOIT_18-3		03/01/2021	SCHEDULED REDEMPTION		125,917	125,917	125,916	125,917						125,917				1,037	09/01/2048	1.A FM
03464N-AB-8	AOIT_18-3		03/01/2021	SCHEDULED REDEMPTION		92,040	92,040	92,038	92,039		4		4		92,040				863	09/01/2048	1.A FM
042856-AA-2	ARRW_18-1		03/01/2021	SCHEDULED REDEMPTION		618,107	618,107	618,095	618,103		4		4		618,107				5,177	04/01/2048	1.A FM
042856-AB-0	ARRW_18-1		03/01/2021	SCHEDULED REDEMPTION		326,821	326,821	326,818	326,821		1		1		326,821				2,923	04/01/2048	1.A FM
071734-AH-0	BAUSCH HEALTH COMPANIES INC	A	02/18/2021	MORGAN STANLEY & CO. LLC		87,550	85,000	86,403	86,255		(21)		(21)		86,233		1,317	1,317	2,385	01/30/2028	4.B FE
91911K-AN-2	BAUSCH HEALTH COMPANIES INC	A	02/16/2021	JP MORGAN SECURITIES LLC		126,776	123,000	126,383	126,006		(207)		(207)		125,799		977	977	2,011	11/01/2025	3.B FE
21871F-AN-8	BCORE_19-CORE		03/15/2021	SCHEDULED REDEMPTION		365,658	365,658	365,658	365,658						365,658				1,859	12/15/2031	1.D FM
073879-NZ-7	BSABS_04-HE11		03/25/2021	SCHEDULED REDEMPTION		175,572	175,572	157,209	172,017		3,554		3,554		175,572				680	12/25/2034	1.D FM
08179X-AL-9	BSP_13-11A - ABS	C	03/08/2021	CALL TRANSACTION		1,699,095	1,699,095	1,699,095	1,699,095						1,699,095				10,116	07/15/2029	1.A FE
12189P-AF-9	BURLINGTON NORTHERN AND SANTE FE R - ABS		01/02/2021	MATURED		30,449	30,449	35,907	30,449						30,449				1,152	01/02/2021	1.B FE
22534B-AC-8	CAALT_18-1A		03/15/2021	SCHEDULED REDEMPTION		1,283,581	1,283,581	1,283,546	1,283,546		4		4		1,283,581				11,281	04/15/2027	1.C FE
22535M-AC-3	CAALT_20-2		01/26/2021	CREDIT SUISSE SECURITIES (USA) LLC		6,654,629	6,500,000	6,498,744	6,498,901		27		27		6,498,928		155,701	155,701	14,984	09/17/2029	1.D FE
22535M-AE-9	CAALT_20-2		01/26/2021	RBC CAPITAL MARKETS, LLC		3,367,813	3,250,000	3,249,318	3,249,397		19		19		3,249,416		118,397	118,397	14,048	11/01/2029	1.G FE
22536B-AC-6	CAALT_20-3A		01/26/2021	CREDIT SUISSE SECURITIES (USA) LLC		3,686,995	3,610,000	3,609,125	3,609,173		19		19		3,609,191		77,803	77,803	7,632	12/17/2029	1.C FE
144531-BC-3	CARR_05-NC1		03/25/2021	SCHEDULED REDEMPTION		123,485	123,485	118,989	122,847		637		637		123,485				249	02/25/2035	1.D FM
12510H-AB-6	CAUTO_20-1A		03/15/2021	SCHEDULED REDEMPTION		21,526	21,526	21,523	21,523		3		3		21,526				146	02/15/2050	1.A FE
12510H-AD-2	CAUTO_20-1A		03/15/2021	SCHEDULED REDEMPTION		472	472	472	472						472				3	02/15/2050	1.E FE
12489W-NZ-4	CBASS_05-CB5		03/25/2021	SCHEDULED REDEMPTION		89,497	89,497	80,183	86,242		3,255		3,255		89,497				126	08/25/2035	1.D FM
12523B-AA-9	CC TUGS LLC		03/31/2021	SCHEDULED REDEMPTION		141,882	141,882	141,882	141,882						141,882				2,270	09/30/2030	3.C PL
17290X-AS-9	CGOIT_16-GC37		03/01/2021	SCHEDULED REDEMPTION		106,084	106,084	107,140	106,641		(557)		(557)		106,084				790	04/01/2049	1.A FM
16411Q-AB-7	CHENIERE ENERGY PARTNERS LP		03/27/2021	CALL TRANSACTION		320,190	312,000	316,720	314,823		(380)		(380)		314,444		(2,444)	(2,444)	16,198	10/01/2025	3.B FE
12559Q-AA-0	CITM_07-1		01/16/2021	Various																10/25/2037	1.A FM
19687X-AC-1	COLT_19-1		02/01/2021	SCHEDULED REDEMPTION		3,420,667	3,420,667	3,420,665	3,420,665		2		2		3,420,667				23,143	03/01/2049	1.A FM
12592X-BF-2	COMI_15-CR22		03/26/2021	WELLS FARGO ADVISORS, LLC		6,031,413	5,580,000	5,704,439	5,660,791		(4,546)		(4,546)		5,656,245		375,168	375,168	66,457	03/01/2048	1.A FM
12594J-AJ-4	COMI_16-GCT		02/01/2021	SCHEDULED REDEMPTION		3,500,000	3,500,000	3,503,889	3,500,537		(537)		(537)		3,500,000				55,865	08/01/2029	1.D FM
201723-AP-8	COMMERCIAL METALS COMPANY		03/18/2021	BARCLAYS CAPITAL INC		90,620	92,000	92,000	92,000						92,000		(1,380)	(1,380)	495	02/15/2031	3.A FE
202740-HR-3	COMMONWEALTH BANK OF AUSTRALIA	D	02/24/2021	CREDIT SUISSE SECURITIES (USA) LLC		6,160,104	5,400,000	5,388,822	5,393,857		171		171		5,394,028		766,076	766,076	51,975	12/09/2025	2.A FE
20451R-AB-8	COMPASS GROUP DIVERSIFIED HOLDINGS		03/04/2021	MIZUHO SECURITIES USA INC		2,043	2,000	2,000	2,000						2,000		43	43		04/15/2029	4.A FE
12655C-AC-0	CPS_18-C		03/15/2021	SCHEDULED REDEMPTION		555,390	555,390	555,412	555,394		(4)		(4)		555,390				4,484	06/17/2024	1.A FE
12597T-AC-4	CPS_20-C		02/02/2021	BNP PARIBAS SECURITIES CORP		1,418,506	1,390,000	1,389,971	1,389,974		1		1		1,389,975		28,531	28,531	3,235	08/17/2026	1.F FE
14889K-AN-2	CRMN_15-1A - ABS	C	03/09/2021	CALL TRANSACTION		680,000	680,000	680,000	680,000						680,000				2,634	04/22/2027	1.C FE
12636L-AX-8	CSAIL_16-CS		03/01/2021	SCHEDULED REDEMPTION		258	258	261	260		(1)		(1)		258				2	11/01/2048	1.A FM

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STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
126659-AA-9	CVS PASSTHROUGH TRUST - ABS		03/10/2021	SCHEDULED REDEMPTION		52,029	52,029	69,762	63,776		(11,747)		(11,747)		52,029				967	07/10/2031	2.B IF
12665U-AA-2	CVS PASSTHROUGH TRUST - ABS		03/10/2021	SCHEDULED REDEMPTION		63,028	63,028	63,127	63,028						63,028				659	01/10/2036	2.B FE
152314-NB-2	CXHE_05-B		03/25/2021	SCHEDULED REDEMPTION		74,739	74,739	69,017	73,876		863		863		74,739				116	03/25/2035	1.A FM
233851-BE-3	DAIMLER FINANCE NORTH AMERICA LLC		03/10/2021	MATURED		150,000	150,000	149,931	149,997		3		3		150,000				2,156	03/10/2021	2.A FE
237266-AH-4	DARLING INGREDIENTS INC		02/09/2021			665,404	627,000	652,550	649,174		(925)		(925)		648,249		17,155		10,607	04/15/2027	3.A FE
23312L-AR-9	DBJPM_16-C1		03/01/2021	SCHEDULED REDEMPTION		34,442	34,442	34,786	34,629		(186)		(186)		34,442				260	05/01/2049	1.A FM
23312J-AH-6	DBJPM_17-C6		03/26/2021	SECURITIES LLC		758,188	700,000	720,994	714,997		(523)		(523)		714,475		43,713		8,240	06/01/2050	1.A FM
24380T-AC-0	DRMT_17-1A		03/01/2021	SCHEDULED REDEMPTION		15,565	15,565	15,565	15,565						15,565				124	12/02/2046	1.C FE
24381C-AB-8	DRMT_17-2A		03/01/2021	SCHEDULED REDEMPTION		57,528	57,528	57,527	57,528						57,528				320	06/01/2047	1.A FM
24381C-AC-6	DRMT_17-2A		03/01/2021	SCHEDULED REDEMPTION		80,384	80,384	80,383	80,384						80,384				465	06/01/2047	1.A FM
24381F-AD-7	DRMT_17-3A		02/01/2021	SCHEDULED REDEMPTION		2,100,000	2,100,000	2,099,988	2,100,000						2,100,000				12,289	10/01/2047	1.D FM
24381H-AA-9	DRMT_18-2A		02/01/2021	SCHEDULED REDEMPTION		1,614,035	1,614,035	1,614,015	1,614,034		1		1		1,614,035				9,158	04/01/2058	1.A FM
269330-AA-4	E3_19-1 - ABS		02/20/2021	SCHEDULED REDEMPTION		67,171	67,171	67,169	67,169		2		2		67,171					09/20/2055	1.A FE
30166N-AF-3	EART_20-3A		01/29/2021	MUFG SECURITIES AMERICAS INC		5,105,859	5,000,000	4,999,263	4,999,324		19		19		4,999,343		106,516		15,849	07/15/2026	2.B FE
28932M-AA-3	ELM ROAD GENERATING STA SUPER LLC		02/11/2021	SCHEDULED REDEMPTION		128,216	128,216	128,216	128,216						128,216				3,339	02/11/2030	1.F FE
29362U-AB-0	ENTEGRIS INC		01/07/2021	MARKETS, INC		453,505	438,000	451,208	449,919		(125)		(125)		449,794		3,711		8,216	02/10/2026	3.B FE
29365D-AA-7	ENTERCOM MEDIA CORP		01/22/2021	JP MORGAN SECURITIES LLC		270,963	265,000	270,321	269,439		(40)		(40)		269,398		1,564		4,067	05/01/2027	4.C FE
P4001#-AA-8	EOLICA MESA LA PAZ S DE RL DE CV	D	03/20/2021	SCHEDULED REDEMPTION		44,032	44,032	44,032	44,032						44,032				658	12/20/2044	2.C PL
68275C-AC-2	FB_05-1		03/08/2021	SCHEDULED REDEMPTION		65,112	65,112	65,426	65,315		(202)		(202)		65,112				769	08/08/2035	1.A FM
32027N-LA-7	FFML_04-FF7		03/25/2021	SCHEDULED REDEMPTION		28,028	28,028	25,810	26,914		1,114		1,114		28,028				57	09/25/2034	1.A FM
32027N-TA-9	FFML_05-FFH1		03/25/2021	SCHEDULED REDEMPTION		85,784	85,784	81,495	84,549		1,235		1,235		85,784				154	06/25/2036	1.A FM
32027N-XD-8	FFML_05-FFH4		03/25/2021	SCHEDULED REDEMPTION		4,891	4,891	4,616	4,831		60		60		4,891				9	12/25/2035	1.D FM
320276-AB-4	FFML_06-FF9		03/25/2021	SCHEDULED REDEMPTION		41,231	41,231	38,306	39,247		1,985		1,985		41,231				33	06/25/2036	1.D FM
337932-AH-0	FIRSTENERGY CORPORATION		02/12/2021	CREDIT SUISSE SECURITIES (USA) LLC		1,106,250	1,000,000	998,051	998,648		23		23		998,671		107,579		23,411	07/15/2027	3.B FE
31659T-DV-4	FMIC_05-2		03/25/2021	SCHEDULED REDEMPTION		8,595	8,595	6,513	7,767		828		828		8,595				16	12/25/2035	1.D FM
30280#-AA-4	FR-ENCLAVE LLC - ABS		01/07/2021	SCHEDULED REDEMPTION		38,097	38,097	38,097	38,097						38,097				494	09/30/2033	2.B
370334-CJ-1	GENERAL MILLS INC		01/27/2021	CONVERSION		6,129,456	6,077,000	6,132,064	6,129,528		(72)		(72)		6,129,456				79,339	04/17/2048	2.B FE
370334-CM-4	GENERAL MILLS INC		02/01/2021	Various		615	618	401	401					401		214		214		02/01/2051	2.B FE
38082J-AA-7	GLDN_16-2A - ABS		03/20/2021	SCHEDULED REDEMPTION		17,698	17,698	17,698	17,698						17,698				280	09/20/2047	1.A FE
37953T-AB-1	GLOBAL SHIP LEASE INC	D	01/20/2021	CALL TRANSACTION		204,938	200,000	204,000	203,401		(201)		(201)		203,199		(3,199)		8,504	11/15/2022	4.C FE
38218G-AA-0	GOODG_18-1 - ABS	C	03/15/2021	SCHEDULED REDEMPTION		143,730	143,730	143,715	143,715		14		14		143,730					10/15/2053	1.A FE
38218D-AA-7	GOODG_19-1A - ABS		03/15/2021	SCHEDULED REDEMPTION		250,348	250,348	250,272	250,274		74		74		250,348				81	10/15/2054	1.C FE
362334-LJ-1	GSAMP_06-HE2		03/25/2021	SCHEDULED REDEMPTION		56,824	56,824	49,792	53,857		2,966		2,966		56,824				30	03/25/2046	1.D FM
362334-EC-4	GSAMP_06-NC1		03/25/2021	SCHEDULED REDEMPTION		18,787	18,787	16,321	18,787		737		737		18,787				30	02/25/2036	1.A FM
36253B-AU-7	GSMS_14-GC22		03/26/2021	GOLDMAN SACHS & CO LLC		1,086,406	1,000,000	1,029,969	1,011,313		(795)		(795)		1,010,519		75,887		12,766	06/01/2047	1.A FM
36250V-AD-4	GSMS_15-GC34		03/09/2021	WELLS FARGO ADVISORS, LLC		765,570	700,000	718,102	710,727		(416)		(416)		710,311		55,259		6,817	10/01/2048	1.A FM
41161E-AA-6	HARBORWALK FUNDING TRUST		03/26/2021	EXCHANGE		13,400,000	13,400,000	13,400,000	13,400,000						13,400,000				417,640	02/15/2069	1.D FE
437084-JU-1	HEAT_05-2		03/25/2021	SCHEDULED REDEMPTION		44,351	44,351	40,165	43,272		1,079		1,079		44,351				107	07/25/2035	1.A FM
42770R-AA-8	HERO_14-1A - ABS		03/20/2021	SCHEDULED REDEMPTION		60,885	60,885	63,473	63,203		(2,318)		(2,318)		60,885				1,446	09/20/2038	1.A FE
42770U-AA-1	HERO_15-2A - ABS		03/20/2021	SCHEDULED REDEMPTION		27,658	27,658	28,246	28,390		(721)		(721)		27,658				390	09/20/2040	1.A FE
431318-AN-4	HILCORP ENERGY LP/HILCORP FINANC		01/22/2021	TENDER TRANSACTION		146,000	146,000	140,525	142,117		52		52		142,169		3,831		3,833	12/01/2024	3.C FE
43789A-AA-2	HOF_18-1		02/01/2021	SCHEDULED REDEMPTION		1,079,091	1,079,091	1,079,086	1,079,086		5		5		1,079,091				8,506	06/01/2048	1.A FM
44362#-AJ-1	HUBBAY MINERALS INC	A	02/24/2021	BARCLAYS CAPITAL INC		9,180	9,000	9,000	9,000						9,000		180		180	04/01/2026	4.B FE
44416#-AB-2	HUDSON TRANSMISSION PARTNERS LLC		02/28/2021	SCHEDULED REDEMPTION		30,515	30,515	30,096	30,163		352		352		30,515				337	05/31/2033	2.A PL
444454-AD-4	HUGHES SATELLITE SYSTEMS CORP		03/11/2021	GOLDMAN SACHS & CO LLC		110,760	100,000	106,825	106,476		(218)		(218)		106,258		4,502		3,267	08/01/2026	3.A FE
446413-AN-6	HUNTINGTON INGALLS INDUSTRIES INC		01/05/2021	EXCHANGE		567,324	523,000	572,125	567,434		(110)		(110)		567,324				3,574	05/01/2025	2.C FE
456606-GV-8	INABS_05-B		03/25/2021	SCHEDULED REDEMPTION		65,410	65,410	64,736	65,318		92		92		65,410				123	08/25/2035	1.D FM

