



LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF MARCH 31, 2023
OF THE CONDITION AND AFFAIRS OF THE

TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

NAIC Group Code 4926 4926 NAIC Company Code 71153 Employer's ID Number 39-1052598
(Current) (Prior)

Organized under the Laws of Connecticut, State of Domicile or Port of Entry CT

Country of Domicile United States of America

Licensed as business type: Life, Accident and Health [X] Fraternal Benefit Societies [ ]

Incorporated/Organized 01/09/1956 Commenced Business 07/01/1965

Statutory Home Office 1 Griffin Road N Windsor, CT, US 06095-1512
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 1 Griffin Road N Windsor, CT, US 06095-1512
(Street and Number) (City or Town, State, Country and Zip Code)
800-862-6668 (Area Code) (Telephone Number)

Mail Address 1 Griffin Road N Windsor, CT, US 06095-1512
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 1 Griffin Road N Windsor, CT, US 06095-1512
(Street and Number) (City or Town, State, Country and Zip Code)
800-862-6668 (Area Code) (Telephone Number)

Internet Website Address www.talcottresolution.com

Statutory Statement Contact Andrew G. Helming 860-791-0166
(Name) (Area Code) (Telephone Number)
Statement.questions@talcottresolution.com 860-624-0444
(E-mail Address) (FAX Number)

OFFICERS

Interim Co-President, Chief Legal Officer and Chief Compliance Officer Lisa Michelle Proch VP and Controller Michael Robert Hazel
Interim Co-President and Chief Financial Officer Robert Raymond Siracusa AVP and Treasurer Jeremy Matthew Billiel

OTHER

John Buck Brady, VP and Appointed Actuary Christopher Benedict Cramer, SVP and Corporate Secretary

DIRECTORS OR TRUSTEES

Peter Francis Sannizzaro Matthew James Poznar Robert Raymond Siracusa

State of Connecticut SS:
County of Hartford

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Handwritten signatures of Robert R. Siracusa, Michael R. Hazel, and Christopher B. Cramer with their respective titles: Interim Co-President and Chief Financial Officer, Vice President and Controller, Senior Vice President and Corporate Secretary.

Subscribed and sworn to before me this 2 day of May 2023
Jill Z. Gill

- a. Is this an original filing? Yes [ ] No [ ]
b. If no,
1. State the amendment number.....
2. Date filed .....
3. Number of pages attached.....

JILL Z. GILL
NOTARY PUBLIC
My Commission Expires July 31, 2026

STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	4,104,803,322		4,104,803,322	4,138,348,506
2. Stocks:				
2.1 Preferred stocks .....				24,626,000
2.2 Common stocks .....	19,129,303	2,641,347	16,487,956	16,717,111
3. Mortgage loans on real estate:				
3.1 First liens .....	903,084,012		903,084,012	994,929,174
3.2 Other than first liens.....				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....				
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....				
4.3 Properties held for sale (less \$ encumbrances) .....				
5. Cash (\$ ..... 15,114,724 ), cash equivalents (\$ ..... 322,775,617 ) and short-term investments (\$ ..... 62,999,678 ) .....	400,890,019		400,890,019	308,374,219
6. Contract loans (including \$ ..... premium notes) .....	88,502,260		88,502,260	88,064,702
7. Derivatives .....	191,632,199		191,632,199	324,672,074
8. Other invested assets .....	602,336,084		602,336,084	595,830,414
9. Receivables for securities .....	42,511,646		42,511,646	41,047,217
10. Securities lending reinvested collateral assets .....				
11. Aggregate write-ins for invested assets .....	93,690,949		93,690,949	104,826,113
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	6,446,579,794	2,641,347	6,443,938,447	6,637,435,530
13. Title plants less \$ ..... charged off (for Title insurers only) .....				
14. Investment income due and accrued .....	46,663,520	271,695	46,391,825	50,857,847
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	98,091		98,091	57,392
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....	(25,123)		(25,123)	
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	24,810,148		24,810,148	27,909,110
16.2 Funds held by or deposited with reinsured companies .....				
16.3 Other amounts receivable under reinsurance contracts .....	114,890,226		114,890,226	33,544,029
17. Amounts receivable relating to uninsured plans .....				
18.1 Current federal and foreign income tax recoverable and interest thereon ....				6,228,345
18.2 Net deferred tax asset .....	69,023,832	20,127,412	48,896,420	50,724,082
19. Guaranty funds receivable or on deposit .....	376,434		376,434	376,434
20. Electronic data processing equipment and software .....				
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....				
22. Net adjustment in assets and liabilities due to foreign exchange rates .....				
23. Receivables from parent, subsidiaries and affiliates .....				72,980
24. Health care (\$ ..... ) and other amounts receivable .....	1,143		1,143	1,388
25. Aggregate write-ins for other than invested assets .....	54,076,250	13,157,101	40,919,149	43,070,623
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	6,756,494,315	36,197,555	6,720,296,760	6,850,277,760
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	22,565,048,296		22,565,048,296	22,177,651,722
28. Total (Lines 26 and 27)	29,321,542,611	36,197,555	29,285,345,056	29,027,929,482
<b>DETAILS OF WRITE-INS</b>				
1101. Derivative Collateral .....	93,690,949		93,690,949	104,826,113
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....				
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	93,690,949		93,690,949	104,826,113
2501. Disbursements and items not allocated .....	54,076,250	13,157,101	40,919,149	43,070,623
2502. ....				
2503. ....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....				
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	54,076,250	13,157,101	40,919,149	43,070,623

STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$ ..... 4,576,948,215 less \$ ..... 0 included in Line 6.3 (including \$ ..... 2,568,888,776 Modco Reserve) .....	4,576,948,215	4,644,794,090
2. Aggregate reserve for accident and health contracts (including \$ ..... 0 Modco Reserve) .....	20,497,155	20,343,233
3. Liability for deposit-type contracts (including \$ ..... 141,908 Modco Reserve).....	166,468,083	169,982,853
4. Contract claims:		
4.1 Life .....	26,298,697	17,888,978
4.2 Accident and health .....	215,958	207,807
5. Policyholders' dividends/refunds to members \$ ..... 0 and coupons \$ ..... 34,006 due and unpaid .....	34,006	1,590
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$ ..... 0 Modco) .....	442,730	469,743
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$ ..... 0 Modco) .....		
6.3 Coupons and similar benefits (including \$ ..... 0 Modco) .....		
7. Amount provisionally held for deferred dividend policies not included in Line 6 .....		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ ..... 0 discount; including \$ ..... 139,840 accident and health premiums .....	139,840	127,374
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts .....		
9.2 Provision for experience rating refunds, including the liability of \$ ..... 0 accident and health experience rating refunds of which \$ ..... is for medical loss ratio rebate per the Public Health Service Act .....	22,817	21,811
9.3 Other amounts payable on reinsurance, including \$ ..... 0 assumed and \$ ..... 19,957,013 ceded .....	19,957,013	28,770,054
9.4 Interest Maintenance Reserve .....	42,934,993	44,552,487
10. Commissions to agents due or accrued-life and annuity contracts \$ ..... 17,245,240 , accident and health \$ ..... 0 and deposit-type contract funds \$ ..... 0 .....	17,245,241	17,202,996
11. Commissions and expense allowances payable on reinsurance assumed .....		
12. General expenses due or accrued .....	8,873,502	16,790,265
13. Transfers to Separate Accounts due or accrued (net) (including \$ ..... (25,084,638) accrued for expense allowances recognized in reserves, net of reinsured allowances) .....	(30,166,246)	(29,215,545)
14. Taxes, licenses and fees due or accrued, excluding federal income taxes .....	(468,537)	183,278
15.1 Current federal and foreign income taxes, including \$ ..... 0 on realized capital gains (losses) .....	7,429,325	
15.2 Net deferred tax liability .....		
16. Unearned investment income .....	3,335,025	3,329,179
17. Amounts withheld or retained by reporting entity as agent or trustee .....	14,145,533	14,164,900
18. Amounts held for agents' account, including \$ ..... 34,139 agents' credit balances .....	35,282	34,373
19. Remittances and items not allocated .....	10,025,746	9,087,955
20. Net adjustment in assets and liabilities due to foreign exchange rates .....		
21. Liability for benefits for employees and agents if not included above .....		
22. Borrowed money \$ ..... 0 and interest thereon \$ ..... 0 .....		
23. Dividends to stockholders declared and unpaid .....		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve .....	156,893,872	150,405,868
24.02 Reinsurance in unauthorized and certified (\$ ..... ) companies .....		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$ ..... ) reinsurers .....	114,343,754	115,537,175
24.04 Payable to parent, subsidiaries and affiliates .....	28,765,669	
24.05 Drafts outstanding .....	34,187,915	40,064,896
24.06 Liability for amounts held under uninsured plans .....		
24.07 Funds held under coinsurance .....		
24.08 Derivatives .....	81,650,061	82,797,458
24.09 Payable for securities .....	375,439,253	328,457,512
24.10 Payable for securities lending .....		
24.11 Capital notes \$ ..... and interest thereon \$ ..... .....		
25. Aggregate write-ins for liabilities .....	112,129,478	225,648,259
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25) .....	5,787,824,380	5,901,648,589
27. From Separate Accounts Statement .....	22,565,048,296	22,177,651,722
28. Total liabilities (Lines 26 and 27) .....	28,352,872,676	28,079,300,311
29. Common capital stock .....	2,500,000	2,500,000
30. Preferred capital stock .....		
31. Aggregate write-ins for other than special surplus funds .....	148,158,755	152,270,975
32. Surplus notes .....		
33. Gross paid in and contributed surplus .....	85,431,561	85,431,561
34. Aggregate write-ins for special surplus funds .....		
35. Unassigned funds (surplus) .....	696,382,064	708,426,635
36. Less treasury stock, at cost:		
36.1 ..... shares common (value included in Line 29 \$ ..... ) .....		
36.2 ..... shares preferred (value included in Line 30 \$ ..... ) .....		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ ..... in Separate Accounts Statement) .....	929,972,380	946,129,171
38. Totals of Lines 29, 30 and 37 .....	932,472,380	948,629,171
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3) .....	29,285,345,056	29,027,929,482
<b>DETAILS OF WRITE-INS</b>		
2501. Payable for repurchase agreements .....	78,763,212	117,625,113
2502. Other liabilities - abandoned property unpaid funds .....	44,187,444	43,915,866
2503. Provision for future dividends .....	1,575,755	1,014,758
2598. Summary of remaining write-ins for Line 25 from overflow page .....	(12,396,933)	63,092,522
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	112,129,478	225,648,259
3101. Gain on inforce reinsurance .....	148,158,755	152,270,975
3102. ....		
3103. ....		
3198. Summary of remaining write-ins for Line 31 from overflow page .....		
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above) .....	148,158,755	152,270,975
3401. ....		
3402. ....		
3403. ....		
3498. Summary of remaining write-ins for Line 34 from overflow page .....		
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....		

STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SUMMARY OF OPERATIONS**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	16,464,476	4,724,486	53,657,635
2. Considerations for supplementary contracts with life contingencies	21,154	(64,464)	(240,660)
3. Net investment income	67,467,273	62,933,234	264,978,097
4. Amortization of Interest Maintenance Reserve (IMR)	467,202	1,139,630	3,374,716
5. Separate Accounts net gain from operations excluding unrealized gains or losses			
6. Commissions and expense allowances on reinsurance ceded	29,134,157	33,427,834	122,225,247
7. Reserve adjustments on reinsurance ceded	(321,027,630)	(483,977,517)	(1,774,178,634)
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	112,267,394	127,907,961	487,028,149
8.2 Charges and fees for deposit-type contracts			
8.3 Aggregate write-ins for miscellaneous income	13,136,486	15,182,176	46,087,923
9. Totals (Lines 1 to 8.3)	(82,069,488)	(238,726,660)	(797,067,527)
10. Death benefits	8,962,580	1,322,473	3,110,152
11. Matured endowments (excluding guaranteed annual pure endowments)			
12. Annuity benefits	56,179,573	58,873,552	222,433,926
13. Disability benefits and benefits under accident and health contracts	76,207	94,598	298,761
14. Coupons, guaranteed annual pure endowments and similar benefits			
15. Surrender benefits and withdrawals for life contracts	305,165,770	311,551,405	1,117,014,637
16. Group conversions			
17. Interest and adjustments on contract or deposit-type contract funds	1,731,845	1,701,122	7,202,718
18. Payments on supplementary contracts with life contingencies	293,610	316,650	1,197,427
19. Increase in aggregate reserves for life and accident and health contracts	(67,691,953)	(23,369,658)	(135,623,991)
20. Totals (Lines 10 to 19)	304,717,632	350,490,142	1,215,633,630
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	26,097,795	31,780,175	113,082,362
22. Commissions and expense allowances on reinsurance assumed	1,329,901	1,649,021	5,785,326
23. General insurance expenses and fraternal expenses	20,709,285	22,225,723	83,024,619
24. Insurance taxes, licenses and fees, excluding federal income taxes	1,783,311	1,443,489	2,269,054
25. Increase in loading on deferred and uncollected premiums	16,843	4,000	(366)
26. Net transfers to or (from) Separate Accounts net of reinsurance	(545,522,943)	(612,052,392)	(2,126,951,518)
27. Aggregate write-ins for deductions	(29,427,494)	(46,162,822)	(127,795,617)
28. Totals (Lines 20 to 27)	(220,295,670)	(250,622,664)	(834,952,510)
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	138,226,182	11,896,004	37,884,983
30. Dividends to policyholders and refunds to members	5,403	8,008	(31,828)
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	138,220,779	11,887,996	37,916,811
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	14,371,888	(2,084,551)	(24,113,001)
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	123,848,891	13,972,547	62,029,812
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$ (523,089) (excluding taxes of \$ (191,129) transferred to the IMR)	2,585,807	57,323,918	(64,448,103)
35. Net income (Line 33 plus Line 34)	126,434,698	71,296,465	(2,418,291)
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
36. Capital and surplus, December 31, prior year	948,629,171	772,444,198	772,444,198
37. Net income (Line 35)	126,434,698	71,296,465	(2,418,291)
38. Change in net unrealized capital gains (losses) less capital gains tax of \$ 1,902,049	(132,605,130)	(21,413,467)	178,330,164
39. Change in net unrealized foreign exchange capital gain (loss)	467,128	30,518	(66,229)
40. Change in net deferred income tax	20,201,799	(12,973,986)	(29,476,801)
41. Change in nonadmitted assets	(20,055,061)	6,908,290	31,799,182
42. Change in liability for reinsurance in unauthorized and certified companies			
43. Change in reserve on account of change in valuation basis, (increase) or decrease			29,658,787
44. Change in asset valuation reserve	(6,488,004)	(4,244,404)	(7,952,711)
45. Change in treasury stock			
46. Surplus (contributed to) withdrawn from Separate Accounts during period			
47. Other changes in surplus in Separate Accounts Statement			
48. Change in surplus notes			
49. Cumulative effect of changes in accounting principles			
50. Capital changes:			
50.1 Paid in			
50.2 Transferred from surplus (Stock Dividend)			
50.3 Transferred to surplus			
51. Surplus adjustment:			
51.1 Paid in			
51.2 Transferred to capital (Stock Dividend)			
51.3 Transferred from capital			
51.4 Change in surplus as a result of reinsurance			
52. Dividends to stockholders			
53. Aggregate write-ins for gains and losses in surplus	(4,112,221)	(5,922,282)	(23,689,128)
54. Net change in capital and surplus for the year (Lines 37 through 53)	(16,156,791)	33,681,134	176,184,973
55. Capital and surplus, as of statement date (Lines 36 + 54)	932,472,380	806,125,332	948,629,171
<b>DETAILS OF WRITE-INS</b>			
08.301. Other investment management fees	12,818,553	15,032,816	45,459,051
08.302. Miscellaneous income	185,817	40,850	250,867
08.303. Separate Account loads	132,116	108,510	378,005
08.398. Summary of remaining write-ins for Line 8.3 from overflow page			
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	13,136,486	15,182,176	46,087,923
2701. Miscellaneous deductions	1,729,202	56,722	(356,603)
2702. IMR adjustment on reinsurance ceded	(431,285)	(31,598,853)	(106,703,339)
2703. MODCO adjustment	(30,725,411)	(14,620,691)	(20,735,675)
2798. Summary of remaining write-ins for Line 27 from overflow page			
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	(29,427,494)	(46,162,822)	(127,795,617)
5301. Gain on inforce reinsurance	(4,112,221)	(5,922,282)	(23,689,128)
5302.			
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page			
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	(4,112,221)	(5,922,282)	(23,689,128)

## STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	(64,448,229)	17,739,850	69,239,339
2. Net investment income .....	71,976,829	65,435,899	277,363,687
3. Miscellaneous income .....	150,425,816	170,595,689	631,652,191
4. Total (Lines 1 to 3) .....	157,954,416	253,771,438	978,255,217
5. Benefit and loss related payments .....	692,358,130	795,507,151	3,137,055,811
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	(544,572,242)	(615,733,494)	(2,132,913,293)
7. Commissions, expenses paid and aggregate write-ins for deductions .....	29,450,416	33,054,954	100,634,547
8. Dividends paid to policyholders .....			
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses) .....			(32,346,128)
10. Total (Lines 5 through 9) .....	177,236,304	212,828,611	1,072,430,937
11. Net cash from operations (Line 4 minus Line 10) .....	(19,281,888)	40,942,827	(94,175,720)
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	118,957,582	398,374,419	1,487,473,862
12.2 Stocks .....	621,945	351,911	1,027,964
12.3 Mortgage loans .....	106,865,778	31,401,743	94,776,584
12.4 Real estate .....			
12.5 Other invested assets .....	7,294,247	12,151,529	32,281,378
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	2,426	1,296	(103,578)
12.7 Miscellaneous proceeds .....	58,116,905	1,898,162	69,628,991
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	291,858,883	444,179,060	1,685,085,201
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	59,858,472	293,049,621	955,896,139
13.2 Stocks .....	595,582	677,131	2,485,870
13.3 Mortgage loans .....	14,171,803	84,636,425	279,402,635
13.4 Real estate .....			
13.5 Other invested assets .....	9,762,687	14,878,679	46,309,349
13.6 Miscellaneous applications .....	5,168,932	46,898,973	158,936,868
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	89,557,476	440,140,829	1,443,030,861
14. Net increase (or decrease) in contract loans and premium notes .....	437,558	(209,768)	(3,267,743)
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	201,863,849	4,247,999	245,322,084
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....			
16.2 Capital and paid in surplus, less treasury stock .....			
16.3 Borrowed funds .....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	(3,514,770)	(3,316,718)	(13,785,214)
16.5 Dividends to stockholders .....			
16.6 Other cash provided (applied) .....	(86,551,391)	(64,605,210)	(52,462,114)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	(90,066,161)	(67,921,928)	(66,247,328)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	92,515,800	(22,731,102)	84,899,036
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	308,374,219	223,475,184	223,475,184
19.2 End of period (Line 18 plus Line 19.1) .....	400,890,019	200,744,082	308,374,219

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Non-cash proceeds from invested asset exchanges – bonds, preferred stock and other invested assets .....	(46,922,646)	(13,146,396)	(65,993,960)
20.0002. Non-cash proceeds from invested asset exchanges – bonds, preferred stock and other invested assets .....	(46,922,646)	(13,146,396)	(65,993,960)
20.0003. Non-cash ceded premiums for reinsurance .....	431,285	14,620,691	20,735,675
20.0004. Non-cash payable on reinsurance .....	(1,624,706)	(1,900,143)	(7,587,175)
20.0005. Non-cash transfer of funds withheld for unauthorized reinsurance .....	1,193,421	(12,720,548)	(13,148,500)
20.0006. Non-cash transfer of IMR liability for reinsurance .....	(431,285)	(14,620,691)	20,735,675
20.0007. Non-cash IMR reserve transferred on reinsurance .....	431,285	14,620,691	(20,735,675)

## EXHIBIT 1

### DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life .....			
2. Ordinary life insurance .....	161,657,945	170,841,113	681,008,917
3. Ordinary individual annuities .....	36,036,898	42,516,949	137,363,832
4. Credit life (group and individual) .....			
5. Group life insurance .....	26,407	53,344	837,488
6. Group annuities .....			
7. A & H - group .....			
8. A & H - credit (group and individual) .....			
9. A & H - other .....	43,797	51,026	171,234
10. Aggregate of all other lines of business .....			
11. Subtotal (Lines 1 through 10) .....	197,765,047	213,462,432	819,381,471
12. Fraternal (Fraternal Benefit Societies Only) .....			
13. Subtotal (Lines 11 through 12) .....	197,765,047	213,462,432	819,381,471
14. Deposit-type contracts .....			
15. Total (Lines 13 and 14)	197,765,047	213,462,432	819,381,471
DETAILS OF WRITE-INS			
1001. ....			
1002. ....			
1003. ....			
1098. Summary of remaining write-ins for Line 10 from overflow page .....			
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)			

## NOTES TO FINANCIAL STATEMENTS

### Note 1 - Summary of Significant Accounting Policies and Going Concern

#### A. Accounting Practices

The accompanying statutory-basis financial statements of Talcott Resolution Life and Annuity Insurance Company (the "Company" or "TLA") have been prepared in conformity with statutory accounting practices prescribed or permitted by the State of Connecticut Insurance Department ("the Department"). The Department recognizes only statutory accounting practices prescribed or permitted by the State of Connecticut for determining and reporting the financial condition and results of operations of an insurance company and for determining solvency under the State of Connecticut Insurance Law. The National Association of Insurance Commissioners' Accounting Practices and Procedures Manual ("NAIC SAP") has been adopted as a component of prescribed practices by the State of Connecticut.

A difference prescribed by Connecticut state law allows the Company to receive a reinsurance reserve credit for reinsurance treaties that provide for a limited right of unilateral cancellation by the reinsurer. Even if the Company did not obtain reinsurance reserve credit for this reinsurance treaty, the Company's risk-based capital would not have triggered a regulatory event.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed by the Department is shown below:

	SSAP #	F/S Page	F/S Line #	2023	2022
<b>Net Income</b>					
1. TLA state basis (Page 4, Line 35, Columns 1 & 3)	XXX	XXX	XXX	\$ 126,434,698	\$ (2,418,291)
2. State prescribed practices that are an (increase)/decrease from NAIC SAP:					
Less: Reinsurance reserve credit (as described above)	61	4	19	(1,719,190)	7,516,210
				(1,719,190)	7,516,210
3. State permitted practices that are an (increase)/decrease from NAIC SAP				—	—
4. NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ 128,153,888	\$ (9,934,501)
<b>Surplus</b>					
5. TLA state basis (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	\$ 932,472,380	\$ 948,629,171
6. State prescribed practices that are an (increase)/decrease from NAIC SAP:					
Less: Reinsurance reserve credit (as described above)	61	3	1	32,543,987	34,263,177
				32,543,987	34,263,177
7. State permitted practices that are an (increase)/decrease NAIC SAP				—	—
8. NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$ 899,928,393	\$ 914,365,994

The Company does not follow any other prescribed or permitted statutory accounting practices that have a material effect on statutory surplus, statutory net income or risk-based capital of the Company.

#### C. Accounting Policy

- The Company had no SVO - identified investments in exchange traded funds or bond mutual funds that qualifies for bond accounting treatment.
- Loan-backed bonds and structured securities are carried at either amortized cost or the lower of amortized cost or fair value in accordance with the provisions of SSAP No. 43 - Revised, Loan-backed and Structured Securities. Significant changes in estimated cash flows from the original purchase assumptions are accounted for using the prospective method, except for highly rated fixed rate securities, which use the retrospective method.

#### D. Going Concern

The Company is not aware of any conditions or events which raise substantial doubts concerning the Company's ability to continue as a going concern.

### Note 2 - Accounting Changes and Corrections of Errors

No significant change.

### Note 3 - Business Combinations and Goodwill

No significant change.

### Note 4 - Discontinued Operations

No significant change.

### Note 5 - Investments

#### D. Loan-Backed Securities

- Prepayment assumptions for single class and multi-class mortgage-backed/asset-backed securities were obtained from broker dealer survey values or internal estimates.
- The Company had no other-than-temporary impairments ("OTTI") for loan-backed securities recorded during the year where the Company had either the intent to sell the securities or the inability or lack of intent to retain.
- The Company has no OTTI recognized on loan-backed securities as of March 31, 2023.

