



LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF JUNE 30, 2021

OF THE CONDITION AND AFFAIRS OF THE

TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

NAIC Group Code 4926 4926 NAIC Company Code 71153 Employer's ID Number 39-1052598
(Current) (Prior)

Organized under the Laws of Connecticut, State of Domicile or Port of Entry CT

Country of Domicile United States of America

Licensed as business type: Life, Accident and Health [X] Fraternal Benefit Societies []

Incorporated/Organized 01/09/1956 Commenced Business 07/01/1965

Statutory Home Office 1 Griffin Road N Windsor, CT, US 06095-1512
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 1 Griffin Road N
(Street and Number)
Windsor, CT, US 06095-1512 800-862-6668
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 1 Griffin Road N Windsor, CT, US 06095-1512
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 1 Griffin Road N
(Street and Number)
Windsor, CT, US 06095-1512 800-862-6668
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.talcottresolution.com

Statutory Statement Contact Andrew G. Helming 860-791-0166
(Name) (Area Code) (Telephone Number)
Statement.questions@talcottresolution.com 860-624-0444
(E-mail Address) (FAX Number)

OFFICERS

President and Chief Executive Officer Peter Francis Sannizzaro VP and Controller Michael Robert Hazel
VP and Chief Financial Officer Robert Raymond Siracusa AVP and Treasurer Jeremy Matthew Billie

OTHER

John Buck Brady, VP and Appointed Actuary Christopher Benedict Cramer, VP and Corporate Secretary

DIRECTORS OR TRUSTEES

Peter Francis Sannizzaro Matthew James Poznar Robert Raymond Siracusa

State of Connecticut SS:
County of Hartford

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Peter F. Sannizzaro
President and Chief Executive Officer

Michael R. Hazel
Vice President and Controller

Christopher B. Cramer
Vice President and Corporate Secretary

Subscribed and sworn to before me this 3 day of August 2021

Sandra D. Mangeri

- a. Is this an original filing? Yes [X] No []
b. If no,
1. State the amendment number.....
2. Date filed
3. Number of pages attached.....

August 31, 2023

SANDRA D. MANGERI
NOTARY PUBLIC
MY COMMISSION EXPIRES AUG. 31, 2023

STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	4,590,000,832		4,590,000,832	4,571,087,432
2. Stocks:				
2.1 Preferred stocks	29,924,782		29,924,782	6,639,285
2.2 Common stocks	18,545,039	2,651,918	15,893,121	15,802,546
3. Mortgage loans on real estate:				
3.1 First liens	782,313,431		782,313,431	766,622,883
3.2 Other than first liens				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)				
4.2 Properties held for the production of income (less \$ encumbrances)				
4.3 Properties held for sale (less \$ encumbrances)				
5. Cash (\$20,921,769), cash equivalents (\$159,546,279) and short-term investments (\$18,285,979)	198,754,027		198,754,027	289,850,828
6. Contract loans (including \$ premium notes)	92,635,775		92,635,775	95,042,308
7. Derivatives	226,612,807		226,612,807	196,265,361
8. Other invested assets	564,758,560		564,758,560	515,641,476
9. Receivables for securities	240,406,701		240,406,701	4,957,507
10. Securities lending reinvested collateral assets				
11. Aggregate write-ins for invested assets	2		2	39,666,467
12. Subtotals, cash and invested assets (Lines 1 to 11)	6,743,951,956	2,651,918	6,741,300,038	6,501,576,093
13. Title plants less \$ charged off (for Title insurers only)				
14. Investment income due and accrued	53,252,862		53,252,862	56,966,047
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	59,985		59,985	70,127
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)	284		284	
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	31,440,594		31,440,594	30,932,369
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts	20,869,365		20,869,365	31,808,813
17. Amounts receivable relating to uninsured plans				
18.1 Current federal and foreign income tax recoverable and interest thereon				74,413,249
18.2 Net deferred tax asset	98,857,182	28,865,653	69,991,529	79,536,000
19. Guaranty funds receivable or on deposit	576,592		576,592	779,378
20. Electronic data processing equipment and software				
21. Furniture and equipment, including health care delivery assets (\$)				
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates				
24. Health care (\$) and other amounts receivable	1,509		1,509	12,829
25. Aggregate write-ins for other than invested assets	50,296,479	15,753,453	34,543,026	31,029,367
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	6,999,306,808	47,271,024	6,952,035,784	6,807,124,272
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	29,560,946,118		29,560,946,118	28,430,266,880
28. Total (Lines 26 and 27)	36,560,252,926	47,271,024	36,512,981,902	35,237,391,152
DETAILS OF WRITE-INS				
1101. Collateral on derivatives	2		2	39,666,467
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	2		2	39,666,467
2501. Disbursements and items not allocated	50,296,479	15,753,453	34,543,026	31,029,367
2502.				
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page				
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	50,296,479	15,753,453	34,543,026	31,029,367

STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$4,823,693,941 less \$0 included in Line 6.3 (including \$799,501,102 Modco Reserve).....	4,823,693,941	4,849,163,626
2. Aggregate reserve for accident and health contracts (including \$0 Modco Reserve).....	15,864,721	15,773,266
3. Liability for deposit-type contracts (including \$1,156,195 Modco Reserve).....	193,109,960	199,264,917
4. Contract claims:		
4.1 Life	20,032,359	27,836,011
4.2 Accident and health	247,867	283,621
5. Policyholders' dividends/refunds to members \$0 and coupons \$4,965 due and unpaid	4,965	3,252
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$0 Modco).....	526,700	586,882
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$0 Modco).....		
6.3 Coupons and similar benefits (including \$0 Modco).....		
7. Amount provisionally held for deferred dividend policies not included in Line 6		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$0 discount; including \$0 accident and health premiums	131,475	121,738
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts		
9.2 Provision for experience rating refunds, including the liability of \$0 accident and health experience rating refunds of which \$ is for medical loss ratio rebate per the Public Health Service Act	25,752	239,296
9.3 Other amounts payable on reinsurance, including \$0 assumed and \$10,635,957 ceded	10,635,957	18,544,854
9.4 Interest Maintenance Reserve	112,053,570	101,434,239
10. Commissions to agents due or accrued-life and annuity contracts \$21,285,238 , accident and health \$0 and deposit-type contract funds \$0	21,285,238	20,900,994
11. Commissions and expense allowances payable on reinsurance assumed		
12. General expenses due or accrued	32,812,884	14,871,207
13. Transfers to Separate Accounts due or accrued (net) (including \$(31,427,168) accrued for expense allowances recognized in reserves, net of reinsured allowances).....	(36,966,708)	(40,378,588)
14. Taxes, licenses and fees due or accrued, excluding federal income taxes	(410,856)	1,965,617
15.1 Current federal and foreign income taxes, including \$0 on realized capital gains (losses).....	105,346	
15.2 Net deferred tax liability		
16. Unearned investment income	3,342,726	3,408,681
17. Amounts withheld or retained by reporting entity as agent or trustee	11,188,264	10,703,029
18. Amounts held for agents' account, including \$42,143 agents' credit balances	43,652	26,089
19. Remittances and items not allocated	7,451,536	12,084,734
20. Net adjustment in assets and liabilities due to foreign exchange rates		
21. Liability for benefits for employees and agents if not included above		
22. Borrowed money \$0 and interest thereon \$0		
23. Dividends to stockholders declared and unpaid		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve	145,095,454	134,693,701
24.02 Reinsurance in unauthorized and certified (\$) companies		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$) reinsurers		
24.04 Payable to parent, subsidiaries and affiliates	5,342,351	10,779,853
24.05 Drafts outstanding	50,838,945	57,603,850
24.06 Liability for amounts held under uninsured plans		
24.07 Funds held under coinsurance		
24.08 Derivatives	172,006,646	437,969,150
24.09 Payable for securities	445,717,630	5,401,451
24.10 Payable for securities lending		
24.11 Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities	274,787,874	302,152,962
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	6,308,968,249	6,185,434,432
27. From Separate Accounts Statement	29,560,946,118	28,430,266,880
28. Total liabilities (Lines 26 and 27)	35,869,914,367	34,615,701,312
29. Common capital stock	2,500,000	2,500,000
30. Preferred capital stock		
31. Aggregate write-ins for other than special surplus funds	187,804,668	199,649,232
32. Surplus notes		
33. Gross paid in and contributed surplus	85,431,561	85,431,561
34. Aggregate write-ins for special surplus funds		
35. Unassigned funds (surplus)	367,331,306	334,109,047
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 29 \$)		
36.2 shares preferred (value included in Line 30 \$)		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement)	640,567,535	619,189,840
38. Totals of Lines 29, 30 and 37	643,067,535	621,689,840
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	36,512,981,902	35,237,391,152
DETAILS OF WRITE-INS		
2501. Payable for repurchase agreements	203,211,535	158,756,325
2502. Other liabilities - abandoned property unpaid funds	31,229,318	28,474,712
2503. Derivative collateral liability	29,284,188	93,719,979
2598. Summary of remaining write-ins for Line 25 from overflow page	11,062,833	21,201,946
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	274,787,874	302,152,962
3101. Gain on inforce reinsurance	187,804,668	199,649,232
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page		
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)	187,804,668	199,649,232
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page		
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)		