E05.5

STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
456606-HU-9	INABS 05-C		03/25/2021	SCHEDULED REDEMPTION		121,455	121,455	106,273	117,387		4,068		4,068		121,455				223	10/25/2035	1.A FM
466420-BJ-8	JPMCC 14-C20		03/26/2021	BARCLAYS CAPITAL INC		432,516	400,000	411,997	404,483		(319)		(319)		404,163		28,352	28,352	5,031	07/01/2047	1.A FM
46590M-AQ-3	JPMCC 16-JP2		03/01/2021	SCHEDULED REDEMPTION		20,223	20,223	20,425	20,334		(112)		(112)		20,223				116	08/01/2049	1.A FM
46639G-AF-3	JPMIT 13-1		03/01/2021	SCHEDULED REDEMPTION		191,925	191,925	197,683	196,089		(4,164)		(4,164)		191,925				1,464	03/01/2043	1.A FM
46639G-AG-1	JPMIT 13-1		03/01/2021	SCHEDULED REDEMPTION		109,541	109,541	112,296	111,710		(2,170)		(2,170)		109,541				836	03/01/2043	1.A FM
46639G-AH-9	JPMIT 13-1		03/01/2021	SCHEDULED REDEMPTION		95,785	95,785	97,177	97,024		(1,239)		(1,239)		95,785				731	03/01/2043	1.A FM
46643D-BF-3	JPMIT 14-0AK4		03/01/2021	SCHEDULED REDEMPTION		83,918	83,918	86,751	86,392		(2,473)		(2,473)		83,918				748	09/01/2044	1.A FM
50077L-AB-2	KRAFT HEINZ FOODS CO		03/26/2021	STIFEL, NICOLAUS & COMPANY INCORPORATED		344,094	325,000	309,153	309,597		79		79		309,677		34,417	34,417	4,700	06/01/2046	3.A FE
513075-BR-1	LAMAR MEDIA CORP		03/02/2021	WELLS FARGO ADVISORS, LLC		53,861	53,000	53,928			(32)		(32)		53,895		(34)	(34)	1,264	02/15/2028	4.A FE
513272-AB-0	LAMB WESTON HOLDINGS INC		02/09/2021	MARKET AXESS TRADING PLATFORM		705,179	675,000	698,186	696,463		(666)		(666)		695,797		9,382	9,382	9,141	11/01/2026	3.B FE
538034-AX-7	LIVE NATION ENTERTAINMENT INC		01/26/2021	MORGAN STANLEY & CO. LLC		16,200	16,000	16,000	16,000						16,000		200	200	40	01/15/2028	4.A FE
532619-AM-5	LROCK 14-3A - ABS	C	03/04/2021	CALL TRANSACTION		15,000,000	15,000,000	15,000,000	15,000,000						15,000,000				99,572	10/20/2026	1.A FE
532619-AP-8	LROCK 14-3A - ABS	C	03/04/2021	CALL TRANSACTION		4,000,000	4,000,000	4,000,000	4,000,000						4,000,000				37,053	10/20/2026	1.A FE
502175-DE-8	LTC PROPERTIES INC		02/16/2021	SCHEDULED REDEMPTION		210,000	210,000	210,000	210,000						210,000				2,363	02/16/2032	2.C
525221-EM-5	LXS 05-7N		02/03/2021	Various		356,502	362,405	342,611	344,522		537		537		345,059		11,443	11,443	266	12/25/2035	1.D FM
58769T-AC-9	MBART 19-1		03/15/2021	SCHEDULED REDEMPTION		950,669	950,669	950,669	950,669						950,669				664	06/15/2022	1.A FE
588056-AW-1	MERCER INTERNATIONAL INC	C	03/24/2021	Various		229,212	223,000	212,370	214,517		297		297		214,814		14,397	14,397	8,505	01/15/2026	4.A FE
588056-BA-8	MERCER INTERNATIONAL INC	C	01/15/2021	OPPENHEIMER & CO., INC.		14,280	14,000	14,000						14,000		280	280			02/01/2029	4.A FE
55303X-AJ-4	MGM GROWTH PROPERTIES OPERATING PA		02/10/2021	DEUTSCHE BANK SECURITIES INC		397,320	350,000	394,188	389,266		(656)		(656)		388,610		8,710	8,710	10,677	02/01/2027	3.C FE
61913P-AS-1	MHL 05-1		03/25/2021	SCHEDULED REDEMPTION		7,799	7,799	7,262	7,409		389		389		7,799				14	02/25/2035	1.D FM
59565X-AC-4	MIDCONTINENT COMMUNICATIONS		03/02/2021	JP MORGAN SECURITIES LLC		114,586	109,000	111,570	111,111		(96)		(96)		111,015		3,571	3,571	3,239	08/15/2027	4.C FE
600814-AQ-0	MILLICOM INTERNATIONAL CELLULAR S	D	02/10/2021	GOLDMAN SACHS & CO LLC		223,700	200,000	202,750	202,250		(34)		(34)		202,216		21,484	21,484	4,757	03/25/2029	3.B FE
55336V-AJ-9	MPLX LP		02/22/2021	MORGAN STANLEY & CO. LLC		10,723,581	9,405,000	9,601,177	9,517,029		(3,400)		(3,400)		9,513,629		1,209,952	1,209,952	105,708	06/01/2025	2.B FE
61691J-AY-0	MSC 17-H1		03/26/2021	BARCLAYS CAPITAL INC		1,861,766	1,700,000	1,750,910	1,734,523		(1,212)		(1,212)		1,733,310		128,455	128,455	21,202	06/01/2050	1.A FM
61691N-AE-5	MSC 17-HR2		03/22/2021	BARCLAYS CAPITAL INC		8,257,031	7,500,000	7,457,227	7,467,784		948		948		7,468,732		788,299	788,299	84,444	12/01/2050	1.A FM
626738-AF-5	MURPHY OIL USA INC		01/22/2021	JEFFERIES & CO. INC.		43,215	43,000	43,000						43,000		215	215			02/15/2031	3.B FE
670778-AH-7	NASSAU AIRPORT DEVELOPMENT CO	D	03/31/2021	SCHEDULED REDEMPTION		120,000	120,000	120,000	120,000						120,000				1,902	03/31/2035	3.C PL
64352V-LL-3	NCHET 05-3		03/25/2021	SCHEDULED REDEMPTION		326,554	326,554	293,878	322,120		4,434		4,434		326,554				612	07/25/2035	1.A FM
64110L-AN-6	NETFLIX INC		03/31/2021	MORGAN STANLEY & CO. LLC		103,904	93,000	94,163	93,963		(38)		(38)		93,925		9,979	9,979	1,582	11/15/2026	3.C FE
65341K-BB-1	NFMOT 18-1A		02/15/2021	SCHEDULED REDEMPTION		5,000,000	5,000,000	4,999,544	4,999,980		20		20		5,000,000				29,750	02/15/2023	1.F FE
654894-AF-1	NOBLE ENERGY INC		01/08/2021	EXCHANGE		10,456,467	10,230,000	10,532,042	10,457,023		(556)		(556)		10,456,467				220,513	04/01/2027	2.C FE
64829L-AA-6	NRZT 16-4		03/01/2021	SCHEDULED REDEMPTION		64,206	64,206	63,700	63,743		463		463		64,206				540	11/01/2056	1.A FM
64829J-AA-1	NRZT 17-1A		03/01/2021	SCHEDULED REDEMPTION		172,642	172,642	174,326	174,326		(1,683)		(1,683)		172,642				1,540	02/01/2057	1.A FM
64830G-AB-2	NRZT 18-1		03/01/2021	SCHEDULED REDEMPTION		23,668	23,668	23,715	23,707		(39)		(39)		23,668				215	12/01/2057	1.A FM
64828C-AY-5	NRZT 18-2		03/01/2021	SCHEDULED REDEMPTION		41,292	41,292	41,666	41,584		(291)		(291)		41,292				390	02/01/2058	1.A FM
674599-CR-4	OCCIDENTAL PETROLEUM CORPORATION		01/19/2021	MORGAN STANLEY & CO. LLC		1,477,500	1,500,000	1,505,820	1,504,760		(43)		(43)		1,504,717				20,800	08/15/2026	3.C FE
674599-CX-1	OCCIDENTAL PETROLEUM CORPORATION		01/29/2021	Various		2,828,856	3,217,000	3,214,481	3,214,580		3		3		3,214,583		(385,727)	(385,727)	33,225	08/15/2039	3.B FE
674599-DG-7	OCCIDENTAL PETROLEUM CORPORATION		03/03/2021	Various		8,942,038	18,000,000	8,401,392	8,898,095		58,117		58,117		8,956,212		(14,174)	(14,174)		10/10/2036	3.B FE
68389F-JY-1	OMLT 05-5		03/25/2021	SCHEDULED REDEMPTION		62,410	62,410	54,413	60,214		2,196		2,196		62,410				106	12/25/2035	1.A FM
67108F-AN-6	OZLIF 13-4A - ABS		01/22/2021	SCHEDULED REDEMPTION																10/22/2030	1.A FE
69376B-AA-4	PACF 20-1A - ABS		03/20/2021	SCHEDULED REDEMPTION		216,432	216,432	218,216	218,210		(1,778)		(1,778)		216,432				2,402	09/20/2055	1.A FE
701885-AF-2	PARSLEY ENERGY LLC/ PARSLEY FINANC		01/29/2021	CALL TRANSACTION		571,659	550,000	550,713	550,599		(32)		(32)		550,538		(568)	(568)	34,813	08/15/2025	3.C FE
74114B-AJ-2	PART 20-1A		01/29/2021	WELLS FARGO ADVISORS, LLC		2,543,555	2,500,000	2,499,959	2,499,961		1		1		2,499,962		43,593	43,593	5,288	11/16/2026	2.B FE