## NOTES TO FINANCIAL STATEMENTS

### 4. Security Unrealized Loss Aging

All impaired securities (fair value is less than cost or amortized cost) for which an OTTI has not been recognized in earnings as a realized loss (including securities with a recognized OTTI for non-interest related declines when a non-recognized interest related impairment remains):

a. The aggregate amount of unrealized losses:	
1. Less than 12 Months	\$ 43,315,727
2. 12 Months or Longer	\$ 37,929,878
b. The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 Months	\$ 575,686,650
2. 12 Months or Longer	\$ 283,132,382

5. As of March 31, 2023 loan-backed securities in an unrealized loss position comprised 371 securities, primarily related to commercial mortgage-backed securities ("CMBS"), residential mortgage-backed securities ("RMBS"), collateralized loan obligations ("CLO"), and corporate securities in basic industry, which were depressed primarily due to higher interest rates and/or widening of credit spreads since the securities were purchased. The Company does not intend to sell the securities outlined above. Furthermore, based upon the Company's cash flow modeling and the expected continuation of contractually required principal and interest payments, the Company has deemed these securities to be temporarily impaired as of March 31, 2023.

### E. Dollar Repurchase Agreements and/or Securities Lending Transactions

3. Collateral Received

b. The Company did not accept collateral that is permitted by contract or custom to sell or repledge as of March 31, 2023.

### F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

1. Company Policies or Strategies for Repo Programs

From time to time, the Company enters into repurchase agreements to manage liquidity or to earn incremental income. A repurchase agreement is a transaction in which one party (transferor) agrees to sell securities to another party (transferee) in return for cash (or securities), with a simultaneous agreement to repurchase the same securities at a specified price at a later date. These transactions generally have a contractual maturity of ninety days or less. Repurchase agreements include master netting provisions that provide both counterparties the right to offset claims and apply securities held by them with respect to their obligations in the event of a default. Although the Company has the contractual right to offset claims, the Company's current positions do not meet the specific conditions for net presentation.

Under repurchase agreements, the Company transfers collateral of U.S. government, government agency and corporate securities and receives cash. For repurchase agreements, the Company obtains cash in an amount equal to at least 95% of the fair value of the securities transferred. The agreements require additional collateral to be transferred when necessary and provide the counterparty the right to sell or re-pledge the securities transferred. The cash received from the repurchase program is typically invested in short-term investments or fixed maturities and is reported as an asset on the Company's balance sheets. The Company accounts for the repurchase agreements as collateralized borrowings. The securities transferred under repurchase agreements are included in bonds, with the obligation to repurchase those securities recorded in Aggregate write-ins for liabilities on the Company's balance sheets.

From time to time, the Company enters into reverse repurchase agreements where the Company purchases securities and simultaneously agrees to resell the same or substantially the same securities. The agreements require additional collateral to be transferred to the Company when necessary and the Company has the right to sell or re-pledge the securities received as collateral. The Company accounts for reverse repurchase agreements as collateralized financing.

2. Type of Repo Trades Used

	1 First Quarter	2 Second Quarter	3 Third Quarter	4 Fourth Quarter
a. Bilateral (YES/NO)	Yes			
b. Tri-Party (YES/NO)	No			

3. Original (Flow) & Residual Maturity

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Open - No Maturity	\$ —	\$ —	\$ —	\$ —
2. Overnight	—	—	—	—
3. 2 days to 1 week	—	—	—	—
4. >1 week to 1 month	—	—	—	—
5. >1 month to 3 months	—	—	—	—
6. >3 months to 1 year	117,625,113	—	—	—
7. > 1 year	—	—	—	—
b. Ending Balance	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
1. Open - No Maturity	\$ —	\$ —	\$ —	\$ —
2. Overnight	—	—	—	—
3. 2 days to 1 week	—	—	—	—
4. >1 week to 1 month	—	—	—	—
5. >1 month to 3 months	—	—	—	—
6. >3 months to 1 year	78,763,213	—	—	—
7. > 1 year	—	—	—	—



## NOTES TO FINANCIAL STATEMENTS

## 4. Counterparty, Jurisdiction and Fair Value (FV)

The Company has no investments in defaulted repurchase agreements.

## 5. Securities "Sold" Under Repo - Secured Borrowing

The Company has no securities sold under repurchase agreement transactions accounted for as secured borrowings.

## 6. Securities Sold Under Repo - Secured Borrowing by NAIC Designation

The Company has no securities sold under repurchase agreement transactions accounted for as secured borrowings.

## 7. Collateral Received - Secured Borrowing

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Cash	\$ 117,625,113	\$ —	\$ —	\$ —
2. Securities (FV)	—	—	—	—
b. Ending Balance	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
1. Cash	\$ 78,763,213	\$ —	\$ —	\$ —
2. Securities (FV)	—	—	—	—

## 8. Cash &amp; Non-Cash Collateral Received - Secured Borrowing by NAIC Designation

Ending Balance	1 None	2 NAIC 1	3 NAIC 2	4 NAIC 3	5 NAIC 4	6 NAIC 5	7 NAIC 6	8 Does Not Qualify as Admitted
a. Cash	\$ —	\$ 78,763,213	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
b. Bonds - FV	—	—	—	—	—	—	—	—
c. LB & SS - FV	—	—	—	—	—	—	—	—
d. Preferred stock - FV	—	—	—	—	—	—	—	—
e. Common stock	—	—	—	—	—	—	—	—
f. Mortgage loans - FV	—	—	—	—	—	—	—	—
g. Real estate - FV	—	—	—	—	—	—	—	—
h. Derivatives - FV	—	—	—	—	—	—	—	—
i. Other invested assets - FV	—	—	—	—	—	—	—	—
j. Total collateral assets - FV	\$ —	\$ 78,763,213	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —

## 9. Allocation of Aggregate Collateral by Remaining Contractual Maturity

	Fair Value
a. Overnight and continuous	\$ —
b. 30 days or less	54,042,100
c. 31 to 90 days	24,721,113
d. >90 days	—

## 10. Allocation of Aggregate Collateral Reinvested by Remaining Contractual Maturity

	Amortized Cost	Fair Value
a. 30 days or less	\$ 54,042,100	\$ 54,042,100
b. 31 to 60 days	24,721,113	24,721,113
c. 61 to 90 days	—	—
d. 91 to 120 days	—	—
e. 121 to 180 days	—	—
f. 181 to 365 days	—	—
g. 1 to 2 years	—	—
h. 2 to 3 years	—	—
i. >3 years	—	—

## 11. Liability to Return Collateral - Secured Borrowing (Total)

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Cash	\$ 117,625,113	\$ —	\$ —	\$ —
2. Securities (FV)	—	—	—	—
b. Ending Balance				
1. Cash	\$ 78,763,213	\$ —	\$ —	\$ —
2. Securities (FV)	—	—	—	—

## NOTES TO FINANCIAL STATEMENTS

### G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

#### 1. Company Policy or Strategies for Engaging in Repo Programs

From time to time, the Company enters into reverse repurchase agreements where the Company purchases securities and simultaneously agrees to resell the same or substantially the same securities. The agreements require additional collateral to be transferred to the Company when necessary and the Company has the right to sell or re-pledge the securities received as collateral. The Company accounts for reverse repurchase agreements as collateralized financing. The receivable for reverse repurchase agreements is included within short term investments.

#### 2. Type of Repo Trades Used

	1 First Quarter	2 Second Quarter	3 Third Quarter	4 Fourth Quarter
a. Bilateral (YES/NO)	Yes			
b. Tri-Party (YES/NO)	No			

#### 3. Original (Flow) and Residual Maturity

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Open - No Maturity	\$ —	\$ —	\$ —	\$ —
2. Overnight	—	—	—	—
3. 2 days to 1 week	—	—	—	—
4. >1 week to 1 month	—	—	—	—
5. >1 month to 3 months	—	—	—	—
6. >3 months to 1 year	—	—	—	—
7. > 1 year	—	—	—	—
b. Ending Balance	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
1. Open - No Maturity	\$ —	\$ —	\$ —	\$ —
2. Overnight	—	—	—	—
3. 2 days to 1 week	—	—	—	—
4. >1 week to 1 month	—	—	—	—
5. >1 month to 3 months	—	—	—	—
6. >3 months to 1 year	—	—	—	—
7. > 1 year	—	—	—	—

#### 4. Counterparty, Jurisdiction and Fair Value (FV)

None

#### 5. Fair Value of Securities Acquired Under Repo - Secured Borrowing

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount	\$ —	\$ —	\$ —	\$ —
b. Ending Balance	—	—	—	—

#### 6. Securities Acquired Under Repo - Secured Borrowing by NAIC Designation

Ending Balance	1 None	2 NAIC 1	3 NAIC 2	4 NAIC 3	5 NAIC 4	6 NAIC 5	7 NAIC 6	8 Does Not Qualify as Admitted
a. Bonds - FV	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
b. LB & SS - FV	—	—	—	—	—	—	—	—
c. Preferred stock - FV	—	—	—	—	—	—	—	—
d. Common stock	—	—	—	—	—	—	—	—
e. Mortgage loans - FV	—	—	—	—	—	—	—	—
f. Real estate - FV	—	—	—	—	—	—	—	—
g. Derivatives - FV	—	—	—	—	—	—	—	—
h. Other invested assets - FV	—	—	—	—	—	—	—	—
i. Total assets - FV	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —

## NOTES TO FINANCIAL STATEMENTS

### 7. Collateral Pledged - Secured Borrowing

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Cash	\$ —	\$ —	\$ —	\$ —
2. Securities (FV)	—	—	—	—
3. Securities (BACV)	XXX	XXX	XXX	XXX
4. Nonadmitted subset (BACV)	XXX	XXX	XXX	XXX
b. Ending Balance	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
1. Cash	\$ —	\$ —	\$ —	\$ —
2. Securities (FV)	—	—	—	—
3. Securities (BACV)	XXX	XXX	XXX	XXX
4. Nonadmitted subset (BACV)	XXX	XXX	XXX	XXX

### 8. Allocation of Aggregate Collateral Pledged by Remaining Contractual Maturity

	Amortized Cost	Fair Value
a. Overnight and Continuous	\$ —	\$ —
b. 30 days or less	—	—
c. 31 to 90 days	—	—
d. >90 days	—	—

### 9. Recognized Receivable for Return of Collateral - Secured Borrowing

None

### 10. Recognized Liability to Return Collateral - Secured Borrowing (Total)

None

### H. Repurchase Agreements Transactions Accounted for as a Sale

The Company had no reverse repurchase agreements transactions accounted for as secured borrowing transactions.

### I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

The Company had no reverse repurchase agreements transactions accounted for as a sale transaction.

### M. Working Capital Finance Investments

The Company had no working capital finance investments.

### N. Retained Assets

The Company had no offsetting and netting of assets and liabilities.

### R. Share of Cash Pool by Asset type

The Company did not participate in a short term investment pool as of March 31, 2023.

### Note 6 - Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

### Note 7 - Investment Income

No significant change.

### Note 8 - Derivative Instruments

#### Other Investment and/or Risk Management Activities

The premium payments for derivatives with financing premiums due within the next four years are listed in the table below, as well as the undiscounted premium commitments, the fair value of these contracts and the aggregate fair value excluding the impact of these premiums as of March 31, 2023 and December 31, 2022, respectively.

(amount in thousands)	
Fiscal Year	Derivative Premium Payments Due
2023	\$ 63,813
2024	23,788
2025	209,802
2026	22,805
Thereafter	32,307
Total Future Settled Premiums	\$ 352,515

## NOTES TO FINANCIAL STATEMENTS

(amount in thousands)			
Date	Undiscounted Future Premium Commitments	Derivative Fair Value with Premium Commitments	Derivative Fair Value Excluding Impact of Future Settled Premiums
March 31, 2023	\$ 352,515	\$ (198,964)	\$ (198,964)
December 31, 2022	\$ 318,452	\$ 155,365	\$ 155,365

**Note 9 - Income Taxes**

No significant change.

**Note 10 - Information Concerning Parent, Subsidiaries and Affiliates**

No significant change.

**Note 11 - Debt****B. FHLB (Federal Home Loan Bank) Agreements**

- The Company is a member of the Federal Home Loan Bank of Boston ("FHLB"). Membership allows the Company access to collateralized advances, which may be used to support various spread-based businesses or to enhance liquidity management. FHLB membership requires the Company to own member stock and borrowings require the purchase of activity-based stock in an amount (generally between 3% and 4% of the principal balance) based upon the term of the outstanding advances. FHLB stock held by the Company is classified within Page 2, Line 2.2 (Common stocks) in the General Account. As of March 31, 2023 there were no advances outstanding.

State law limits the Company's ability to pledge, hypothecate or otherwise encumber its assets. The amount of advances that can be taken by the Company are dependent on the assets pledged by the Company to secure the advances, and are therefore subject to this legal limit. The pledge limit is recalculated annually based on statutory admitted assets and capital and surplus. For 2023, the Company's pledge limit is \$237 million. The Company would need to seek prior written approval from the Department in order to exceed this limit. If the Company were to pursue borrowing additional amounts under its estimated capacity it may have to purchase additional shares of activity stock.

- a. FHLB Capital Stock - Aggregate Totals

## 1. March 31, 2023

		Total 2+3	General Account	Separate Accounts
a.	Membership Stock - Class A	\$ —	\$ —	\$ —
b.	Membership Stock - Class B	3,443,100	3,443,100	—
c.	Activity Stock	—	—	—
d.	Excess Stock	—	—	—
e.	Aggregate Total (a+b+c+d)	\$ 3,443,100	\$ 3,443,100	\$ —
f.	Actual or estimated borrowing capacity as determined by the insurer	\$ 233,000,000	\$ 233,000,000	\$ —

## 2. December 31, 2022

		Total 2+3	General Account	Separate Accounts
a.	Membership Stock - Class A	\$ —	\$ —	\$ —
b.	Membership Stock - Class B	3,443,100	3,443,100	—
c.	Activity Stock	—	—	—
d.	Excess Stock	—	—	—
e.	Aggregate Total (a+b+c+d)	\$ 3,443,100	\$ 3,443,100	\$ —
f.	Actual or estimated borrowing capacity as determined by the insurer	\$ 237,000,000	237,000,000	\$ —

- b. Membership Stock (Class A and B) Eligible for Redemption

Membership Stock	Current Period Total (2+3+4+5+6)	Not Eligible for Redemption	Eligible for Redemption			
			Less Than 6 Months	6 Months to Less than 1 Year	1 to Less than 3 Years	3 to 5 Years
1	Class A	\$ —	\$ —	\$ —	\$ —	\$ —
2	Class B	3,443,100	3,443,100	—	—	—

- 3 Collateral Pledged to FHLB

- a. Amount Pledged as of March 31, 2023

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**NOTES TO FINANCIAL STATEMENTS**


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		1 Fair Value	2 Carrying Value	Aggregate Total Borrowing
1	Current Year Total General and Separate Accounts (Total Collateral Pledged (Lines 2 + 3))	\$ 189,629,200	\$ 197,338,243	\$ —
2	Current Year General Account: Total Collateral Pledged	189,629,200	197,338,243	—
3	Current Year Separate Account: Total Collateral Pledged	—	—	—
4	Prior Year-end Total General and Separate Accounts: Total Collateral Pledged	\$ 186,029,443	\$ 198,182,721	—

## b. Maximum Amount Pledged During Reporting Period

		1 Fair Value	2 Carrying Value	3 Amount Borrowed at Time of Maximum Collateral
1	Current Year Total General and Separate Accounts (Maximum Collateral Pledged (Lines 2 + 3))	189,629,200	197,338,243	\$ —
2	Current Year General Account Maximum Collateral Pledged	189,629,200	197,338,243	—
3	Current Year Separate Account Maximum Collateral Pledged	—	—	—
4	Prior Year-end Total General and Separate Accounts Maximum Collateral Pledged	\$ 186,029,443	\$ 198,182,721	—

## 4. a. &amp; b. Borrowing from FHLB - Amount as of the Reporting Date

The Company had no borrowings from the FHLB as of March 31, 2023.

## c. FHLB - Prepayment Obligations

The Company does not have any prepayment obligations as of March 31, 2023.

**Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans****A Defined Benefit Plans**

The Company has no direct plans.

**Note 13 - Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations**

No significant change.

**Note 14 - Liabilities, Contingencies, and Assessments****A. Contingent Commitments**

2. Detail of Other Contingent Commitments

## NOTES TO FINANCIAL STATEMENTS

1	2	3	4	5
Nature and Circumstances of Guarantee and Key Attributes, Including Date and Duration of Agreement	Liability Recognition of Guarantee	Ultimate Financial Statement Impact if Action Under the Guarantee is Required	Maximum Potential Amount of Future Payments the Guarantor Could be Required to Make	Current Status of Payment or Performance Risk of Guarantee
Effective February 1, 2018, TLA guaranteed the obligations of Talcott Resolution Comprehensive Employee Benefit Service Company ("TCB"), a wholly-owned subsidiary, with respect to certain structured settlement liability obligations to provide an increased level of security to claimants under such structured settlements; these obligations were assumed from Talcott Resolution Life Insurance Company ("TL") on February 1, 2018. As of March 31, 2023 and December 31, 2022, no liability was recorded for this guarantee, as TCB was able to meet these policyholder obligations.	\$ —	Increase in Investments in SCA, Dividends to stockholders (capital contribution), Expense, or Other	Unlimited (1)	The guaranteed affiliate maintains surplus in addition to policyholder reserves. The payment or performance risk of this guarantee is low as it is unlikely that this guarantee will be triggered.
Total	\$ —		Unlimited	

(1) There is no limit on the Company's guarantee to pay policyholder obligations on behalf of the affiliate for the contracts covered in the guarantee agreement.

**B. Assessments**

No significant change.

**C. Gain Contingencies**

No significant change.

**D. Claims related extra contractual obligations and bad faith losses stemming from lawsuits**

No significant change.

**E. Joint and Several Liabilities**

No significant change.

**F. All Other Contingencies**

The Company is or may become involved in various legal actions, some of which assert claims for substantial amounts. Management expects that the ultimate liability, if any, with respect to such lawsuits, after consideration of provisions made for estimated losses and costs of defense, will not be material to the financial condition of the Company.

For additional information, please refer to the current and periodic reports filed by TL with the United States Securities and Exchange Commission.

**Note 15 - Leases**

No significant change.

**Note 16- Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk**

No significant change.

**Note 17- Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

B. The Company had no transfer or servicing of financial assets.

C. The Company had no wash sales.

**Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans**

No significant change.

**Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

No significant change.

**Note 20 - Fair Value Measurements**

**A. Fair Value Measurements**

Fair value is determined based on the "exit price" notion which is defined as the price that would be received to sell an asset or paid to transfer a liability in the principal or most advantageous market for the asset or liability in an orderly transaction between market participants. Financial instruments carried at fair value in the Company's financial statements include certain bonds, stocks, derivatives, and Separate Account assets.

The Company's investment managers Hartford Investment Management Company ("HIMCO") and Sixth Street Insurance Solutions, L.P. (both registered investment advisers under the Investment Advisers Act of 1940), with oversight by the Company's Investment Management Department and its Enterprise Finance, Investments, and Capital Working Group ("EFICWG"), a working group co-chaired by the Chief Financial Officers ("CFO") of the Talcott Financial Group Investments, LLC subsidiaries, manages the Company's investment portfolios to maximize economic value and generate the returns necessary to support the Company's various product obligations, within internally established objectives, guidelines and risk tolerances. The portfolio objectives and guidelines are developed, by the Company, based upon the asset/liability profile, including duration, convexity and other characteristics within specified risk tolerances. The risk tolerances considered include, but are not limited to, asset sector, credit issuer allocation limits, and maximum portfolio limits for below investment grade holdings. The Company attempts to minimize adverse impacts to the investment portfolio and the Company's results of operations from changes in economic conditions through asset diversification, asset allocation limits, and asset/liability duration matching and the use of derivatives. The following section applies the fair value hierarchy and disclosure requirements for the Company's Separate Account assets, and categorizes the inputs in the valuation techniques used to measure fair value into three broad Levels (Level 1, 2, or 3):

## NOTES TO FINANCIAL STATEMENTS

- Level 1 Unadjusted quoted prices for identical assets or liabilities in active markets that the Company has the ability to access at the measurement date.
- Level 2 Observable inputs, other than quoted prices included in Level 1, for the asset or liability, or prices for similar assets and liabilities.
- Level 3 Valuations that are derived from techniques in which one or more of the significant inputs are unobservable (including assumptions about risk). Because Level 3 fair values, by their nature, contain one or more significant unobservable inputs as there is little or no observable market for these assets and liabilities, considerable judgment is used to determine the Level 3 fair values. Level 3 fair values represent the Company's best estimate of amounts that could be realized in a current market exchange absent actual market exchanges.

In many situations, inputs used to measure the fair value of an asset or liability position may fall into different levels of the fair value hierarchy. In these situations, the Company's investment manager will determine the level in which the fair value falls based upon the lowest level input that is significant to the determination of the fair value. In most cases, both observable (e.g., changes in interest rates) and unobservable (e.g., changes in risk assumptions) inputs are used in the determination of fair values that the Company has classified within Level 3. Consequently, these values and the related gains and losses are based upon both observable and unobservable inputs. The Company's bonds included in Level 3 are classified as such because these securities are primarily within illiquid markets and/or priced by independent brokers.

The following table presents assets and (liabilities) carried at fair value by hierarchy level:

March 31, 2023					
(Amounts in thousands)	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)	Net Asset Value (NAV)	Total
<b>a. Assets accounted for at fair value</b>					
Bonds - States and Municipalities	\$ —	\$ —	\$ —	\$ —	\$ —
Common stocks - unaffiliated	5,291	—	3,443	—	8,734
Preferred stocks - unaffiliated	—	—	—	—	—
Cash equivalents	256,875	—	—	—	256,875
Total bonds and stocks	262,166	—	3,443	—	265,609
Derivative assets					
Interest rate derivatives	—	—	—	—	—
Macro hedge program	—	—	189,591	—	189,591
Total derivative assets	—	—	189,591	—	189,591
Separate Account assets [1]	22,565,048	—	—	—	22,565,048
Total assets accounted for at fair value	\$ 22,827,214	\$ —	\$ 193,034	\$ —	\$ 23,020,248
<b>b. Liabilities accounted for at fair value</b>					
Derivative liabilities					
Interest rate derivatives	\$ —	\$ —	\$ —	\$ —	\$ —
Macro hedge program	—	(69,895)	(13,852)	—	(83,747)
Total liabilities accounted for at fair value	\$ —	\$ (69,895)	\$ (13,852)	\$ —	\$ (83,747)

- a. Excludes approximately \$0.0 of investment sales receivable net of investment purchases payable that are not subject to SSAP No. 100 (Fair Value Measurements).

### Valuation Techniques, Procedures and Controls

The Company determines the fair values of certain financial assets and liabilities based on quoted market prices where available and where prices represent reasonable estimates of fair value. The Company also determines fair values based on future cash flows discounted at the appropriate current market rate. Fair values reflect adjustments for counterparty credit quality, the Company's default spreads, liquidity and, where appropriate, risk margins on unobservable parameters. The following is a discussion of the methodologies used to determine fair values for the financial instruments listed in the preceding tables.

The fair value process is monitored by the Valuation Committee of the Company's investment manager, which is a cross-functional group of senior management that meets at least quarterly. The purpose of the committee is to oversee the pricing policy and procedures by ensuring objective and reliable valuation practices and pricing of financial instruments as well as addressing valuation issues and approving changes to valuation methodologies and pricing sources. There are also two working groups under the Valuation Committee of the Company's investment manager, a Securities Valuation Group and a Derivatives Valuation Group, which include various investment, operations, accounting, compliance and risk management professionals that meet on a regular basis, to review market data trends, pricing and trading statistics and results, and any proposed pricing methodology changes.

In addition, the Finance and Investment Committee of the Company, co-chaired by its Chief Investment Officer and Chief Financial Officer, is responsible for the approval and monitoring of the Valuation Policy of the Company as well as the adjudication of any valuation disputes thereunder. The Valuation Policy addresses valuation of all financial instruments held in the general account and guaranteed separate accounts of the Company, including all derivative positions. The Finance and Investment Committee meets regularly, and its members include a cross-functional group of senior management as well as various investment, accounting, finance, and risk management professionals.

The Company also has an enterprise-wide Operational Risk Management function which is responsible for establishing, maintaining and communicating the framework, principles and guidelines of the Company's operational risk management program. This includes model risk management which provides an independent review of the suitability, characteristics and reliability of model inputs as well as an analysis of significant changes to current models.

### Bonds and Stocks

The fair value of bonds and stocks in an active and orderly market (e.g., not distressed or forced liquidation) are determined by the Company's investment manager using a "waterfall" approach after considering the following pricing sources: quoted prices for identical assets or liabilities, prices from third-party pricing services, independent broker quotations, or internal matrix pricing processes. Typical inputs used by these pricing sources include, but are not limited to, benchmark yields, reported trades, broker/dealer quotes, issuer spreads, benchmark securities, bids, offers, and/or estimated cash flows, prepayment speeds, and default rates. Most bonds do not trade daily. Based on the typical trading volumes and the lack of quoted market prices for bonds, third-party pricing services utilize matrix pricing to derive security prices. Matrix pricing relies on securities' relationships to other benchmark quoted securities, which trade more frequently. Pricing services utilize recently reported trades of identical or similar securities making adjustments through the reporting date based on the preceding outlined available market observable information. If there are no recently reported trades, the third-party pricing services may develop a security price using expected future cash flows based upon collateral performance and discounted at an estimated market rate. Both matrix pricing and discounted cash flow techniques develop prices by factoring in the time value for cash flows and risk, including liquidity and credit.