SUMMARY OF OPERATIONS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	84,626,920	68,410,682	135,503,495
2. Considerations for supplementary contracts with life contingencies	138,708	312,173	401,140
3. Net investment income	173,874,365	139,273,998	284,999,031
4. Amortization of Interest Maintenance Reserve (IMR)	4,440,147	4,065,381	8,839,112
5. Separate Accounts net gain from operations excluding unrealized gains or losses			
6. Commissions and expense allowances on reinsurance ceded	20,233,073	19,921,977	40,176,892
7. Reserve adjustments on reinsurance ceded	(244,997,376)	(219,693,001)	(455,831,436)
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	269,438,137	247,586,180	515,178,848
8.2 Charges and fees for deposit-type contracts			
8.3 Aggregate write-ins for miscellaneous income	34,005,144	30,907,200	51,438,498
9. Totals (Lines 1 to 8.3)	341,759,118	290,784,590	580,705,580
10. Death benefits	(265,074)	3,764,011	4,828,321
11. Matured endowments (excluding guaranteed annual pure endowments)		3,579	8,197
12. Annuity benefits	165,994,743	143,063,961	305,164,991
13. Disability benefits and benefits under accident and health contracts	146,197	220,992	410,916
14. Coupons, guaranteed annual pure endowments and similar benefits			
15. Surrender benefits and withdrawals for life contracts	1,418,686,406	1,240,417,091	2,554,992,951
16. Group conversions			
17. Interest and adjustments on contract or deposit-type contract funds	5,204,689	4,239,265	8,742,675
18. Payments on supplementary contracts with life contingencies	649,175	706,294	1,402,950
19. Increase in aggregate reserves for life and accident and health contracts	(25,378,230)	(1,463,048)	(107,901,611)
20. Totals (Lines 10 to 19)	1,565,037,906	1,390,952,145	2,767,649,390
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	69,931,024	60,744,612	128,604,462
22. Commissions and expense allowances on reinsurance assumed	3,846,116	2,700,671	5,423,126
23. General insurance expenses and fraternal expenses	57,352,132	35,864,969	72,340,299
24. Insurance taxes, licenses and fees, excluding federal income taxes	388,418	2,976,093	3,856,003
25. Increase in loading on deferred and uncollected premiums	(22,645)	47,274	45,273
26. Net transfers to or (from) Separate Accounts net of reinsurance	(1,458,609,188)	(1,264,064,230)	(2,605,128,491)
27. Aggregate write-ins for deductions	(70,757,279)	(55,637,753)	(129,532,033)
28. Totals (Lines 20 to 27)	167,166,484	173,583,781	243,258,029
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	174,592,634	117,200,809	337,447,551
30. Dividends to policyholders and refunds to members	(58,469)	14,613	19,152
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	174,651,103	117,186,196	337,428,399
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	(4,181,237)	(40,976,175)	(65,215,649)
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	178,832,340	158,162,371	402,644,048
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$846,531 (excluding taxes of \$3,440,054 transferred to the IMR)	(219,973,647)	(42,611,122)	(355,549,269)
35. Net income (Line 33 plus Line 34)	(41,141,307)	115,551,249	47,094,779
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year	621,689,840	979,284,334	979,284,334
37. Net income (Line 35)	(41,141,307)	115,551,249	47,094,779
38. Change in net unrealized capital gains (losses) less capital gains tax of \$11,546,759	84,167,808	114,750,799	63,801,748
39. Change in net unrealized foreign exchange capital gain (loss)	(87,207)	359,352	943,174
40. Change in net deferred income tax	7,500,983	(29,479,487)	(69,213,609)
41. Change in nonadmitted assets	(6,816,265)	11,922,664	29,654,393
42. Change in liability for reinsurance in unauthorized and certified companies			
43. Change in reserve on account of change in valuation basis, (increase) or decrease			
44. Change in asset valuation reserve	(10,401,753)	20,643,622	(6,185,849)
45. Change in treasury stock			
46. Surplus (contributed to) withdrawn from Separate Accounts during period			
47. Other changes in surplus in Separate Accounts Statement			
48. Change in surplus notes			
49. Cumulative effect of changes in accounting principles			
50. Capital changes:			
50.1 Paid in			
50.2 Transferred from surplus (Stock Dividend)			
50.3 Transferred to surplus			
51. Surplus adjustment:			
51.1 Paid in			
51.2 Transferred to capital (Stock Dividend)			
51.3 Transferred from capital			
51.4 Change in surplus as a result of reinsurance			
52. Dividends to stockholders			(400,000,000)
53. Aggregate write-ins for gains and losses in surplus	(11,844,564)	(11,844,564)	(23,689,130)
54. Net change in capital and surplus for the year (Lines 37 through 53)	21,377,695	221,903,635	(357,594,494)
55. Capital and surplus, as of statement date (Lines 36 + 54)	643,067,535	1,201,187,969	621,689,840
DETAILS OF WRITE-INS			
08.301. Other investment management fees	33,306,400	30,306,176	50,542,735
08.302. Miscellaneous income	471,505	309,178	333,918
08.303. Separate Account loads	227,239	291,846	561,845
08.398. Summary of remaining write-ins for Line 8.3 from overflow page			
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	34,005,144	30,907,200	51,438,498
2701. Miscellaneous deductions	128,643	191,497	(469,018)
2702. MODCO adjustment	(70,885,922)	(55,829,250)	(129,063,015)
2703.			
2798. Summary of remaining write-ins for Line 27 from overflow page			
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	(70,757,279)	(55,637,753)	(129,532,033)
5301. Gain on inforce reinsurance	(11,844,564)	(11,844,564)	(23,689,130)
5302.			
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page			
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	(11,844,564)	(11,844,564)	(23,689,130)

STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	84,594,324	68,642,603	136,031,492
2. Net investment income	185,684,481	172,814,512	372,021,855
3. Miscellaneous income	311,831,790	66,877,792	127,273,674
4. Total (Lines 1 to 3)	582,110,595	308,334,907	635,327,021
5. Benefit and loss related payments	1,851,670,040	1,385,864,852	2,867,926,352
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	(1,462,021,068)	(1,272,453,344)	(2,614,652,271)
7. Commissions, expenses paid and aggregate write-ins for deductions	33,668,729	(65,482,398)	151,798,964
8. Dividends paid to policyholders		19,893	39,407
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses)	(74,413,247)		(15,155,445)
10. Total (Lines 5 through 9)	348,904,454	47,949,003	389,957,007
11. Net cash from operations (Line 4 minus Line 10)	233,206,141	260,385,904	245,370,014
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	538,168,708	605,772,682	976,426,133
12.2 Stocks	3,430,637	8,244,540	10,133,339
12.3 Mortgage loans	67,898,177	57,877,510	131,129,986
12.4 Real estate			
12.5 Other invested assets	45,918,390	49,142,192	64,491,881
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	(95,122)	1,424,000	2,185,032
12.7 Miscellaneous proceeds	479,982,644	114,060,537	3,340,666
12.8 Total investment proceeds (Lines 12.1 to 12.7)	1,135,303,434	836,521,461	1,187,707,037
13. Cost of investments acquired (long-term only):			
13.1 Bonds	559,440,429	458,080,637	984,005,477
13.2 Stocks	25,067,428	5,312,412	11,980,227
13.3 Mortgage loans	83,494,570	23,172,134	54,734,810
13.4 Real estate			
13.5 Other invested assets	34,356,062	17,854,782	42,847,540
13.6 Miscellaneous applications	704,975,648	76,344,511	80,565,140
13.7 Total investments acquired (Lines 13.1 to 13.6)	1,407,334,137	580,764,476	1,174,133,194
14. Net increase (or decrease) in contract loans and premium notes	(2,406,533)	(2,118,431)	(4,883,195)
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(269,624,170)	257,875,416	18,457,038
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock			
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities	(6,154,957)	(10,002,302)	(16,877,321)
16.5 Dividends to stockholders			400,000,000
16.6 Other cash provided (applied)	(48,523,815)	(41,130,648)	33,280,701
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(54,678,772)	(51,132,950)	(383,596,620)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(91,096,801)	467,128,370	(119,769,568)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	289,850,828	409,620,396	409,620,396
19.2 End of period (Line 18 plus Line 19.1)	198,754,027	876,748,766	289,850,828
Note: Supplemental disclosures of cash flow information for non-cash transactions:			
20.0001. Non-cash proceeds from invested asset exchanges – bonds, mortgage loans, and other invested assets	(36,474,204)	(22,533,198)	(8,481,263)
20.0002. Non-cash acquisitions from invested asset exchanges – bonds, mortgage loans, and other invested assets	(36,474,204)	(22,533,198)	(8,481,263)

EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life			
2. Ordinary life insurance	351,701,202	364,323,480	722,553,506
3. Ordinary individual annuities	92,028,166	79,259,740	156,013,841
4. Credit life (group and individual)			
5. Group life insurance	1,807,545	1,502,827	1,289,831
6. Group annuities			
7. A & H - group			
8. A & H - credit (group and individual)			
9. A & H - other	104,393	132,014	243,098
10. Aggregate of all other lines of business			
11. Subtotal (Lines 1 through 10)	445,641,306	445,218,061	880,100,276
12. Fraternal (Fraternal Benefit Societies Only)			
13. Subtotal (Lines 11 through 12)	445,641,306	445,218,061	880,100,276
14. Deposit-type contracts		359,410	846,296
15. Total (Lines 13 and 14)	445,641,306	445,577,471	880,946,572
DETAILS OF WRITE-INS			
1001.			
1002.			
1003.			
1098. Summary of remaining write-ins for Line 10 from overflow page			
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)			

NOTES TO FINANCIAL STATEMENTS

Note 1 - Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The accompanying statutory-basis financial statements of Talcott Resolution Life and Annuity Insurance Company (the "Company" or "TLA") have been prepared in conformity with statutory accounting practices prescribed or permitted by the State of Connecticut Insurance Department ("the Department"). The Department recognizes only statutory accounting practices prescribed or permitted by the State of Connecticut for determining and reporting the financial condition and results of operations of an insurance company and for determining solvency under the State of Connecticut Insurance Law. The National Association of Insurance Commissioners' Accounting Practices and Procedures Manual ("NAIC SAP") has been adopted as a component of prescribed practices by the State of Connecticut.

A difference prescribed by Connecticut state law allows the Company to receive a reinsurance reserve credit for reinsurance treaties that provide for a limited right of unilateral cancellation by the reinsurer. Even if the Company did not obtain reinsurance reserve credit for this reinsurance treaty, the Company's risk-based capital would not have triggered a regulatory event.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed by the Department is shown below:

	SSAP #	F/S Page	F/S Line #	2021	2020
Net Income					
1. TLA state basis (Page 4, Line 35, Columns 1 & 3)	XXX	XXX	XXX	\$ (41,141,307)	\$ 47,094,779
2. State prescribed practices that are an (increase)/decrease from NAIC SAP:					
Less: Reinsurance reserve credit (as described above)	61	4	19	(29,907,261)	12,370,401
				(29,907,261)	12,370,401
3. State permitted practices that are an (increase)/decrease from NAIC SAP				—	—
4. NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ (11,234,046)	\$ 34,724,378
Surplus					
5. TLA state basis (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	\$ 643,067,535	\$ 621,689,840
6. State prescribed practices that are an (increase)/decrease from NAIC SAP:					
Less: Reinsurance reserve credit (as described above)	61	3	1	13,765,887	43,673,148
				13,765,887	43,673,148
7. State permitted practices that are an (increase)/decrease NAIC SAP				—	—
8. NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$ 629,301,648	\$ 578,016,692

The Company does not follow any other prescribed or permitted statutory accounting practices that have a material effect on statutory surplus, statutory net income or risk-based capital of the Company.

C. Accounting Policy

- The Company had no SVO - identified investments in exchange traded funds or bond mutual funds that qualifies for bond accounting treatment.
- Loan-backed bonds and structured securities are carried at either amortized cost or the lower of amortized cost or fair value in accordance with the provisions of SSAP No. 43-Revised, Loan-backed and Structured Securities. Significant changes in estimated cash flows from the original purchase assumptions are accounted for using the prospective method, except for highly rated fixed rate securities, which use the retrospective method.

D. Going Concern

The Company is not aware of any conditions or events which raise substantial doubts concerning the Company's ability to continue as a going concern.

Note 2 - Accounting Changes and Corrections of Errors

No significant change.

Note 3 - Business Combinations and Goodwill

No significant change.

Note 4 - Discontinued Operations

No significant change.

Note 5 - Investments

D. Loan-Backed Securities

- Prepayment assumptions for single class and multi-class mortgage-backed/asset-backed securities were obtained from broker dealer survey values or internal estimates.
- The Company had no other-than-temporary impairments ("OTTI") for loan-backed securities recorded during the year where the Company had either the intent to sell the securities or the inability or lack of intent to retain.
- The Company did not recognize any OTTI for loan-backed securities held as of June 30, 2021.

NOTES TO FINANCIAL STATEMENTS

4. Security Unrealized Loss Aging

All impaired securities (fair value is less than cost or amortized cost) for which an OTTI has not been recognized in earnings as a realized loss (including securities with a recognized OTTI for non-interest related declines when a non-recognized interest related impairment remains):

a. The aggregate amount of unrealized losses:	
1. Less than 12 Months	\$ 1,196,624
2. 12 Months or Longer	\$ 1,564,931
b. The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 Months	\$ 188,985,164
2. 12 Months or Longer	\$ 59,489,145

5. As of June 30, 2021 loan-backed securities in an unrealized loss position comprised 71 securities, primarily related to commercial mortgage-backed securities ("CMBS government agency securities and residential mortgage-backed securities ("RMBS"), which were primarily depressed due to higher interest rates and/or widening of credit spreads since the securities were purchased. The Company does not intend to sell the securities outlined above. Furthermore, based upon the Company's cash flow modeling an expected continuation of contractually required principal and interest payments, the Company has deemed these securities to be temporarily impaired as of June 30, 2021.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

3. Collateral Received

- b. The Company did not accept collateral that is permitted by contract or custom to sell or repledge as of June 30, 2021.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

1. Company Policies or Strategies for Repo Programs

From time to time, the Company enters into repurchase agreements to manage liquidity or to earn incremental income. A repurchase agreement is a transaction in which one party (transferor) agrees to sell securities to another party (transferee) in return for cash (or securities), with a simultaneous agreement to repurchase the same securities at a specified price at a later date. These transactions generally have a contractual maturity of ninety days or less. Repurchase agreements include master netting provisions that provide both counterparties the right to offset claims and apply securities held by them with respect to their obligations in the event of a default. Although the Company has the contractual right to offset claims, the Company's current positions do not meet the specific conditions for net presentation.

Under repurchase agreements, the Company transfers collateral of U.S. government and government agency securities and receives cash. For repurchase agreements, the Company obtains cash in an amount equal to at least 95% of the fair value of the securities transferred. The agreements require additional collateral to be transferred when necessary and provide the counterparty the right to sell or re-pledge the securities transferred. The cash received from the repurchase program is typically invested in short-term investments or fixed maturities and is reported as an asset on the Company's balance sheets. The Company accounts for the repurchase agreements as collateralized borrowings. The securities transferred under repurchase agreements are included in bonds, with the obligation to repurchase those securities recorded in Aggregate write-ins for liabilities on the Company's balance sheets.

From time to time, the Company enters into reverse repurchase agreements where the Company purchases securities and simultaneously agrees to resell the same or substantially the same securities. The agreements require additional collateral to be transferred to the Company when necessary and the Company has the right to sell or re-pledge the securities received as collateral. The Company accounts for reverse repurchase agreements as collateralized financing.

2. Type of Repo Trades Used

	1 First Quarter	2 Second Quarter	3 Third Quarter	4 Fourth Quarter
a. Bilateral (YES/NO)	YES	YES		
b. Tri-Party (YES/NO)	NO	NO		

3. Original (Flow) & Residual Maturity

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Open - No Maturity	\$ —	\$ —	\$ —	\$ —
2. Overnight	—	—	—	—
3. 2 days to 1 week	—	—	—	—
4. >1 week to 1 month	—	—	—	—
5. >1 month to 3 months	—	22,623,300	—	—
6. >3 months to 1 year	158,756,325	180,588,235	—	—
7. > 1 year	—	—	—	—
b. Ending Balance	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
1. Open - No Maturity	\$ —	\$ —	\$ —	\$ —
2. Overnight	—	—	—	—
3. 2 days to 1 week	—	—	—	—
4. >1 week to 1 month	—	—	—	—
5. >1 month to 3 months	—	22,623,300	—	—
6. >3 months to 1 year	69,486,900	180,588,235	—	—
7. > 1 year	—	—	—	—

NOTES TO FINANCIAL STATEMENTS

4. Counterparty, Jurisdiction and Fair Value (FV)

The Company has no investments in defaulted repurchase agreements.

5. Securities "Sold" Under Repo - Secured Borrowing

The Company has no securities sold under repurchase agreement transactions accounted for as secured borrowings.

6. Securities Sold Under Repo - Secured Borrowing by NAIC Designation

The Company has no securities sold under repurchase agreement transactions accounted for as secured borrowings.