E05.6

STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
718592-AB-4	PHILLIPS-VAN HEUSEN CORPORATION		02/18/2021	MILLENNIUM ADVISORS, LLC		75,040	64,000	79,135	69,297		(149)		(149)		69,147		5,893	5,893	1,061	11/15/2023	2.C FE
724060-AA-6	PIPELINE FUNDING COMPANY LLC - ABS		01/15/2021	SCHEDULED REDEMPTION		23,741	23,741	27,845	27,048		(3,308)		(3,308)		23,741				890	01/15/2030	2.B FE
73316P-EN-6	POPLR_05-B		03/25/2021	SCHEDULED REDEMPTION		158,054	158,054	147,780	155,700		2,354		2,354		158,054				411	08/25/2035	1.D FM
70069F-FL-6	PPSI_05-WCH1		03/25/2021	SCHEDULED REDEMPTION		65,156	65,156	64,952	65,070		86		86		65,156				200	01/25/2035	1.A FM
74112B-AI-7	PRESTIGE BRANDS INC		02/11/2021	MORGAN STANLEY & CO. LLC		9,056	9,000	9,000							9,000		56	56		04/01/2031	4.B FE
69700H-AE-0	PSTAT_18-2A - ABS	C	02/25/2021	CALL TRANSACTION		1,400,000	1,400,000	1,400,000	1,400,000						1,400,000				8,732	07/15/2026	1.C FE
69700P-AA-0	PSTAT_18-5A - ABS		01/20/2021	SCHEDULED REDEMPTION		912,969	912,969	912,969	912,969						912,969				2,493	01/20/2027	1.A FM
745867-AX-9	PULTE GROUP INC		02/12/2021	TENDER TRANSACTION		12,000		12,450	12,371		(6)		(6)		12,365		(365)	(365)	2,685	01/15/2027	2.C FE
74736K-AJ-0	QORVO INC		02/16/2021	PLATFORM		535,421	521,000	522,564	522,523		(21)		(21)		522,502		12,920	12,920	6,789	04/01/2031	3.A FE
76112B-Z3-7	RAMP_06-RZ1		03/25/2021	SCHEDULED REDEMPTION		303,633	303,633	293,955	301,956		1,677		1,677		303,633				628	03/25/2036	1.A FM
76113A-AG-6	RASC_06-KS1		01/25/2021	SCHEDULED REDEMPTION		15,274	15,274	15,140	15,140		133		133		15,274				9	02/25/2036	1.A FM
75406W-AD-3	RASC_06-KS6		03/25/2021	SCHEDULED REDEMPTION		58,729	58,729	52,012	56,134		2,595		2,595		58,729				45	08/25/2036	1.A FM
76119L-AA-9	RESIDED FUNDING INC		02/16/2021	CALL TRANSACTION		63,675	60,000	62,400	61,793		(53)		(53)		61,740		(1,740)	(1,740)	4,747	11/01/2026	3.B FE
76823F-AT-8	RIVER FUEL FUNDING COMPANY #3 INC		02/15/2021	CALL TRANSACTION		3,250,000	3,250,000	3,250,000	3,250,000						3,250,000				37,050	04/15/2021	2.A
78081B-AF-0	ROYALTY PHARMA PLC		03/01/2021	MORGAN STANLEY & CO. LLC		6,729,028	6,928,000	6,807,501	6,808,287		371		371		6,808,658		(79,630)	(79,630)	123,655	09/02/2050	2.C FE
805564-QV-6	SAST_04-3		02/03/2021	MORGAN STANLEY & CO. LLC		780,166	784,919	682,879	718,994		979		979		719,973		60,193	60,193	887	12/26/2034	1.D FM
18038*-AA-4	SBM BALEIA AZUL SARL	D	03/15/2021	SCHEDULED REDEMPTION		180,360	180,360	179,492	180,054		306		306		180,360				2,480	09/15/2027	3.C
80286W-AG-1	SDART_20-4		02/02/2021	Various		5,095,898	5,000,000	4,999,053	4,999,076		20		20		4,999,096		96,803	96,803	13,542	01/15/2027	2.B FE
81745J-AA-6	SEMT_13-11		03/01/2021	SCHEDULED REDEMPTION		41,812	41,812	39,799	40,307		1,505		1,505		41,812				339	09/01/2043	1.A FM
81746N-CB-2	SEMT_16-3		03/01/2021	SCHEDULED REDEMPTION		4,413	4,413	4,517	4,498		(86)		(86)		4,413				36	11/01/2046	1.A FM
81746N-CC-0	SEMT_16-3		03/01/2021	SCHEDULED REDEMPTION		3,333	3,333	3,297	3,303		30		30		3,333				27	11/01/2046	1.A FM
82873M-AA-1	SIMMONS FOODS INC		03/05/2021	MARKETS, INC		18,248	18,000	18,000							18,000		248	248	14	03/01/2029	4.B FE
83421F-AA-1	SOLGEN LLC - ABS		01/06/2021	CALL TRANSACTION		654,175	654,175	654,175	654,175						654,175				428	09/30/2036	2.C PL
84519F-AG-9	SOUTHWEST POWER POOL INC		03/30/2021	SCHEDULED REDEMPTION		75,000	75,000	75,000	75,000						75,000				609	09/30/2024	1.F
86203F-AA-8	STONEHENGE CAPITAL FUND CONNECTICU		03/15/2021	SCHEDULED REDEMPTION		12,666	12,666	12,666	12,666						12,666				253	12/15/2025	1.G
84751P-ET-2	SURF_05-BC1		03/25/2021	SCHEDULED REDEMPTION		44,328	44,328	44,328	44,328						44,328				118	12/25/2035	1.A FM
87470L-AA-9	TALLGRASS ENERGY PARTNERS LP		01/21/2021	CALL TRANSACTION		499,365	486,000	489,341	487,658		(52)		(52)		487,606		(1,606)	(1,606)	22,721	09/15/2024	3.C FE
87612B-BB-7	TARGA RESOURCES PARTNERS LP/TARGA		02/18/2021	CALL TRANSACTION		752,812	734,000	719,959	724,044		284		284		724,328		9,672	9,672	39,398	02/01/2025	3.C FE
88031V-AA-7	TENASKA GATEWAY PARTNERS LTD		03/30/2021	SCHEDULED REDEMPTION		96,600	96,600	82,022	92,638		3,962		3,962		96,600				1,462	12/30/2023	2.C FE
883203-BT-7	TEXTRON INC		03/01/2021	MATURED		8,240,000	8,240,000	8,228,486	8,239,643		357		357		8,240,000				150,380	03/01/2021	2.B FE
90139Q-AB-3	TWIN BROOK I - OFFSHORE - ABS		01/20/2021	CAPITAL DISTRIBUTION		304,214	304,214	304,214	304,214						304,214				3,359	04/25/2024	1.E PL
90139P-AB-5	TWIN BROOK I - ONSHORE - ABS		01/20/2021	CAPITAL DISTRIBUTION		330,461	330,461	330,461	330,461						330,461				3,666	04/25/2024	1.E PL
90139F-AA-9	TWIN BROOK II - OFFSHORE - ABS		03/30/2021	CAPITAL DISTRIBUTION		1,994,474	1,994,474	1,989,488	1,989,809		351		351		1,990,160		4,313	4,313	17,361	06/09/2026	1.E PL
90139*-AA-3	TWIN BROOK II - ONSHORE - ABS		03/30/2021	CAPITAL DISTRIBUTION		2,274,885	2,274,885	2,269,197	2,269,564		390		390		2,269,954		4,930	4,930	19,898	06/09/2026	1.E PL
92564R-AD-7	VICI PROPERTIES LP/VICI NOTE CO IN		02/11/2021	GOLDMAN SACHS & CO LLC		201,094	195,000	194,513	194,536		8		8		194,544		6,550	6,550	3,677	02/15/2027	3.B FE
92922F-AR-6	WAMU_05-AR13		02/03/2021	Various		125,983	127,574	118,484	119,347		357		357		119,704		6,278	6,278	148	10/25/2045	1.D FM
92925C-BD-3	WAMU_05-AR19		03/25/2021	SCHEDULED REDEMPTION		3,276	3,276	2,883	2,922		355		355		3,276				7	12/25/2045	1.D FM
961214-DF-7	WESTPAC BANKING CORP	D	02/23/2021	CREDIT SUISSE SECURITIES (USA) LLC		5,086,318	4,500,000	4,472,325	4,476,627		251		251		4,476,878		609,439	609,439	49,428	11/23/2031	2.A FE
95001F-AX-3	WFCM_17-RC1		03/26/2021	WELLS FARGO ADVISORS, LLC		6,153,656	5,600,000	5,615,969	5,611,550		(425)		(425)		5,611,125		542,532	542,532	67,214	01/01/2060	1.A FM
9497EN-AE-3	WHET_05-3		03/25/2021	SCHEDULED REDEMPTION		57,945	57,945	52,168	57,240		705		705		57,945				137	11/25/2035	1.D FM
96042G-AJ-1	WLAKE_18-3		03/15/2021	SCHEDULED REDEMPTION		860,039	860,039	860,846	860,161		(121)		(121)		860,039				7,095	10/16/2023	1.B FE
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					209,200,864	212,612,191	203,616,591	203,716,303		14,005		14,005		203,971,636		5,154,238	5,154,238	2,377,354	XXX	XXX
381427-AA-1	GOLDMAN SACHS CAPITAL TRUST II		03/25/2021	GOLDMAN SACHS & CO LLC		601,816	631,000	416,460	416,460						416,460		185,356	185,356	8,273	01/01/9999	3.A FE
4899999	Subtotal - Bonds - Hybrid Securities					601,816	631,000	416,460	416,460						416,460		185,356	185,356	8,273	XXX	XXX
BH22N-4D-9	1011778 BC UNLIMITED LIABILITY CO	A	03/02/2021	BANC OF AMERICA SECURITIES LLC		242,943	244,471	244,471	244,471						244,471		(1,528)	(1,528)	1,187	11/14/2026	3.B FE