Prices from third-party pricing services may be unavailable for securities that are rarely traded or are traded only in privately negotiated transactions. As a result, certain securities are priced via independent broker quotations which utilize inputs that may be difficult to corroborate with observable market based data. Additionally, the majority of these independent broker quotations are non-binding.

## NOTES TO FINANCIAL STATEMENTS

The Company's investment manager utilizes an internally developed matrix pricing process for private placement securities for which the Company is unable to obtain a price from a third-party pricing service. The process is similar to the third-party pricing services. The Company's investment manager develops credit spreads each month using market based data for public securities adjusted for credit spread differentials between public and private securities which are obtained from a survey of multiple private placement brokers. The credit spreads determined through this survey approach are based upon the issuer's financial strength and term to maturity, utilizing independent public security index and trade information and adjusting for the non-public nature of the securities. Credit spreads combined with risk-free rates are applied to contractual cash flows to develop a price.

The Company's investment manager performs ongoing analyses of the prices and credit spreads received from third parties to ensure that the prices represent a reasonable estimate of the fair value. In addition, the Company's investment manager ensures that prices received from independent brokers represent a reasonable estimate of fair value through the use of internal and external cash flow models utilizing spreads, and when available, market indices. As a result of these analyses, if the Company's investment manager determines that there is a more appropriate fair value based upon the available market data, the price received from the third party is adjusted accordingly and approved by the Valuation Committee of the Company's investment manager.

The Company's investment manager conducts other specific monitoring controls around pricing. Daily, weekly and monthly analyses identify price changes over pre-determined thresholds for bonds and equity securities. Monthly analyses identify prices that have not changed, and missing prices. Also, on a monthly basis, a second source validation is performed on most sectors. Analyses are conducted by a dedicated pricing unit that follows up with trading and investment sector professionals and challenges prices with vendors when the estimated assumptions used differs from what the Company's investment manager feels a market participant would use. Examples of other procedures performed include, but are not limited to, initial and ongoing review of third-party pricing services' methodologies, review of pricing statistics and trends and back testing recent trades.

The Company's investment manager has analyzed the third-party pricing services' valuation methodologies and related inputs, and has also evaluated the various types of securities in its investment portfolio to determine an appropriate fair value hierarchy level based upon trading activity and the observability of market inputs. Most prices provided by third-party pricing services are classified into Level 2 because the inputs used in pricing the securities are observable. Due to the lack of transparency in the process that brokers use to develop prices, most valuations that are based on brokers' prices are classified as Level 3. Some valuations may be classified as Level 2 if the price can be corroborated with observable market data.

### Derivative Instruments

Derivative instruments are fair valued using pricing valuation models for OTC derivatives that utilize independent market data inputs, quoted market prices for exchange-traded derivatives and OTC-cleared derivatives, or independent broker quotations.

The Company performs ongoing analysis of the valuations, assumptions, and methodologies used to ensure that the prices represent a reasonable estimate of the fair value. The Company performs various controls on derivative valuations which include both quantitative and qualitative analyses. Analyses are conducted by a cross-functional group of investment, actuarial, risk and information technology professionals that analyze impacts of changes in the market environment and investigate variances. There is a monthly analysis to identify market value changes greater than pre-defined thresholds, stale prices, missing prices and zero prices. Also on a monthly basis, a second source validation, typically to broker quotations, is performed for certain of the more complex derivatives and all new deals during the month. A model validation review is performed on any new models, which typically includes detailed documentation and validation to a second source. As to certain derivatives that are held by the Company as well as its investment manager's other clients, the Company's investment manager performs ongoing analysis of the valuations, assumptions, and methodologies used to ensure that the prices represent a reasonable estimate of the fair value. On a daily basis, the Company's investment manager compares market valuations to counterparty valuations for all OTC derivatives held by the Company for collateral purposes.

The Company utilizes derivative instruments to manage the risk associated with certain assets and liabilities. However, the derivative instrument may not be classified with the same fair value hierarchy level as the associated assets and liabilities. Therefore the realized and unrealized gains and losses on derivatives reported in Level 3 may not reflect the offsetting impact of the realized and unrealized gains and losses of the associated assets and liabilities.

### Valuation Inputs for Investments

For Level 1 investments, which are comprised of exchange-traded securities and open-ended mutual funds, valuations are based on observable inputs that reflect quoted prices for identical assets in active markets that the Company has the ability to access at the measurement date.

For the Company's Level 2 and 3 bonds and stocks, typical inputs used by pricing techniques include, but are not limited to, benchmark yields, reported trades, broker/dealer quotes, issuer spreads, benchmark securities, bids, offers, and/or estimated cash flows, prepayment speeds, and default rates.

A description of additional inputs used in the Company's Level 2 and Level 3 measurements is included in the following discussion:

**Level 2** The fair values of most of the Company's Level 2 investments are determined by management after considering prices received from third-party pricing services. These investments include mostly bonds and preferred stocks.

Asset-backed securities, collateralized loan obligations, commercial and residential mortgage-backed securities - Primary inputs also include monthly payment information, collateral performance, which varies by vintage year and includes delinquency rates, collateral valuation loss severity rates, collateral refinancing assumptions, and credit default swap indices. Commercial and residential mortgage-backed securities prices also include estimates of the rate of future principal prepayments over the remaining life of the securities. Such estimates are derived based on the characteristics of the underlying structure and prepayment speeds previously experienced at the interest rate levels projected for the underlying collateral.

Foreign government/government agencies - Primary inputs also include observations of credit default swap curves related to the issuer and political events in emerging market economies.

Interest rate derivatives - Primary input is the swap yield curve.

**Level 3** Most of the Company's securities classified as Level 3 include less liquid securities such as lower quality asset-backed securities, collateralized loan obligations, commercial and residential mortgage-backed securities primarily backed by sub-prime loans. Also included in Level 3 are securities valued based on broker prices or broker spreads, without adjustments. Primary inputs for non-broker priced investments including structured securities, are consistent with the typical inputs used in Level 2 measurements noted above but are Level 3 due to their less liquid markets. Additionally, certain long-dated securities are priced based on third-party pricing services, including certain municipal securities, foreign government/government agency securities, and bank loans, which are included with corporate bonds. Primary inputs for these long-dated securities are consistent with the typical inputs used in the preceding described Level 1 and Level 2 measurements, but include benchmark interest rate or credit spread assumptions that are not observable in the marketplace. Primary inputs for privately traded equity securities are internal discounted cash flow models utilizing earnings multiples or other cash flow assumptions that are not observable. Significant inputs for Level 3 derivative contracts primarily include the typical inputs used in the preceding Level 1 and Level 2 measurements, but also may include equity and interest volatility, and swap yield curves beyond observable limits.

### Separate Account assets

Non-guaranteed Separate Account assets are primarily invested in mutual funds and are valued by the underlying mutual funds in accordance to their valuation policies and procedures.



## NOTES TO FINANCIAL STATEMENTS

## Assets and Liabilities Measured at Fair Value Using Significant Unobservable Inputs (Level 3)

b. The table below provides a roll-forward of financial instruments measured at fair value using significant unobservable inputs (Level 3) for the quarter ended March 31, 2023:

(Amounts in thousands)	Beginning Balance As of Prior Quarter End	Transfers into Level 3 [2]	Transfers out of Level 3 [2]	Total Gains and (Losses) Included in:		Purchases	Sales	Settlements	Ending Balance As of Current Quarter End
				Net Income [1]	Surplus				
<b>Assets</b>									
Common stocks - unaffiliated	\$ 3,443	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ 3,443
Total bonds and stocks	3,443	—	—	—	—	—	—	—	3,443
<b>Derivatives</b>									
Macro hedge program	251,081	—	—	—	—	—	—	(78,342)	172,739
Total derivatives [3]	251,081	—	—	—	—	—	—	(78,342)	172,739
<b>Total assets</b>	<b>\$ 254,524</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ (78,342)</b>	<b>\$ 176,182</b>

[1] All amounts in this column are reported in net realized capital gains (losses). All amounts are before income taxes.

[2] Transfers in and/or (out) of Level 3 are primarily attributable to changes in the availability of market observable information and changes to the bond and stock carrying value based on the lower of cost and market requirement.

[3] Derivative instruments are reported in this table on a net basis for asset/(liability) positions.

## B. Other Fair Value Disclosures

Not applicable.

## C. Fair Values for All Financial Instruments by Levels 1, 2 and 3

The tables below reflect the fair values and admitted values of all admitted assets and liabilities that are financial instruments excluding those accounted for under the equity method (subsidiaries, joint ventures and partnerships). The fair values are also categorized into the three-level fair value hierarchy as described in Note 20A above.

(Amounts in thousands)	March 31, 2023						
	Aggregate Fair Value	Admitted Value	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
<b>Assets</b>							
Bonds - unaffiliated	\$ 3,787,998	\$ 4,104,803	\$ —	\$ 3,312,839	\$ 475,159	\$ —	\$ —
Preferred stocks - unaffiliated	—	—	—	—	—	—	—
Common stocks - unaffiliated	8,734	8,734	5,291	—	3,443	—	—
Mortgage loans	831,276	903,084	—	—	831,276	—	—
Cash, cash equivalents and short-term investments - unaffiliated	400,891	400,890	256,875	144,016	—	—	—
Derivative related assets	194,979	191,632	—	26,828	168,151	—	—
Contract loans	88,502	88,502	—	—	88,502	—	—
Surplus debentures	42,287	41,839	—	26,800	15,487	—	—
Low-income housing tax credits	—	—	—	—	—	—	—
Separate Account assets [1]	22,565,048	22,565,048	22,565,048	—	—	—	—
<b>Total assets</b>	<b>\$ 27,919,715</b>	<b>\$ 28,304,532</b>	<b>\$ 22,827,214</b>	<b>\$ 3,510,483</b>	<b>\$ 1,582,018</b>	<b>\$ —</b>	<b>\$ —</b>
<b>Liabilities</b>							
Liability for deposit-type contracts	\$ (166,468)	\$ (166,468)	\$ —	\$ —	\$ (166,468)	\$ —	\$ —
Derivative related liabilities	(132,310)	(81,650)	—	(101,957)	(30,353)	—	—
Separate Account liabilities	(22,565,048)	(22,565,048)	(22,565,048)	—	—	—	—
<b>Total liabilities</b>	<b>\$ (22,863,826)</b>	<b>\$ (22,813,166)</b>	<b>\$ (22,565,048)</b>	<b>\$ (101,957)</b>	<b>\$ (196,821)</b>	<b>\$ —</b>	<b>\$ —</b>

[1] Excludes approximately \$0.0 at March 31, 2023, of investment sales receivable net of investment purchases payable that are not subject to SSAP No. 100.

## NOTES TO FINANCIAL STATEMENTS

(Amounts in thousands)	December 31, 2022						
	Aggregate Fair Value	Admitted Value	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
<b>Assets</b>							
Bonds - unaffiliated	\$ 3,726,696	\$ 4,138,349	\$ —	\$ 3,259,227	\$ 467,469	\$ —	\$ —
Preferred stocks - unaffiliated	24,626	24,626	—	24,626	—	—	—
Common stocks - unaffiliated	9,037	9,037	5,594	—	3,443	—	—
Mortgage loans	907,343	994,929	—	—	907,343	—	—
Cash, cash equivalents and short-term investments - unaffiliated	308,374	308,374	193,508	114,866	—	—	—
Derivative related assets	327,677	324,672	—	45,087	282,590	—	—
Contract loans	88,065	88,065	—	—	88,065	—	—
Surplus debentures	41,174	41,838	—	24,825	16,349	—	—
Low-income housing tax credits	8	8	—	—	8	—	—
Separate Account assets [1]	22,171,530	22,171,530	22,171,530	—	—	—	—
<b>Total assets</b>	<b>\$ 27,604,530</b>	<b>\$ 28,101,428</b>	<b>\$ 22,370,632</b>	<b>\$ 3,468,631</b>	<b>\$ 1,765,267</b>	<b>\$ —</b>	<b>\$ —</b>
<b>Liabilities</b>							
Liability for deposit-type contracts	\$ (169,983)	\$ (169,983)	\$ —	\$ —	\$ (169,983)	—	\$ —
Derivative related liabilities	(137,350)	(82,797)	—	(105,841)	(31,509)	—	—
Separate Account liabilities	(22,171,530.11)	(22,171,530.11)	(22,171,530.11)	—	—	—	—
<b>Total liabilities</b>	<b>\$(22,478,863.11)</b>	<b>\$(22,424,310)</b>	<b>\$(22,171,530.11)</b>	<b>\$ (105,841)</b>	<b>\$ (201,492)</b>	<b>\$ —</b>	<b>\$ —</b>

[1] Excludes approximately \$7 million, at December 31, 2022, of investment sales receivable net of investment purchases payable that are not subject to SSAP No. 100.

The valuation methodologies used to determine the fair values of bonds, stocks and derivatives are described in the above Fair Value Measurements section of this note.

The amortized cost of cash, cash equivalents and short-term investments approximates fair value.

Fair values for mortgage loans on real estate were estimated using discounted cash flow calculations based on current lending rates for similar type loans. Current lending rates reflect changes in credit spreads and the remaining terms of the loans.

The fair value of contract loans was determined using current loan coupon rates which reflect the current rates available under the contracts. As a result, the fair value approximates the carrying value of the contract loans.

The carrying amounts of the liability for deposit-type contracts and Separate Account liabilities approximate their fair values.

D. At March 31, 2023, the Company had no investments where it was not practicable to estimate fair value.

#### Note 21 – Other Items

##### C. Other Disclosures

On January 27, 2023, TL loaned \$60mm to TR Re per the new intercompany liquidity agreement. The interest rate of this loan is 4.5% and the maturity date is January 26, 2024.

In October 2020, the Circuit Court of the State of Wisconsin (the "Court") approved a Petition For Order of Rehabilitation filed by the Commissioner of Insurance of the State of Wisconsin to place Time Insurance Company ("TIC") in rehabilitation. Since 2001, TLA has reinsured life and annuity reserves from TIC and provided certain administrative services to TIC's policyholders. In turn, TLA retroceded much of the reinsured reserves to a nonaffiliated reinsurer who also provided certain administrative services to the covered policyholders. In June 2022, the Court approved an assumption reinsurance agreement between TLA and TIC filed by the Commissioner of Insurance of the State of Wisconsin under which TLA has assumed this business effective July 1, 2022. The retrocession agreement remains in place. This assumption reinsurance agreement is not expected to have a material impact to TLA.

#### Note 22 - Events Subsequent

The Company had no material subsequent events through the filing date of May 11, 2023.

#### Note 23 - Reinsurance

##### A. Ceded Reinsurance Report

###### Section 2 - Ceded Reinsurance Report - Part A

1. The Company has one reinsurance agreement in effect under which the reinsurer has a limited right to unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits. See Note 1 for further discussion of prescribed practices.
  - a. For the periods ended March 31, 2023 and December 31, 2022, the estimated amount of the aggregate reduction in surplus of this limited right to unilaterally cancel this reinsurance agreement by the reinsurer for which cancellation results in a net obligation of the Company to the reinsurer, and for which such obligation is not presently accrued was \$32,543,987 and \$34,263,177, respectively.
  - b. For the periods ended March 31, 2023 and December 31, 2022, the total amount of reinsurance credit taken for this agreement was \$41,194,920 and \$43,371,111, respectively.

#### Note 24 - Retrospectively Rated Contracts & Contracts Subject to Redetermination

##### E. Risk-Sharing Provisions of the Affordable Care Act ("ACA")

The Company had no accident and health insurance premiums that are subject to the Affordable Care Act risk-sharing provisions.

#### Note 25 - Changes in Incurred Losses and Loss Adjustment Expenses

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**NOTES TO FINANCIAL STATEMENTS**

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Reserves as of December 31, 2022 were \$5.0 million. As of March 31, 2023, \$0.1 million has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$4.9 million as a result of re-estimation of unpaid claims and claim adjustment expenses principally on Accident and Health lines of insurance. As a result, there has been a \$0.0 million prior-year development from December 31, 2022 to March 31, 2023. The change is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims.

**Note 26 - Intercompany Pooling Arrangements**

No significant change.

**Note 27 - Structured Settlements**

No significant change.

**Note 28 - Health Care Receivables**

No significant change.

**Note 29 - Participating Policies**

No significant change.

**Note 30 - Premium Deficiency Reserves**

No significant change.

**Note 31 - Reserves for Life Contracts and Deposit-Type Contracts**

No significant change.

**Note 32 - Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics**

No significant change.

**Note 33 - Analysis of Life Actuarial Reserves by Withdrawal Characteristics**

No significant change.

**Note 34 - Premium and Annuity Considerations Deferred and Uncollected**

No significant change.

**Note 35 - Separate Accounts**

No significant change.

**Note 36 - Loss/Claim Adjustment Expenses**

No significant change.

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]
- 1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]
- 2.2 If yes, date of change: .....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [ X ] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.  
.....
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ ] No [ X ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. ....
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ X ] N/A [ ]  
If yes, attach an explanation.  
.....
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. .... 12/31/2022
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. .... 12/31/2017
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). .... 05/10/2019
- 6.4 By what department or departments?  
State of Connecticut Insurance Department .....
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [ X ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ X ] No [ ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]
- 7.2 If yes, give full information:  
.....
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.  
.....
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ X ] No [ ]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC
Talcott Resolution Distribution Company Inc. ....	Windsor CT .....	...NO...	...NO...	...NO...	...YES...

## GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [ X ] No [ ]
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain: .....
- 9.2 Has the code of ethics for senior managers been amended? ..... Yes [ ] No [ X ]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s). .....
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [ ] No [ X ]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s). .....

### FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [ ] No [ X ]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ .....

### INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes [ X ] No [ ]
- 11.2 If yes, give full and complete information relating thereto:  
 The Company has \$419,605,162 of cash and bonds pledged as collateral for derivative activity; \$3,443,100 of FHLB capital stock; \$197,338,243, pledged as collateral for FHLB activity; \$78,763,213 of bonds subject to repurchase agreements and \$16,315,413 securities pledged for repurchase activity. ....
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$ ..... 33,307,541
13. Amount of real estate and mortgages held in short-term investments: ..... \$ .....
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes [ X ] No [ ]
- 14.2 If yes, please complete the following:
- |   | 1<br>Prior Year-End<br>Book/Adjusted<br>Carrying Value | 2<br>Current Quarter<br>Book/Adjusted<br>Carrying Value |
|---|--|---|
| 14.21 Bonds .....   | \$ .....   | \$ .....  |
| 14.22 Preferred Stock .....   | \$ .....   | \$ .....  |
| 14.23 Common Stock .....  | \$ ..... 10,324,184                                    | \$ ..... 10,395,073                                     |
| 14.24 Short-Term Investments .....  | \$ .....   | \$ .....  |
| 14.25 Mortgage Loans on Real Estate .....   | \$ .....   | \$ .....  |
| 14.26 All Other .....   | \$ .....   | \$ .....  |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) ..... | \$ ..... 10,324,184                                    | \$ ..... 10,395,073                                     |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....                       | \$ .....   | \$ .....  |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes [ X ] No [ ]
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [ X ] No [ ] N/A [ ]  
 If no, attach a description with this statement. ....
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 ..... \$ .....
- 16.2 Total book/adjusted carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 ..... \$ .....
- 16.3 Total payable for securities lending reported on the liability page. .... \$ .....

STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company  
**GENERAL INTERROGATORIES**

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [ X ] No [ ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JPMorgan Chase Bank N.A. ....	4 Chase Metro Tech Center 16th Floor Brooklyn NY 11245 .....
The Bank of New York Mellon .....	101 Barclay Street 8 West New York NY 10286 .....
Federal Home Loan Bank of Boston .....	800 Boylston St. Boston MA 02199 .....

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [ X ]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Hartford Investment Management Company .....	U.....
PGIM Inc. ....	U.....
Sixth Street Insurance Solutions, LP .....	A.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [ X ] No [ ]
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [ X ] No [ ]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
106699 .....	Hartford Investment Management Company .....	FE0BULMG7PY8G4MG7C65 .....	SEC .....	DS.....
105676 .....	PGIM Inc. ....	5493009SX8QJBZY1GB87 .....	SEC .....	DS.....
317703 .....	Sixth Street Insurance Solutions, LP .....	549300XV81PTBGKNG044 .....	SEC .....	DS.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [ X ] No [ ]
- 18.2 If no, list exceptions:  
 .....

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
  - b. Issuer or obligor is current on all contracted interest and principal payments.
  - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? ..... Yes [ X ] No [ ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
  - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? ..... Yes [ X ] No [ ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
  - d. The fund only or predominantly holds bonds in its portfolio.
  - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
  - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [ ] No [ X ]

# GENERAL INTERROGATORIES

## PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

**Life and Accident Health Companies/Fraternal Benefit Societies:**

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories: 1  
Amount
- 1.1 Long-Term Mortgages In Good Standing
- 1.11 Farm Mortgages .....\$.....
- 1.12 Residential Mortgages .....\$.....
- 1.13 Commercial Mortgages .....\$..... 903,084,013
- 1.14 Total Mortgages in Good Standing .....\$..... 903,084,013
- 1.2 Long-Term Mortgages In Good Standing with Restructured Terms
- 1.21 Total Mortgages in Good Standing with Restructured Terms.....\$.....
- 1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months
- 1.31 Farm Mortgages .....\$.....
- 1.32 Residential Mortgages .....\$.....
- 1.33 Commercial Mortgages .....\$.....
- 1.34 Total Mortgages with Interest Overdue more than Three Months .....\$.....
- 1.4 Long-Term Mortgage Loans in Process of Foreclosure
- 1.41 Farm Mortgages .....\$.....
- 1.42 Residential Mortgages .....\$.....
- 1.43 Commercial Mortgages .....\$.....
- 1.44 Total Mortgages in Process of Foreclosure .....\$.....
- 1.5 Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2) .....\$..... 903,084,013
- 1.6 Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter
- 1.61 Farm Mortgages .....\$.....
- 1.62 Residential Mortgages .....\$.....
- 1.63 Commercial Mortgages .....\$.....
- 1.64 Total Mortgages Foreclosed and Transferred to Real Estate .....\$.....
2. Operating Percentages:
- 2.1 A&H loss percent ..... %
- 2.2 A&H cost containment percent ..... %
- 2.3 A&H expense percent excluding cost containment expenses ..... %
- 3.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]
- 3.2 If yes, please provide the amount of custodial funds held as of the reporting date .....\$.....
- 3.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]
- 3.4 If yes, please provide the balance of the funds administered as of the reporting date .....\$.....
4. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [ X ] No [ ]
- 4.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ ]

**Fraternal Benefit Societies Only:**

- 5.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? ..... Yes [ ] No [ ] N/A [ ]
- 5.2 If no, explain: .....
- 6.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? ..... Yes [ ] No [ ]
- 6.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount
.....	.....

**SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating
<b>NONE</b>									



STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company  
**SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS**

Current Year To Date - Allocated by States and Territories

States, Etc.	1	Direct Business Only					7	
		Life Contracts		4	5	6		
		2	3					
Active Status (a)	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 Through 5	Deposit-Type Contracts		
1. Alabama	AL	L	1,572,404	126,530	143	109,693	1,808,770	
2. Alaska	AK	L	188,724		36	20,038	208,798	
3. Arizona	AZ	L	3,749,288	810,504	851	3,876	4,564,519	
4. Arkansas	AR	L	1,554,525	85,489	79	4,895	1,644,988	
5. California	CA	L	19,344,035	3,549,457	2,549	408,337	23,304,378	
6. Colorado	CO	L	3,237,289	426,954	324	7,125	3,671,692	
7. Connecticut	CT	L	2,637,210	324,463	89	42,777	3,004,539	
8. Delaware	DE	L	863,620	8,149		630	872,399	
9. District of Columbia	DC	L	306,662	34,309		3,950	344,921	
10. Florida	FL	L	13,838,468	3,768,933	2,197	407,111	18,016,709	
11. Georgia	GA	L	3,829,162	1,158,434	798	46,446	5,034,840	
12. Hawaii	HI	L	655,454	354,366	139	16,899	1,026,858	
13. Idaho	ID	L	593,927	151,067	163	22,625	767,782	
14. Illinois	IL	L	8,435,328	2,947,872	2,060	108,078	11,493,338	
15. Indiana	IN	L	2,101,135	419,648	1,742	12,393	2,534,918	
16. Iowa	IA	L	1,795,870	435,505	3,003	64,544	2,298,922	
17. Kansas	KS	L	1,725,239	288,720	114	7,275	2,021,348	
18. Kentucky	KY	L	1,912,987	607,303	714	11,287	2,532,291	
19. Louisiana	LA	L	2,721,340	142,628	1,052	58,873	2,923,893	
20. Maine	ME	L	277,020	7,451	128	93,297	377,896	
21. Maryland	MD	L	5,538,120	547,123		16,376	6,101,619	
22. Massachusetts	MA	L	2,678,318	1,047,818		107,678	3,833,814	
23. Michigan	MI	L	4,207,378	1,004,780	2,334	85,212	5,299,704	
24. Minnesota	MN	L	4,163,775	1,246,492	2,904	41,634	5,454,805	
25. Mississippi	MS	L	1,058,640	147,750	238	11,699	1,218,327	
26. Missouri	MO	L	3,887,133	1,448,821	612	15,385	5,351,951	
27. Montana	MT	L	238,824	1,370	92	900	241,186	
28. Nebraska	NE	L	1,408,132	419,286	1,025	30,857	1,859,300	
29. Nevada	NV	L	905,743	210,122	290	7,590	1,123,745	
30. New Hampshire	NH	L	580,226	19		8,830	589,075	
31. New Jersey	NJ	L	4,487,582	732,789	35	140,917	5,361,323	
32. New Mexico	NM	L	830,143	35,829	175	3,621	869,768	
33. New York	NY	N	2,085,786	75,407		470,269	2,631,462	
34. North Carolina	NC	L	7,649,397	1,274,339	4,577	140,518	9,068,831	
35. North Dakota	ND	L	745,416	387,732	71	44,373	1,177,592	
36. Ohio	OH	L	5,333,973	165,611	926	57,886	5,558,396	
37. Oklahoma	OK	L	1,589,095	347,226	1,085	267,830	2,205,236	
38. Oregon	OR	L	1,115,845	477,134	349	61,084	1,654,412	
39. Pennsylvania	PA	L	7,251,181	932,146	107	405,912	8,589,346	
40. Rhode Island	RI	L	316,934	75	170	4,091	321,270	
41. South Carolina	SC	L	2,161,929	478,520	161	6,273	2,646,883	
42. South Dakota	SD	L	1,183,598	30,317	129	14,110	1,228,154	
43. Tennessee	TN	L	3,545,204	587,012	831	13,397	4,146,444	
44. Texas	TX	L	13,445,464	2,126,987	1,330	585,350	16,159,131	
45. Utah	UT	L	752,713	36,537	25	21,531	810,806	
46. Vermont	VT	L	288,729	183,517		12,950	485,196	
47. Virginia	VA	L	4,501,638	964,747	392	40,864	5,507,641	
48. Washington	WA	L	3,177,556	90,558	316	67,991	3,336,421	
49. West Virginia	WV	L	851,500	139,625	392	9,471	1,000,988	
50. Wisconsin	WI	L	3,559,515	932,734	8,566	169,343	4,670,158	
51. Wyoming	WY	L	180,659			1,050	181,709	
52. American Samoa	AS	N						
53. Guam	GU	N	2,450				2,450	
54. Puerto Rico	PR	L	18,155				18,155	
55. U.S. Virgin Islands	VI	L	481				481	
56. Northern Mariana Islands	MP	N						
57. Canada	CAN	N	21,389				21,389	
58. Aggregate Other Aliens	OT	XXX	324,078				324,078	
59. Subtotal	XXX		161,426,386	31,720,205	43,313	4,315,141	197,505,045	
90. Reporting entity contributions for employee benefits plans	XXX							
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX		364				364	
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX							
93. Premium or annuity considerations waived under disability or other contract provisions	XXX		448,867	1,551	486		450,904	
94. Aggregate or other amounts not allocable by State	XXX							
95. Totals (Direct Business)	XXX		161,875,617	31,721,756	43,799	4,315,141	197,956,313	
96. Plus Reinsurance Assumed	XXX		11,292,825	1,387,425		609,700	13,289,950	
97. Totals (All Business)	XXX		173,168,442	33,109,181	43,799	4,924,841	211,246,263	
98. Less Reinsurance Ceded	XXX		173,406,598	16,459,828		4,942,588	194,809,014	
99. Totals (All Business) less Reinsurance Ceded	XXX		(238,156)	16,649,353	43,799	(17,747)	16,437,249	
DETAILS OF WRITE-INS								
58001. ZZZ Other Alien	XXX		324,078				324,078	
58002.	XXX							
58003.	XXX							
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX							
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		324,078				324,078	
9401.	XXX							
9402.	XXX							
9403.	XXX							
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX							
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX							

(a) Active Status Counts:

1. L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG ..... 52      4. Q - Qualified - Qualified or accredited reinsurer ..... 5  
2. R - Registered - Non-domiciled RRGs .....      5. N - None of the above - Not allowed to write business in the state ..... 5  
3. E - Eligible - Reporting entities eligible or approved to write surplus lines in the state. ....

## SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 - ORGANIZATIONAL CHART

Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	NAIC Company Code	ID Number	Directly Controlled By	Ownership Percentage
Alan Waxman (member of TAO Insurance Holdings, LLC) <sup>1</sup>					
Sixth Street Advisers, LLC	DE		45-2553330	Ultimate Indirect control by Alan Waxman	
Sixth Street TAO Management, LLC	DE		90-1019036		
Sixth Street Insurance GP Holdco, LLC	DE				
Sixth Street Insurance Solutions, L.P.	DE		87-0910021		
Cadence ALM GP Holdco, LLC	DE		87-0910936	Ultimate Indirect control by Alan Waxman	
Sixth Street Insurance Solutions ALM, L.P.	DE		86-2807598		
Cadence Services US, LLC	DE		86-2807499		
Anthony Michael Muscolino (managing member of TAO Insurance Holdings, LLC)					
TAO Insurance Holdings, LLC <sup>2</sup>	DE		86-1594781		
TAO Sutton Holdings, LLC <sup>2,3</sup>	CYM		98-1578722	TAO Insurance Holdings, LLC	100%
Talcott Financial Group Investments, LLC	BMU		98-1578678	TAO Sutton Holdings, LLC	100%
Talcott Financial Group, Ltd.	BMU			Talcott Financial Group Investments, LLC.	100%
Talcott Re FinCo, Ltd.	BMU		98-1673007	Talcott Financial Group, Ltd.	100%
Talcott Re Holdings, Ltd.	BMU		98-1673064	Talcott Re FinCo, Ltd.	100%
Talcott Life Re, Ltd.	BMU		98-1625692	Talcott Re Holdings, Ltd.	100%
Talcott Life & Annuity Re, Ltd.	CYM		98-1652614	Talcott Re Holdings, Ltd.	100%
Sutton Cayman Holdings, Ltd.	CYM			Talcott Re Holdings, Ltd.	100%
Talcott Financial Group GP, LLC	DE		86-1856539	Talcott Financial Group, Ltd.	100%
Talcott Holdings, L.P.	DE		82-3930622	Talcott Financial Group GP, LLC	100%
Talcott Acquisition, Inc.	DE		82-3950446	Talcott Holdings, L.P.	100%
Talcott Resolution Life, Inc.	DE		06-1470915	Talcott Acquisition, Inc.	100%
Talcott Administration Services Company, LLC	DE		45-4036343	Talcott Resolution Life, Inc.	100%
LIAS Administration Fee Issuer LLC	DE			Talcott Administration Services Company, LLC	100%
TR Re Ltd.	BMU		98-1627971	Talcott Resolution Life, Inc.	100%
Talcott Resolution Life Insurance Company	CT	88072	06-0974148	TR Re, Ltd.	100%
Talcott Resolution Life and Annuity Insurance Company	CT	71153	39-1052598	Talcott Resolution Life Insurance Company	100%
Talcott Resolution Distribution Company, Inc.	CT		06-1408044	Talcott Resolution Life and Annuity Insurance Company	100%
Talcott Resolution Comprehensive Employee Benefit Service Company	CT		06-1120503	Talcott Resolution Life and Annuity Insurance Company	100%
American Maturity Life Insurance Company	CT	81213	06-1422508	Talcott Resolution Life Insurance Company	100%
Talcott Resolution International Life Reassurance Corporation	CT	93505	06-1207332	Talcott Resolution Life Insurance Company	100%
21 Church Street R, LLC	DE		83-2918805	Talcott Resolution Life Insurance Company	100%

<sup>1</sup> Pursuant to the operating agreement of TAO Insurance Holdings, LLC, Alan Waxman, as a member of TAO Insurance Holdings, LLC, has the authority to appoint the managing member of TAO Insurance Holdings, LLC and has appointed A. Michael Muscolino.

<sup>2</sup> TAO Insurance Holdings, LLC is the managing member of TAO Sutton Parent, LLC, which in turn is a non-voting member of TAO Sutton Holdings, LLC. Sixth Street TAO Partners, L.P., Sixth Street TAO Partners (A), L.P., Sixth Street TAO Partners (B), L.P., Sixth Street TAO Partners (C), L.P., Sixth Street TAO Partners (D), L.P., Sixth Street TAO Partners (E), L.P., Sixth Street TAO Partners (F), L.P., Super TAO MA, L.P., Super TAO Contingent MA, L.P., Knight TAO, L.P., and PSERS TAO Partners Parallel Fund, L.P. (collectively, "Sixth Street TAO") are non-voting members of TAO Sutton Parent, LLC. Certain of the entities that comprise Sixth Street TAO are indirect owners of Klaverblad Levensverzekering N.V., Lifetri Uitvaartverzekeringen N.V., and Lifetri Verzekeringen N.V.

<sup>3</sup> In addition to Sixth Street TAO, certain investors ("Co-Investors") invested in the Domestic Insurers outside of Sixth Street TAO. All Co-Investors are passive investors and do not own any voting securities of the Domestic Insurers or of any of the other entities in this organizational chart and do not have the ability to appoint directors of Sutton Investments, LLC or the Domestic Insurers.

STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Yes/No)	*
.4926	Talcott Holdings Grp	00000	86-1856539				Talcott Financial Group GP, LLC	DE	UIP	Talcott Financial Group, Ltd.	Ownership	100.000	A. Michael Muscolino/Alan Waxman	NO	
.4926	Talcott Holdings Grp	00000	82-3930622				Talcott Holdings, LP	DE	UIP	Talcott Financial Group GP, LLC	Ownership	100.000	A. Michael Muscolino/Alan Waxman	NO	
.4926	Talcott Holdings Grp	00000	82-3950446				Talcott Acquisition, Inc.	DE	UIP	Talcott Holdings, LP	Ownership	100.000	A. Michael Muscolino/Alan Waxman	NO	
.4926	Talcott Holdings Grp	00000	06-1470915		0001032204		Talcott Resolution Life, Inc.	DE	UIP	Talcott Acquisition, Inc.	Ownership	100.000	A. Michael Muscolino/Alan Waxman	NO	
.4926	Talcott Holdings Grp	00000	45-4036343				Talcott Administration Services Company, LLC	DE	NIA	Talcott Resolution Life, Inc.	Ownership	100.000	A. Michael Muscolino/Alan Waxman	NO	
.4926	Talcott Holdings Grp	00000					LIAS Administration Fee Issuer LLC	DE	NIA	Talcott Administration Services Company, LLC	Ownership	100.000	A. Michael Muscolino/Alan Waxman	NO	
.4926	Talcott Holdings Grp	00000	95-1627971				TR Re Ltd.		UIP	Talcott Resolution Life, Inc.	Ownership	100.000	A. Michael Muscolino/Alan Waxman	NO	
.4926	Talcott Holdings Grp	88072	06-0974148		0000045947		Talcott Resolution Life Insurance Company	CT	UDP	TR Re, Ltd.	Ownership	100.000	A. Michael Muscolino/Alan Waxman	NO	
.4926	Talcott Holdings Grp	81213	95-1627971				American Maturity Life Insurance Company	CT	IA	Talcott Resolution Life Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	NO	
.4926	Talcott Holdings Grp	93505	06-1207332				Talcott Resolution International Life Reassurance Corporation	CT	IA	Talcott Resolution Life Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	NO	
.4926	Talcott Holdings Grp	00000	83-2918805				21 Church Street R, LLC	DE	NIA	Talcott Resolution Life Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	NO	
.4926	Talcott Holdings Grp	71153	39-1052598				Talcott Resolution Life and Annuity Insurance Company	CT	RE	Talcott Resolution Life Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	NO	
.4926	Talcott Holdings Grp	00000	06-1120503				Talcott Resolution Comprehensive Employee Benefit Service Company	CT	NIA	Talcott Resolution Life and Annuity Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	YES	
.4926	Talcott Holdings Grp	00000	06-1408044		0000940622		Talcott Resolution Distribution Company	CT	NIA	Talcott Resolution Life and Annuity Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	YES	

Asterisk	Explanation
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# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? .....	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? .....	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? .....	YES
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC? .....	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC? .....	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC? .....	YES
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. In the case of an ongoing statement of exemption, enter "SEE EXPLANATION" and provide as an explanation that the company is utilizing an ongoing statement of exemption. ....	N/A

**AUGUST FILING**

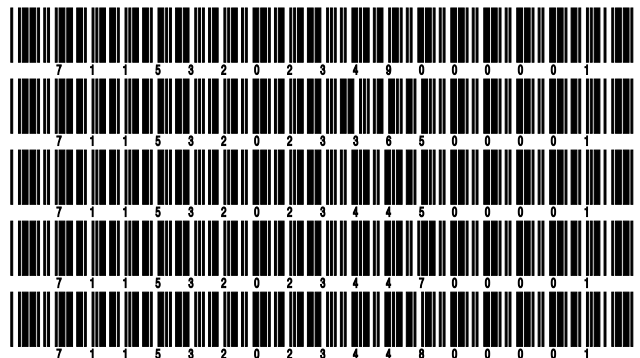
9. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. ....	N/A
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Explanation:

1. This supplement is not applicable for this company.
2. This supplement is not applicable for this company.
3. This supplement is not applicable for this company.
5. This supplement is not applicable for this company.
6. This supplement is not applicable for this company.

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]



**OVERFLOW PAGE FOR WRITE-INS**

Additional Write-ins for Liabilities Line 25

	1 Current Statement Date	2 December 31 Prior Year
2504. Interest on policy or contract funds due or accrued .....	18,489	17,784
2505. Miscellaneous liabilities .....	(6,087,793)	(5,371,451)
2506. Accrued interest on derivatives in a liability position .....	(6,327,629)	670,256
2507. Collateral on derivatives .....		67,775,933
2597. Summary of remaining write-ins for Line 25 from overflow page	(12,396,933)	63,092,522

STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other than temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10)		

**NONE**

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....	994,929,174	809,966,090
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....	3,273,600	255,573,385
2.2 Additional investment made after acquisition .....	10,898,203	23,829,250
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....	844,016	361,716
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....	4,797	(703)
7. Deduct amounts received on disposals .....	106,865,778	94,776,584
8. Deduct amortization of premium and mortgage interest points and commitment fees .....		23,980
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	903,084,012	994,929,174
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....	903,084,012	994,929,174
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14)	903,084,012	994,929,174

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	595,830,413	559,789,331
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....	9,762,687	46,309,349
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....	28,600	28,249
5. Unrealized valuation increase (decrease) .....	4,040,741	22,753,694
6. Total gain (loss) on disposals .....	(24,098)	497,565
7. Deduct amounts received on disposals .....	7,294,247	32,281,378
8. Deduct amortization of premium and depreciation .....	8,014	135,819
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other than temporary impairment recognized .....		1,130,581
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	602,336,082	595,830,409
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12)	602,336,082	595,830,409

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	4,182,336,129	4,685,359,096
2. Cost of bonds and stocks acquired .....	107,376,700	1,024,375,969
3. Accrual of discount .....	3,491,455	4,764,791
4. Unrealized valuation increase (decrease) .....	3,886,512	(6,082,876)
5. Total gain (loss) on disposals .....	(2,457,685)	47,867,533
6. Deduct consideration for bonds and stocks disposed of .....	164,858,359	1,557,208,685
7. Deduct amortization of premium .....	4,665,440	17,450,203
8. Total foreign exchange change in book/adjusted carrying value .....	467,128	(1,876,950)
9. Deduct current year's other than temporary impairment recognized .....		232,434
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....	(1,643,814)	2,819,889
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	4,123,932,626	4,182,336,129
12. Deduct total nonadmitted amounts .....	2,641,347	2,644,504
13. Statement value at end of current period (Line 11 minus Line 12)	4,121,291,279	4,179,691,625

STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	2,450,755,090	933,583,641	922,068,134	15,509,516	2,477,780,113			2,450,755,090
2. NAIC 2 (a) .....	1,732,546,041	128,682,904	160,130,706	(10,598,892)	1,690,499,347			1,732,546,041
3. NAIC 3 (a) .....	69,831,842		7,444,240	(34,036)	62,353,566			69,831,842
4. NAIC 4 (a) .....	41,198			(3,631)	37,567			41,198
5. NAIC 5 (a) .....								
6. NAIC 6 (a) .....	40,956			(936)	40,020			40,956
7. Total Bonds	4,253,215,126	1,062,266,545	1,089,643,080	4,872,021	4,230,710,612			4,253,215,126
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	6,517,500		6,517,500					6,517,500
9. NAIC 2 .....	18,108,500		18,108,500					18,108,500
10. NAIC 3 .....								
11. NAIC 4 .....								
12. NAIC 5 .....								
13. NAIC 6 .....								
14. Total Preferred Stock .....	24,626,000		24,626,000					24,626,000
15. Total Bonds and Preferred Stock	4,277,841,126	1,062,266,545	1,114,269,080	4,872,021	4,230,710,612			4,277,841,126

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ 56,919,242 ; NAIC 2 \$ 8,988,052 ; NAIC 3 \$ ; NAIC 4 \$ ; NAIC 5 \$ ; NAIC 6 \$

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**SCHEDULE DA - PART 1**

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
7709999999 Totals	62,999,678	xxx	62,999,943	23,600	4,183

**SCHEDULE DA - VERIFICATION**

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	5,999,595	41,538,752
2. Cost of short-term investments acquired .....	62,999,943	23,911,891
3. Accrual of discount .....		3,528
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....		
6. Deduct consideration received on disposals .....	5,999,598	59,443,806
7. Deduct amortization of premium .....	262	10,770
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	62,999,678	5,999,595
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	62,999,678	5,999,595



STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE DB - PART A - VERIFICATION**

Options, Caps, Floors, Collars, Swaps and Forwards

1. Book/Adjusted Carrying Value, December 31, prior year (Line 10, prior year)	241,874,616
2. Cost Paid/(Consideration Received) on additions	42,833,686
3. Unrealized Valuation increase/(decrease)	(139,448,578)
4. SSAP No. 108 adjustments	
5. Total gain (loss) on termination recognized	3,453,916
6. Considerations received/(paid) on terminations	38,362,674
7. Amortization	(19,743)
8. Adjustment to the Book/Adjusted Carrying Value of hedged item	
9. Total foreign exchange change in Book/Adjusted Carrying Value	(349,085)
10. Book/Adjusted Carrying Value at End of Current Period (Lines 1+2+3+4+5-6+7+8+9)	109,982,138
11. Deduct nonadmitted assets	
12. Statement value at end of current period (Line 10 minus Line 11)	109,982,138

**SCHEDULE DB - PART B - VERIFICATION**

Futures Contracts

1. Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year)	
2. Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column)	8,000,000
3.1 Add:	
Change in variation margin on open contracts - Highly Effective Hedges	
3.11 Section 1, Column 15, current year to date minus	
3.12 Section 1, Column 15, prior year	
Change in variation margin on open contracts - All Other	
3.13 Section 1, Column 18, current year to date minus	(8,703,906)
3.14 Section 1, Column 18, prior year	(8,703,906)
3.14 Section 1, Column 18, prior year	(8,703,906)
3.2 Add:	
Change in adjustment to basis of hedged item	
3.21 Section 1, Column 17, current year to date minus	
3.22 Section 1, Column 17, prior year	
Change in amount recognized	
3.23 Section 1, Column 19, current year to date minus	(8,703,906)
3.24 Section 1, Column 19, prior year plus	
3.25 SSAP No. 108 adjustments	(8,703,906)
3.25 SSAP No. 108 adjustments	(8,703,906)
3.3 Subtotal (Line 3.1 minus Line 3.2)	
4.1 Cumulative variation margin on terminated contracts during the year	
4.2 Less:	
4.21 Amount used to adjust basis of hedged item	
4.22 Amount recognized	
4.23 SSAP No. 108 adjustments	
4.3 Subtotal (Line 4.1 minus Line 4.2)	
5. Dispositions gains (losses) on contracts terminated in prior year:	
5.1 Total gain (loss) recognized for terminations in prior year	
5.2 Total gain (loss) adjusted into the hedged item(s) for terminations in prior year	
6. Book/Adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2)	8,000,000
7. Deduct total nonadmitted amounts	
8. Statement value at end of current period (Line 6 minus Line 7)	8,000,000

STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE DB - PART C - SECTION 1**