7. Collateral Received - Secured Borrowing

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Cash	\$ 158,756,325	\$ 203,211,535	\$ —	\$ —
2. Securities (FV)	—	—	—	—
b. Ending Balance	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
1. Cash	\$ 69,486,900	\$ 203,211,535	\$ —	\$ —
2. Securities (FV)	—	—	—	—

8. Cash & Non-Cash Collateral Received - Secured Borrowing by NAIC Designation

Ending Balance	1 None	2 NAIC 1	3 NAIC 2	4 NAIC 3	5 NAIC 4	6 NAIC 5	7 NAIC 6	8 Does Not Qualify as Admitted
a. Cash	\$ —	\$203,211,535	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
b. Bonds - FV	—	—	—	—	—	—	—	—
c. LB & SS - FV	—	—	—	—	—	—	—	—
d. Preferred stock - FV	—	—	—	—	—	—	—	—
e. Common stock	—	—	—	—	—	—	—	—
f. Mortgage loans - FV	—	—	—	—	—	—	—	—
g. Real estate - FV	—	—	—	—	—	—	—	—
h. Derivatives - FV	—	—	—	—	—	—	—	—
i. Other invested assets - FV	—	—	—	—	—	—	—	—
j. Total collateral assets - FV	\$ —	\$203,211,535	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —

9. Allocation of Aggregate Collateral by Remaining Contractual Maturity

	Fair Value
a. Overnight and continuous	\$ —
b. 30 days or less	—
c. 31 to 90 days	57,066,625
d. >90 days	146,144,910

10. Allocation of Aggregate Collateral Reinvested by Remaining Contractual Maturity

	Amortized Cost	Fair Value
a. 30 days or less	\$ 11,786,559	\$ 11,802,856
b. 31 to 60 days	51,532,182	51,549,430
c. 61 to 90 days	4,417,763	4,418,674
d. 91 to 120 days	—	—
e. 121 to 180 days	10,396,535	10,413,258
f. 181 to 365 days	—	—
g. 1 to 2 years	—	—
h. 2 to 3 years	—	—
i. >3 years	125,078,496	125,078,496

11. Liability to Return Collateral - Secured Borrowing (Total)

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Cash	\$ 158,756,325	\$ 203,211,535	\$ —	\$ —
2. Securities (FV)	—	—	—	—
b. Ending Balance				
1. Cash	\$ 69,486,900	\$ 203,211,535	\$ —	\$ —
2. Securities (FV)	—	—	—	—

NOTES TO FINANCIAL STATEMENTS

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

1. Company Policy or Strategies for Engaging in Repo Programs

From time to time, the Company enters into reverse repurchase agreements where the Company purchases securities and simultaneously agrees to resell the same or substantially the same securities. The agreements require additional collateral to be transferred to the Company when necessary and the Company has the right to sell or re-pledge the securities received as collateral. The Company accounts for reverse repurchase agreements as collateralized financing. The receivable for reverse repurchase agreements is included within short term investments.

2. Type of Repo Trades Used

	1 First Quarter	2 Second Quarter	3 Third Quarter	4 Fourth Quarter
a. Bilateral (YES/NO)	YES	YES		
b. Tri-Party (YES/NO)	NO	NO		

3. Original (Flow) and Residual Maturity

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Open - No Maturity	\$ —	\$ —	\$ —	\$ —
2. Overnight	—	—	—	—
3. 2 days to 1 week	—	—	—	—
4. >1 week to 1 month	—	—	—	—
5. >1 month to 3 months	—	—	—	—
6. >3 months to 1 year	8,529,737	5,296,990	—	—
7. > 1 year	—	—	—	—
b. Ending Balance	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
1. Open - No Maturity	\$ —	\$ —	\$ —	\$ —
2. Overnight	—	—	—	—
3. 2 days to 1 week	—	—	—	—
4. >1 week to 1 month	—	—	—	—
5. >1 month to 3 months	—	—	—	—
6. >3 months to 1 year	4,928,177	5,288,057	—	—
7. > 1 year	—	—	—	—

4. Counterparty, Jurisdiction and Fair Value (FV)

None

5. Fair Value of Securities Acquired Under Repo - Secured Borrowing

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount	\$ 11,688,768	\$ 7,881,796	\$ —	\$ —
b. Ending Balance	6,740,137	7,688,009	—	—

6. Securities Acquired Under Repo - Secured Borrowing by NAIC Designation

Ending Balance	1 None	2 NAIC 1	3 NAIC 2	4 NAIC 3	5 NAIC 4	6 NAIC 5	7 NAIC 6	8 Does Not Qualify as Admitted
a. Bonds - FV	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
b. LB & SS - FV	—	—	—	—	—	7,425,900	—	—
c. Preferred stock - FV	—	—	—	—	—	—	—	—
d. Common stock	—	—	—	—	—	—	—	—
e. Mortgage loans - FV	—	—	—	—	—	—	—	—
f. Real estate - FV	—	—	—	—	—	—	—	—
g. Derivatives - FV	—	—	—	—	—	—	—	—
h. Other invested assets - FV	—	—	—	—	—	—	—	262,109
i. Total assets - FV	\$ —	\$ —	\$ —	\$ —	\$ —	\$ 7,425,900	\$ —	\$ 262,109

NOTES TO FINANCIAL STATEMENTS

7. Collateral Pledged - Secured Borrowing

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Cash	\$ 8,529,737	\$ 5,296,990	\$ —	\$ —
2. Securities (FV)	—	—	—	—
3. Securities (BACV)	XXX	XXX	XXX	XXX
4. Nonadmitted subset (BACV)	XXX	XXX	XXX	XXX
b. Ending Balance	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
1. Cash	\$ 4,928,177	\$ 5,288,057	\$ —	\$ —
2. Securities (FV)	—	—	—	—
3. Securities (BACV)	XXX	XXX	XXX	XXX
4. Nonadmitted subset (BACV)	XXX	XXX	XXX	XXX

8. Allocation of Aggregate Collateral Pledged by Remaining Contractual Maturity

	Amortized Cost	Fair Value
a. Overnight and Continuous	\$ —	\$ —
b. 30 days or less	—	—
c. 31 to 90 days	—	—
d. >90 days	5,288,057	5,288,057

9. Recognized Receivable for Return of Collateral - Secured Borrowing

None

10. Recognized Liability to Return Collateral - Secured Borrowing (Total)

None

H. Repurchase Agreements Transactions Accounted for as a Sale

The Company had no reverse repurchase agreements transactions accounted for as secured borrowing transactions.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

The Company had no reverse repurchase agreements transactions accounted for as a sale transaction.

M. Working Capital Finance Investments

The Company had no working capital finance investments.

N. Retained Assets

The Company had no offsetting and netting of assets and liabilities.

R. Share of Cash Pool by Asset type

The Company did not participate in a short term investment pool as of June 30, 2021.

Note 6 - Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

Note 7 - Investment Income

No significant change.

Note 8 - Derivative Instruments**Other Investment and/or Risk Management Activities**

The premium payments for derivatives with financing premiums due within the next four years are listed in the table below, as well as the undiscounted premium commitments, the fair value of these contracts and the aggregate fair value excluding the impact of these premiums as of June 30, 2021 and Dec. 31, 2020, respectively.

(amount in thousands)	
Fiscal Year	Derivative Premium Payments Due
2021	\$ —
2022	3,876
2023	21,378
2024	13,955
Thereafter	146,262
Total Future Settled Premiums	\$ 185,471

NOTES TO FINANCIAL STATEMENTS

(amount in thousands)			
Date	Undiscounted Future Premium Commitments	Derivative Fair Value with Premium Commitments	Derivative Fair Value Excluding Impact of Future Settled Premiums
June 30, 2021	\$ 185,471	\$ 40,719	\$ 40,719
December 31, 2020	\$ 40,551	\$ (151,245)	\$ (191,796)

Note 9 - Income Taxes

No significant change.

Note 10 - Information Concerning Parent, Subsidiaries and Affiliates

No significant change.

Note 11 - Debt**B. FHLB (Federal Home Loan Bank) Agreements**

- The Company is a member of the Federal Home Loan Bank of Boston ("FHLB"). Membership allows the Company access to collateralized advances, which may be used to support various spread-based businesses or to enhance liquidity management. FHLB membership requires the Company to own member stock and borrowings require the purchase of activity-based stock in an amount (generally between 3% and 4% of the principal balance) based upon the term of the outstanding advances. FHLB stock held by the Company is classified within Page 2, Line 2.2 (Common stocks) in the General Account. As of June 30, 2021 there were no advances outstanding.

State law limits the Company's ability to pledge, hypothecate or otherwise encumber its assets. The amount of advances that can be taken by the Company are dependent on the assets pledged by the Company to secure the advances, and are therefore subject to this legal limit. The pledge limit is recalculated annually based on statutory admitted assets and capital and surplus. For 2021, the Company's pledge limit is \$155 million. The Company would need to seek prior written approval from the Department in order to exceed this limit. If the Company were to pursue borrowing additional amounts under its estimated capacity it may have to purchase additional shares of activity stock.