E05.7

STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22		
										11	12	13	14	15									
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol		
..02376C-AX-3	AMERICAN AIRLINES GROUP INC		01/29/2021	SINKING FUND TRANSACTION		5,511	5,511	5,486	5,490						5,490		21	21	9	01/29/2027	4.A FE		
..BHM1VQ-06-3	BAUSCH HEALTH COMPANIES INC	A	01/01/2021	Various		(3)	(3)	(3)	16,952						(3)					06/02/2025	3.B FE		
..BHM28A-N6-5	INEOS US PETROCHEM LLC		02/25/2021	JP MORGAN SECURITIES LLC		40,200	40,000	39,800							39,800		400	400		01/20/2026	3.B FE		
..52729K-AP-1	LEVEL 3 PARENT LLC		03/03/2021	BANC OF AMERICA SECURITIES LLC		100,819	101,198	101,325	101,198						101,198		(380)	(380)	261	03/01/2027	3.A FE		
..15669G-AH-7	LUMEN TECHNOLOGIES INC		03/31/2021	SINKING FUND TRANSACTION		220	220	220	220						220				1	03/15/2027	3.A FE		
..63937Y-AE-1	NAVISTAR INTERNATIONAL CORPORATION		01/29/2021	SINKING FUND TRANSACTION		3,054	3,054	3,046	3,047						3,047		7	7	9	11/02/2024	3.C FE		
..84857H-AW-0	SPIRIT AEROSYSTEMS INC		03/31/2021	SINKING FUND TRANSACTION		1,245	1,245	1,239	1,239						1,239		6	6	17	01/15/2025	3.C FE		
..78466D-BF-0	SS&C TECHNOLOGIES HOLDINGS INC		03/31/2021	SINKING FUND TRANSACTION		338	338	336	337						337		1	1	1	04/16/2025	3.B FE		
..BHM21Y-1D-9	WELLS ENTERPRISES INC		03/31/2021	SINKING FUND TRANSACTION		527	527	525	526						526		1	1	4	03/29/2025	4.A FE		
8299999. Subtotal - Bonds - Unaffiliated Bank Loans						394,853	396,561	396,446	373,478				1		396,324		(1,471)	(1,471)	1,489	XXX	XXX		
8399997. Total - Bonds - Part 4						264,326,665	249,632,748	259,343,588	256,411,883		(624,916)		(624,916)		256,094,537		7,515,367	7,515,367	3,706,050	XXX	XXX		
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
8399999. Total - Bonds						264,326,665	249,632,748	259,343,588	256,411,883		(624,916)		(624,916)		256,094,537		7,515,367	7,515,367	3,706,050	XXX	XXX		
8999997. Total - Preferred Stocks - Part 4							XXX													XXX	XXX		
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks							XXX													XXX	XXX		
..64110L-10-6	NETFLIX INC		02/10/2021	BARCLAYS CAPITAL INC		1,114,000		619,839	601,034						601,034		18,805	18,805					
..L8681T-10-2	SPOTIFY TECHNOLOGY SA	D	03/08/2021	BARCLAYS CAPITAL INC		3,093,000		804,965	1,044,543						1,044,543		(239,578)	(239,578)					
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						1,424,804	XXX	1,645,577							1,645,577		(220,773)	(220,773)		XXX	XXX		
9799997. Total - Common Stocks - Part 4						1,424,804	XXX	1,645,577							1,645,577		(220,773)	(220,773)		XXX	XXX		
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
9799999. Total - Common Stocks						1,424,804	XXX	1,645,577							1,645,577		(220,773)	(220,773)		XXX	XXX		
9899999. Total - Preferred and Common Stocks						1,424,804	XXX	1,645,577							1,645,577		(220,773)	(220,773)		XXX	XXX		
9999999 - Totals						265,751,469	XXX	260,989,165	256,411,883		(624,916)		(624,916)		257,740,114		7,294,594	7,294,594	3,706,050	XXX	XXX		

E05.8

STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23														
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)														
007999999. Subtotal - Purchased Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108														XXX																			XXX	XXX		
014999999. Subtotal - Purchased Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108														XXX																					XXX	XXX
CMS IDX CALL @ 50BP 06/18/21	VAGLB Hedge - Macro	N/A	E	JP MORGAN CHASE BANK OF AMERICA, NA	05/15/2019	06/18/2021		1,000,000,000	0.50	740,000			71,000	XXX	71,000	(83,000)							0002													
NDX IDX CALL @ 11000 06/30/23	VAGLB Hedge - Macro	N/A	E	BANK OF AMERICA, NA	04/17/2020	06/30/2023		29,848,490	11,000.00	946,200			6,298,189	XXX	6,298,189	(33,215)							0002													
NDX IDX CALL @ 11280 06/30/22	VAGLB Hedge - Macro	N/A	E	BANK OF AMERICA, NA	05/19/2020	06/30/2022		35,268,347	11,280.00	995,837			5,714,995	XXX	5,714,995	(78,995)							0002													
MXEA IDX CALL @ 2040 06/30/22	VAGLB Hedge - Macro	N/A	E	CREDIT SUISSE FB INT	05/27/2020	06/30/2022		24,114,833	2,040.00	333,824			2,228,616	XXX	2,228,616	229,440							0002													
S&P IDX CALL @ 3875 - PREMIUM PAYABLE 06/30/23	VAGLB Hedge - Macro	N/A	E	BANK OF AMERICA, NA	06/04/2020	06/30/2023		119,186,700	3,875.00		2,150,064		12,534,246	XXX	12,534,246	3,055,085							0002													
MXEA IDX CALL @ 2050 - PREMIUM PAYABLE 06/30/21	VAGLB Hedge - Macro	N/A	E	CREDIT SUISSE FB INT	06/05/2020	06/30/2021		27,824,807	2,050.00		275,012		259,369	XXX	259,369	(142,707)							0002													
S&P IDX CALL @ 4160 06/30/25	VAGLB Hedge - Macro	N/A	E	JP MORGAN CHASE BANK	06/05/2020	06/30/2025		85,814,424	4,160.00	3,240,000			10,278,223	XXX	10,278,223	2,557,288							0002													
NDX IDX CALL @ 11200 - PREMIUM PAYABLE 06/30/21	VAGLB Hedge - Macro	N/A	E	BANK OF AMERICA, NA	06/08/2020	06/30/2021		40,845,302	11,200.00		426,962		3,745,145	XXX	3,745,145	149,783							0002													
S&P IDX CALL @ 3800 - PREMIUM PAYABLE 06/30/22	VAGLB Hedge - Macro	N/A	E	BANK OF AMERICA, NA	06/09/2020	06/30/2022		143,024,040	3,800.00		2,984,512		11,778,771	XXX	11,778,771	3,086,255							0002													
MXEA IDX CALL @ 2250 06/30/23	VAGLB Hedge - Macro	N/A	E	CREDIT SUISSE FB INT	06/11/2020	06/30/2023		20,537,357	2,250.00	317,037			1,303,905	XXX	1,303,905	171,746							0002													
S&P IDX CALL @ 3500 - PREMIUM PAYABLE 06/30/21	VAGLB Hedge - Macro	N/A	E	BANK OF AMERICA, NA	06/11/2020	06/30/2021		162,093,912	3,500.00		1,835,691		7,421,986	XXX	7,421,986	1,649,120							0002													
NDX IDX CALL @ 12500 06/28/24	VAGLB Hedge - Macro	N/A	E	BANK OF AMERICA, NA	06/15/2020	06/28/2024		25,921,057	12,500.00	1,345,469			4,280,330	XXX	4,280,330	(13,611)							0002													
S&P IDX CALL @ 3900 06/28/24	VAGLB Hedge - Macro	N/A	E	BANK OF AMERICA, NA	06/15/2020	06/28/2024		101,547,068	3,900.00	4,261,108			12,848,714	XXX	12,848,714	2,972,679							0002													
NDX IDX CALL @ 12750 - PREMIUM PAYABLE 06/30/25	VAGLB Hedge - Macro	N/A	E	BANK OF AMERICA, NA	06/16/2020	06/30/2025		21,993,624	12,750.00		1,473,853		3,933,364	XXX	3,933,364	184,123							0002													
S&P IDX CALL @ 4000 - PREMIUM PAYABLE 06/30/27	VAGLB Hedge - Macro	N/A	E	CREDIT SUISSE FB INT	06/18/2020	06/30/2027		63,168,951	4,000.00		4,589,393		11,651,523	XXX	11,651,523	3,005,742							0002													
NDX IDX CALL @ 12500 - PREMIUM PAYABLE 06/30/26	VAGLB Hedge - Macro	N/A	E	JP MORGAN CHASE BANK	06/17/2020	06/30/2026		18,851,678	12,500.00		1,735,823		3,945,571	XXX	3,945,571	351,503							0002													
S&P IDX CALL @ 4000 - PREMIUM PAYABLE 06/30/26	VAGLB Hedge - Macro	N/A	E	CREDIT SUISSE FB INT	06/18/2020	06/30/2026		73,895,754	4,000.00		4,494,658		11,971,612	XXX	11,971,612	3,006,321							0002													
NDX IDX CALL @ 12500 - PREMIUM PAYABLE 06/30/27	VAGLB Hedge - Macro	N/A	E	CREDIT SUISSE FB INT	06/17/2020	06/30/2027		15,709,732	12,500.00		1,496,480		3,592,379	XXX	3,592,379	437,657							0002													
015999999. Subtotal - Purchased Options - Hedging Other - Call Options and Warrants										12,179,475	21,462,448		113,857,938	XXX	113,857,938	20,505,214						XXX	XXX													
S&P IDX PUT @ 1431.25 10/21/22	VAGLB Hedge - Macro	N/A	E	WELLS FARGO BANK BANK OF AMERICA, NA	10/19/2012	10/21/2022		277,581,851	1,431.25	30,380,002			874,385	XXX	874,385	(287,470)							0002													
S&P IDX PUT @ 1426.25 10/21/22	VAGLB Hedge - Macro	N/A	E	BANK OF AMERICA, NA	10/22/2012	10/21/2022		278,554,971	1,426.25	30,400,002			867,765	XXX	867,765	(278,865)							0002													
S&P IDX PUT @ 1410.77 10/21/22	VAGLB Hedge - Macro	N/A	E	MORGAN STANLEY INTER	10/23/2012	10/21/2022		281,612,388	1,410.77	30,749,999			847,332	XXX	847,332	(251,987)							0002													
S&P IDX PUT @ 1411.60 10/21/22	VAGLB Hedge - Macro	N/A	E	HSBC BANK PLC	10/25/2012	10/21/2022		281,443,500	1,411.60	29,899,861			848,424	XXX	848,424	(253,440)							0002													