Replication (Synthetic Asset) Transactions Open as of Current Statement Date

Replication (Synthetic Asset) Transactions								Components of the Replication (Synthetic Asset) Transactions								
1 Number	2 Description	3 NAIC Designation or Other Description	4 Notional Amount	5 Book/Adjusted Carrying Value	6 Fair Value	7 Effective Date	8 Maturity Date	Derivative Instrument(s) Open			Cash Instrument(s) Held					
								9 Description	10 Book/Adjusted Carrying Value	11 Fair Value	12 CUSIP	13 Description	14 NAIC Designation or Other Description	15 Book/Adjusted Carrying Value	16 Fair Value	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B Z	5,893,862	6,025,000	4,450,707	06/06/2019	06/10/2029	BASIS SWAP WITH CME GROUP INC RCV 2.13 PAY ULB3 06/10/2029		(473,586)	20268J-AC-7	COMMONSPIRIT HEALTH SECURED CORP BND 4.187% DUE 10/1/2049 A01	1.G FE	6,025,000	4,924,293	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B Z	2,445,586	2,499,841	2,104,466	06/06/2019	06/10/2029	BASIS SWAP WITH CME GROUP INC RCV 2.13 PAY ULB3 06/10/2029		(196,509)	22535G-AC-6	CREDIT ACCEPTANCE AUTO LOAN TR SUB ABS_ABS_21-3A 144A 1.63% DUE	1.F FE	2,499,841	2,300,975	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B Z	14,673,514	15,685,337	14,377,598	06/06/2019	06/10/2029	BASIS SWAP WITH CME GROUP INC RCV 2.13 PAY ULB3 06/10/2029		(1,179,052)	29273R-AR-0	ENERGY TRANSFER OPERATING LP SENIOR CORP_BND 6 1/2% DUE 2/1/2042 FA1	2.C FE	15,685,337	15,556,650	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B Z	5,962,338	6,094,465	4,674,966	06/06/2019	06/10/2029	BASIS SWAP WITH CME GROUP INC RCV 2.13 PAY ULB3 06/10/2029		(479,088)	33767W-AL-7	FKH_21-SFR1 SENIOR OCMBS21-SFR1144A 2.489% DUE 8/17/2038 MO-1	2.C FE	6,094,465	5,154,054	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B Z	6,162,876	6,313,220	5,506,178	06/06/2019	06/10/2029	BASIS SWAP WITH CME GROUP INC RCV 2.13 PAY ULB3 06/10/2029		(495,202)	61765T-AE-3	MORGAN STANLEY BAML TRUST MSBA LOFCRUTSENI OCMBS15-C25 3.372% DUE 10/15/2048 MO-1	1.A	6,313,220	6,001,380	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B Z	3,912,937	4,961,821	4,292,306	06/06/2019	06/10/2029	BASIS SWAP WITH CME GROUP INC RCV 2.13 PAY ULB3 06/10/2029		(314,414)	64972F-L2-0	NEW YORK CITY WATER AND SEWER MUNITAX_BND REV 6.011% DUE 6/15/2042 JD15	1.B FE	4,961,821	4,606,720	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B Z	9,782,343	9,998,555	7,984,665	06/06/2019	06/10/2029	BASIS SWAP WITH CME GROUP INC RCV 2.13 PAY ULB3 06/10/2029		(786,035)	66981P-AE-8	CONTINENTAL CREDIT CARD ABS LL ABS21-A144A 3.49% DUE 12/17/2029 MO- 15	1.F FE	9,998,555	8,770,700	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B Z	195,647	197,675	130,661	06/06/2019	06/10/2029	BASIS SWAP WITH CME GROUP INC RCV 2.13 PAY ULB3 06/10/2029		(15,721)	698299-BB-9	PANAMA REPUBLIC OF GOVERNMENT SENIOR CORP_BND 4.3% DUE 4/29/2053 A029	2.B FE	197,675	146,382	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B Z	2,382,000	2,386,771	2,213,723	06/06/2019	06/10/2029	BASIS SWAP WITH CME GROUP INC RCV 2.13 PAY ULB3 06/10/2029		(191,400)	912810-RD-2	UNITED STATES TREASURY SENIOR GOVT_BND 3 3/4% DUE 11/15/2043 MN15	1.A	2,386,771	2,405,123	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B Z	1,345,072	1,312,731	1,063,626	06/06/2019	06/10/2029	BASIS SWAP WITH CME GROUP INC RCV 2.13 PAY ULB3 06/10/2029		(108,080)	912810-RU-4	UNITED STATES TREASURY SENIOR GOVT_BND 2 7/8% DUE 11/15/2046 MN15	1.A	1,312,731	1,171,706	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B Z	1,560,284	1,629,103	1,099,412	06/06/2019	06/10/2029	BASIS SWAP WITH CME GROUP INC RCV 2.13 PAY ULB3 06/10/2029		(125,373)	912810-SX-7	UNITED STATES TREASURY SENIOR GOVTBND 2 3/8% DUE 5/15/2051 MN15	1.A	1,629,103	1,224,785	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B Z	37,662,020	37,746,052	24,054,665	06/06/2019	06/10/2029	BASIS SWAP WITH CME GROUP INC RCV 2.13 PAY ULB3 06/10/2029		(3,026,235)	912810-SZ-2	UNITED STATES TREASURY SENIOR GOVT_BND 2% DUE 8/15/2051 FA15	1.A	37,746,052	27,080,900	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B Z	6,065,053	7,750,071	6,993,268	06/06/2019	06/10/2029	BASIS SWAP WITH CME GROUP INC RCV 2.13 PAY ULB3 06/10/2029		(487,342)	91324P-BK-7	UNITED HEALTH GROUP INC SENIOR CORP_BND 6 7/8% DUE 2/15/2038 FA15	1.F FE	7,750,071	7,480,610	
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B Z	1,956,469	1,994,836	1,631,713	06/06/2019	06/10/2029	BASIS SWAP WITH CME GROUP INC RCV 2.13 PAY ULB3 06/10/2029		(157,207)	95000H-BL-5	WELLS FARGO COMMERCIAL MORTGAG SUBSUBCMBS16-LC24 3.621% DUE 10/15/2049 MO-1	1.A	1,994,836	1,788,920	
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B Z	14,242,172	11,926,697	8,664,243	12/13/2019	12/17/2049	BASIS SWAP WITH CME GROUP INC RCV 1.95 PAY ULB3 12/17/2049		(3,474,421)	07274N-BH-5	BAYER US FINANCE II LLC SENIOR CORP_BND 144A 4.7% DUE 7/15/2064 JJ15	2.B FE	11,926,697	12,138,664	
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B Z	15,180,423	18,608,100	15,476,565	12/13/2019	12/17/2049	BASIS SWAP WITH CME GROUP INC RCV 1.95 PAY ULB3 12/17/2049		(3,703,311)	313309-AP-1	FEDEX CORP SENIOR CORP_BND 7.6% DUE 7/1/2097 JJ1	2.B FE	18,608,100	19,179,876	
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B Z	5,810,421	6,038,000	3,932,983	12/13/2019	12/17/2049	BASIS SWAP WITH CME GROUP INC RCV 1.95 PAY ULB3 12/17/2049		(1,417,470)	882484-AA-6	TEXAS HEALTH RESOURCES SENIOR CORP_BND 4.33% DUE 11/15/2055 MN15	1.C FE	6,038,000	5,350,453	
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B Z	11,937,442	12,670,233	6,613,500	12/13/2019	12/17/2049	BASIS SWAP WITH CME GROUP INC RCV 1.95 PAY ULB3 12/17/2049		(2,912,175)	912810-SX-7	UNITED STATES TREASURY SENIOR GOVTBND 2 3/8% DUE 5/15/2051 MN15	1.A	12,670,233	9,525,675	
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B Z	16,840,406	17,157,296	8,201,232	12/13/2019	12/17/2049	BASIS SWAP WITH CME GROUP INC RCV 1.95 PAY ULB3 12/17/2049		(4,108,268)	912810-SZ-2	UNITED STATES TREASURY SENIOR GOVT_BND 2% DUE 8/15/2051 FA15	1.A	17,157,296	12,309,500	
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B Z	7,119,871	7,426,166	5,163,814	12/13/2019	12/17/2049	BASIS SWAP WITH CME GROUP INC RCV 1.95 PAY ULB3 12/17/2049		(1,736,914)	95000J-AU-2	WELLS FARGO COMMERCIAL MORTGAG LOFCRUTSENI OCMBS16-LC25 3.374% DUE 12/15/2059 MO-1	1.A	7,426,166	6,900,728	
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B Z	28,869,267	30,000,000	17,559,354	12/13/2019	12/17/2049	BASIS SWAP WITH CME GROUP INC RCV 1.95 PAY ULB3 12/17/2049		(7,042,746)	BHM1K9-N5-8	BIO MED GROUND LEASE TRUST 201 SECURED CORP_BND 3 7/8% DUE 9/15/2061 MO-15	1.B PL	30,000,000	24,602,100	
12607#YS3	BOND WITH CREDIT DEFAULT SWAP	2.B Z	29,478,432	32,159,016	33,418,946	09/20/2022	06/20/2028	INTERCONTINENTAL EXCHANGE INC RCV 1.00 PAY 100.00 06/20/2028		159,016	332,546	BHM01E-HR-9	ALLETTE INC SECURED CORP_BND 5.69% DUE 3/1/2036 MS1	1.E FE	32,000,000	33,086,400

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STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE DB - PART C - SECTION 1**

Replication (Synthetic Asset) Transactions Open as of Current Statement Date

Replication (Synthetic Asset) Transactions								Components of the Replication (Synthetic Asset) Transactions							
1 Number	2 Description	3 NAIC Designation or Other Description	4 Notional Amount	5 Book/Adjusted Carrying Value	6 Fair Value	7 Effective Date	8 Maturity Date	Derivative Instrument(s) Open			Cash Instrument(s) Held				
								9 Description	10 Book/Adjusted Carrying Value	11 Fair Value	12 CUSIP	13 Description	14 NAIC Designation or Other Description	15 Book/Adjusted Carrying Value	16 Fair Value
12607@YS3	BOND WITH CREDIT DEFAULT SWAP	2.B Z	3,684,804	4,019,877	2,833,488	09/20/2022	06/20/2028	CREDIT DEFAULT SWAP WITH INTERCONTINENTAL EXCHANGE INC RCV 1.00 PAY 100.00 06/20/2028	19,877	41,568	BHM262-3R-1	YANKEE GAS SERVICES CO CORP_BND 2.9% DUE 9/15/2050 MS15	1.F	4,000,000	2,791,920
12607@YS3	BOND WITH CREDIT DEFAULT SWAP	2.B Z	13,766,567	15,018,412	12,930,906	09/20/2022	06/20/2028	CREDIT DEFAULT SWAP WITH INTERCONTINENTAL EXCHANGE INC RCV 1.00 PAY 100.00 06/20/2028	74,261	155,301	BHM1UJ-BH-2	LONGWOOD ENERGY PARTNERS LLC SENIOR CORP_BND 4.49% DUE 6/30/2051 JD30	2.A FE	14,944,151	12,775,605
12607@YS3	BOND WITH CREDIT DEFAULT SWAP	2.B Z	9,212,010	10,049,693	9,254,921	09/20/2022	06/20/2028	CREDIT DEFAULT SWAP WITH INTERCONTINENTAL EXCHANGE INC RCV 1.00 PAY 100.00 06/20/2028	49,693	103,921	BHM1PZ-LA-6	WILMINGTON INVESTMENTS INC SENIOR CORP_BND 4.69% DUE 6/15/2029 JD15	2.B	10,000,000	9,151,000
12607@YS3	BOND WITH CREDIT DEFAULT SWAP	2.B Z	41,454,046	43,752,407	31,109,943	09/20/2022	06/20/2028	CREDIT DEFAULT SWAP WITH INTERCONTINENTAL EXCHANGE INC RCV 1.00 PAY 100.00 06/20/2028	223,617	467,643	912810-TB-4	UNITED STATES TREASURY SENIORGOVTBND 1 7/8% DUE 11/15/2051 MN15	1.A	43,528,790	30,642,300
12607@YS3	BOND WITH CREDIT DEFAULT SWAP	2.B Z	10,593,812	10,561,490	7,974,124	09/20/2022	06/20/2028	CREDIT DEFAULT SWAP WITH INTERCONTINENTAL EXCHANGE INC RCV 1.00 PAY 100.00 06/20/2028	57,146	119,509	912810-SU-3	UNITED STATES TREASURY SENIORGOVTBND 1 7/8% DUE 2/15/2051 FA15	1.A	10,504,344	7,854,615
12607@YS3	BOND WITH CREDIT DEFAULT SWAP	2.B Z	46,559,065	40,936,022	29,906,639	09/20/2022	06/20/2028	CREDIT DEFAULT SWAP WITH INTERCONTINENTAL EXCHANGE INC RCV 1.00 PAY 100.00 06/20/2028	251,155	525,233	912810-SN-9	UNITED STATES TREASURY SENIORGOVTBND 1 1/4% DUE 5/15/2050 MN15	1.A	40,684,867	29,381,406
12607@YS3	BOND WITH CREDIT DEFAULT SWAP	2.B Z	25,288,810	27,044,689	27,400,447	09/20/2022	06/20/2028	CREDIT DEFAULT SWAP WITH INTERCONTINENTAL EXCHANGE INC RCV 1.00 PAY 100.00 06/20/2028	136,416	285,283	912810-RD-2	UNITED STATES TREASURY SENIOR GOVT_BND 3 3/4% DUE 11/15/2043 MN15	1.A	26,908,273	27,115,164
12607@YS3	BOND WITH CREDIT DEFAULT SWAP	2.B Z	2,303,003	2,511,965	2,401,205	09/20/2022	06/20/2028	CREDIT DEFAULT SWAP WITH INTERCONTINENTAL EXCHANGE INC RCV 1.00 PAY 100.00 06/20/2028	12,423	25,980	896160-AF-8	TRICON RESIDENTIAL TRUST TON_2 SUBSUBCMBS22-SFR1144A 5.739% DUE 4/17/2039 MO-1	2.C FE	2,499,542	2,375,225
12607@YS3	BOND WITH CREDIT DEFAULT SWAP	2.B Z	3,135,768	3,365,672	2,860,389	09/20/2022	06/20/2028	CREDIT DEFAULT SWAP WITH INTERCONTINENTAL EXCHANGE INC RCV 1.00 PAY 100.00 06/20/2028	16,915	35,375	78409V-BM-5	S&P GLOBAL INC SENIORCORPBND 3.9% DUE 3/1/2062 MS1	1.G FE	3,348,757	2,825,014
12607@YS3	BOND WITH CREDIT DEFAULT SWAP	2.B Z	7,337,366	8,005,090	8,189,630	09/20/2022	06/20/2028	CREDIT DEFAULT SWAP WITH INTERCONTINENTAL EXCHANGE INC RCV 1.00 PAY 100.00 06/20/2028	39,580	82,773	693342-AK-3	PG&E WILDFIRE RECOVERY FUNDING SENIOR ABS_ABS_22-B 5.099% DUE 6/1/2054 JD1	1.A FE	7,965,510	8,106,857
12607@YS3	BOND WITH CREDIT DEFAULT SWAP	2.B Z	12,804,694	13,969,073	14,436,013	09/20/2022	06/20/2028	CREDIT DEFAULT SWAP WITH INTERCONTINENTAL EXCHANGE INC RCV 1.00 PAY 100.00 06/20/2028	69,073	144,450	67704L-AA-9	OGLETHORPE POWER CORPORATION SECURED MUNITAX_BND REV 144A 5.534% DUE 1/1/2035 JU1	2.A FE	13,900,000	14,291,563
12607@YS3	BOND WITH CREDIT DEFAULT SWAP	2.B Z	10,708,962	11,731,999	12,557,001	09/20/2022	06/20/2028	CREDIT DEFAULT SWAP WITH INTERCONTINENTAL EXCHANGE INC RCV 1.00 PAY 100.00 06/20/2028	57,768	120,808	45138L-AS-2	IDAHO POWER COMPANY SECURED CORP_BND 6.3% DUE 6/15/2037 JD15	1.F FE	11,674,231	12,436,193
12607@YS3	BOND WITH CREDIT DEFAULT SWAP	2.B Z	8,567,169	9,341,924	10,128,742	09/20/2022	06/20/2028	CREDIT DEFAULT SWAP WITH INTERCONTINENTAL EXCHANGE INC RCV 1.00 PAY 100.00 06/20/2028	46,214	96,646	26442C-AB-0	DUKE ENERGY CAROLINAS LLC SECURED CORP_BND 6% DUE 1/15/2038 JU15	1.F FE	9,295,710	10,032,096
12607@YS3	BOND WITH CREDIT DEFAULT SWAP	2.B Z	10,665,665	11,635,534	11,972,949	09/20/2022	06/20/2028	CREDIT DEFAULT SWAP WITH INTERCONTINENTAL EXCHANGE INC RCV 1.00 PAY 100.00 06/20/2028	57,534	120,319	49337W-AJ-9	NATIONAL GRID USA SENIOR CORP_BND 5.803% DUE 4/1/2035 A01	2.B FE	11,578,000	11,852,630
12607@YS3	BOND WITH CREDIT DEFAULT SWAP	2.B Z	14,439,826	16,091,207	13,001,662	09/20/2022	06/20/2028	CREDIT DEFAULT SWAP WITH INTERCONTINENTAL EXCHANGE INC RCV 1.00 PAY 100.00 06/20/2028	77,893	162,896	20826F-BD-7	CONOCOPHILLIPS CO SENIOR CORP_BND 4.025% DUE 3/15/2062 MS15	1.F FE	16,013,314	12,838,766
999999999 - Totals				468,616,040	376,566,650	XXX	XXX	XXX	1,348,581	(29,610,298)	XXX	XXX	XXX	467,267,459	406,176,948

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STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE DB - PART C - SECTION 2**

Replication (Synthetic Asset) Transactions Open

	First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Year To Date	
	1 Number of Positions	2 Total Replication (Synthetic Asset) Transactions Statement Value	3 Number of Positions	4 Total Replication (Synthetic Asset) Transactions Statement Value	5 Number of Positions	6 Total Replication (Synthetic Asset) Transactions Statement Value	7 Number of Positions	8 Total Replication (Synthetic Asset) Transactions Statement Value	9 Number of Positions	10 Total Replication (Synthetic Asset) Transactions Statement Value
1. Beginning Inventory .....	3	455,200,171							3	455,200,170
2. Add: Opened or Acquired Transactions.....										
3. Add: Increases in Replication (Synthetic Asset) Transactions Statement Value.....	XXX	13,415,869	XXX		XXX		XXX		XXX	13,415,869
4. Less: Closed or Disposed of Transactions.....										
5. Less: Positions Disposed of for Failing Effectiveness Criteria.....										
6. Less: Decreases in Replication (Synthetic Asset) Transactions Statement Value	XXX		XXX		XXX		XXX		XXX	
7. Ending Inventory	3	468,616,040							3	468,616,039

STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE DB - VERIFICATION**

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

	Book/Adjusted Carrying Value Check
1. Part A, Section 1, Column 14.....	109,982,142
2. Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance.....	8,000,000
3. Total (Line 1 plus Line 2) .....	117,982,142
4. Part D, Section 1, Column 6 .....	199,631,190
5. Part D, Section 1, Column 7 .....	(81,650,061)
6. Total (Line 3 minus Line 4 minus Line 5) .....	1,013
	Fair Value Check
7. Part A, Section 1, Column 16 .....	64,647,594
8. Part B, Section 1, Column 13 .....	(1,977,750)
9. Total (Line 7 plus Line 8) .....	62,669,844
10. Part D, Section 1, Column 9 .....	194,979,151
11. Part D, Section 1, Column 10 .....	(132,309,307)
12. Total (Line 9 minus Line 10 minus Line 11) .....	.....
	Potential Exposure Check
13. Part A, Section 1, Column 21 .....	265,218,273
14. Part B, Section 1, Column 20 .....	7,560,000
15. Part D, Section 1, Column 12 .....	272,778,273
16. Total (Line 13 plus Line 14 minus Line 15) .....	.....

**SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	293,201,271	170,146,500
2. Cost of cash equivalents acquired .....	1,415,119,292	3,823,929,831
3. Accrual of discount .....	1,459,532	1,286,103
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....		(1,911)
6. Deduct consideration received on disposals .....	1,387,003,051	3,702,159,230
7. Deduct amortization of premium .....	1,427	22
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	322,775,617	293,201,271
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	322,775,617	293,201,271

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE B - PART 2**

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Loan Number	2 Location		3 State	4 Loan Type	5 Date Acquired	6 Rate of Interest	7 Actual Cost at Time of Acquisition	8 Additional Investment Made After Acquisition	9 Value of Land and Buildings
	City								
BHM264MS4	KANSAS CITY		MO		03/08/2023	7.610		821,916	1,409,540
BHM28C8K7	WASHINGTON		DC		03/22/2023	8.010		931,314	2,125,905
BHM2BRZT1	WOODSTOCK		GA		03/30/2023	9.160		1,151,756	2,868,596
BHM2BRZV6	BRASELTON		GA		03/30/2023	9.160		667,279	1,663,560
BHM2EAH08	CHICAGO		IL		03/23/2023	7.720		2,504,605	16,956,460
BHM2FE858	SAVANNAH		GA		02/22/2023	7.400		396,667	634,667
BHM2G64N9	ROCHESTER		IN		03/01/2023	7.670		1,967,415	11,392,123
BHM2G64R0	COTTAGE GROVE		IN		03/01/2023	7.670		656,826	4,658,440
BHM2G64V1	LAKELAND		FL		03/31/2023	7.770		1,800,425	32,895,916
BHM2K5VLO	MULTI CITY		US		03/28/2023	8.290		3,273,600	6,398,337
0599999. Mortgages in good standing - Commercial mortgages-all other								3,273,600	81,003,544
0899999. Total Mortgages in good standing								3,273,600	81,003,544
1699999. Total - Restructured Mortgages									
2499999. Total - Mortgages with overdue interest over 90 days									
3299999. Total - Mortgages in the process of foreclosure									
3399999 - Totals								3,273,600	81,003,544

**SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment					14 Book Value/Recorded Investment Excluding Accrued Interest on Disposal	15 Consid-eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	City	State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)					
BHM01LDV8	BALTIMORE	MD		11/15/2017		82,904						82,904				
BHM03Z7L4	MULTI-CITY	US		08/21/2008		112,687						112,687	112,687			
BHM0JEHP2	MULTI-CITY	US		05/10/2019		10,214		(38)			(38)	10,176	10,176			
BHM0JEHZ2	MULTI-CITY	US		04/14/2011		50,725						50,725	50,725			
BHM0JEJP2	SANTA BARBARA	CA		04/14/2011		23,507						23,507	23,507			
BHM0LC8T7	SAN BRUNO	CA		01/08/2020		144,517						144,517	144,517			
BHM0LZHT6	CARLSBAD	CA		02/01/2018		195,671						195,671	195,671			
BHM10N625	IRVINE	CA		02/01/2018		314,895						314,896	314,896			
BHM127TJ6	NEW YORK	NY		05/10/2019		35,415		(863)			(863)	34,552	34,552			
BHM190W1N1	SAN DIEGO	CA		02/01/2018		197,253						197,253	197,253			
BHM1KA3P3	CHARLOTTE	NC		02/01/2018		176,518						176,518	176,518			
BHM1KFJV2	SECAUS	NJ		11/01/2016		50,067						50,067	50,067			
BHM1R1K02	FORT WORTH	TX		02/01/2018		177,715						177,715	177,715			
BHM1TLYF8	ARLINGTON	TX		06/28/2018		59,401		513			513	59,914	59,914			
BHM1UH0B3	HOUSTON	TX		04/27/2018		21,953						21,953	21,953			
BHM1VMB76	BALTIMORE	MD		08/29/2018		51,691						51,691	51,691			
BHM1W4Z95	MULTI-CITY	US		08/15/2018		299,108						299,108	299,108			



STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Change in Book Value/Recorded Investment					14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consid- eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other- Than- Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)					
BHM1X36B3	AUBURN	WA		09/24/2018		24,373						24,373	24,373			
BHM1ZSZN8	MOUNT PLEASANT	SC		06/20/2019		33,475						33,475	33,475			
BHM1ZSZ01	RALEIGH	NC		06/13/2019		32,415						32,415	32,415			
BHM21LBR5	MADISON	WI		02/11/2020		55,367						55,367	55,367			
BHM21QNL4	SAN JOSE	CA		10/01/2019		43,235						43,235	43,235			
BHM28RWD3	DENVER	CO		10/01/2021		113,858		532			532	114,390	114,390			
BHM29R682	NEWPORT BEACH	CA		12/01/2021		16,069						16,069	16,069			
BHM29R6B5	NEWPORT BEACH	CA		12/01/2021		25,964						25,964	25,964			
BHM2BYKT2	MINNEAPOLIS	MINN		12/17/2021		28,877						28,877	28,877			
BHM2E91A6	OGEDEN	UT		05/18/2022		34,513						34,513	34,513			
<b>0299999. Mortgages with partial repayments</b>						2,412,387		144			144	2,412,532	2,412,532			
BHM2G4HND	MULTI-CITY	US		06/30/2022	02/09/2023	4,447,696		753			753	4,448,449	4,453,246		4,797	4,797
BHM2HLH76	MULTI-CITY	US		09/30/2022	03/16/2023	99,199,445		800,556			800,556	100,000,000	100,000,000			
<b>0399999. Mortgages disposed</b>						103,647,141		801,309			801,309	104,448,449	104,453,246		4,797	4,797
<b>0599999 - Totals</b>						106,059,528		801,453			801,453	106,860,981	106,865,778		4,797	4,797

STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE BA - PART 2**

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made After Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
		3 City	4 State									
BHM025-L7-6	AEA INVESTORS 2006 FUND LP	NEW YORK	NY	AEA INVESTORS		11/20/2020	3		21,272		1,286,897	0.000
BHM1J8-8K-6	AEA INVESTORS FUND VI LP	NEW YORK	NY	AEA INVESTORS		03/29/2018	3		22,692		2,108,154	0.000
BHM21P-3U-8	ARLINGTON CAPITAL PARTNERS V LP	CHEVY CHASE	MD	ARLINGTON CAPITAL PARTNERS		08/26/2019	3		31,397		1,587,185	0.000
BHM15W-66-0	BLACKSTONE STRATEGIC CAPITAL HOLDINGS LP	NEW YORK	NY	THE BLACKSTONE GROUP		03/01/2018	13		575,546		5,525,454	0.000
BHM298-JB-3	CAROUSEL CAPITAL PARTNERS VI LP	CHARLOTTE	NC	CAROUSEL CAPITAL		04/21/2021	3		502,131		3,086,983	0.000
BHM2AU-AF-2	CIVC PARTNERS FUND VII LP	CHICAGO	IL	CIVC PARTNERS		07/27/2021	3		289,846		1,050,554	0.000
BHM22Z-RJ-4	CORTEC GROUP FUND VII LP	NEW YORK	NY	CORTEC GROUP		12/11/2019	3		154,117		1,255,332	0.000
BHM0MG-1V-9	HEARTWOOD PARTNERS II LP	GREENWICH	CT	HEARTWOOD PARTNERS		03/29/2018	3		9,167		678,365	0.000
BHM20C-LC-8	KKR REAL ESTATE CREDIT OPPORTUNITY PARTNERS II LP	NEW YORK	NY	KOHLBERG KRAVIS AND ROBERTS		04/20/2020	13		3,490,503		3,178,497	0.000
BHM2BE-9K-8	LEEDS EQUITY PARTNERS VII LP	NEW YORK	NY	LEEDS EQUITY PARTNERS		06/21/2022	3		1,599,674		5,876,286	0.000
BHM1AK-95-0	LEXINGTON CAPITAL PARTNERS VIII LP	NEW YORK	NY	LEXINGTON PARTNERS		03/29/2018	3		37,991		5,404,807	0.000
BHM29R-8N-7	MPE PARTNERS III LP	CLEVELAND	OH	MPE PARTNERS		06/01/2021	3		307,741		4,169,662	0.000
BHM29Y-Q1-8	ONE ROCK CAPITAL PARTNERS III LP	NEW YORK	NY	ONE ROCK CAPITAL PARTNERS		06/21/2021	3		756,776		3,573,995	0.000
BHM252-3H-4	PARTHENON INVESTORS VI LP	SAN FRANCISCO	CA	PARTHENON CAPITAL PARTNERS		04/30/2020	3		688,682		4,775,634	0.000
BHM22R-5B-3	REVELSTOKE CAPITAL PARTNERS FUND II LP	DENVER	CO	REVELSTOKE CAPITAL PARTNERS		11/04/2019	3		184,653		2,388,184	0.000
BHM22R-5C-1	UPFRONT GROWTH III LP	LOS ANGELES	CA	UPFRONT VENTURES		12/24/2019	1		231,844		1,240,988	0.000
BHM0IE-7Z-9	UPFRONT IV L.P.	LOS ANGELES	CA	UPFRONT VENTURES		02/01/2018	3		110,696		9,412,189	0.000
BHM2BE-9L-6	UPFRONT VII LP	SANTA MONICA	CA	UPFRONT VENTURES		09/16/2021	1		209,227		3,724,744	0.000
BHM1DU-0C-9	VMG PARTNERS III LP	SAN FRANCISCO	CA	VMG PARTNERS		03/29/2018	3		74,721		1,498,568	0.000
BHM2BV-56-5	VMG PARTNERS V LP	SAN FRANCISCO	CA	VMG PARTNERS		10/14/2021	3		95,775		2,690,979	0.000
BHM22J-9D-3	WIND POINT PARTNERS IX-A LP	CHICAGO	IL	WINDPOINT PARTNER		02/26/2020	3		368,236		906,703	0.000
1999999. Joint Venture Interests - Common Stock - Unaffiliated									9,762,687		65,420,160	XXX
6099999. Total - Unaffiliated									9,762,687		65,420,160	XXX
6199999. Total - Affiliated												XXX
6299999 - Totals									9,762,687		65,420,160	XXX