- a. FHLB Capital Stock - Aggregate Totals

1. June 30, 2021

		Total 2+3	General Account	Separate Accounts
a.	Membership Stock - Class A	\$ —	\$ —	\$ —
b.	Membership Stock - Class B	1,680,700	1,680,700	—
c.	Activity Stock	—	—	—
d.	Excess Stock	—	—	—
e.	Aggregate Total (a+b+c+d)	\$ 1,680,700	\$ 1,680,700	\$ —
f.	Actual or estimated borrowing capacity as determined by the insurer	\$ 155,000,000	155,000,000	\$ —

2. December 31, 2020

		Total 2+3	General Account	Separate Accounts
a.	Membership Stock - Class A	\$ —	\$ —	\$ —
b.	Membership Stock - Class B	1,560,700	1,560,700	—
c.	Activity Stock	—	—	—
d.	Excess Stock	—	—	—
e.	Aggregate Total (a+b+c+d)	\$ 1,560,700	\$ 1,560,700	\$ —
f.	Actual or estimated borrowing capacity as determined by the insurer	\$ 155,000,000	155,000,000	\$ —

- b. Membership Stock (Class A and B) Eligible for Redemption

Membership Stock		Current Period Total (2+3+4+5+6)	Not Eligible for Redemption	Eligible for Redemption			
				Less Than 6 Months	6 Months to Less than 1 Year	1 to Less than 3 Years	3 to 5 Years
1	Class A	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
2	Class B	1,680,700	1,680,700	—	—	—	—

NOTES TO FINANCIAL STATEMENTS

3 Collateral Pledged to FHLB

a. Amount Pledged as of June 30, 2021

	1 Fair Value	2 Carrying Value	Aggregate Total Borrowing
1 Current Year Total General and Separate Accounts (Total Collateral Pledged (Lines 2 + 3))	\$ 27,648,096	\$ 26,963,944	\$ —
2 Current Year General Account: Total Collateral Pledged	27,648,096	26,963,944	—
3 Current Year Separate Account: Total Collateral Pledged	—	—	—
4 Prior Year-end Total General and Separate Accounts: Total Collateral Pledged	—	—	—

b. Maximum Amount Pledged During Reporting Period

	1 Fair Value	2 Carrying Value	3 Amount Borrowed at Time of Maximum Collateral
1 Current Year Total General and Separate Accounts (Maximum Collateral Pledged (Lines 2 + 3))	\$ 27,648,096	\$ 26,963,944	\$ —
2 Current Year General Account Maximum Collateral Pledged	27,648,096	26,963,944	—
3 Current Year Separate Account Maximum Collateral Pledged	—	—	—
4 Prior Year-end Total General and Separate Accounts Maximum Collateral Pledged	—	—	—

4. a. & b. Borrowing from FHLB - Amount as of the Reporting Date

The Company had no borrowings from the FHLB as of June 30, 2021.

c. FHLB - Prepayment Obligations

The Company does not have any prepayment obligations as of June 30, 2021.

Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**A Defined Benefit Plans**

The Company has no direct plans.

Note 13 - Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No significant change.

NOTES TO FINANCIAL STATEMENTS

Note 14 - Liabilities, Contingencies, and Assessments

A. Contingent Commitments

2. Detail of Other Contingent Commitments

1	2	3	4	5
Nature and Circumstances of Guarantee and Key Attributes, Including Date and Duration of Agreement	Liability Recognition of Guarantee	Ultimate Financial Statement Impact if Action Under the Guarantee is Required	Maximum Potential Amount of Future Payments the Guarantor Could be Required to Make	Current Status of Payment or Performance Risk of Guarantee
Effective February 1, 2018, TLA guaranteed the obligations of Talcott Resolution Comprehensive Employee Benefit Service Company ("TCB"), a wholly-owned subsidiary, with respect to certain structured settlement liability obligations to provide an increased level of security to claimants under such structured settlements; these obligations were assumed from Talcott Resolution Life Insurance Company ("TL") on February 1, 2018. As of June 30, 2021 and December 31, 2020, no liability was recorded for this guarantee, as TCB was able to meet these policyholder obligations.	\$ —	Increase in Investments in SCA, Dividends to stockholders (capital contribution), Expense, or Other	Unlimited (1)	The guaranteed affiliate maintains surplus in addition to policyholder reserves. The payment or performance risk of this guarantee is low as it is unlikely that this guarantee will be triggered.
Total	\$ —		Unlimited	

(1) There is no limit on the Company's guarantee to pay policyholder obligations on behalf of the affiliate for the contracts covered in the guarantee agreement.

B. Assessments

No significant change.

C. Gain Contingencies

No significant change.

D. Claims related extra contractual obligations and bad faith losses stemming from lawsuits

No significant change.

E. Joint and Several Liabilities

No significant change.

F. All Other Contingencies

The Company is or may become involved in various legal actions, some of which assert claims for substantial amounts. Management expects that the ultimate liability, if any, with respect to such lawsuits, after consideration of provisions made for estimated losses and costs of defense, will not be material to the financial condition of the Company.

For additional information, please refer to the current and periodic reports filed by TL with the United States Securities and Exchange Commission.

Note 15 - Leases

No significant change.

Note 16- Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No significant change.

Note 17- Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

B. The Company had no transfer or servicing of financial assets.

C. The Company had no wash sales.

Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant change.

Note 20 - Fair Value Measurements

A. Fair Value Measurements

Fair value is determined based on the "exit price" notion which is defined as the price that would be received to sell an asset or paid to transfer a liability in the principal or most advantageous market for the asset or liability in an orderly transaction between market participants. Financial instruments carried at fair value in the Company's financial statements include certain bonds, stocks, derivatives, and Separate Account assets.

The Company's investment manager for the Company's general account (a registered investment adviser under the Investment Advisers Act of 1940), with oversight by the Company's Investment Management Department and its Finance and Investment Committee ("FIC"), a committee co-chaired by the Chief Investment Officer and the Chief Risk Officer of the Company, estimates the fair value for financial assets held in the Company's general account and guaranteed separate accounts based on the framework established in the fair value accounting guidance. The Company reviews its investment manager's pricing policy on a periodic basis, with any changes to

NOTES TO FINANCIAL STATEMENTS

be approved by the FIC. The Company reserves the right to take exception to its investment manager's pricing of a particular asset and, with FIC's approval, to adjust the price received from its investment manager for that particular asset. The Company estimates the fair value for financial liabilities based on the framework established in the fair value accounting guidance. The framework is based on the inputs used in valuation, gives the highest priority to quoted prices in active markets and requires that observable inputs be used in the valuations when available. The Company categorizes its assets and liabilities measured at estimated fair value based on whether the significant inputs into the valuation are observable. The fair value hierarchy categorizes the inputs in the valuation techniques used to measure fair value into three broad Levels (Level 1, 2, or 3)

Level 1 Unadjusted quoted prices for identical assets or liabilities in active markets that the Company has the ability to access at the measurement date.

Level 2 Observable inputs, other than quoted prices included in Level 1, for the asset or liability, or prices for similar assets and liabilities.

Level 3 Valuations that are derived from techniques in which one or more of the significant inputs are unobservable (including assumptions about risk). Because Level 3 fair values, by their nature, contain one or more significant unobservable inputs as there is little or no observable market for these assets and liabilities, considerable judgment is used to determine the Level 3 fair values. Level 3 fair values represent the Company's best estimate of amounts that could be realized in a current market exchange absent actual market exchanges.

In many situations, inputs used to measure the fair value of an asset or liability position may fall into different levels of the fair value hierarchy. In these situations, the Company's investment manager will determine the level in which the fair value falls based upon the lowest level input that is significant to the determination of the fair value. In most cases, both observable (e.g., changes in interest rates) and unobservable (e.g., changes in risk assumptions) inputs are used in the determination of fair values that the Company has classified within Level 3. Consequently, these values and the related gains and losses are based upon both observable and unobservable inputs. The Company's bonds included in Level 3 are classified as such because these securities are primarily within illiquid markets and/or priced by independent brokers.

The following table presents assets and (liabilities) carried at fair value by hierarchy level:

June 30, 2021					
(Amounts in thousands)	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)	Net Asset Value (NAV)	Total
a. Assets accounted for at fair value					
All other corporate bonds – asset-backed	\$ —	\$ —	\$ 1	\$ —	\$ 1
Common stocks - unaffiliated	6,647	—	1,681	—	8,328
Preferred stocks - unaffiliated	—	23,285	—	—	23,285
Cash equivalents	159,546	—	—	—	159,546
Total bonds and stocks	166,193	23,285	1,682	—	191,160
Derivative assets					
Interest rate derivatives	—	3,842	—	—	3,842
Macro hedge program	—	—	222,771	—	222,771
Total derivative assets	—	3,842	222,771	—	226,613
Separate Account assets [1]	29,553	—	—	—	29,553
Total assets accounted for at fair value	\$ 195,746	\$ 27,127	\$ 224,453	\$ —	\$ 447,326
b. Liabilities accounted for at fair value					
Derivative liabilities					
Foreign exchange derivatives	\$ —	\$ (1,657)	\$ —	\$ —	\$ (1,657)
Interest rate derivatives	—	(4,176)	—	—	(4,176)
Macro hedge program	—	(2,686)	(163,488)	—	(166,174)
Total liabilities accounted for at fair value	\$ —	\$ (8,519)	\$ (163,488)	\$ —	\$ (172,007)

a. Excludes approximately \$8 million of investment sales receivable net of investment purchases payable that are not subject to SSAP No. 100 (Fair Value Measurements).