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P IDX PUT @ 1409.50 10/21/22	VAGLB Hedge - Macro	N/A	E	HSBC BANK PLC	10/26/2012	10/21/2022		281,864,627	1,409.50	30,249,672			845,666		845,666	(249,778)						0002
S&P IDX PUT @ 1425.10 10/21/22	VAGLB Hedge - Macro	N/A	E	BARCLAYS BANK PLC	11/01/2012	10/21/2022		278,777,691	1,425.10	29,999,780			866,238		866,238	(276,878)						0002
S&P IDX PUT @ 1370.00 11/18/22	VAGLB Hedge - Macro	N/A	E	MORGAN STANLEY INTER	11/14/2012	11/18/2022		289,989,187	1,370.00	30,799,704			851,209		851,209	(182,458)						0002
S&P IDX PUT @ 1455.67 01/20/23	VAGLB Hedge - Macro	N/A	E	GOLDMAN SACHS INTL	01/08/2013	01/20/2023		272,925,227	1,455.67	29,638,591			1,126,559		1,126,559	(345,121)						0002
S&P IDX PUT @ 2700 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro	N/A	E	JP MORGAN CHASE BANK	06/25/2018	06/20/2025		146,996,930	2,700.00		19,057,913		8,627,961	^	8,627,961	(2,032,725)						0002
S&P IDX PUT @ 2700 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro	N/A	E	HSBC BANK PLC	06/27/2018	06/20/2025		293,993,860	2,700.00		37,534,740		17,255,940	^	17,255,940	(4,076,320)						0002
S&P IDX PUT @ 2800 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro	N/A	E	HSBC BANK PLC	07/23/2018	06/20/2025		141,832,173	2,800.00		17,763,802		9,184,398	^	9,184,398	(2,146,610)						0002
S&P IDX PUT @ 2800 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro	N/A	E	HSBC BANK PLC	07/24/2018	06/20/2025		141,832,173	2,800.00		17,915,072		9,184,394	^	9,184,394	(2,143,781)						0002
RTY IDX PUT @ 1670 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro	N/A	E	MORGAN STANLEY INTER	08/01/2018	06/20/2025		133,231,140	1,670.00		17,493,240		10,704,762	^	10,704,762	(3,521,719)						0002
MXEA IDX PUT @ 1990 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro	N/A	E	JP MORGAN CHASE BANK	08/08/2018	06/20/2025		110,415,900	1,990.00		16,521,394		13,645,475	^	13,645,475	(2,441,127)						0002
MXEA IDX PUT @ 1990 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro	N/A	E	BARCLAYS BANK PLC	08/08/2018	06/20/2025		110,415,900	1,990.00		17,604,517		13,645,443	^	13,645,443	(2,420,867)						0002
S&P IDX PUT @ 2800 06/16/28	VAGLB Hedge - Macro	N/A	E	MORGAN STANLEY INTER	08/14/2018	06/16/2028		141,832,173	2,800.00		18,956,700		12,695,883		12,695,883	(3,538,859)						0002
S&P IDX PUT @ 2900 06/20/25	VAGLB Hedge - Macro	N/A	E	MORGAN STANLEY INTER	08/27/2018	06/20/2025		137,064,705	2,900.00		15,487,481		9,760,909		9,760,909	(2,576,683)						0002
S&P IDX PUT @ 2900 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro	N/A	E	HSBC BANK PLC	09/05/2018	06/20/2025		137,064,705	2,900.00		17,669,630		9,760,384	^	9,760,384	(2,246,162)						0002
S&P IDX PUT @ 2880 06/16/28	VAGLB Hedge - Macro	N/A	E	MORGAN STANLEY INTER	09/12/2018	06/16/2028		137,859,283	2,880.00		18,391,000		13,161,537		13,161,537	(3,654,538)						0002
RTY IDX PUT @ 1700 - PREMIUM PAYABLE 09/15/23	VAGLB Hedge - Macro	N/A	E	JP MORGAN CHASE BANK	09/25/2018	09/15/2023		130,566,517	1,700.00		13,438,822		8,055,351	^	8,055,351	(3,739,235)						0002
S&P IDX PUT @ 2900 - PREMIUM PAYABLE 09/19/25	VAGLB Hedge - Macro	N/A	E	CREDIT SUISSE FB INT	09/27/2018	09/19/2025		137,064,705	2,900.00		17,007,991		10,119,091	^	10,119,091	(2,292,784)						0002
S&P IDX PUT @ 2800 - PREMIUM PAYABLE 09/19/25	VAGLB Hedge - Macro	N/A	E	CREDIT SUISSE FB INT	10/15/2018	09/19/2025		141,832,173	2,800.00		17,988,366		9,540,313	^	9,540,313	(2,177,479)						0002
S&P IDX PUT @ 2700 - PREMIUM PAYABLE 12/19/25	VAGLB Hedge - Macro	N/A	E	JP MORGAN CHASE BANK	10/31/2018	12/19/2025		146,996,930	2,700.00		17,807,195		9,330,853	^	9,330,853	(2,140,650)						0002
S&P IDX PUT @ 2650 - PREMIUM PAYABLE 12/19/25	VAGLB Hedge - Macro	N/A	E	HSBC BANK PLC	11/26/2018	12/19/2025		150,969,820	2,650.00		18,544,509		9,129,342	^	9,129,342	(2,107,721)						0002
S&P IDX PUT @ 3150 06/18/21	VAGLB Hedge - Macro	N/A	E	CREDIT SUISSE FB INT	03/18/2021	06/18/2021		595,933,500	3,150.00		3,618,750		2,130,939		2,130,939	(1,487,811)						0002
S&P IDX PUT @ 3150 06/18/21	VAGLB Hedge - Macro	N/A	E	JP MORGAN CHASE BANK	03/18/2021	06/18/2021		297,966,750	3,150.00		1,904,243		1,065,470		1,065,470	(839,773)						0002

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SCHEDULE DB - PART A - SECTION 1

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23			
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)			
S&P IDX PUT @ 3150 06/18/21	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKGMJYYJLN8C3868	03/19/2021	06/18/2021		178,780,050	3,150.00		1,230,300		639,282		639,282	(591,018)							0002		
0169999999. Subtotal - Purchased Options - Hedging Other - Put Options										294,952,792	253,100,484		184,765,305	XXX	184,765,305	(48,300,859)				XXX	XXX				
0219999999. Subtotal - Purchased Options - Hedging Other										307,132,267	274,562,932		298,623,243	XXX	298,623,243	(27,795,645)					XXX	XXX			
0289999999. Subtotal - Purchased Options - Replications														XXX							XXX	XXX			
0359999999. Subtotal - Purchased Options - Income Generation														XXX								XXX	XXX		
0429999999. Subtotal - Purchased Options - Other														XXX								XXX	XXX		
0439999999. Total Purchased Options - Call Options and Warrants										12,179,475	21,462,448		113,857,938	XXX	113,857,938	20,505,214					XXX	XXX			
0449999999. Total Purchased Options - Put Options										294,952,792	253,100,484		184,765,305	XXX	184,765,305	(48,300,859)					XXX	XXX			
0459999999. Total Purchased Options - Caps														XXX								XXX	XXX		
0469999999. Total Purchased Options - Floors														XXX									XXX	XXX	
0479999999. Total Purchased Options - Collars														XXX										XXX	XXX
0489999999. Total Purchased Options - Other														XXX										XXX	XXX
0499999999. Total Purchased Options										307,132,267	274,562,932		298,623,243	XXX	298,623,243	(27,795,645)						XXX	XXX		
0569999999. Subtotal - Written Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108														XXX									XXX	XXX	
0639999999. Subtotal - Written Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108														XXX										XXX	XXX
NDX IDX CALL @ 4400 06/30/23	VAGLB Hedge - Macro Hedge	N/A	E	BANK OF AMERICA, NA B4TYDEB6KGMZ0031MB27	04/17/2020	06/30/2023		29,848,490	4,400.00	(9,861,000)			(19,432,110)		(19,432,110)	(475,550)							0002		
NDX IDX CALL @ 4700 06/30/22	VAGLB Hedge - Macro Hedge	N/A	E	BANK OF AMERICA, NA B4TYDEB6KGMZ0031MB27	05/19/2020	06/30/2022		35,268,347	4,700.00	(12,598,895)			(22,396,481)		(22,396,481)	(591,714)							0002		
MXEA IDX CALL @ 850 06/30/22	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKGMJYYJLN8C3868	05/27/2020	06/30/2022		24,114,833	850.00	(8,946,428)			(14,432,736)		(14,432,736)	(821,301)							0002		
S&P IDX CALL @ 1550 - PREMIUM PAYABLE 06/30/23	VAGLB Hedge - Macro Hedge	N/A	E	BANK OF AMERICA, NA B4TYDEB6KGMZ0031MB27	06/04/2020	06/30/2023		119,186,700	1,550.00	(44,613,826)			(70,567,647)	^	(70,567,647)	(6,691,982)							0002		
MXEA IDX CALL @ 925 - PREMIUM PAYABLE 06/30/21	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKGMJYYJLN8C3868	06/05/2020	06/30/2021		27,824,807	925.00	(11,505,894)			(14,299,599)	^	(14,299,599)	(321,204)							0002		
S&P IDX CALL @ 1600 06/30/25	VAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK 7H6GLXDRUGFU57RNE97	06/05/2020	06/30/2025		85,814,424	1,600.00	(33,086,880)			(48,890,777)		(48,890,777)	(4,619,243)							0002		
NDX IDX CALL @ 4925 - PREMIUM PAYABLE 06/30/21	VAGLB Hedge - Macro Hedge	N/A	E	BANK OF AMERICA, NA B4TYDEB6KGMZ0031MB27	06/08/2020	06/30/2021		40,845,302	4,925.00	(15,317,899)			(23,318,523)	^	(23,318,523)	(251,329)							0002		
S&P IDX CALL @ 1600 - PREMIUM PAYABLE 06/30/22	VAGLB Hedge - Macro Hedge	N/A	E	BANK OF AMERICA, NA B4TYDEB6KGMZ0031MB27	06/09/2020	06/30/2022		143,024,040	1,600.00	(56,633,806)			(84,181,243)	^	(84,181,243)	(8,155,488)							0002		
MXEA IDX CALL @ 925 06/30/23	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKGMJYYJLN8C3868	06/11/2020	06/30/2023		20,537,357	925.00	(6,975,000)			(11,205,142)		(11,205,142)	(707,478)							0002		
S&P IDX CALL @ 1550 - PREMIUM PAYABLE 06/30/21	VAGLB Hedge - Macro Hedge	N/A	E	BANK OF AMERICA, NA B4TYDEB6KGMZ0031MB27	06/11/2020	06/30/2021		162,093,912	1,550.00	(62,576,658)			(86,950,809)	^	(86,950,809)	(3,164,936)							0002		
NDX IDX CALL @ 4800 06/28/24	VAGLB Hedge - Macro Hedge	N/A	E	BANK OF AMERICA, NA B4TYDEB6KGMZ0031MB27	06/15/2020	06/28/2024		25,921,057	4,800.00	(9,883,823)			(15,988,753)		(15,988,753)	(355,486)							0002		
S&P IDX CALL @ 1550 06/28/24	VAGLB Hedge - Macro Hedge	N/A	E	BANK OF AMERICA, NA B4TYDEB6KGMZ0031MB27	06/15/2020	06/28/2024		101,547,068	1,550.00	(37,872,508)			(59,321,802)		(59,321,802)	(5,496,777)							0002		
NDX IDX CALL @ 5000 - PREMIUM PAYABLE 06/30/25	VAGLB Hedge - Macro Hedge	N/A	E	BANK OF AMERICA, NA B4TYDEB6KGMZ0031MB27	06/16/2020	06/30/2025		21,993,624	5,000.00	(8,430,348)			(13,311,756)	^	(13,311,756)	(511,339)							0002		
NDX IDX CALL @ 5000 - PREMIUM PAYABLE 06/30/26	VAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK 7H6GLXDRUGFU57RNE97	06/17/2020	06/30/2026		18,851,678	5,000.00	(7,417,694)			(11,462,987)	^	(11,462,987)	(611,079)							0002		
S&P IDX CALL @ 1550 - PREMIUM PAYABLE 06/30/27	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKGMJYYJLN8C3868	06/18/2020	06/30/2027		63,168,951	1,550.00	(23,010,904)			(36,988,016)	^	(36,988,016)	(4,818,937)							0002		