**SCHEDULE BA - PART 3**

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Change in Book/Adjusted Carrying Value							15 Book/Adjusted Carrying Value Less Encumbrances on Disposal	16 Consid-eration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Invest-ment Income
		3 City	4 State					9 Unrealized Valuation Increase (De-crease)	10 Current Year's (Depre-ciation) or (Amort-ization)/ Accretion	11 Current Year's Other Than Temporary Impair-ment Recogn-ized	12 Capital-ized Deferred Interest and Other	13 Total Change in Book/ Adjusted Carrying Value (9+10-11+12)	14 Total Foreign Exchange Change in Book/ Adjusted Carrying Value							
BHM025-L7-6	AEA INVESTORS 2006 FUND LP	NEW YORK	NY	DISTRIBUTION	11/20/2020	03/28/2023	307,468							307,468	368,084					
BHM1J8-8K-6	AEA INVESTORS FUND VI LP	NEW YORK	NY	DISTRIBUTION	03/29/2018	03/01/2023	162,733							162,733	162,733					
BHM15W-66-0	BLACKSTONE STRATEGIC CAPITAL HOLDINGS LP	NEW YORK	NY	DISTRIBUTION	03/01/2018	02/21/2023	199,122							199,122						
BHM2AU-AF-2	CIVC PARTNERS FUND VII LP	CHICAGO	IL	DISTRIBUTION	07/27/2021	02/21/2023	20,021							20,021						
BHM22Z-RJ-4	CORTEC GROUP FUND VII LP	NEW YORK	NY	DISTRIBUTION	12/11/2019	03/17/2023	5,455,320							5,455,320	2,246,419					
BHM273-T4-1	DOVER STREET X LP	BOSTON	MA	DISTRIBUTION	10/08/2020	03/30/2023	309,290							309,290	150,860					
BHM299-RV-8	DRAWBRIDGE SPECIAL OPPORTUNITIES FUND - LIFE & ANNUITY: 2018 RCA	NEW YORK	NY	DISTRIBUTION	05/03/2021	02/03/2023	597,694							597,694	597,694					

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STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE BA - PART 3**

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Change in Book/Adjusted Carrying Value						15	16	17	18	19	20	
		3	4					9	10	11	12	13	14							
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Depreciation) or (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book/Adjusted Carrying Value (9+10-11+12)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value Less Encumbrances on Disposal	Consideration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Investment Income	
BHM19H-WIE-4	DRAWBRIDGE SPECIAL OPPORTUNITIES FUND - LIFE & ANNUITY: 2021 RCA	NEW YORK	NY	DISTRIBUTION	03/01/2018	03/31/2023	1,299,261							1,299,261	1,299,261					
BHM27T-OL-7	GRYPHON HERITAGE PARTNERS LP	LOS ANGELES	CA	DISTRIBUTION	10/27/2021	02/17/2023	1,534,886							1,534,886	806,411					
BHM0MG-1V-9	HEARTWOOD PARTNERS II LP	GREENWICH	CT	DISTRIBUTION	03/29/2018	03/20/2023	181,803							181,803						
BHM20C-LC-8	KKR REAL ESTATE CREDIT OPPORTUNITY PARTNERS II LP	NEW YORK	NY	DISTRIBUTION	04/20/2020	03/03/2023	193,984							193,984						
BHM1AK-95-0	LXINGTON CAPITAL PARTNERS VIII LP	NEW YORK	NY	DISTRIBUTION	03/29/2018	03/30/2023	485,765							485,765	213,641					
BHM204-E5-5	MONOMOY CAPITAL PARTNERS IV LP	NEW YORK	NY	DISTRIBUTION	12/09/2021	03/23/2023	33,938							33,938	33,938					
BHM29R-8N-7	MPE PARTNERS III LP	CLEVELAND	OH	DISTRIBUTION	06/01/2021	03/31/2023	112,880							112,880	96,186					
BHMOLT-ZL-7	SILVER OAK SERVICES PARTNERS II LP	EVANSTON	IL	DISTRIBUTION	03/01/2018	03/13/2023	252,412							252,412	7,572					
BHM03B-4L-0	TCV VII LP	MILBURN	NJ	DISTRIBUTION	03/29/2018	01/30/2023	406,647							406,647	406,647					
BHM03B-4L-0	UPFRONT III LP	LOS ANGELES	CA	DISTRIBUTION	07/02/2012	03/31/2023	15,501							15,501						
BHM03B-4L-0	UPFRONT III LP	LOS ANGELES	CA	DISTRIBUTION	07/02/2012	03/31/2023	44,008							44,008						
BHMOME-7Z-9	UPFRONT IV L.P.	LOS ANGELES	CA	DISTRIBUTION	02/01/2018	03/31/2023	2,452,000							2,452,000	849,969					
BHMOL1-V7-3	VMG PARTNERS II LP	SAN FRANCISCO	CA	DISTRIBUTION	03/03/2020	03/28/2023	35,390							35,390	35,390					
BHM1DU-OC-9	VMG PARTNERS III LP	SAN FRANCISCO	CA	DISTRIBUTION	03/29/2018	03/30/2023	15,754							15,754						
BHM22J-9D-3	WIND POINT PARTNERS IX-A LP	CHICAGO	IL	DISTRIBUTION	02/26/2020	03/29/2023	16,303							16,303	16,303					
1999999	Joint Venture Interests - Common Stock - Unaffiliated						14,132,180							14,132,180	7,291,108					
BHM1S9-LUL-7	PRETIUM SFR FUND 2	NEW YORK	NY	DISTRIBUTION	04/27/2018	01/27/2023	374,828							374,828						
2199999	Joint Venture Interests - Real Estate - Unaffiliated						374,828							374,828						
BHMOLT-S4-3	STATESIDE GEORGIA TAX CREDIT F	ATLANTA	GA	DIRECT SALE	12/21/2012	02/14/2023	7,627	(260)	19,869			19,609	27,236	3,138		(24,098)		(24,098)		
4199999	Non-Guaranteed State Low Income Housing Tax Credit - Unaffiliated						7,627	(260)	19,869			19,609	27,236	3,138		(24,098)		(24,098)		
6099999	Total - Unaffiliated						14,514,635	(260)	19,869			19,609	14,534,244	7,294,246		(24,098)		(24,098)		
6199999	Total - Affiliated																			
6299999	Totals						14,514,635	(260)	19,869			19,609	14,534,244	7,294,246		(24,098)		(24,098)		

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STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
912810-SP-4	UNITED STATES TREASURY SENIORGOVTBND 1		01/18/2023	CITADEL SECURITIES		15,349,609	25,000,000	146,654	1.A
<b>0109999999. Subtotal - Bonds - U.S. Governments</b>									
015271-BA-6	ALEXANDRIA REAL ESTATE EQUITIE SENIOR CO		02/02/2023	DIRECT		89,767	90,000		2.A FE
026874-DC-8	AMERICAN INTERNATIONAL GROUP I SENIORCOR		01/19/2023	DIRECT		1,128,205	1,230,000	1,059	2.B FE
26442U-AR-5	DUKE ENERGY PROGRESS LLC SECUREDCORPBND		03/07/2023	VARIOUS		15,743,246	15,816,000		1.F FE
28622H-AB-7	ELEVANCE HEALTH INC SENIORCORPBND 4.75		01/31/2023	DIRECT		714,761	715,000		2.B FE
36264F-AK-7	GSK CONSUMER HEALTHCARE CAPITA SENIORCOR		01/01/2023	TAX FREE EXCHANGE		1,808,164	2,000,000	7,125	2.B FE
36264F-AM-3	GSK CONSUMER HEALTHCARE CAPITA SENIORCOR		01/01/2023	TAX FREE EXCHANGE		6,756,956	8,000,000	30,611	2.B FE
42824C-BL-2	HEWLETT PACKARD ENTERPRISE CO SENIORCORP		03/07/2023	J.P. MORGAN SECURITIES INC		2,998,020	3,000,000		2.B FE
56585A-AJ-1	MARATHON PETROLEUM CORP SENIOR CORP_BND		03/07/2023	CITICORP SECURITIES MARKETS		8,586,800	10,000,000	241,667	2.B FE
75513E-CS-8	RAYTHEON TECHNOLOGIES CORPORAT SENIORCOR		02/23/2023	CITICORP SECURITIES MARKETS		7,546,543	7,560,000		2.A FE
78409V-BM-5	S&P GLOBAL INC SENIORCORPBND 3.900% 03		03/01/2023	TAX FREE EXCHANGE		13,711,526	13,942,000		1.G FE
86562M-CH-1	SUMITOMO MITSUI FINANCIAL GROU SENIORCOR	D.	03/06/2023	DIRECT		(1,059,552)	(1,225,000)	(8,158)	1.G FE
94951J-AC-4	WELLFLEET CLO LIMITED WELF_21 MEZZANIN A	D.	02/15/2023	BNP PARIBAS		4,164,550	4,300,000	24,410	1.C FE
63R884-AR-5	EIRLESTWOLD SENIORCORPBND 3.205% 09/1	D.	03/14/2023	DIRECT		2,389,075	2,500,000	3,350	1.G FE
63R884-AS-3	EIRLESTWOLD SENIORCORPBND 1.475% 03/0	D.	03/14/2023	DIRECT		2,227,446	2,700,000	3,213	1.G FE
<b>1109999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>									
060505-EN-0	BANK OF AMERICA CORP		01/01/2023	BLACKROCK OPERATIONAL TRANSACTION		5,790,000	6,000,000		2.C FE
249670-AB-6	DEPOSITORY TRUST & CLEARING CORP		01/01/2023	BLACKROCK OPERATIONAL TRANSACTION		6,517,500	8,250,000		1.F FE
808513-BK-0	CHARLES SCHWAB CORPORATION (THE)		01/01/2023	BLACKROCK OPERATIONAL TRANSACTION		12,318,500	14,200,000		2.B FE
<b>1309999999. Subtotal - Bonds - Hybrid Securities</b>									
<b>2509999997. Total - Bonds - Part 3</b>						106,781,116	124,078,000	449,931	XXX
<b>2509999998. Total - Bonds - Part 5</b>						XXX	XXX	XXX	XXX
<b>2509999999. Total - Bonds</b>						106,781,116	124,078,000	449,931	XXX
<b>4509999997. Total - Preferred Stocks - Part 3</b>							XXX		XXX
<b>4509999998. Total - Preferred Stocks - Part 5</b>						XXX	XXX	XXX	XXX
<b>4509999999. Total - Preferred Stocks</b>							XXX		XXX
64110L-10-6	NETFLIX INC		02/01/2023	DIRECT	1,123,000	406,647			
<b>5019999999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded</b>									
018914-50-7	AB Discovery Value R Fund		03/31/2023	DIRECT WITH ISSUER	0.850	233			
298706-84-7	American Funds EuroPacific Growth R4 Fund		03/31/2023	DIRECT WITH ISSUER	0.590	28			
399874-84-1	American Funds Growth Fund of America R4 Fund		03/31/2023	DIRECT WITH ISSUER	0.170	120			
09661L-30-2	BNY Mellon S&P 500 Index Fund		03/31/2023	DIRECT WITH ISSUER	15.646	5,626			
354026-50-2	Franklin Mutual Shares R Fund		03/31/2023	DIRECT WITH ISSUER	5.310	620			
355148-82-6	Franklin Small Cap Value R Fund		03/31/2023	DIRECT WITH ISSUER	0.160	144			
354713-55-4	Franklin Strategic Income R Fund		03/31/2023	DIRECT WITH ISSUER	4.020	32			
416649-35-8	Hartford Capital Appreciation R4 Fund		03/31/2023	DIRECT WITH ISSUER	47.220	51,526			
416649-28-3	Hartford Dividend & Growth R4 Fund		03/31/2023	DIRECT WITH ISSUER	61.550	17,850			
416641-87-6	Hartford Growth Opportunities R4 Fund		03/31/2023	DIRECT WITH ISSUER		75			
416649-34-1	Hartford High Yield R4 Fund		03/31/2023	DIRECT WITH ISSUER	6.930	46			
416649-24-2	Hartford International Opportunities R4 Fund		03/31/2023	DIRECT WITH ISSUER	0.850	14			
416649-39-0	Hartford Small Company R4 Fund		03/31/2023	DIRECT WITH ISSUER		510			
416649-25-9	Hartford Total Return Bond R4 Fund		03/31/2023	DIRECT WITH ISSUER	245.920	2,303			
00141M-59-8	Invesco Main Street Mid Cap R Fund		03/31/2023	DIRECT WITH ISSUER		116			
00141L-50-9	Invesco Small Cap Equity R Fund		03/31/2023	DIRECT WITH ISSUER		94			
55272P-25-7	MFS Total Return Bond R3 Fund		03/31/2023	DIRECT WITH ISSUER	8.210	77			
552981-46-6	MFS Total Return R3 Fund		03/31/2023	DIRECT WITH ISSUER	1,083.210	109,270			
55273H-64-3	MFS Value R3 Fund		03/31/2023	DIRECT WITH ISSUER	0.290	190			

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STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation, NAIC Designation Modifier and SVO Admini- strative Symbol
880208-84-8	Templeton Global Bond R Fund		03/31/2023	DIRECT WITH ISSUER	7.500	59			
5329999999. Subtotal - Common Stocks - Mutual Funds - Designations Not Assigned by the SVO						188,935	XXX		XXX
5989999997. Total - Common Stocks - Part 3						595,582	XXX		XXX
5989999998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
5989999999. Total - Common Stocks						595,582	XXX		XXX
5999999999. Total - Preferred and Common Stocks						595,582	XXX		XXX
6009999999 - Totals						107,376,698	XXX	449,931	XXX























STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	For-foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	
2509999997. Total - Bonds - Part 4						139,590,410	145,763,416	144,870,794	141,515,134	877	(427,658)		(426,781)		143,740,477		(2,506,254)	(2,506,254)	582,330	XXX	XXX	
2509999998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
2509999999. Total - Bonds						139,590,410	145,763,416	144,870,794	141,515,134	877	(427,658)		(426,781)		143,740,477		(2,506,254)	(2,506,254)	582,330	XXX	XXX	
..060505-EN-0	BANK OF AMERICA CORP .....		01/01/2023	BLACKROCK OPERATIONAL TRANSACTION .....	6,000,000.000	5,790,000	100.00	6,639,285	5,790,000					5,790,000							2.C FE	
..249670-AB-6	DEPOSITORY TRUST & CLEARING CORP .....		01/01/2023	BLACKROCK OPERATIONAL TRANSACTION .....	8,250,000.000	6,517,500	100.00	8,250,000	6,517,500					6,517,500							1.F FE	
..808513-BK-0	CHARLES SCHWAB CORPORATION (THE) .....		01/01/2023	BLACKROCK OPERATIONAL TRANSACTION .....	14,200,000.000	12,318,500	100.00	14,200,000	12,318,500					12,318,500							2.B FE	
4019999999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred						24,626,000	XXX	29,089,285	24,626,000					24,626,000						XXX	XXX	
4509999997. Total - Preferred Stocks - Part 4						24,626,000	XXX	29,089,285	24,626,000					24,626,000						XXX	XXX	
4509999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
4509999999. Total - Preferred Stocks						24,626,000	XXX	29,089,285	24,626,000					24,626,000						XXX	XXX	
..64110L-10-6	NETFLIX INC .....		02/03/2023	CITICORP SECURITIES MARKETS .....	1,123.000	410,393		406,647						406,647		3,746	3,746					
5019999999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						410,393	XXX	406,647						406,647		3,746	3,746			XXX	XXX	
..09661L-30-2	BNY Mellon S&P 500 Index Fund .....		03/31/2023	DIRECT WITH ISSUER .....	22.893	1,074																
..416641-87-6	Hartford Dividend & Growth R4 Fund .....		03/31/2023	DIRECT WITH ISSUER .....	10.388	312		(250)						(250)			61	61				
..552981-46-6	MFS Total Return R3 Fund .....		03/31/2023	DIRECT WITH ISSUER .....	12,363.764	230,166		(185,405)						(185,405)		44,761	44,761					
5329999999. Subtotal - Common Stocks - Mutual Funds - Designations Not Assigned by the SVO						231,552	XXX	(185,655)						(185,655)		44,822	44,822			XXX	XXX	
5989999997. Total - Common Stocks - Part 4						641,945	XXX	220,992						220,992		48,568	48,568			XXX	XXX	
5989999998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
5989999999. Total - Common Stocks						641,945	XXX	220,992						220,992		48,568	48,568			XXX	XXX	
5999999999. Total - Preferred and Common Stocks						25,267,945	XXX	29,310,277	24,626,000					24,846,992		48,568	48,568			XXX	XXX	
6009999999 - Totals						164,858,355	XXX	174,181,071	166,141,134	877	(427,658)		(426,781)		168,587,469		(2,457,686)	(2,457,686)	582,330	XXX	XXX	

EO5.10



STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23														
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)														
007999999. Subtotal - Purchased Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108														XXX																			XXX	XXX		
014999999. Subtotal - Purchased Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108														XXX																					XXX	XXX
CALL OPTION JUN25 SPX C @ 4160 BHM25HD49	VAGLB HEDGE - MACRO	N/A	Equity/Index	JP MORGAN CHASE BANK	06/05/2020	06/30/2025	21,600	89,856,000	4160	3,240,000			10,442,894	XXX	10,442,894	1,468,562							2													
CALL OPTION SEP23 SPX C @ 4500 BHM2KNK2	VAGLB HEDGE - MACRO	N/A	Equity/Index	GOLDMAN SACHS INTL	03/14/2023	09/15/2023	150,000	675,000,000	4500		4,878,000		8,554,008	XXX	8,554,008	3,676,008							2													
015999999. Subtotal - Purchased Options - Hedging Other - Call Options and Warrants														XXX	18,996,902	5,144,570																	XXX	XXX		
PUT OPTION JUN25 SPX P @ 2700 BHM1VW8D5	VAGLB HEDGE - MACRO	N/A	Equity/Index	JP MORGAN CHASE BANK	06/25/2018	06/20/2025	37,000	99,900,000	2700	17,677,029	297,603		2,994,707	XXX	2,994,707	(1,843,449)							2													
PUT OPTION JUN25 SPX P @ 2700 BHM1VYJG2	VAGLB HEDGE - MACRO	N/A	Equity/Index	HSBC BANK PLC	06/27/2018	06/20/2025	74,000	199,800,000	2700	34,815,075	586,131		5,989,438	XXX	5,989,438	(3,677,815)							2													
PUT OPTION JUN25 SPX P @ 2800 BHM1WB6G2	VAGLB HEDGE - MACRO	N/A	Equity/Index	HSBC BANK PLC	07/23/2018	06/20/2025	35,700	99,960,000	2800	16,476,686	277,394		3,256,568	XXX	3,256,568	(1,956,377)							2													
PUT OPTION JUN25 SPX P @ 2800 BHM1WC00	VAGLB HEDGE - MACRO	N/A	Equity/Index	HSBC BANK PLC	07/24/2018	06/20/2025	35,700	99,960,000	2800	16,616,995	279,756		3,256,561	XXX	3,256,561	(1,958,742)							2													
PUT OPTION JUN25 RTY P @ 1670 BHM1WFFP6	VAGLB HEDGE - MACRO	N/A	Equity/Index	MORGAN STANLEY & CO	08/01/2018	06/20/2025	60,000	100,200,000	1670	16,225,727	273,169		7,970,289	XXX	7,970,289	(2,828,148)							2													
PUT OPTION JUN25 MXEA P @ 1990 BHM1WK0K2	VAGLB HEDGE - MACRO	N/A	Equity/Index	JP MORGAN CHASE BANK	08/08/2018	06/20/2025	50,000	99,500,000	1990	15,324,299	257,993		7,406,263	XXX	7,406,263	(3,781,720)							2													
PUT OPTION JUN25 MXEA P @ 1990 BHM1WK0Y2	VAGLB HEDGE - MACRO	N/A	Equity/Index	JP MORGAN CHASE BANK	08/08/2018	06/20/2025	50,000	99,500,000	1990	16,328,941	274,907		7,406,218	XXX	7,406,218	(3,798,652)							2													
PUT OPTION JUN28 SPX P @ 2800 BHM1W497	VAGLB HEDGE - MACRO	N/A	Equity/Index	MORGAN STANLEY & CO	08/14/2018	06/16/2028	35,700	99,960,000	2800	18,956,700			6,733,979	XXX	6,733,979	(1,586,085)							2													
PUT OPTION JUN25 SPX P @ 2900 BHM1WU87	VAGLB HEDGE - MACRO	N/A	Equity/Index	MORGAN STANLEY & CO	08/27/2018	06/20/2025	34,500	100,050,000	2900	15,487,481			3,540,249	XXX	3,540,249	(1,820,263)							2													
PUT OPTION JUN25 SPX P @ 2900 BHM1WY094	VAGLB HEDGE - MACRO	N/A	Equity/Index	HSBC BANK PLC	09/05/2018	06/20/2025	34,500	100,050,000	2900	16,389,337	275,924		3,539,510	XXX	3,539,510	(2,096,475)							2													
PUT OPTION JUN28 SPX P @ 2880 BHM1X1B1V5	VAGLB HEDGE - MACRO	N/A	Equity/Index	MORGAN STANLEY & CO	09/12/2018	06/16/2028	34,700	99,936,000	2880	18,391,000			7,033,594	XXX	7,033,594	(1,627,714)							2													
PUT OPTION SEP23 RTY P @ 1700 BHM1X7558	VAGLB HEDGE - MACRO	N/A	Equity/Index	JP MORGAN CHASE BANK	09/25/2018	09/15/2023	58,800	99,960,000	1700	13,065,528	153,176		3,643,649	XXX	3,643,649	(2,836,351)							2													
PUT OPTION SEP25 SPX P @ 2900 BHM1X8MN8	VAGLB HEDGE - MACRO	N/A	Equity/Index	CREDIT SUISSE	09/27/2018	09/19/2025	34,500	100,050,000	2900	15,722,507	280,236		3,883,171	XXX	3,883,171	(2,177,477)							2													
PUT OPTION SEP25 SPX P @ 2800 BHM1XH6H9	VAGLB HEDGE - MACRO	N/A	Equity/Index	CREDIT SUISSE	10/15/2018	09/19/2025	35,700	99,960,000	2800	16,628,783	296,390		3,584,743	XXX	3,584,743	(2,056,691)							2													
PUT OPTION DEC25 SPX P @ 2700 BHM1XQM3G	VAGLB HEDGE - MACRO	N/A	Equity/Index	JP MORGAN CHASE BANK	10/31/2018	12/19/2025	37,000	99,900,000	2700	16,406,876	307,636		3,627,052	XXX	3,627,052	(2,017,786)							2													
PUT OPTION DEC25 SPX P @ 2650 BHM1Y11N5	VAGLB HEDGE - MACRO	N/A	Equity/Index	HSBC BANK PLC	11/26/2018	12/19/2025	38,000	100,700,000	2650	17,086,209	320,374		3,516,472	XXX	3,516,472	(1,981,980)							2													
PUT OPTION JUN24 SPX P @ 3900 BHM29P694	VAGLB HEDGE - MACRO	N/A	Equity/Index	BANK OF AMERICA NA	05/20/2021	06/28/2024	25,560	99,684,000	3900	10,332,126	145,484		3,504,183	XXX	3,504,183	(3,923,417)							2													
PUT OPTION JUN23 NDX P @ 11000 BHM29VTG0	VAGLB HEDGE - MACRO	N/A	Equity/Index	BANK OF AMERICA NA	06/07/2021	06/30/2023	2,280	25,080,000	11000	1,402,276	16,300		903	XXX	903	(271,609)							2													
PUT OPTION JUN25 NDX P @ 12750 BHM29VTH8	VAGLB HEDGE - MACRO	N/A	Equity/Index	BANK OF AMERICA NA	06/07/2021	06/30/2025	1,680	21,420,000	12750	2,715,884	46,018		1,722,530	XXX	1,722,530	(1,637,060)							2													
PUT OPTION JUN24 NDX P @ 12500 BHM29VTJ4	VAGLB HEDGE - MACRO	N/A	Equity/Index	BANK OF AMERICA NA	06/07/2021	06/28/2024	1,980	24,750,000	12500	2,680,770	37,747		1,090,653	XXX	1,090,653	(2,178,020)							2													
PUT OPTION JUN23 SPX P @ 3875 BHM29YQH5	VAGLB HEDGE - MACRO	N/A	Equity/Index	BANK OF AMERICA NA	06/16/2021	06/30/2023	30,000	116,250,000	3875	7,944,143	92,343		42,376	XXX	42,376	(2,178,075)							2													
PUT OPTION JUN24 SPX P @ 3500 BHM2ASZB9	VAGLB HEDGE - MACRO	N/A	Equity/Index	CITIBANK NA	07/14/2021	06/21/2024	5,076	17,766,000	3500	1,422,957	19,827		647,529	XXX	647,529	(499,903)							2													
PUT OPTION JUN27 SPX P @ 3500 BHM2ASZC7	VAGLB HEDGE - MACRO	N/A	Equity/Index	CITIBANK NA	07/14/2021	06/18/2027	2,707	9,474,500	3500	1,160,433	28,739		817,808	XXX	817,808	(238,789)							2													
PUT OPTION JUN26 SPX P @ 3500 BHM2ASZD5	VAGLB HEDGE - MACRO	N/A	Equity/Index	CITIBANK NA	07/14/2021	06/19/2026	3,215	11,252,500	3500	1,247,485	25,703		822,121	XXX	822,121	(314,613)							2													