Valuation Techniques, Procedures and Controls

The Company determines the fair values of certain financial assets and liabilities based on quoted market prices where available and where prices represent reasonable estimates of fair value. The Company also determines fair values based on future cash flows discounted at the appropriate current market rate. Fair values reflect adjustments for counterparty credit quality, the Company's default spreads, liquidity and, where appropriate, risk margins on unobservable parameters. The following is a discussion of the methodologies used to determine fair values for the financial instruments listed in the preceding tables.

The fair value process is monitored by the Valuation Committee of the Company's investment manager, which is a cross-functional group of senior management that meets at least quarterly. The purpose of the committee is to oversee the pricing policy and procedures by ensuring objective and reliable valuation practices and pricing of financial instruments as well as addressing valuation issues and approving changes to valuation methodologies and pricing sources. There are also two working groups under the Valuation Committee of the Company's investment manager, a Securities Valuation Group and a Derivatives Valuation Group, which include various investment, operations, accounting, compliance and risk management professionals that meet on a regular basis, to review market data trends, pricing and trading statistics and results, and any proposed pricing methodology changes.

In addition, the Finance and Investment Committee of the Company, co-chaired by its Chief Investment Officer and Chief Financial Officer, is responsible for the approval and monitoring of the Valuation Policy of the Company as well as the adjudication of any valuation disputes thereunder. The Valuation Policy addresses valuation of all financial instruments held in the general account and guaranteed separate accounts of the Company, including all derivative positions. The Finance and Investment Committee meets regularly, and its members include a cross-functional group of senior management as well as various investment, accounting, finance, and risk management professionals.

The Company also has an enterprise-wide Operational Risk Management function which is responsible for establishing, maintaining and communicating the framework, principles and guidelines of the Company's operational risk management program. This includes model risk management which provides an independent review of the suitability, characteristics and reliability of model inputs as well as an analysis of significant changes to current models.

NOTES TO FINANCIAL STATEMENTS

Bonds and Stocks

The fair value of bonds and stocks in an active and orderly market (e.g., not distressed or forced liquidation) are determined by the Company's investment manager using a "waterfall" approach after considering the following pricing sources: quoted prices for identical assets or liabilities, prices from third-party pricing services, independent broker quotations, or internal matrix pricing processes. Typical inputs used by these pricing sources include, but are not limited to, benchmark yields, reported trades, broker/dealer quotes, issuer spreads, benchmark securities, bids, offers, and/or estimated cash flows, prepayment speeds, and default rates. Most bonds do not trade daily. Based on the typical trading volumes and the lack of quoted market prices for bonds, third-party pricing services utilize matrix pricing to derive security prices. Matrix pricing relies on securities' relationships to other benchmark quoted securities, which trade more frequently. Pricing services utilize recently reported trades of identical or similar securities making adjustments through the reporting date based on the preceding outlined available market observable information. If there are no recently reported trades, the third-party pricing services may develop a security price using expected future cash flows based upon collateral performance and discounted at an estimated market rate. Both matrix pricing and discounted cash flow techniques develop prices by factoring in the time value for cash flows and risk, including liquidity and credit.

Prices from third-party pricing services may be unavailable for securities that are rarely traded or are traded only in privately negotiated transactions. As a result, certain securities are priced via independent broker quotations which utilize inputs that may be difficult to corroborate with observable market based data. Additionally, the majority of these independent broker quotations are non-binding.

The Company's investment manager utilizes an internally developed matrix pricing process for private placement securities for which the Company is unable to obtain a price from a third-party pricing service. The process is similar to the third-party pricing services. The Company's investment manager develops credit spreads each month using market based data for public securities adjusted for credit spread differentials between public and private securities which are obtained from a survey of multiple private placement brokers. The credit spreads determined through this survey approach are based upon the issuer's financial strength and term to maturity, utilizing independent public security index and trade information and adjusting for the non-public nature of the securities. Credit spreads combined with risk-free rates are applied to contractual cash flows to develop a price.

The Company's investment manager performs ongoing analyses of the prices and credit spreads received from third parties to ensure that the prices represent a reasonable estimate of the fair value. In addition, the Company's investment manager ensures that prices received from independent brokers represent a reasonable estimate of fair value through the use of internal and external cash flow models utilizing spreads, and when available, market indices. As a result of these analyses, if the Company's investment manager determines that there is a more appropriate fair value based upon the available market data, the price received from the third party is adjusted accordingly and approved by the Valuation Committee of the Company's investment manager.

The Company's investment manager conducts other specific monitoring controls around pricing. Daily, weekly and monthly analyses identify price changes over pre-determined thresholds for bonds and equity securities. Monthly analyses identify prices that have not changed, and missing prices. Also, on a monthly basis, a second source validation is performed on most sectors. Analyses are conducted by a dedicated pricing unit that follows up with trading and investment sector professionals and challenges prices with vendors when the estimated assumptions used differs from what the Company's investment manager feels a market participant would use. Examples of other procedures performed include, but are not limited to, initial and ongoing review of third-party pricing services' methodologies, review of pricing statistics and trends and back testing recent trades.

The Company's investment manager has analyzed the third-party pricing services' valuation methodologies and related inputs, and has also evaluated the various types of securities in its investment portfolio to determine an appropriate fair value hierarchy level based upon trading activity and the observability of market inputs. Most prices provided by third-party pricing services are classified into Level 2 because the inputs used in pricing the securities are observable. Due to the lack of transparency in the process that brokers use to develop prices, most valuations that are based on brokers' prices are classified as Level 3. Some valuations may be classified as Level 2 if the price can be corroborated with observable market data.

Derivative Instruments

Derivative instruments are fair valued using pricing valuation models for OTC derivatives that utilize independent market data inputs, quoted market prices for exchange-traded derivatives and OTC-cleared derivatives, or independent broker quotations.

The Company performs ongoing analysis of the valuations, assumptions, and methodologies used to ensure that the prices represent a reasonable estimate of the fair value. The Company performs various controls on derivative valuations which include both quantitative and qualitative analyses. Analyses are conducted by a cross-functional group of investment, actuarial, risk and information technology professionals that analyze impacts of changes in the market environment and investigate variances. There is a monthly analysis to identify market value changes greater than pre-defined thresholds, stale prices, missing prices and zero prices. Also on a monthly basis, a second source validation, typically to broker quotations, is performed for certain of the more complex derivatives and all new deals during the month. A model validation review is performed on any new models, which typically includes detailed documentation and validation to a second source. As to certain derivatives that are held by the Company as well as its investment manager's other clients, the Company's investment manager performs ongoing analysis of the valuations, assumptions, and methodologies used to ensure that the prices represent a reasonable estimate of the fair value. On a daily basis, the Company's investment manager compares market valuations to counterparty valuations for all OTC derivatives held by the Company for collateral purposes.

The Company utilizes derivative instruments to manage the risk associated with certain assets and liabilities. However, the derivative instrument may not be classified with the same fair value hierarchy level as the associated assets and liabilities. Therefore the realized and unrealized gains and losses on derivatives reported in Level 3 may not reflect the offsetting impact of the realized and unrealized gains and losses of the associated assets and liabilities.

Valuation Inputs for Investments

For Level 1 investments, which are comprised of exchange-traded securities and open-ended mutual funds, valuations are based on observable inputs that reflect quoted prices for identical assets in active markets that the Company has the ability to access at the measurement date.

For the Company's Level 2 and 3 bonds and stocks, typical inputs used by pricing techniques include, but are not limited to, benchmark yields, reported trades, broker/dealer quotes, issuer spreads, benchmark securities, bids, offers, and/or estimated cash flows, prepayment speeds, and default rates.

A description of additional inputs used in the Company's Level 2 and Level 3 measurements is included in the following discussion:

Level 2 The fair values of most of the Company's Level 2 investments are determined by management after considering prices received from third-party pricing services. These investments include mostly bonds and preferred stocks.

Asset-backed securities, collateralized loan obligations, commercial and residential mortgage-backed securities - Primary inputs also include monthly payment information, collateral performance, which varies by vintage year and includes delinquency rates, collateral valuation loss severity rates, collateral refinancing assumptions, and credit default swap indices. Commercial and residential mortgage-backed securities prices also include estimates of the rate of future principal prepayments over the remaining life of the securities. Such estimates are derived based on the characteristics of the underlying structure and prepayment speeds previously experienced at the interest rate levels projected for the underlying collateral.