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
NDX IDX CALL @ 5000 - PREMIUM PAYABLE 06/30/27	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKGMJYYJLN8C3868	06/17/2020	06/30/2027		15,709,732	5,000.00		(5,976,995)		(9,605,829)	^	(9,605,829)	(648,465)						0002	
S&P IDX CALL @ 1550 - PREMIUM PAYABLE 06/30/26	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKGMJYYJLN8C3868	06/18/2020	06/30/2026		73,895,754	1,550.00		(27,407,865)		(43,157,114)	^	(43,157,114)	(5,028,857)						0002	
0649999999. Subtotal - Written Options - Hedging Other - Call Options and Warrants										(119,224,534)	(262,891,889)		(585,511,324)	XXX	(585,511,324)	(43,271,165)					XXX	XXX	
S&P IDX PUT @ 712.55 10/21/22	VAGLB Hedge - Macro Hedge	N/A	E	BARCLAYS BANK PLC G5GSEF7VJP5170UK5573	11/01/2012	10/21/2022		557,555,383	712.55	(19,119,860)			(39,073)		(39,073)	(14,190)						0002	
S&P IDX PUT @ 700.00 10/21/22	VAGLB Hedge - Macro Hedge	N/A	E	SOCIETE GENERALE 02RNE81BXP4R0TD8PU41	11/07/2012	10/21/2022		556,204,600	700.00	(18,760,000)			(34,440)		(34,440)	(12,750)						0002	
S&P IDX PUT @ 700.00 10/21/22	VAGLB Hedge - Macro Hedge	N/A	E	SOCIETE GENERALE 02RNE81BXP4R0TD8PU41	11/08/2012	10/21/2022		556,204,600	700.00	(18,862,200)			(34,440)		(34,440)	(12,750)						0002	
S&P IDX PUT @ 700.00 10/21/22	VAGLB Hedge - Macro Hedge	N/A	E	CITIBANK, N.A. E57QDZIWZFF32TWEFA76	12/21/2012	10/21/2022		556,204,600	700.00	(17,788,400)			(34,440)		(34,440)	(12,750)						0002	
S&P IDX PUT @ 727.84 01/20/23	VAGLB Hedge - Macro Hedge	N/A	E	GOLDMAN SACHS INTL W22LROIP21HZNB6K528	01/08/2013	01/20/2023		545,850,454	727.84	(17,708,687)			(64,513)		(64,513)	(22,129)						0002	
S&P IDX PUT @ 1350 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK 7H6GLXDRUGOFU57RNE97	06/25/2018	06/20/2025		146,996,930	1,350.00	(3,679,616)			(1,361,632)	^	(1,361,632)	337,126						0002	
S&P IDX PUT @ 1350 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC MP615ZYZBEU3UXPYFY54	06/27/2018	06/20/2025		293,993,860	1,350.00	(7,119,749)			(2,723,272)	^	(2,723,272)	678,731						0002	
S&P IDX PUT @ 1400 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC MP615ZYZBEU3UXPYFY54	07/23/2018	06/20/2025		141,832,173	1,400.00	(3,074,664)			(1,454,674)	^	(1,454,674)	436,327						0002	
S&P IDX PUT @ 1400 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC MP615ZYZBEU3UXPYFY54	07/24/2018	06/20/2025		141,832,173	1,400.00	(3,191,933)			(1,454,671)	^	(1,454,671)	434,134						0002	
RTY IDX PUT @ 835 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	MORGAN STANLEY INTER 4PQUHN3JPF6FNF38B653	08/01/2018	06/20/2025		133,231,140	835.00	(3,188,726)			(1,242,995)	^	(1,242,995)	802,601						0002	
MXEA IDX PUT @ 995 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK 7H6GLXDRUGOFU57RNE97	08/08/2018	06/20/2025		110,415,900	995.00	(1,978,004)			(2,351,677)	^	(2,351,677)	47,817						0002	
MXEA IDX PUT @ 995 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	BARCLAYS BANK PLC G5GSEF7VJP5170UK5573	08/08/2018	06/20/2025		110,415,900	995.00	(3,109,909)			(2,351,644)	^	(2,351,644)	26,644						0002	
S&P IDX PUT @ 1400 06/16/28	VAGLB Hedge - Macro Hedge	N/A	E	MORGAN STANLEY INTER 4PQUHN3JPF6FNF38B653	08/14/2018	06/16/2028		141,832,173	1,400.00	(4,758,524)			(2,518,114)		(2,518,114)	719,277						0002	
S&P IDX PUT @ 1450 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	MORGAN STANLEY INTER 4PQUHN3JPF6FNF38B653	08/27/2018	06/20/2025		137,064,705	1,450.00	(2,760,000)			(1,549,181)		(1,549,181)	575,023						0002	
S&P IDX PUT @ 1450 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC MP615ZYZBEU3UXPYFY54	09/05/2018	06/20/2025		137,064,705	1,450.00	(2,981,541)			(1,549,092)	^	(1,549,092)	519,251						0002	
S&P IDX PUT @ 1440 06/16/28	VAGLB Hedge - Macro Hedge	N/A	E	MORGAN STANLEY INTER 4PQUHN3JPF6FNF38B653	09/12/2018	06/16/2028		137,859,283	1,440.00	(4,448,887)			(2,623,060)		(2,623,060)	795,279						0002	
RTY IDX PUT @ 850 - PREMIUM PAYABLE 09/15/23	VAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK 7H6GLXDRUGOFU57RNE97	09/25/2018	09/15/2023		130,566,517	850.00	(1,707,315)			(678,978)	^	(678,978)	779,335						0002	
S&P IDX PUT @ 1450 - PREMIUM PAYABLE 09/19/25	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKGMJYYJLN8C3868	09/27/2018	09/19/2025		137,064,705	1,450.00	(3,022,471)			(1,661,823)	^	(1,661,823)	576,862						0002	
S&P IDX PUT @ 1400 - PREMIUM PAYABLE 09/19/25	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKGMJYYJLN8C3868	10/15/2018	09/19/2025		141,832,173	1,400.00	(3,279,181)			(1,563,289)	^	(1,563,289)	486,066						0002	

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STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23		
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)		
S&P IDX PUT @ 1350 - PREMIUM PAYABLE 12/19/25	VAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK	10/31/2018	12/19/2025		146,996,930	1,350.00		(3,089,726)		(1,573,565)	^	(1,573,565)	449,980						0002		
S&P IDX PUT @ 1325 - PREMIUM PAYABLE 12/19/25	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC	11/26/2018	12/19/2025		150,969,820	1,325.00		(3,311,036)		(1,535,827)	^	(1,535,827)	397,913						0002		
0659999999. Subtotal - Written Options - Hedging Other - Put Options										(104,206,558)	(42,733,871)		(28,400,400)	XXX	(28,400,400)	7,987,797				XXX	XXX			
0709999999. Subtotal - Written Options - Hedging Other										(223,431,092)	(305,625,760)		(613,911,724)	XXX	(613,911,724)	(35,283,368)						XXX	XXX	
0779999999. Subtotal - Written Options - Replications														XXX							XXX	XXX		
0849999999. Subtotal - Written Options - Income Generation														XXX								XXX	XXX	
0919999999. Subtotal - Written Options - Other														XXX								XXX	XXX	
0929999999. Total Written Options - Call Options and Warrants										(119,224,534)	(262,891,889)		(585,511,324)	XXX	(585,511,324)	(43,271,165)						XXX	XXX	
0939999999. Total Written Options - Put Options										(104,206,558)	(42,733,871)		(28,400,400)	XXX	(28,400,400)	7,987,797						XXX	XXX	
0949999999. Total Written Options - Caps														XXX								XXX	XXX	
0959999999. Total Written Options - Floors														XXX									XXX	XXX
0969999999. Total Written Options - Collars														XXX									XXX	XXX
0979999999. Total Written Options - Other														XXX									XXX	XXX
0989999999. Total Written Options										(223,431,092)	(305,625,760)		(613,911,724)	XXX	(613,911,724)	(35,283,368)							XXX	XXX
CSWP: USD 3.05%(EUR 1.12%) 09/23/26	980745F*9 - WOODWARD INC	D PART 1	D	JP MORGAN CHASE BANK	09/14/2016	09/23/2026		2,248,800	USD 3.05%(EUR 1.12%)			10,712	(101,000)		(65,042)						26,333	100/100		
CSWP: USD 3.00%(GBP 2.31%) 02/20/25	G1591#AU6 - BRITVIC PLC	D PART 1	D	JP MORGAN CHASE BANK	11/01/2016	02/20/2025		2,448,000	USD 3.00%(GBP 2.31%)			1,570	(315,500)		(283,458)						24,159	100/100		
CSWP: USD 4.00%(EUR 1.84%) 12/07/27	B9550#AA9 - UMICORE SA	D PART 1	D	CITIBANK, N.A.	04/05/2017	12/07/2027		5,331,571	USD 4.00%(EUR 1.84%)			26,580	(544,929)		(481,491)						241,250	100/100		
CSWP: USD 3.56%(GBP 2.35%) 01/31/25	G8030*AJ9 - SENIOR PLC	D PART 1	D	CITIBANK, N.A.	12/01/2017	01/31/2025		4,054,054	USD 3.56%(GBP 2.35%)			11,596	(91,950)		68,969						(38,250)	39,727	100/100	
CSWP: AMORT USD 4.24%(EUR 2.22%) 07/30/49	L8749#AA0 - STADIUM FINANCE COMPANY SARL	D PART 1	D	JP MORGAN CHASE BANK	03/26/2019	07/30/2049		2,255,400	USD 4.24%(EUR 2.22%)			11,783	(94,500)		(123,093)						96,500	60,045	100/100	
CSWP: AMORT USD 4.37%(EUR 2.37%) 07/30/49	L8749#AB8 - STADIUM FINANCE COMPANY SARL	D PART 1	D	JP MORGAN CHASE BANK	03/26/2019	07/30/2049		4,510,800	USD 4.37%(EUR 2.37%)			23,288	(189,000)		(288,592)						193,000	120,090	100/100	
CSWP: AMORT USD 4.49%(EUR 2.50%) 07/30/49	L8749#AC6 - STADIUM FINANCE COMPANY SARL	D PART 1	D	JP MORGAN CHASE BANK	03/26/2019	07/30/2049		3,383,100	USD 4.49%(EUR 2.50%)						(256,829)						90,067	100/100		
1019999999. Subtotal - Swaps - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108 - Foreign Exchange												85,529	(1,336,879)	XXX	(1,429,536)		563,500			429,374	XXX	XXX		
1049999999. Subtotal - Swaps - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108												85,529	(1,336,879)	XXX	(1,429,536)		563,500			429,374	XXX	XXX		
1109999999. Subtotal - Swaps - Hedging Effective Variable Annuity Guarantees Under SSAP No.108														XXX								XXX	XXX	
SWP: 3ML(5.66%) 06/08/21	OFFSET	OFFSET	C	MERRILL LYNCH INTL	06/06/2006	06/08/2021		66,000,000	3ML(5.66%)			(898,847)	(673,130)		(673,130)	896,085					143,480	0001		
SWP: 3ML(5.63%) 06/16/21	OFFSET	OFFSET	C	MERRILL LYNCH CAP SV	06/14/2006	06/16/2021		46,500,000	3ML(5.63%)			(629,615)	(526,623)		(526,623)	631,405					106,788	0001		
SWP: 3ML(2.14%) 11/15/21	OFFSET	OFFSET	A	CITIBANK, N.A.	12/23/2011	11/15/2021		7,055,000	3ML(2.14%)			(34,117)	(85,643)		(85,643)	34,517					27,941	0001		
SWP: 1.56%(3ML) 11/15/21	OFFSET	OFFSET	A	BARCLAYS BANK PLC	07/19/2012	11/15/2021		7,055,000	1.56%(3ML)			23,830	60,055		60,055	(24,238)					27,941	0001		
SWP: 1.55%(3ML) 07/27/22	VAGLB Hedge - Macro Hedge	N/A	A	CITIBANK, N.A.	07/25/2012	07/27/2022		250,000,000	1.55%(3ML)			835,828	4,392,940		4,392,940	(956,598)					1,437,928	0002		
SWP: 3ML(1.68%) 10/02/22	VAGLB Hedge - Macro Hedge	N/A	A	BARCLAYS BANK PLC	09/28/2012	10/02/2022		250,000,000	3ML(1.68%)			(900,857)	(5,446,053)		(5,446,053)	1,092,168					1,534,422	0002		
SWP: 3ML(2.30%) 02/26/24	VAGLB Hedge - Macro Hedge	N/A	A	DEUTSCHE BANK, AG	02/24/2014	02/26/2024		250,000,000	3ML(2.30%)			(1,302,087)	(13,178,710)		(13,178,710)	2,912,523					2,132,190	0002		
SWP: 2.60%(3ML) 02/26/24	VAGLB Hedge - Macro Hedge	N/A	A	DEUTSCHE BANK, AG	02/24/2014	02/26/2024		115,000,000	2.60%(3ML)			685,210	7,060,117		7,060,117	(1,427,647)					980,807	0002		
SWP: 3ML(2.30%) 02/27/24	VAGLB Hedge - Macro Hedge	N/A	A	DEUTSCHE BANK, AG	02/25/2014	02/27/2024		250,000,000	3ML(2.30%)			(1,302,087)	(13,186,818)		(13,186,818)	2,915,870					2,133,193	0002		