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STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
PUT OPTION JUN28 SPX P @ 3500 BHM2ASZE3	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	CITIBANK NA E570DZIVZ7FF32TWEFA76	07/14/2021	06/16/2028	2,707	9,474,500	3500	1,255,639	36,639		909,718		909,718	(219,619)						2
PUT OPTION JUN25 SPX P @ 3500 BHM2ASZF0	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	CITIBANK NA E570DZIVZ7FF32TWEFA76	07/14/2021	06/20/2025	3,610	12,635,000	3500	1,225,174	20,626		721,112		721,112	(366,452)						2
PUT OPTION JUN23 SPX P @ 3500 BHM2ASZG8	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	CITIBANK NA E570DZIVZ7FF32TWEFA76	07/14/2021	06/16/2023	5,076	17,766,000	3500	1,080,013	12,469		88,196		88,196	(447,398)						2
PUT OPTION JUN26 NDX P @ 12500 BHM2AT019	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	JP MORGAN CHASE BANK 7H6GLXDRUGGFU57RNE97	07/15/2021	06/30/2026	1,440	18,000,000	12500	2,184,540	45,278		1,700,060		1,700,060	(1,185,185)						2
PUT OPTION JUN23 MXEA P @ 2250 BHM2B1VJ4	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	CREDIT SUISSE INTERN E58DKGMJYYJLN8C3868	08/03/2021	06/30/2023	9,300	20,925,000	2250	1,605,771	18,666		2,577,606		2,577,606	(609,497)						2
PUT OPTION JUN27 SPX P @ 4000 BHM2B3305	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	CREDIT SUISSE INTERN E58DKGMJYYJLN8C3868	08/06/2021	06/30/2027	15,900	63,600,000	4000	8,753,352	217,993		6,505,006		6,505,006	(1,846,346)						2
PUT OPTION JUN26 SPX P @ 4000 BHM2B33R3	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	CREDIT SUISSE INTERN E58DKGMJYYJLN8C3868	08/05/2021	06/30/2026	18,600	74,400,000	4000	9,533,620	197,599		6,546,209		6,546,209	(2,457,260)						2
PUT OPTION JUN27 NDX P @ 12500 BHM2B33S1	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	CREDIT SUISSE INTERN E58DKGMJYYJLN8C3868	08/09/2021	06/30/2027	1,200	15,000,000	12500	1,770,029	44,081		1,613,094		1,613,094	(867,557)						2
PUT OPTION SEP24 SPX P @ 2600 BHM2BN0Z4	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	GOLDMAN SACHS INTERN W22LR0WP21HZNB6K528	09/21/2021	09/20/2024	9,000	23,400,000	2600	1,234,775	17,610		407,389		407,389	(298,798)						2
PUT OPTION SEP28 SPX P @ 2600 BHM2BN100	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	HSBC BANK PLC MP615ZYZBEU3UXPYFY54	09/20/2021	09/15/2028	6,000	15,600,000	2600	1,485,051	44,910		965,934		965,934	(276,440)						2
PUT OPTION SEP23 SPX P @ 2600 BHM2BN134	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	JP MORGAN CHASE BANK 7H6GLXDRUGGFU57RNE97	09/21/2021	09/15/2023	9,000	23,400,000	2600	860,795	10,092		95,415		95,415	(158,820)						2
PUT OPTION SEP25 SPX P @ 2600 BHM2BN167	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	BARCLAYS BANK PLC G5GSEF7VJP5170UK5573	09/21/2021	09/19/2025	8,400	21,840,000	2600	1,405,858	25,058		666,961		666,961	(354,216)						2
PUT OPTION SEP27 SPX P @ 2600 BHM2BN191	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	HSBC BANK PLC MP615ZYZBEU3UXPYFY54	09/20/2021	09/17/2027	6,000	15,600,000	2600	1,359,699	35,085		832,263		832,263	(276,746)						2
PUT OPTION SEP26 SPX P @ 2600 BHM2BN186	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	BARCLAYS BANK PLC G5GSEF7VJP5170UK5573	09/20/2021	09/18/2026	7,200	18,720,000	2600	1,421,652	30,743		796,637		796,637	(334,361)						2
PUT OPTION JUN27 SPX P @ 3500 BHM2BNGS3	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	BARCLAYS BANK PLC G5GSEF7VJP5170UK5573	09/22/2021	06/17/2027	6,293	22,025,500	3500	2,763,869	68,448		1,900,527		1,900,527	(556,930)						2
PUT OPTION JUN26 SPX P @ 3500 BHM2BNGT1	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	BARCLAYS BANK PLC G5GSEF7VJP5170UK5573	09/22/2021	06/18/2026	7,285	25,497,500	3500	2,862,806	58,985		1,861,876		1,861,876	(713,821)						2
PUT OPTION JUN28 SPX P @ 3500 BHM2BNGV6	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	SOCIETE GENERALE CREDIT SUISSE 02RNE81BXP4R0TD8PU41	09/22/2021	06/16/2028	6,293	22,025,500	3500	2,989,523	87,234		2,114,822		2,114,822	(512,612)						2
PUT OPTION JUN25 SPX P @ 3500 BHM2BNGI4	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	JP MORGAN CHASE BANK 7H6GLXDRUGGFU57RNE97	09/23/2021	06/20/2025	8,390	29,365,000	3500	2,799,536	47,132		1,675,939		1,675,939	(850,864)						2
PUT OPTION JUN24 SPX P @ 3500 BHM2BNGX2	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	SOCIETE GENERALE CREDIT SUISSE 02RNE81BXP4R0TD8PU41	09/23/2021	06/21/2024	11,424	39,984,000	3500	3,200,472	44,595		1,457,324		1,457,324	(1,125,049)						2
PUT OPTION JUN23 SPX P @ 3500 BHM2BNGY0	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	CREDIT SUISSE INTERN E58DKGMJYYJLN8C3868	09/23/2021	06/16/2023	11,424	39,984,000	3500	2,297,164	26,522		198,491		198,491	(1,005,356)						2
PUT OPTION SEP26 SPX P @ 2600 BHM2BRH04	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	HSBC BANK PLC MP615ZYZBEU3UXPYFY54	09/27/2021	09/18/2026	7,200	18,720,000	2600	1,328,005	28,718		796,649		796,649	(332,335)						2
PUT OPTION SEP27 SPX P @ 2600 BHM2BRH12	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	HSBC BANK PLC MP615ZYZBEU3UXPYFY54	09/27/2021	09/17/2027	6,000	15,600,000	2600	1,260,676	32,530		832,276		832,276	(274,187)						2
PUT OPTION SEP28 SPX P @ 2600 BHM2BRH20	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	HSBC BANK PLC MP615ZYZBEU3UXPYFY54	09/27/2021	09/15/2028	6,000	15,600,000	2600	1,407,694	42,571		965,951		965,951	(274,097)						2
PUT OPTION SEP23 SPX P @ 2600 BHM2BRH46	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	HSBC BANK PLC MP615ZYZBEU3UXPYFY54	09/29/2021	09/15/2023	9,000	23,400,000	2600	847,874	9,940		95,416		95,416	(158,668)						2
PUT OPTION SEP25 SPX P @ 2600 BHM2BRH61	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	BARCLAYS BANK PLC G5GSEF7VJP5170UK5573	09/28/2021	09/19/2025	8,400	21,840,000	2600	1,390,237	24,779		666,962		666,962	(353,937)						2
PUT OPTION SEP24 SPX P @ 2600 BHM2BRH79	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	HSBC BANK PLC MP615ZYZBEU3UXPYFY54	09/28/2021	09/20/2024	9,000	23,400,000	2600	1,196,706	17,067		407,394		407,394	(298,254)						2
PUT OPTION SEP25 SPX P @ 2600 BHM2BSJK6	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	SOCIETE GENERALE CREDIT SUISSE 02RNE81BXP4R0TD8PU41	09/30/2021	09/19/2025	7,200	18,720,000	2600	1,175,151	20,946		571,684		571,684	(303,081)						2
PUT OPTION SEP26 SPX P @ 2600 BHM2BSJN0	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	SOCIETE GENERALE CREDIT SUISSE 02RNE81BXP4R0TD8PU41	09/30/2021	09/18/2026	6,600	17,160,000	2600	1,285,243	27,793		730,253		730,253	(306,110)						2
PUT OPTION SEP27 SPX P @ 2600 BHM2BSJR1	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	SOCIETE GENERALE CREDIT SUISSE 02RNE81BXP4R0TD8PU41	09/29/2021	09/17/2027	6,000	15,600,000	2600	1,308,418	33,762		832,270		832,270	(275,421)						2

STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23								
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)								
PUT OPTION SEP24 SPX P @ 2600 BHM2BSJ7	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	BARCLAYS BANK PLC G5GSEF7VJP5170UK5573	10/01/2021	09/20/2024	15,000	39,000,000	2600	2,078,829	29,647		678,979		678,979	(498,295)						2								
PUT OPTION SEP28 SPX P @ 2600 BHM2BSJU4	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	SOCIETE GENERALE ... 02RNE81BXP4R0TD8PU41	09/29/2021	09/15/2028	6,000	15,600,000	2600	1,438,685	43,508		965,944		965,944	(275,036)						2								
PUT OPTION SEP23 SPX P @ 2600 BHM2BSJX8	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	BARCLAYS BANK PLC G5GSEF7VJP5170UK5573	10/01/2021	09/15/2023	15,000	39,000,000	2600	1,454,946	17,057		159,023		159,023	(264,940)						2								
PUT OPTION JAN24 SPX P @ 4000 BHM2JL239	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	BARCLAYS BANK PLC G5GSEF7VJP5170UK5573	12/15/2022	01/19/2024	100,000	400,000,000	4000	35,052,000			19,253,750		19,253,750	(16,434,682)						2								
PUT OPTION SEP23 MXEA P @ 2300 BHM2KNV04	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	BANK OF AMERICA ... B4TYDEB6KMZ0031MB27	03/15/2023	09/15/2023	60,000	138,000,000	2300		19,887,288		11,931,554		11,931,554	(7,955,734)						2								
PUT OPTION SEP23 RTY P @ 2000 BHM2KNVU8	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	GOLDMAN SACHS INTL ... W22LROIP21HZNB6K528	03/15/2023	09/15/2023	60,000	120,000,000	2000		15,658,358		11,988,172		11,988,172	(3,670,186)						2								
Hedge Cost Adj.													1,930,029																	
0169999999. Subtotal - Purchased Options - Hedging Other - Put Options										413,819,049	41,256,579		172,471,451	XXX	170,541,422	(95,425,501)					XXX	XXX								
0219999999. Subtotal - Purchased Options - Hedging Other										417,059,049	46,134,579		191,468,353	XXX	189,538,324	(90,280,931)														
0289999999. Subtotal - Purchased Options - Replications														XXX																
0359999999. Subtotal - Purchased Options - Income Generation														XXX																
0429999999. Subtotal - Purchased Options - Other														XXX																
0439999999. Total Purchased Options - Call Options and Warrants											3,240,000	4,878,000		18,996,902	XXX	18,996,902	5,144,570													
0449999999. Total Purchased Options - Put Options										413,819,049	41,256,579		172,471,451	XXX	170,541,422	(95,425,501)														
0459999999. Total Purchased Options - Caps														XXX																
0469999999. Total Purchased Options - Floors														XXX																
0479999999. Total Purchased Options - Collars														XXX																
0489999999. Total Purchased Options - Other														XXX																
0499999999. Total Purchased Options										417,059,049	46,134,579		191,468,353	XXX	189,538,324	(90,280,931)														
0569999999. Subtotal - Written Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108														XXX																
0639999999. Subtotal - Written Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108														XXX																
PUT OPTION JUN25 SPX P @ 1350 BHM1VW8C7	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	JP MORGAN CHASE BANK ... 7H6GLXDRUGGFU57RNE97	06/25/2018	06/20/2025	37,000	49,950,000	1350	(3,413,001)	(57,460)		(414,267)		(414,267)	270,155						2								
PUT OPTION JUN25 SPX P @ 1350 BHM1VYJE7	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	HSBC BANK PLC ... MP615ZYZBEU3UXPYFY54	06/27/2018	06/20/2025	74,000	99,900,000	1350	(6,603,871)	(111,180)		(828,545)		(828,545)	536,566						2								
PUT OPTION JUN25 SPX P @ 1400 BHM1VBRH0	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	HSBC BANK PLC ... MP615ZYZBEU3UXPYFY54	07/23/2018	06/20/2025	35,700	49,980,000	1400	(2,851,883)	(48,013)		(445,497)		(445,497)	273,576						2								
PUT OPTION JUN25 SPX P @ 1400 BHM1VCP2	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	HSBC BANK PLC ... MP615ZYZBEU3UXPYFY54	07/24/2018	06/20/2025	35,700	49,980,000	1400	(2,960,654)	(49,844)		(445,492)		(445,492)	275,409						2								
PUT OPTION JUN25 RTY P @ 835 BHM1VFF04	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	MORGAN STANLEY & CO ... 4PQUH3JPF6FNF3BB653	08/01/2018	06/20/2025	60,000	50,100,000	835	(2,957,680)	(49,794)		(706,381)		(706,381)	715,854						2								
PUT OPTION JUN25 MXEA P @ 995 BHM1VKOLO	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	JP MORGAN CHASE BANK ... 7H6GLXDRUGGFU57RNE97	08/08/2018	06/20/2025	50,000	49,750,000	995	(1,834,684)	(30,888)		(753,357)		(753,357)	618,241						2								
PUT OPTION JUN25 MXEA P @ 995 BHM1VKOX4	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	BARCLAYS BANK PLC ... G5GSEF7VJP5170UK5573	08/08/2018	06/20/2025	50,000	49,750,000	995	(2,884,574)	(48,563)		(753,309)		(753,309)	635,935						2								
PUT OPTION JUN28 SPX P @ 1400 BHM1VW4A4	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	MORGAN STANLEY & CO ... 4PQUH3JPF6FNF3BB653	08/14/2018	06/16/2028	35,700	49,980,000	1400	(4,758,524)			(1,262,391)		(1,262,391)	405,874						2								
PUT OPTION JUN25 SPX P @ 1450 BHM1VUTU9	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	MORGAN STANLEY & CO ... 4PQUH3JPF6FNF3BB653	08/27/2018	06/20/2025	34,500	50,025,000	1450	(2,760,000)			(477,275)		(477,275)	238,329						2								
PUT OPTION JUN25 SPX P @ 1450 BHM1VYCA1	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	HSBC BANK PLC ... MP615ZYZBEU3UXPYFY54	09/05/2018	06/20/2025	34,500	50,025,000	1450	(2,765,506)	(46,559)		(477,150)		(477,150)	284,936						2								
PUT OPTION JUN28 SPX P @ 1440 BHM1X1B1V7	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	MORGAN STANLEY & CO ... 4PQUH3JPF6FNF3BB653	09/12/2018	06/16/2028	34,700	49,968,000	1440	(4,448,887)			(1,317,063)		(1,317,063)	415,983						2								
PUT OPTION SEP23 RTY P @ 850 BHM1X75T6	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	JP MORGAN CHASE BANK ... 7H6GLXDRUGGFU57RNE97	09/25/2018	09/15/2023	58,800	49,980,000	850	(1,659,890)	(19,460)		(84,727)		(84,727)	161,004						2								
PUT OPTION SEP25 SPX P @ 1450 BHM1X8MM0	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	CREDIT SUISSE INTERN ... ES8DKGJYJYYJLN8C3668	09/27/2018	09/19/2025	34,500	50,025,000	1450	(2,794,029)	(49,801)		(542,027)		(542,027)	308,199						2								

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STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23					
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)					
PUT OPTION SEP25 SPX P @ 1400 BHM1XH6J5	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	CREDIT SUISSE INTERN E58DKGJYJYYJLN8C3868	10/15/2018	09/19/2025	35,700	49,980,000	1400	(3,031,336)	(54,030)		(506,823)		(506,823)	298,457						2					
PUT OPTION DEC25 SPX P @ 1350 BHM1XQMH1	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	JP MORGAN CHASE BANK 7H6GLXDRUGOFU57RNE97	10/31/2018	12/19/2025	37,000	49,950,000	1350	(2,846,757)	(53,378)		(534,118)		(534,118)	301,626						2					
PUT OPTION DEC25 SPX P @ 1325 BHM1Y11M7	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	HSBC BANK PLC MP615ZYZBEU3UXPYFY54	11/26/2018	12/19/2025	38,000	50,350,000	1325	(3,050,663)	(57,201)		(519,348)		(519,348)	299,897						2					
PUT OPTION SEP23 SPX P @ 2800 BHM2KNJ5	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	GOLDMAN SACHS INTL W22LR0IP21HZNB6K528	03/14/2023	09/15/2023	150,000	420,000,000	2800		(3,659,460)		(2,157,988)		(2,157,988)	1,501,472						2					
PUT OPTION SEP23 MXEA P @ 1500 BHM2KNY12	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	BANK OF AMERICA B4TYDEB6KMZ0031MB27	03/15/2023	09/15/2023	60,000	90,000,000	1500		(451,571)		(500,625)		(500,625)	(49,054)						2					
PUT OPTION SEP23 RTY P @ 1350 BHM2KNYV6	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	GOLDMAN SACHS INTL W22LR0IP21HZNB6K528	03/15/2023	09/15/2023	60,000	81,000,000	1350		(1,799,902)		(825,403)		(825,403)	974,499						2					
065999999. Subtotal - Written Options - Hedging Other - Put Options										(51,621,939)	(6,587,104)		(13,551,786)	XXX	(13,551,786)	8,466,958					XXX	XXX					
070999999. Subtotal - Written Options - Hedging Other										(51,621,939)	(6,587,104)		(13,551,786)	XXX	(13,551,786)	8,466,958					XXX	XXX					
077999999. Subtotal - Written Options - Replications														XXX								XXX	XXX				
084999999. Subtotal - Written Options - Income Generation														XXX									XXX	XXX			
091999999. Subtotal - Written Options - Other														XXX									XXX	XXX			
092999999. Total Written Options - Call Options and Warrants														XXX										XXX	XXX		
093999999. Total Written Options - Put Options										(51,621,939)	(6,587,104)		(13,551,786)	XXX	(13,551,786)	8,466,958							XXX	XXX			
094999999. Total Written Options - Caps														XXX										XXX	XXX		
095999999. Total Written Options - Floors														XXX											XXX	XXX	
096999999. Total Written Options - Collars														XXX												XXX	XXX
097999999. Total Written Options - Other														XXX												XXX	XXX
098999999. Total Written Options										(51,621,939)	(6,587,104)		(13,551,786)	XXX	(13,551,786)	8,466,958										XXX	XXX
BASIS SWAP WITH CME GROUP INC RCV 1.41 PAY ULB3 10/14/2028	CONVERTING VARIABLE ASSET TO FIXED	D PART 1	Interest Rate	CME LCZ7YXGSLJUHFXNXVD88	10/12/2021	10/14/2028		50,000,000		1.4105%[4.8297%		(409,205)			(5,522,977)						588,706						
BASIS SWAP WITH CME GROUP INC RCV 1.71 PAY SOFR 03/07/2032	CONVERTING VARIABLE ASSET TO FIXED	D PART 1	Interest Rate	CME LCZ7YXGSLJUHFXNXVD88	03/03/2022	03/07/2032		75,000,000		1.706%[4.82%		(544,491)			(8,752,504)						1,121,398						
099999999. Subtotal - Swaps - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108 - Interest Rate														XXX	(14,275,481)							1,710,104	XXX	XXX			
CURRENCY SWAP WITH JPMORGAN CHASE BANK NA RCV 3.05 PAY 1.12 09/23/2026 BHM1KE557	980745F*9 - WOODWARD INC	D PART 1	Currency	JP MORGAN CHASE BANK 7H6GLXDRUGOFU57RNE97	09/14/2016	09/23/2026		2,248,800	3.0475%[1.12%]			10,903	74,085		169,008	(41,015)					20,990						
CURRENCY SWAP WITH JPMORGAN CHASE BANK NA RCV 3.00 PAY 2.31 02/20/2025 BHM1LLOP3	G1591#AU6 - BRITVIC PLC	D PART 1	Currency	JP MORGAN CHASE BANK 7H6GLXDRUGOFU57RNE97	11/01/2016	02/20/2025		2,448,000	3%[2.31%]			4,414	(29,344)		(178,448)	(67,444)					16,853						
CURRENCY SWAP WITH CITIBANK NA RCV 4.00 PAY 1.84 12/07/2027 BHM1G8MY2	B9550#AA9 - UMICORE SA	D PART 1	Currency	CITIBANK NA E570DZIWZ7FF32WFEA76	04/05/2017	12/07/2027		5,331,571	4%[1.84%]			28,210	(102,654)		277,025	(97,975)					57,734						
CURRENCY SWAP WITH CITIBANK NA RCV 3.56 PAY 2.35 01/31/2025 BHM1T4X00	G8030#AJ9 - SENIOR PLC	D PART 1	Currency	CITIBANK NA E570DZIWZ7FF32WFEA76	12/01/2017	01/31/2025		4,054,054	3.558%[2.35%]			13,465	309,605		154,089	(128,845)					27,504						
CURRENCY SWAP WITH JPMORGAN CHASE BANK NA RCV 4.24 PAY 2.22 07/30/2049 BHM1ZSE68	L8749#AA0 - STADIUM FINANCE COMPANY SARL	D PART 1	Currency	JP MORGAN CHASE BANK 7H6GLXDRUGOFU57RNE97	03/26/2019	07/30/2049		2,255,400	4.244%[2.22%]			11,513	80,132		453,862	(38,400)	(3,068)				57,888						