Foreign government/government agencies - Primary inputs also include observations of credit default swap curves related to the issuer and political events in emerging market economies.

Credit derivatives - Primary inputs include the swap yield curve and credit default swap curves.

Foreign exchange derivatives - Primary inputs include the swap yield curve, currency spot and forward rates, and cross currency basis curves.

Interest rate derivatives - Primary input is the swap yield curve.

NOTES TO FINANCIAL STATEMENTS

Level 3 Most of the Company's securities classified as Level 3 include less liquid securities such as lower quality asset-backed securities, commercial and residential mortgage-backed securities primarily backed by sub-prime loans. Also included in Level 3 are securities valued based on broker prices or broker spreads, without adjustments. Primary inputs for non-broker priced investments including structured securities, are consistent with the typical inputs used in Level 2 measurements noted above but are Level 3 due to their less liquid markets. Additionally, certain long-dated securities are priced based on third-party pricing services, including certain municipal securities, foreign government/government agency securities, and bank loans, which are included with corporate bonds. Primary inputs for these long-dated securities are consistent with the typical inputs used in the preceding described Level 1 and Level 2 measurements, but include benchmark interest rate or credit spread assumptions that are not observable in the marketplace. Primary inputs for privately traded equity securities are internal discounted cash flow models utilizing earnings multiples or other cash flow assumptions that are not observable. Significant inputs for Level 3 derivative contracts primarily include the typical inputs used in the preceding Level 1 and Level 2 measurements, but also may include equity and interest volatility, and swap yield curves beyond observable limits.

Separate Account assets

Non-guaranteed Separate Account assets are primarily invested in mutual funds and are valued by the underlying mutual funds in accordance to their valuation policies and procedures.

Assets and Liabilities Measured at Fair Value Using Significant Unobservable Inputs (Level 3)

b. The table below provides a roll-forward of financial instruments measured at fair value using significant unobservable inputs (Level 3) for the quarter ended June 30, 2021:

(Amounts in thousands)	Beginning Balance As of Prior Quarter End	Transfers into Level 3 [2]	Transfers out of Level 3 [2]	Total Gains and (Losses) Included in:		Purchases	Sales	Settlements	Ending Balance As of Current Quarter End
				Net Income [1]	Surplus				
Assets									
All other corporate bonds – asset-backed	\$ —	\$ —	\$ —	\$ —	\$ 1	\$ —	\$ —	\$ —	\$ 1
Common stocks - unaffiliated	1,561	—	—	—	—	120	—	—	1,681
Total bonds and stocks	1,561	—	—	—	1	120	—	—	1,682
Derivatives									
Macro hedge program	\$ (315,289)	\$ —	\$ —	\$ —	\$(175,576)	\$ 11,833	\$ —	\$ 538,315	\$ 59,283
Total derivatives [3]	(315,289)	—	—	—	(175,576)	11,833	—	538,315	59,283
Total assets	\$ (313,728)	\$ —	\$ —	\$ —	\$(175,575)	\$ 11,953	\$ —	\$ 538,315	\$ 60,965

[1] All amounts in this column are reported in net realized capital gains (losses). All amounts are before income taxes.

[2] Transfers in and/or (out) of Level 3 are primarily attributable to changes in the availability of market observable information and changes to the bond and stock carrying value based on the lower of cost and market requirement.

[3] Derivative instruments are reported in this table on a net basis for asset/(liability) positions.

B. Other Fair Value Disclosures

Not applicable.

C. Fair Values for All Financial Instruments by Levels 1, 2 and 3

The tables below reflect the fair values and admitted values of all admitted assets and liabilities that are financial instruments excluding those accounted for under the equity method (subsidiaries, joint ventures and partnerships). The fair values are also categorized into the three-level fair value hierarchy as described in Note 20A above.

(Amounts in thousands)	June 30, 2021						
	Aggregate Fair Value	Admitted Value	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Assets							
Bonds - unaffiliated	\$ 5,311,425	\$ 4,590,001	\$ 48,578	\$ 4,966,984	\$ 295,863	\$ —	\$ —
Preferred stocks - unaffiliated	29,925	29,925	—	29,925	—	—	—
Common stocks - unaffiliated	8,316	8,316	6,635	—	1,681	—	—
Mortgage loans	823,477	782,313	—	—	823,477	—	—
Cash, cash equivalents and short-term investments - unaffiliated	198,754	198,754	147,480	45,999	5,275	—	—
Derivative related assets	237,059	226,613	—	14,288	222,771	—	—
Contract loans	95,939	92,636	—	—	95,939	—	—
Surplus debentures	65,210	49,747	—	41,581	23,629	—	—
Low-income housing tax credits	103	103	—	—	103	—	—
Separate Account assets [1]	29,553	29,553	29,553	—	—	—	—
Total assets	\$ 6,799,761	\$ 6,007,961	\$ 232,246	\$ 5,098,777	\$ 1,468,738	\$ —	\$ —
Liabilities							
Liability for deposit-type contracts	\$ (193,110)	\$ (193,110)	\$ —	\$ —	\$ (193,110)	\$ —	\$ —
Derivative related liabilities	(171,081)	(172,007)	—	(7,593)	(163,488)	—	—
Separate Account liabilities	(29,553)	(29,553)	(29,553)	—	—	—	—
Total liabilities	\$ (393,744)	\$ (394,670)	\$ (29,553)	\$ (7,593)	\$ (356,598)	\$ —	\$ —

[1] Excludes approximately \$8 million at June 30, 2021, of investment sales receivable net of investment purchases payable that are not subject to SSAP No. 100.

NOTES TO FINANCIAL STATEMENTS

(Amounts in thousands)	December 31, 2020						
	Aggregate Fair Value	Admitted Value	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Assets							
Bonds - unaffiliated	\$ 5,442,164	\$ 4,571,087	\$ —	\$ 5,220,741	\$ 221,423	\$ —	\$ —
Preferred stocks - unaffiliated	6,798	6,639	—	6,798	—	—	—
Common stocks - unaffiliated	8,226	8,226	6,665	—	1,561	—	—
Mortgage loans	815,453	766,623	—	—	815,453	—	—
Cash, cash equivalents and short-term investments - unaffiliated	289,838	289,851	259,308	22,022	8,508	—	—
Derivative related assets	220,861	196,265	—	78,614	142,247	—	—
Contract loans	95,939	95,939	—	—	95,939	—	—
Surplus debentures	49,059	36,401	—	41,566	7,493	—	—
Low-income housing tax credits	150	150	—	—	150	—	—
Separate Account assets [1]	28,421,105	28,421,105	28,421,105	—	—	—	—
Total assets	\$ 35,349,593	\$ 34,392,286	\$ 28,687,078	\$ 5,369,741	\$ 1,292,774	\$ —	\$ —
Liabilities							
Liability for deposit-type contracts	\$ (199,265)	\$(199,264,917)	\$ —	\$ —	\$ (199,265)	\$ —	\$ —
Derivative related liabilities	(437,410)	(437,969)	—	(48,385)	(389,025)	—	—
Separate Account liabilities	(28,421,105)	(28,421,105)	(28,421,105)	—	—	—	—
Total liabilities	\$ (29,057,780)	\$(29,058,338.9)	\$ (28,421,105)	\$ (48,385)	\$ (588,290)	\$ —	\$ —

[1] Excludes approximately \$9.2 million, at December 31, 2020, of investment sales receivable net of investment purchases payable that are not subject to SSAP No. 100.

The valuation methodologies used to determine the fair values of bonds, stocks and derivatives are described in the above Fair Value Measurements section of this note.

The amortized cost of cash, cash equivalents and short-term investments approximates fair value.

Fair values for mortgage loans on real estate were estimated using discounted cash flow calculations based on current lending rates for similar type loans. Current lending rates reflect changes in credit spreads and the remaining terms of the loans.

The fair value of contract loans was determined using current loan coupon rates which reflect the current rates available under the contracts. As a result, the fair value approximates the carrying value of the contract loans.

The carrying amounts of the liability for deposit-type contracts and Separate Account liabilities approximate their fair values.

D. At June 30, 2021, the Company had no investments where it was not practicable to estimate fair value.

Note 21 – Other Items

C. Other Disclosures

On June 30, 2021, the Company's indirect owners, Hopmeadow Holdings GP LLC, sold Hopmeadow Holdings LP to Sutton Holdings GP LLC, a subsidiary of Sixth Street, a leading global investment firm. As a result of this sale and merger, the Company has new indirect owners.