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STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23												
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)												
SWP: 2.60%(3ML) 02/27/24	VAGLB Hedge - Macro	N/A	A	DEUTSCHE BANK, AG	02/25/2014	02/27/2024		150,000,000	2.60%(3ML)			893,752	9,214,950		9,214,950	(1,864,164)					1,279,916		0002											
SWP: 3ML(2.81%) 04/11/24	OFFSET	OFFSET	C	CME	04/09/2014	04/11/2024		30,000,000	3ML(2.81%)			(193,974)	(2,090,648)		(2,090,648)	420,524					261,227		0001											
SWP: 3ML(2.81%) 04/11/24	OFFSET	OFFSET	C	CME	04/09/2014	04/11/2024		2,000,000	3ML(2.81%)			(12,932)	(139,377)		(139,377)	28,035					17,415		0001											
SWP: 3ML(2.81%) 04/11/24	OFFSET	OFFSET	C	CME	04/09/2014	04/11/2024		9,000,000	3ML(2.81%)			(58,192)	(627,194)		(627,194)	126,157					78,368		0001											
SWP: 3ML(2.69%) 04/11/24	OFFSET	OFFSET	C	CME	05/07/2014	04/11/2024		24,000,000	3ML(2.69%)			(147,919)	(1,584,854)		(1,584,854)	329,029					208,982		0001											
SWP: 2.57%(3ML) 04/11/24	OFFSET	OFFSET	C	CME	05/07/2014	04/11/2024		1,000,000	3ML(2.69%)			(6,163)	(66,036)		(66,036)	13,710					8,708		0001											
SWP: 2.57%(3ML) 04/11/24	OFFSET	OFFSET	C	CME	05/15/2014	04/11/2024		25,000,000	2.57%(3ML)			146,832	1,563,347		1,563,347	(335,358)					217,689		0001											
SWP: 2.57%(3ML) 04/11/24	OFFSET	OFFSET	C	CME	05/15/2014	04/11/2024		1,000,000	2.57%(3ML)			5,873	62,534		62,534	(13,414)					8,708		0001											
SWP: 2.60%(3ML) 04/11/24	OFFSET	OFFSET	C	CME	06/02/2014	04/11/2024		29,000,000	2.60%(3ML)			172,392	1,838,433		1,838,433	(391,119)					252,520		0001											
SWP: 2.60%(3ML) 04/11/24	OFFSET	OFFSET	C	CME	06/02/2014	04/11/2024		2,000,000	2.60%(3ML)			11,889	126,788		126,788	(26,974)					17,415		0001											
SWP: 2.60%(3ML) 04/11/24	OFFSET	OFFSET	C	CME	06/02/2014	04/11/2024		9,000,000	2.60%(3ML)			53,501	570,548		570,548	(121,382)					78,368		0001											
SWP: 2.02%(3ML) 06/08/21	OFFSET	OFFSET	C	CME	12/20/2016	06/08/2021		66,000,000	2.02%(3ML)			298,247	226,222		226,222	(297,187)					143,480		0001											
SWP: 2.01%(3ML) 06/16/21	OFFSET	OFFSET	C	CME	12/20/2016	06/16/2021		46,500,000	2.01%(3ML)			208,790	176,098		176,098	(210,894)					106,788		0001											
SWP: 2.56%(3ML) 05/17/24	VAGLB Hedge - Macro	N/A	A	CME	05/15/2017	05/17/2042		11,000,000	2.56%(3ML)			64,613	712,493		712,493	(1,894,533)					252,895		0002											
1119999999. Subtotal - Swaps - Hedging Other - Interest Rate																	(2,086,033)	(11,600,561)	XXX	(11,600,561)	1,836,515									11,457,169	XXX	XXX		
1169999999. Subtotal - Swaps - Hedging Other																	(2,086,033)	(11,600,561)	XXX	(11,600,561)	1,836,515										11,457,169	XXX	XXX	
SWP: 2.13%(3ML) 06/10/29	91283#DP5 - BOND WITH INTEREST RATE SWAP		B	CME	06/06/2019	06/10/2029		50,000,000	2.13%(3ML)			239,201			2,017,265						715,891													
SWP: 2.14%(3ML) 06/10/29	91283#DP5 - BOND WITH INTEREST RATE SWAP		B	CME	06/06/2019	06/10/2029		50,000,000	2.14%(3ML)			239,576			2,029,079						715,891													
SWP: 1.95%(3ML) 12/17/49	91278*BB9 - BOND WITH INTEREST RATE SWAP		B	CME	12/13/2019	12/17/2049		100,000,000	1.95%(3ML)			433,385			(6,159,160)						2,680,217													
1179999999. Subtotal - Swaps - Replication - Interest Rate																	912,162		XXX	(2,112,816)										4,111,999	XXX	XXX		
1229999999. Subtotal - Swaps - Replication																	912,162		XXX	(2,112,816)											4,111,999	XXX	XXX	
1289999999. Subtotal - Swaps - Income Generation																			XXX													XXX	XXX	
1349999999. Subtotal - Swaps - Other																			XXX														XXX	XXX
1359999999. Total Swaps - Interest Rate																						(1,173,871)	(11,600,561)	XXX	(13,713,377)	1,836,515					15,569,168	XXX	XXX	
1369999999. Total Swaps - Credit Default																									XXX								XXX	XXX
1379999999. Total Swaps - Foreign Exchange																						85,529	(1,336,879)	XXX	(1,429,536)		563,500				429,374	XXX	XXX	
1389999999. Total Swaps - Total Return																									XXX								XXX	XXX
1399999999. Total Swaps - Other																									XXX								XXX	XXX
1409999999. Total Swaps																						(1,088,342)	(12,937,440)	XXX	(15,142,913)	1,836,515	563,500				15,998,542	XXX	XXX	
1479999999. Subtotal - Forwards																									XXX								XXX	XXX
1509999999. Subtotal - SSAP No. 108 Adjustments																									XXX								XXX	XXX
1689999999. Subtotal - Hedging Effective Variable Annuity Guarantees Under SSAP No.108																						85,529	(1,336,879)	XXX	(1,429,536)		563,500				429,374	XXX	XXX	
1699999999. Subtotal - Hedging Effective Variable Annuity Guarantees Under SSAP No.108																									XXX								XXX	XXX
1709999999. Subtotal - Hedging Other																					83,701,175	(31,062,828)	(2,086,033)	(328,889,042)	XXX	(326,889,042)	(61,242,498)				11,457,169	XXX	XXX	
1719999999. Subtotal - Replication																						912,162			XXX	(2,112,816)					4,111,999	XXX	XXX	
1729999999. Subtotal - Income Generation																									XXX								XXX	XXX
1739999999. Subtotal - Other																									XXX								XXX	XXX
1749999999. Subtotal - Adjustments for SSAP No. 108 Derivatives																									XXX								XXX	XXX
1759999999 - Totals																					83,701,175	(31,062,828)	(1,088,342)	(328,225,921)	XXX	(330,431,394)	(61,242,498)	563,500			15,998,542	XXX	XXX	

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STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

(a)	Code	Description of Hedged Risk(s)
	A	INTEREST
	B	CREDIT
	C	DURATION
	D	CURRENCY
	E	EQUITY INDEX

(b)	Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period
	0001	This derivative is part of an offsetting relationship in which an open hedge was effectively terminated as a result of the Company entering into a new derivative with offsetting terms.
	0002	This derivative is part of the Company's macro program, which hedges against the economic risk arising from guaranteed minimum death benefit (GMDB) and guaranteed minimum withdrawal benefit (GMWB) liabilities and contract revenues. For the three months ended March 31, 2021, the hedge has been effective at achieving the enterprise economic objective.

SCHEDULE DB - PART B - SECTION 1

Futures Contracts Open as of the Current Statement Date

1 Ticker Symbol	2 Number of Contracts	3 Notional Amount	4 Description	5 Description of Item(s) Hedged, Used for Income Generation or Replicated	6 Schedule/ Exhibit Identifier	7 Type(s) of Risk(s) (a)	8 Date of Maturity or Expiration	9 Exchange	10 Trade Date	11 Transaction Price	12 Reporting Date Price	13 Fair Value	14 Book/ Adjusted Carrying Value	Highly Effective Hedges			18 Cumulative Variation Margin for All Other Hedges	19 Change in Variation Margin Gain (Loss) Recognized in Current Year	20 Potential Exposure	21 Hedge Effectiveness at Inception and at Quarter-end (b)	22 Value of One (1) Point																			
														15 Cumulative Variation Margin	16 Deferred Variation Margin	17 Change in Variation Margin Gain (Loss) Used to Adjust Basis of Hedged Item																								
NONE																																								
1759999999 - Totals																																							XXX	XXX

Broker Name	Beginning Cash Balance	Cumulative Cash Change	Ending Cash Balance
BARCLAYS CAPITAL INC	6,330,465	(6,330,465)	
Total Net Cash Deposits	6,330,465	(6,330,465)	

(a)

Code	Description of Hedged Item
NONE	

(b)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period

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STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART D - SECTION 1

Counterparty Exposure for Derivative Instruments Open as of Current Statement Date

1 Description of Exchange, Counterparty or Central Clearinghouse	2 Master Agreement (Y or N)	3 Credit Support Annex (Y or N)	Counterparty Offset		Book/Adjusted Carrying Value			Fair Value			12 Potential Exposure	13 Off-Balance Sheet Exposure
			4 Fair Value of Acceptable Collateral	5 Present Value of Financing Premium	6 Contracts With Book/Adjusted Carrying Value >0	7 Contracts With Book/Adjusted Carrying Value <0	8 Exposure Net of Collateral	9 Contracts With Fair Value >0	10 Contracts With Fair Value <0	11 Exposure Net of Collateral		
0199999999 - Aggregate Sum of Exchange Traded Derivatives												
BARCLAYS BANK PLC	Y	Y		14,494,607	14,571,735	(7,836,769)		14,571,735	(7,836,769)	6,734,966	1,562,363	
BANK OF AMERICA, NA	Y	Y		(178,701,455)	69,423,504	(395,469,126)		69,423,504	(395,469,126)			
CITIBANK, N.A.	Y	Y	4,310,000		4,392,940	(756,961)		4,392,940	(532,606)		1,574,549	900,527
CREDIT SUISSE FB INT	Y	Y		(28,351,411)	53,437,030	(132,913,548)		53,437,030	(132,913,548)			
DEUTSCHE BANK, AG	Y	Y			16,275,067	(26,365,528)		16,275,067	(26,365,528)		6,526,106	
GOLDMAN SACHS INTL	Y	Y			1,126,559	(64,513)	1,062,046	1,126,559	(64,513)	1,062,046		
HSBC BANK PLC	Y	Y		89,748,832	56,208,548	(8,717,536)		56,208,548	(8,717,536)	47,491,012		
JP MORGAN CHASE BANK	Y	Y		50,688,792	55,019,904	(67,019,617)		54,763,075	(67,079,803)		320,694	
MERRILL LYNCH CAP SV	Y	Y				(526,623)			(526,623)		106,788	
MERRILL LYNCH INTL	Y	Y				(673,130)			(673,130)		143,480	
MORGAN STANLEY INTER	Y	Y	25,929,101	14,304,514	48,021,632	(7,933,350)		48,021,632	(7,933,350)	14,159,181		
SOCIETE GENERALE	Y	Y				(68,880)			(68,880)			
WELLS FARGO BANK	Y	Y	896,000		874,385			874,388				
0299999999. Total NAIC 1 Designation			31,135,101	(37,816,121)	319,351,304	(648,345,581)	1,062,046	319,094,478	(648,181,412)	69,447,205	10,233,980	900,527
0899999999. Aggregate Sum of Central Clearinghouses (Excluding Exchange Traded)			1,521,005		5,276,464	(4,508,108)		3,163,648	(4,508,108)		5,764,562	5,011,913
0999999999 - Gross Totals			32,656,106	(37,816,121)	324,627,768	(652,853,689)	1,062,046	322,258,126	(652,689,520)	69,447,205	15,998,542	5,912,440
1. Offset per SSAP No. 64												
2. Net after right of offset per SSAP No. 64					324,627,768	(652,853,689)						

STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART D - SECTION 2

Collateral for Derivative Instruments Open as of Current Statement Date

Collateral Pledged by Reporting Entity

1	2	3	4	5	6	7	8	9
Exchange, Counterparty or Central Clearinghouse	Type of Asset Pledged	CUSIP Identification	Description	Fair Value	Par Value	Book/Adjusted Carrying Value	Maturity Date	Type of Margin (I, V or IV)
BANK OF AMERICA, NA	Treasury	912810-RD-2	TREASURY BOND	85,502,054	67,592,000	66,163,490	11/15/2043	
BANK OF AMERICA, NA	Treasury	912810-SC-3	TREASURY BOND	115,862	100,000	100,825	05/15/2048	
BANK OF AMERICA, NA	Treasury	912810-SE-9	TREASURY BOND	3,201,869	2,638,000	2,834,478	11/15/2048	
BANK OF AMERICA, NA	Treasury	912810-SF-6	TREASURY BOND	8,121,233	7,205,000	7,249,894	02/15/2049	
BANK OF AMERICA, NA	Treasury	912810-SN-9	TREASURY BOND	34,790,174	45,814,000	43,874,113	05/15/2050	
BANK OF AMERICA, NA	Treasury	912828-6B-1	TREASURY NOTE	325,471	300,000	299,525	02/15/2029	
BANK OF AMERICA, NA	Treasury	912828-SF-8	TREASURY NOTE	96,839	95,000	95,015	02/15/2022	
BANK OF AMERICA, NA	Treasury	912828-UN-8	TREASURY NOTE	510,058	492,000	493,005	02/15/2023	
BANK OF AMERICA, NA	Treasury	912828-WB-9	TREASURY NOTE	279,934	275,000	275,131	03/31/2022	
BANK OF AMERICA, NA	Treasury	912828-XB-1	TREASURY NOTE	3,199,671	3,000,000	2,967,568	05/15/2025	
BANK OF AMERICA, NA	Treasury	912828-ZC-7	TREASURY NOTE	4,817,565	4,724,000	4,816,794	02/28/2025	
BANK OF AMERICA, NA	Treasury	912828-ZQ-6	TREASURY NOTE	9,123,653	10,000,000	9,972,048	05/15/2030	
BANK OF AMERICA, NA	Treasury	91282C-BD-2	TREASURY NOTE	12,318,574	12,320,000	12,322,119	12/31/2022	
BARCLAYS BANK PLC	Treasury	912810-RU-4	TREASURY BOND	839,732	724,000	724,612	11/15/2046	
BARCLAYS BANK PLC	Treasury	912810-SF-6	TREASURY BOND	2,108,928	1,871,000	1,893,531	02/15/2049	
BARCLAYS BANK PLC	Treasury	912810-SN-9	TREASURY BOND	6,160,839	8,113,000	7,769,474	05/15/2050	
BARCLAYS BANK PLC	Treasury	912828-SF-8	TREASURY NOTE	710,495	697,000	697,174	02/15/2022	
BARCLAYS BANK PLC	Treasury	912828-ZC-7	TREASURY NOTE	362,031	355,000	361,973	02/28/2025	
CME	Treasury	912810-RD-2	TREASURY BOND	20,239,568	16,000,000	15,664,259	11/15/2043	
CREDIT SUISSE FB INT	Cash		Cash	3,320,000	3,320,000	3,320,000		
CREDIT SUISSE FB INT	Treasury	912810-RD-2	TREASURY BOND	7,088,909	5,604,000	5,485,488	11/15/2043	
CREDIT SUISSE FB INT	Treasury	912810-SF-6	TREASURY BOND	15,823,160	14,038,000	14,137,218	02/15/2049	
CREDIT SUISSE FB INT	Treasury	912810-SN-9	TREASURY BOND	3,074,724	4,049,000	3,877,555	05/15/2050	
CREDIT SUISSE FB INT	Treasury	912828-ZC-7	TREASURY NOTE	2,398,584	2,352,000	2,398,201	02/28/2025	
CREDIT SUISSE FB INT	Treasury	91282C-BD-2	TREASURY NOTE	20,121,670	20,124,000	20,127,461	12/31/2022	
DEUTSCHE BANK, AG	Loan-backed and Structured	3132AC-RS-1	FHLMC 30YR UMBS MIRROR	335,496	612,000	339,662	08/01/2048	
DEUTSCHE BANK, AG	Loan-backed and Structured	3133A3-3Y-2	FHLMC 30YR UMBS	3,641,499	3,695,000	3,663,036	04/01/2050	
DEUTSCHE BANK, AG	Loan-backed and Structured	3138ES-AV-0	FINMA 30YR	369,000	369,000	276,096	02/01/2047	
DEUTSCHE BANK, AG	Loan-backed and Structured	3138UJ-UL-0	FINMA 30YR	554,415	700,000	523,233	01/01/2047	
DEUTSCHE BANK, AG	Loan-backed and Structured	31402C-PL-0	FINMA 30YR	215,772	8,436,000	199,985	11/01/2033	
DEUTSCHE BANK, AG	Loan-backed and Structured	3140GQ-6T-8	FINMA 30YR	2,088,829	4,628,000	2,108,323	09/01/2047	
DEUTSCHE BANK, AG	Loan-backed and Structured	3140K4-UB-4	FINMA 30YR UMBS	3,853,215	4,709,800	3,873,571	03/01/2050	
DEUTSCHE BANK, AG	Loan-backed and Structured	36179U-CB-6	GNMA2 30YR	5,844	17,000	5,542	09/20/2048	
DEUTSCHE BANK, AG	Treasury	912810-SN-9	TREASURY BOND	835,317	1,100,000	1,053,423	05/15/2050	
HSBC BANK PLC	Treasury	912810-RD-2	TREASURY BOND	11,671,906	9,227,000	9,033,382	11/15/2043	
HSBC BANK PLC	Treasury	912810-RU-4	TREASURY BOND	190,898	173,000	164,728	11/15/2046	
HSBC BANK PLC	Treasury	912810-SE-9	TREASURY BOND	1,421,300	1,171,000	1,258,216	11/15/2048	
HSBC BANK PLC	Treasury	912810-SF-6	TREASURY BOND	566,965	503,000	506,007	02/15/2049	
HSBC BANK PLC	Treasury	912810-SN-9	TREASURY BOND	29,267,213	38,541,000	36,909,071	05/15/2050	
JP MORGAN CHASE BANK	Loan-backed and Structured	3132AC-RS-1	FHLMC 30YR UMBS MIRROR	2,740,980	5,000,000	2,775,014	08/01/2048	
JP MORGAN CHASE BANK	Loan-backed and Structured	3132XX-HY-2	FHLMC GOLD 30YR	1,104,038	2,000,000	1,026,793	03/01/2048	
JP MORGAN CHASE BANK	Loan-backed and Structured	3132Y1-UJ-5	FHLMC GOLD 30YR	2,065,699	4,000,000	1,949,867	08/01/2048	
JP MORGAN CHASE BANK	Loan-backed and Structured	3133A3-3Y-2	FHLMC 30YR UMBS	985,521	1,000,000	991,349	04/01/2050	
JP MORGAN CHASE BANK	Loan-backed and Structured	3133A9-D8-5	FHLMC 30YR UMBS	1,763,297	2,000,000	1,827,697	08/01/2050	
JP MORGAN CHASE BANK	Loan-backed and Structured	31371K-7E-5	FINMA 30YR	884,604	50,311,000	782,775	07/01/2033	
JP MORGAN CHASE BANK	Loan-backed and Structured	3140FN-AS-3	FINMA 30YR	2,917,624	5,500,000	2,771,240	07/01/2047	
JP MORGAN CHASE BANK	Loan-backed and Structured	3140GQ-6T-8	FINMA 30YR	11,734,994	26,000,000	11,844,511	09/01/2047	
JP MORGAN CHASE BANK	Loan-backed and Structured	3140GX-BT-7	FINMA 30YR	1,957,526	4,000,000	1,881,002	11/01/2047	
JP MORGAN CHASE BANK	Loan-backed and Structured	3140KT-TW-5	FINMA 30YR UMBS	5,078,175	5,245,458	5,137,233	03/01/2051	
JP MORGAN CHASE BANK	Loan-backed and Structured	3140KT-TY-1	FINMA 30YR UMBS	8,003,837	8,000,000	8,054,611	03/01/2051	
JP MORGAN CHASE BANK	Loan-backed and Structured	3140X7-S4-2	FINMA 30YR UMBS SUPER	4,935,912	5,000,000	5,063,300	09/01/2050	
JP MORGAN CHASE BANK	Loan-backed and Structured	31410L-VC-3	FINMA 30YR	12,681,852	16,000,000	11,991,662	01/01/2047	
JP MORGAN CHASE BANK	Treasury	912810-RD-2	TREASURY BOND	729,889	577,000	564,892	11/15/2043	
JP MORGAN CHASE BANK	Treasury	912810-SF-6	TREASURY BOND	6,762,998	6,000,000	6,035,867	02/15/2049	
JP MORGAN CHASE BANK	Treasury	912810-SN-9	TREASURY BOND	5,817,524	7,660,900	7,336,517	05/15/2050	
MERRILL LYNCH CAP SV	Treasury	912810-RD-2	TREASURY BOND	641,341	507,000	496,337	11/15/2043	
MERRILL LYNCH CAP SV	Treasury	912810-SF-6	TREASURY BOND	513,988	456,000	458,726	02/15/2049	
MERRILL LYNCH INTL	Treasury	912810-RD-2	TREASURY BOND	575,563	455,000	445,296	11/15/2043	
MERRILL LYNCH INTL	Treasury	912810-SE-9	TREASURY BOND	231,826	191,000	205,226	11/15/2048	
MERRILL LYNCH INTL	Treasury	912810-SF-6	TREASURY BOND	1,092,224	969,000	974,792	02/15/2049	
SOCIETE GENERALE	Loan-backed and Structured	31410L-VC-3	FINMA 30YR	1,002,229	1,264,458	947,685	01/01/2047	
0199999999 - Total				373,814,514	457,857,820	361,397,608	XXX	XXX

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STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

Collateral Pledged to Reporting Entity

1 Exchange, Counterparty or Central Clearinghouse	2 Type of Asset Pledged	3 CUSIP Identification	4 Description	5 Fair Value	6 Par Value	7 Book/Adjusted Carrying Value	8 Maturity Date	9 Type of Margin (I, V or IV)
CITIBANK, N.A.	Cash	E570DZVZ7FF32TIEFA76	Cash	4,310,000	4,310,000	XXX		
CME	Cash	LCZ7XYGSLJUHFXNVD88	Cash	1,521,003	1,521,003	XXX		V
MORGAN STANLEY INTL	Cash	4PQUHNSJPPGFNF3BB653	Cash	1,156,101	1,156,101	XXX		
MORGAN STANLEY INTL	Cash	4PQUHNSJPPGFNF3BB653	Cash	24,773,000	24,773,000	XXX		
WELLS FARGO BANK	Cash	KB1H1DSPRFMYMCLFXT09	Cash	896,000	896,000	XXX		
0299999999 - Total				32,656,104	32,656,104	XXX	XXX	XXX

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E

STATEMENT AS OF MARCH 31, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
0599999. Total - U.S. Government Bonds								
	BRITISH COLUMBIA (PROVINCE OF)		03/16/2021	0.000	06/02/2021	4,999,309		176
0699999. Subtotal - Bonds - All Other Governments - Issuer Obligations						4,999,309		176
1099999. Total - All Other Government Bonds								
1799999. Total - U.S. States, Territories and Possessions Bonds								
2499999. Total - U.S. Political Subdivisions Bonds								
	FHLB		02/04/2021	0.000	05/05/2021	4,999,767		392
2699999. Subtotal - Bonds - U.S. Special Revenues - Residential Mortgage-Backed Securities						4,999,767		392
3199999. Total - U.S. Special Revenues Bonds								
	ALBERTA (PROVINCE OF)		02/09/2021	0.000	05/10/2021	4,999,468		718
	AMPHENOL CORPORATION		03/03/2021	0.000	04/06/2021	4,999,883		686
	BAT INTL FINANCE PLC		02/24/2021	0.000	04/23/2021	4,998,981		1,801
	CATERPILLAR FINANCIAL SERVICES COR		03/19/2021	0.000	04/19/2021	4,999,750		181
	DOMINION RESOURCES INC.		02/23/2021	0.000	04/26/2021	4,999,272		1,136
	EVERSOURCE ENERGY		03/22/2021	0.000	04/20/2021	2,999,699		158
	KFV		03/08/2021	0.000	06/04/2021	4,990,581		478
	NORDEA BANK ABP		02/16/2021	0.000	05/14/2021	4,999,209		841
	SHELL INTERNATIONAL FINANCE BV		03/09/2021	0.000	05/20/2021	4,999,325		311
	VW CREDIT INC		03/17/2021	0.000	04/08/2021	4,999,844		333
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations						47,986,013		6,642
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						47,986,013		6,642
4899999. Total - Hybrid Securities								
5599999. Total - Parent, Subsidiaries and Affiliates Bonds								
6099999. Subtotal - SVO Identified Funds								
6599999. Subtotal - Unaffiliated Bank Loans								
7699999. Total - Issuer Obligations						52,985,322		6,818
7799999. Total - Residential Mortgage-Backed Securities						4,999,767		392
7899999. Total - Commercial Mortgage-Backed Securities								
7999999. Total - Other Loan-Backed and Structured Securities								
8099999. Total - SVO Identified Funds								
8199999. Total - Affiliated Bank Loans								
8299999. Total - Unaffiliated Bank Loans								
8399999. Total Bonds						57,985,089		7,210
4812A0-37-5	JPMORGAN 100% US TRS SEC CL CAP		01/01/2021	0.000		1,024,558		15
4812C2-23-9	JPMORGAN US TREASURY PLUS CL CAP		01/01/2021	0.000		2,297,881		249
8599999. Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO						3,322,439		265
4812C0-66-2	JPMORGAN US GOVT MMKT		01/01/2021	0.000		60,867,394		926
4812C0-67-0	JPM US GOVT MM - CP		01/01/2021	0.000		41,908,985		5,645
949917-39-7	Wells Fargo ADV HER MMKT INS		01/01/2021	0.000		4,272,752		
8699999. Subtotal - All Other Money Market Mutual Funds						107,049,131		6,572
9999999 - Total Cash Equivalents						168,356,660		14,046