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STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23																			
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)																			
CURRENCY SWAP WITH JPMORGAN CHASE BANK NA RCV 4.37 PAY 2.37 07/30/2049 BHM1ZSFM2	L8749#AB8 - STADIUM FINANCE COMPANY SARL	D PART 1	Currency	JP MORGAN CHASE BANK 7H6GLXDRUGUFU57RNE97	03/26/2019	07/30/2049		4,510,800	4.365%[2.37%]			22,717	160,264		896,047	(76,800)	(6,136)			115,776																					
CURRENCY SWAP WITH JPMORGAN CHASE BANK NA RCV 4.49 PAY 2.50 07/30/2049 BHM1ZSFY8	L8749#AC6 - STADIUM FINANCE COMPANY SARL	D PART 1	Currency	JP MORGAN CHASE BANK 7H6GLXDRUGUFU57RNE97	03/26/2019	07/30/2049		3,383,100	4.487%[2.5%]			16,975	120,198		670,546	(57,600)	(4,602)			86,832																					
1019999999. Subtotal - Swaps - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108 - Foreign Exchange																																									
1049999999. Subtotal - Swaps - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108																																									
1109999999. Subtotal - Swaps - Hedging Effective Variable Annuity Guarantees Under SSAP No.108																																									
BASIS SWAP WITH CME GROUP INC RCV 2.27 PAY SOFR 03/24/2042 BHM2EPMDD	VAGLB HEDGE - MACRO HEDGE	N/A	Interest Rate	CME LCZ7XYGSLJUHFXNXVD88	03/22/2022	03/24/2042		300,000,000	2.2665%[4.82%]			551,438	(39,054,817)	XXX	(39,054,817)	10,261,784				6,537,406		2																			
1119999999. Subtotal - Swaps - Hedging Other - Interest Rate																																									
CALL OPTION JUN25 SPX @ 4110.94 BHM29GDF6	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	JP MORGAN CHASE BANK 7H6GLXDRUGUFU57RNE97	04/28/2021	06/30/2025		88,761,096	4110.9399414062				(4,363,038)		(4,363,038)	(4,767,344)				666,012		2																			
GOLDMANSACHSINTERNATIO NAL SEP23 SPX @ 3995.5 BHM2XNWH9	VAGLB HEDGE - MACRO HEDGE	N/A	Interest Rate	GOLDMAN SACHS INTL W22LROWIP2IHZNBB6K528	03/14/2023	09/15/2023		616,396,500	3995.5				(26,477,438)		(26,477,438)	(26,477,438)				2,090,925		2																			
1149999999. Subtotal - Swaps - Hedging Other - Total Return																																									
1169999999. Subtotal - Swaps - Hedging Other																																									
BASIS SWAP WITH CME GROUP INC RCV 2.13 PAY ULB3 06/10/2029 BHM200782	ASSET REPLICATION	D PART 1	Interest Rate	CME LCZ7XYGSLJUHFXNXVD88	10/27/2020	06/10/2029		50,000,000	2.132%[5.1247%]			(337,296)			(4,021,752)					622,495																					
BASIS SWAP WITH CME GROUP INC RCV 2.14 PAY ULB3 06/10/2029 BHM2007B5	ASSET REPLICATION	D PART 1	Interest Rate	CME LCZ7XYGSLJUHFXNXVD88	10/27/2020	06/10/2029		50,000,000	2.135%[5.1247%]			(336,921)			(4,013,491)					622,495																					
BASIS SWAP WITH CME GROUP INC RCV 1.95 PAY ULB3 12/17/2049 BHM231F93	ASSET REPLICATION	D PART 1	Interest Rate	CME LCZ7XYGSLJUHFXNXVD88	10/27/2020	12/17/2049		100,000,000	1.9545%[4.9071%]			(702,862)			(24,395,306)					2,585,259																					
1179999999. Subtotal - Swaps - Replication - Interest Rate																																									
ICE CDX.NA.IG.40 V1 REC 1.00% 12607@YS3 - BOND WITH CREDIT DEFAULT SWAP	ICE CLEARED WITH JPM 549300R41G1TWZT5U32		Credit		03/20/2023	06/20/2028		250,000,000	1.00%(CREDIT EVENT)			1,356,182	83,333	1,348,582	XXX	2,820,250			(7,601)		250,000,000	2 A																			
1189999999. Subtotal - Swaps - Replication - Credit Default																																									
1229999999. Subtotal - Swaps - Replication																																									
1289999999. Subtotal - Swaps - Income Generation																																									
1349999999. Subtotal - Swaps - Other																																									
1359999999. Total Swaps - Interest Rate																																									
1369999999. Total Swaps - Credit Default																																									
1379999999. Total Swaps - Foreign Exchange																																									
1389999999. Total Swaps - Total Return																																									
1399999999. Total Swaps - Other																																									
1409999999. Total Swaps																																									
1479999999. Subtotal - Forwards																																									
1509999999. Subtotal - SSAP No. 108 Adjustments																																									
1689999999. Subtotal - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108																																									
1699999999. Subtotal - Hedging Effective Variable Annuity Guarantees Under SSAP No.108																																									
1709999999. Subtotal - Hedging Other																																									

E06.4

STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23			
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)			
1719999999	Subtotal - Replication										1,356,182	(1,293,746)	1,348,582	XXX	(29,610,299)				(7,601)		253,830,249	XXX	XXX		
1729999999	Subtotal - Income Generation													XXX									XXX	XXX	
1739999999	Subtotal - Other													XXX									XXX	XXX	
1749999999	Subtotal - Adjustments for SSAP No. 108 Derivatives													XXX										XXX	XXX
1759999999	Totals										365,437,110	40,903,657	(1,587,807)	109,982,142	XXX	64,647,594	(102,969,771)	(349,085)	(7,601)		265,218,273	XXX	XXX		

(a)

Code	Description of Hedged Risk(s)
A	INTEREST
B	CREDIT
D	CURRENCY
E	EQUITY INDEX

(b)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period
0002	This derivative is part of the Company's macro program, which hedges against the economic risk arising from guaranteed minimum death benefit (GMDB) and guaranteed minimum withdrawal benefit (GMWB) liabilities and contract revenues. For the three months ending March 31, 2023, the hedge has been effective at achieving its objective.

STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE DB - PART B - SECTION 1**

Futures Contracts Open as of the Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	Highly Effective Hedges			18	19	20	21	22																							
														15	16	17																												
Ticker Symbol	Number of Contracts	Notional Amount	Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Date of Maturity or Expiration	Exchange	Trade Date	Transaction Price	Reporting Date Price	Fair Value	Book/ Adjusted Carrying Value	Cumulative Variation Margin	Deferred Variation Margin	Change in Variation Margin Gain (Loss) Used to Adjust Basis of Hedged Item	Cumulative Variation Margin for All Other Hedges	Change in Variation Margin Gain (Loss) Recognized in Current Year	Potential Exposure	Hedge Effectiveness at Inception and at Quarter-end (b)	Value of One (1) Point																							
1579999999. Subtotal - Long Futures																																						XXX	XXX					
NM3	450	9,000	NASDAQ 100 E-MINI JUN 23	VAGLB HEDGE - MACRO HEDGE	N/A	Equity/Index	06/16/2023	CME						(1,977,750)			(8,703,906)	(8,703,906)	7,560,000	0001	20																							
1609999999. Subtotal - Short Futures - Hedging Other																																(1,977,750)			(8,703,906)	(8,703,906)	7,560,000	XXX	XXX					
1649999999. Subtotal - Short Futures																																(1,977,750)			(8,703,906)	(8,703,906)	7,560,000	XXX	XXX					
1679999999. Subtotal - SSAP No. 108 Adjustments																																							XXX	XXX				
1689999999. Subtotal - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108																																								XXX	XXX			
1699999999. Subtotal - Hedging Effective Variable Annuity Guarantees Under SSAP No.108																																									XXX	XXX		
1709999999. Subtotal - Hedging Other																																(1,977,750)			(8,703,906)	(8,703,906)	7,560,000	XXX	XXX					
1719999999. Subtotal - Replication																																									XXX	XXX		
1729999999. Subtotal - Income Generation																																										XXX	XXX	
1739999999. Subtotal - Other																																											XXX	XXX
1749999999. Subtotal - Adjustments for SSAP No. 108 Derivatives																																											XXX	XXX
1759999999. Totals																																(1,977,750)			(8,703,906)	(8,703,906)	7,560,000			XXX	XXX			

Broker Name	Beginning Cash Balance	Cumulative Cash Change	Ending Cash Balance
BARCLAYS CAPITAL INC		8,000,000	8,000,000
<b>Total Net Cash Deposits</b>		<b>8,000,000</b>	<b>8,000,000</b>

(a)

Code	Description of Hedged Risk(s)
E	EQUITY INDEX

(b)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period
0001	This derivative is part of an offsetting relationship in which an open hedge was effectively terminated as a result of the Company entering into a new derivative with offsetting terms. For the three months ending March 31, 2023, the hedge has been effective at achieving its objective.

E07

STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE DB - PART D - SECTION 1**

Counterparty Exposure for Derivative Instruments Open as of Current Statement Date

1 Description of Exchange, Counterparty or Central Clearinghouse	2 Master Agreement (Y or N)	3 Credit Support Annex (Y or N)	Counterparty Offset		Book/Adjusted Carrying Value			Fair Value			12 Potential Exposure	13 Off-Balance Sheet Exposure		
			4 Fair Value of Acceptable Collateral	5 Present Value of Financing Premium	6 Contracts With Book/Adjusted Carrying Value >0	7 Contracts With Book/Adjusted Carrying Value <0	8 Exposure Net of Collateral	9 Contracts With Fair Value >0	10 Contracts With Fair Value <0	11 Exposure Net of Collateral				
0199999999 - Aggregate Sum of Exchange Traded Derivatives			XXX	XXX	XXX		8,000,000		8,000,000			7,560,000	7,560,000	
BANK OF AMERICA	Y	Y		44,848,808	18,292,199	(500,625)		18,292,199	(500,625)		17,791,574			
BARCLAYS BANK PLC	Y	Y	7,670,797	27,303,625	33,390,933	(753,309)		33,390,933	(753,309)		24,966,827			
CITIBANK NA	Y	Y		7,535,706	4,316,089	(102,654)		4,437,598			4,437,598	85,238		
CREDIT SUISSE INTERN	Y	Y		51,463,517	24,908,320	(1,048,850)		24,908,320	(1,048,850)		23,859,470			
GOLDMAN SACHS INTL	Y	Y		15,110,841	20,949,569	(29,460,829)		20,949,569	(29,460,829)		2,090,925			
HSBC BANK PLC	Y	Y		93,675,034	24,454,432	(2,716,032)		24,454,432	(2,716,032)		21,738,400			
JP MORGAN CHASE BANK	Y	Y		59,521,994	32,020,658	(6,178,851)		33,775,442	(6,327,955)		27,447,487	964,351		
MORGAN STANLEY & CO	Y	Y	9,103,000	13,491,423	25,278,111	(3,763,110)		25,278,111	(3,763,110)		12,412,001			
SOCIETE GENERALE	Y	Y		11,655,330	6,672,297			6,672,297			6,672,297			
0299999999 - Total NAIC 1 Designation				16,773,797	324,606,278	190,282,608	(44,524,260)		192,158,901	(44,570,710)	139,325,654	3,140,514		
0899999999 - Aggregate Sum of Central Clearinghouses (Excluding Exchange Traded)				2,685,889		1,348,582	(37,125,801)		2,820,250	(87,738,597)		262,077,759	221,685,635	
0999999999 - Gross Totals				19,459,686	324,606,278	199,631,190	(81,650,061)		8,000,000	194,979,151	(132,309,307)	139,325,654	272,778,273	229,245,635
1. Offset per SSAP No. 64														
2. Net after right of offset per SSAP No. 64						199,631,190	(81,650,061)							



STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE DB - PART D - SECTION 2**

Collateral for Derivative Instruments Open as of Current Statement Date

Collateral Pledged by Reporting Entity

1	2	3	4	5	6	7	8	9
Exchange, Counterparty or Central Clearinghouse	Type of Asset Pledged	CUSIP Identification	Description	Fair Value	Par Value	Book/Adjusted Carrying Value	Maturity Date	Type of Margin (I, V or IV)
BANK OF AMERICA, NA	Treasury	B4TYDEB6GKMZ0031MB27	912810-SN-9	UNITED STATES TREASURY	22,583,771	38,535,000	25,769,847	05/15/2050
BANK OF AMERICA, NA	Treasury	B4TYDEB6GKMZ0031MB27	912810-SX-7	UNITED STATES TREASURY	2,622,741	3,376,000	3,447,768	05/15/2051
BANK OF AMERICA, NA	Treasury	B4TYDEB6GKMZ0031MB27	912810-SZ-2	UNITED STATES TREASURY	3,549,186	5,028,000	4,916,383	08/15/2051
BANK OF AMERICA, NA	Treasury	B4TYDEB6GKMZ0031MB27	912810-TB-4	UNITED STATES TREASURY	257,324	374,000	361,346	11/15/2051
BARCLAYS CAPITAL INC	Cash	AC28XW13W1BK2824319	CASH	CASH	8,000,000	8,000,000	8,000,000	
CITIBANK, N.A.	Treasury	E570DZVZ7FF32TWEFA76	912810-SN-9	UNITED STATES TREASURY	569,649	972,000	650,325	05/15/2050
CITIBANK, N.A.	Treasury	E570DZVZ7FF32TWEFA76	912810-SP-4	UNITED STATES TREASURY	446,844	742,000	456,790	08/15/2050
CITIBANK, N.A.	Treasury	E570DZVZ7FF32TWEFA76	912810-SX-7	UNITED STATES TREASURY	288,222	371,000	378,887	05/15/2051
CITIBANK, N.A.	Treasury	E570DZVZ7FF32TWEFA76	912810-SZ-2	UNITED STATES TREASURY	1,144,944	1,622,000	1,578,344	08/15/2051
CITIBANK, N.A.	Treasury	E570DZVZ7FF32TWEFA76	912810-TB-4	UNITED STATES TREASURY	353,649	514,000	496,609	11/15/2051
CITIBANK, N.A.	Cash	E570DZVZ7FF32TWEFA76	CASH	CASH	-1	-1	-1	
CME	Treasury	LCZ7XYGSLJUHFXNXVD88	912810-SN-9	UNITED STATES TREASURY	40,438,048	69,000,000	51,254,562	05/15/2050
CME	Treasury	LCZ7XYGSLJUHFXNXVD88	912810-TB-4	UNITED STATES TREASURY	10,320,495	15,000,000	14,536,924	11/15/2051
CME	Cash	LCZ7XYGSLJUHFXNXVD88	CASH	CASH	88,576,762	88,576,762	88,576,762	V
CREDIT SUISSE FB INT	Treasury	E58DKGJUYJYLNB8C3868	912810-SX-7	UNITED STATES TREASURY	6,430,998	8,278,000	8,453,947	05/15/2051
CREDIT SUISSE FB INT	Treasury	E58DKGJUYJYLNB8C3868	912810-TB-4	UNITED STATES TREASURY	22,082,144	32,094,600	31,008,709	11/15/2051
GOLDMAN SACHS INTL	Treasury	W22LROIP21HZNB6K528	912810-SN-9	UNITED STATES TREASURY	8,476,753	14,464,000	12,758,112	05/15/2050
GOLDMAN SACHS INTL	Treasury	W22LROIP21HZNB6K528	912810-SP-4	UNITED STATES TREASURY	6,916,454	11,485,000	7,070,398	08/15/2051
GOLDMAN SACHS INTL	Treasury	W22LROIP21HZNB6K528	912810-SZ-2	UNITED STATES TREASURY	957,885	1,357,000	1,322,429	08/15/2051
HSBC BANK PLC	Treasury	MP615ZYZBEU3UXPYFY54	912810-RD-2	UNITED STATES TREASURY	2,072,985	2,069,000	2,028,085	11/15/2043
HSBC BANK PLC	Treasury	MP615ZYZBEU3UXPYFY54	912810-SN-9	UNITED STATES TREASURY	27,855,954	47,531,000	45,631,565	05/15/2050
HSBC BANK PLC	Treasury	MP615ZYZBEU3UXPYFY54	912810-SX-7	UNITED STATES TREASURY	4,661,270	6,000,000	6,128,600	05/15/2051
HSBC BANK PLC	Treasury	MP615ZYZBEU3UXPYFY54	912810-SZ-2	UNITED STATES TREASURY	39,957,280	56,606,000	55,534,492	08/15/2051
JP MORGAN CHASE BANK	Treasury	7H6GLXDRUGOFU57RNE97	912810-SN-9	UNITED STATES TREASURY	29,765,099	50,788,600	39,991,292	05/15/2050
JP MORGAN CHASE BANK	Treasury	7H6GLXDRUGOFU57RNE97	912810-SP-4	UNITED STATES TREASURY	3,515,498	5,837,600	3,593,744	08/15/2050
SOCIETE GENERALE	Treasury	02RNE81BXP4ROT8PU41	912810-SN-9	UNITED STATES TREASURY	236,182	403,000	269,653	05/15/2050
SOCIETE GENERALE	Treasury	02RNE81BXP4ROT8PU41	912810-SP-4	UNITED STATES TREASURY	3,353,742	5,569,000	3,428,389	08/15/2050
SOCIETE GENERALE	Treasury	02RNE81BXP4ROT8PU41	912810-SX-7	UNITED STATES TREASURY	1,102,390	1,419,000	1,449,131	05/15/2051
SOCIETE GENERALE	Treasury	02RNE81BXP4ROT8PU41	912810-TB-4	UNITED STATES TREASURY	364,657	530,000	512,068	11/15/2051
0199999999 - Total					336,900,927	476,542,563	419,605,162	XXX

Collateral Pledged to Reporting Entity

1	2	3	4	5	6	7	8	9
Exchange, Counterparty or Central Clearinghouse	Type of Asset Pledged	CUSIP Identification	Description	Fair Value	Par Value	Book/Adjusted Carrying Value	Maturity Date	Type of Margin (I, V or IV)
BARCLAYS BANK PLC	Treasury	656SEF7VJP5170UK5573	912828-5J-5	UNITED STATES TREASURY	446,762	451,000	XXX	10/31/2025
BARCLAYS BANK PLC	Treasury	656SEF7VJP5170UK5573	91282C-AP-6	UNITED STATES TREASURY	4,207,430	4,310,000	XXX	10/15/2023
BARCLAYS BANK PLC	Treasury	656SEF7VJP5170UK5573	91282C-CF-6	UNITED STATES TREASURY	476,128	522,000	XXX	05/31/2026
BARCLAYS BANK PLC	Treasury	656SEF7VJP5170UK5573	91282C-DD-0	UNITED STATES TREASURY	2,540,477	2,601,000	XXX	10/31/2023
ICE	Cash	549300R41G1TWPZT5U32	CASH	CASH	2,685,889	2,685,889	XXX	V

STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

Collateral Pledged to Reporting Entity

1 Exchange, Counterparty or Central Clearinghouse	2 Type of Asset Pledged	3 CUSIP Identification	4 Description	5 Fair Value	6 Par Value	7 Book/Adjusted Carrying Value	8 Maturity Date	9 Type of Margin (I, V or IV)
MORGAN STANLEY INTL .....	Cash .....	4PQUHNSJPFQFN388653 ..	CASH .....	9,103,000	9,103,000	XXX		
0299999999 - Total				19,459,686	19,672,889	XXX	XXX	XXX

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

**N O N E**

STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE E - PART 1 - CASH**

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Bank of America ..... New York, NY .....		0.000			515,554	500,025	735,406	.XXX.
Wells Fargo Bank N.A. .... Minneapolis, MN .....		0.000			885,865	307,041	323,886	.XXX.
JP Morgan Chase Bank N.A. .... New York, NY .....		0.000			385,286	274,336	1,967,979	.XXX.
JP Morgan Chase Bank N.A. .... New York, NY .....		0.000			3,017,142	334,382	334,382	.XXX.
JP Morgan Chase Bank N.A. .... New York, NY .....		0.000			1,639,798		2,286,158	.XXX.
JP Morgan Chase Bank N.A. .... New York, NY .....		0.000			6,701,704		410,385	.XXX.
BNY Mellon Bank N.A. .... New York, NY .....		0.000			2,946,855	3,705,325	2,124,572	.XXX.
BNY Mellon Bank N.A. .... New York, NY .....		0.000			1,309,656	1,309,656	1,309,656	.XXX.
Federal Home Loan Bank of Boston ..... Boston, MA .....		0.000			388,868	718,368	978,218	.XXX.
Svenska Handelsbanken AB .....		5.430		4,905	3,000,000	3,000,000	3,000,000	.XXX.
0199998. Deposits in ... 70 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX			1,009,169	1,014,945	1,644,082	XXX
0199999. Totals - Open Depositories	XXX	XXX		4,905	21,799,897	11,164,078	15,114,724	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX						XXX
0399999. Total Cash on Deposit	XXX	XXX		4,905	21,799,897	11,164,078	15,114,724	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash	XXX	XXX		4,905	21,799,897	11,164,078	15,114,724	XXX

STATEMENT AS OF MARCH 31, 2023 OF THE Talcott Resolution Life and Annuity Insurance Company

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due and Accrued	Amount Received During Year
0109999999	Total - U.S. Government Bonds							
0309999999	Total - All Other Government Bonds							
0509999999	Total - U.S. States, Territories and Possessions Bonds							
0709999999	Total - U.S. Political Subdivisions Bonds							
0909999999	Total - U.S. Special Revenues Bonds							
	AMPHENOL CORPORATION CPCASH		03/30/2023	4.810	04/03/2023	2,999,198		401
	CATERPILLAR FINANCIAL SERVICES CPCASH		03/29/2023	4.817	04/25/2023	2,990,396		1,196
	CHEVRON PHILLIPS CHEMICAL COMP SENIOR CO	SS	03/06/2023	3.300	05/01/2023	(998,217)	(13,750)	10,215
	COX ENTERPRISES INC CPCASH144A		03/23/2023	5.200	04/11/2023	2,995,661		3,894
	DAIMLER TRUCK FINANCE NORTH AM CPCASH144		03/02/2023	4.921	04/03/2023	2,999,180		12,247
	EVERSOURCE ENERGY CPCASH		03/30/2023	5.150	04/20/2023	2,991,845		428
	GLAXOSMITHKLINE LLC CPCASH144A		03/09/2023	4.806	04/03/2023	2,999,199		9,178
	MERCEDES-BENZFINANCENORTHAM CPCASH		03/29/2023	5.014	04/19/2023	2,992,498		831
	METLIFE SHORT TERM FUNDING LLC ABCP CP C		03/01/2023	4.758	04/10/2023	2,996,436		11,819
	MONDELEZ INTERNATIONAL INC CP CASH 144A		03/31/2023	5.196	04/24/2023	2,990,935		
	NATIONAL SECURITIES CLEARING C CPCASH144		03/08/2023	4.685	04/03/2023	2,999,219		8,949
	PENSKO TRUCK LEASING CO LP CPCASH144A		03/30/2023	5.200	04/20/2023	2,991,764		864
	SIEMENS CAPITAL COMPANY LLC CPCASH144A		03/02/2023	4.639	04/03/2023	2,999,227		11,547
	UNILEVER CAPITAL CORP CP CASH 144A		03/01/2023	4.780	04/17/2023	2,993,640		11,853
	VII CREDIT INC CPCASH144A		03/10/2023	4.926	04/03/2023	2,999,179		8,999
	WALMART INC CPCASH144A		03/29/2023	4.842	04/17/2023	2,993,558		803
	CANADA GOVERNMENT OF CPCASH		03/20/2023	4.497	04/19/2023	9,977,567		14,900
	GSK CONSUMER HEALTHCARE CAPITAL CPCASH		03/06/2023	4.919	04/03/2023	2,999,180		10,614
	KFII GOVQUAR CP CASH 144A		03/07/2023	4.737	04/03/2023	2,999,211		9,047
	MEDTRONIC GLOBAL HOLDINGS SCA CPCASH144A		03/29/2023	4.955	04/06/2023	2,997,937		824
1019999999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations					62,907,613	(13,750)	128,609
1109999999	Total - Industrial and Miscellaneous (Unaffiliated) Bonds					62,907,613	(13,750)	128,609
1309999999	Total - Hybrid Securities							
1509999999	Total - Parent, Subsidiaries and Affiliates Bonds							
1909999999	Subtotal - Unaffiliated Bank Loans							
2419999999	Total - Issuer Obligations					62,907,613	(13,750)	128,609
2429999999	Total - Residential Mortgage-Backed Securities							
2439999999	Total - Commercial Mortgage-Backed Securities							
2449999999	Total - Other Loan-Backed and Structured Securities							
2459999999	Total - SVO Identified Funds							
2469999999	Total - Affiliated Bank Loans							
2479999999	Total - Unaffiliated Bank Loans							
2509999999	Total Bonds					62,907,613	(13,750)	128,609
4812AC-37-5	JPMORGAN PRIME MMKT FD - CAP		02/28/2023	0.000		1,608		11
4812C2-23-9	JPMORGAN US TREASURY PLUS STIFFUND		03/16/2023	0.000		80,383,632		230,511
8209999999	Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO					80,385,240		230,522
481200-66-2	JP MORGAN US GOVT AGENCY SHARES		03/30/2023	0.010		39,207,705		
481200-67-0	JPMORGAN US GOVT MM-CP		03/28/2023	0.010		137,033,017		38,116
9497SP-40-5	Allspring Government Money Market Institutional Fund		03/31/2023	0.000		3,242,042		34,346
8309999999	Subtotal - All Other Money Market Mutual Funds					179,482,764		72,462
8609999999	Total Cash Equivalents					322,775,617	(13,750)	431,593

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