In October 2020, the Circuit Court of the State of Wisconsin approved the rehabilitation order filed by the Commissioner of Insurance of the State of Wisconsin to place Time Insurance Company (TIC) in rehabilitation. TLA assumes, through reinsurance, life and annuity reserves from TIC and also provides certain administrative services to TIC's policyholders. In turn, TLA retrocedes much of the reinsured reserves to nonaffiliated reinsurers which also provide certain administrative services to the covered policyholders. While the ultimate outcome of the rehabilitation is unknown at this time it is not expected to be material to TLA. TLA will continue to monitor the developments.

The continuing impact of the outbreak of the novel coronavirus ("COVID-19") and the related disruption to the worldwide economy are affecting companies across all industries. Worldwide health emergency measures to combat the spread of the virus have caused severe disruption resulting in an economic slowdown. The duration and impact of the ongoing COVID-19 public health crises on the financial markets, overall economy and our operations are still uncertain, as is the efficacy of government and central bank interventions. Additionally, further actions regulators may take in response to the COVID-19 public health crisis could impact financial markets and our operations. At this time, the Company is not able to reliably estimate the length and severity of the COVID-19 public health crises and, as such, cannot fully quantify its impact on the financial results, liquidity and capital resources of the Company and its operations in future periods. The Company has reviewed COVID-19 related Interpretations from the Statutory Accounting Principles Working Group of the NAIC. These interpretations as well as relief granted to customers to date have not had a material impact on the Company's financial condition or results of operations.

Note 22 - Events Subsequent

The Company had no other material subsequent events through the filing date of August 3, 2021.

Note 23 - Reinsurance

A. Ceded Reinsurance Report

Section 2 - Ceded Reinsurance Report - Part A

1. The Company has one reinsurance agreement in effect under which the reinsurer has a limited right to unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits. See Note 1 for further discussion of prescribed practices.
 - a. For the periods ended June 30, 2021 and December 31, 2020, the estimated amount of the aggregate reduction in surplus of this limited right to unilaterally cancel this reinsurance agreement by the reinsurer for which cancellation results in a net obligation of the Company to the reinsurer, and for which such obligation is not presently accrued was \$13,765,887 and \$43,673,148, respectively.

NOTES TO FINANCIAL STATEMENTS

- b. For the periods ended June 30, 2021 and December 31, 2020, the total amount of reinsurance credit taken for this agreement was \$17,425,174 and \$55,282,466, respectively.

Note 24 - Retrospectively Rated Contracts & Contracts Subject to Redetermination**E. Risk-Sharing Provisions of the Affordable Care Act ("ACA")**

The Company had no accident and health insurance premiums that are subject to the Affordable Care Act risk-sharing provisions.

Note 25 - Changes in Incurred Losses and Loss Adjustment Expenses

Reserves as of December 31, 2020 were \$4.5 million. As of June 30, 2021, \$0.2 million has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$4.3 million as a result of re-estimation of unpaid claims and claim adjustment expenses principally on Accident and Health lines of insurance. As a result, there has been a \$0.0 million prior-year development from December 31, 2020 to June 30, 2021. The change is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims.

Note 26 - Intercompany Pooling Arrangements

No significant change.

Note 27 - Structured Settlements

No significant change.

Note 28 - Health Care Receivables

No significant change.

Note 29 - Participating Policies

No significant change.

Note 30 - Premium Deficiency Reserves

No significant change.

Note 31 - Reserves for Life Contracts and Deposit-Type Contracts

No significant change.

Note 32 - Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics

No significant change.

Note 33 - Analysis of Life Actuarial Reserves by Withdrawal Characteristics

No significant change.

Note 34 - Premium and Annuity Considerations Deferred and Uncollected

No significant change.

Note 35 - Separate Accounts

No significant change.

Note 36 - Loss/Claim Adjustment Expenses

No significant change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes No
- 1.2 If yes, has the report been filed with the domiciliary state? Yes No
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes No
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes No
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes No
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
The Company has a new indirect parent as a result of the Talcott Resolution sale (see Note 21).
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes No
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes No
If yes, complete and file the merger history data file with the NAIC.
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes No N/A
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2017
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2017
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 05/10/2019
- 6.4 By what department or departments?
State of Connecticut Insurance Department
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes No N/A
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes No N/A
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes No
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes No
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes No
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
Talcott Resolution Distribution Company Inc.	Windsor CT	NO	NO	NO	YES

STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY
GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes No
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 (c) Compliance with applicable governmental laws, rules and regulations;
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes No
 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
 The Code of Ethics was last updated on May 21, 2021 for technical, administrative and other non-substantive changes.
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes No
 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes No
 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes No
 11.2 If yes, give full and complete information relating thereto:
 The Company has \$202,435,751 of cash and bonds pledged as collateral for derivative activity; \$1,680,700 of FHLB capital stock; \$29,963,944 pledged as collateral for FHLB activity; \$5,288,057 of short term investments subject to reverse repurchase agreements, and \$164,928,090 of bonds subject to repurchase agreements.
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 21,233,002
 13. Amount of real estate and mortgages held in short-term investments: \$
 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes No
 14.2 If yes, please complete the following:
- | | 1 | 2 |
|---|---|--|
| | Prior Year-End
Book/Adjusted
Carrying Value | Current Quarter
Book/Adjusted
Carrying Value |
| 14.21 Bonds | \$ | \$ |
| 14.22 Preferred Stock | \$ | \$ |
| 14.23 Common Stock | \$ 10,234,281 | \$ 10,229,253 |
| 14.24 Short-Term Investments | \$ | \$ |
| 14.25 Mortgage Loans on Real Estate | \$ | \$ |
| 14.26 All Other | \$ | \$ |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$ 10,234,281 | \$ 10,229,253 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$ | \$ |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes No
 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes No N/A
 If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. \$
 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$
 16.3 Total payable for securities lending reported on the liability page. \$

STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY
GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JPMorgan Chase Bank N.A.	4 Chase Metro Tech Center 16th Floor Brooklyn NY 11245
The Bank of New York Mellon	101 Barclay Street 8 West New York NY 10286
Federal Home Loan Bank of Boston	800 Boylston St. Boston MA 02199

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Hartford Investment Management Company	U.....
PGIM Inc.	U.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [X] No []

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [X] No []

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
106699	Hartford Investment Management Company	FE0BULMG7PY8G4MG7C65	SEC	DS.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []

- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
 - b. Issuer or obligor is current on all contracted interest and principal payments.
 - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes [X] No []

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes [X] No []

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
 - d. The fund only or predominantly holds bonds in its portfolio.
 - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
 - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No [X]

GENERAL INTERROGATORIES

PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

Life and Accident Health Companies/Fraternal Benefit Societies:

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories: 1
Amount
- 1.1 Long-Term Mortgages In Good Standing
- 1.11 Farm Mortgages \$
 1.12 Residential Mortgages \$ 8,826,209
 1.13 Commercial Mortgages \$ 773,487,222
 1.14 Total Mortgages in Good Standing \$ 782,313,431
- 1.2 Long-Term Mortgages In Good Standing with Restructured Terms
- 1.21 Total Mortgages in Good Standing with Restructured Terms \$
- 1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months
- 1.31 Farm Mortgages \$
 1.32 Residential Mortgages \$
 1.33 Commercial Mortgages \$
 1.34 Total Mortgages with Interest Overdue more than Three Months \$
- 1.4 Long-Term Mortgage Loans in Process of Foreclosure
- 1.41 Farm Mortgages \$
 1.42 Residential Mortgages \$
 1.43 Commercial Mortgages \$
 1.44 Total Mortgages in Process of Foreclosure \$
- 1.5 Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2) \$ 782,313,431
- 1.6 Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter
- 1.61 Farm Mortgages \$
 1.62 Residential Mortgages \$
 1.63 Commercial Mortgages \$
 1.64 Total Mortgages Foreclosed and Transferred to Real Estate \$
2. Operating Percentages:
- 2.1 A&H loss percent %
 2.2 A&H cost containment percent %
 2.3 A&H expense percent excluding cost containment expenses %
- 3.1 Do you act as a custodian for health savings accounts? Yes [] No [X]
- 3.2 If yes, please provide the amount of custodial funds held as of the reporting date \$
- 3.3 Do you act as an administrator for health savings accounts? Yes [] No [X]
- 3.4 If yes, please provide the balance of the funds administered as of the reporting date \$
4. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [X] No []
- 4.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [] No []

Fraternal Benefit Societies Only:

- 5.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? Yes [] No [] N/A []
- 5.2 If no, explain:
.....
- 6.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? Yes [] No []
- 6.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount
.....

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating
NONE									

STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year To Date - Allocated by States and Territories

States, Etc.	1	Life Contracts		Direct Business Only			7	
		2	3	4	5	6		
	Active Status (a)	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 Through 5	Deposit-Type Contracts	
1. Alabama	AL	L	3,047,647	1,203,957	450	136,799	4,388,853	
2. Alaska	AK	L	395,049		67	31,659	426,775	
3. Arizona	AZ	L	7,067,696	1,404,292	3,026	803,406	9,278,420	
4. Arkansas	AR	L	3,293,880	1,168,973	365	20,842	4,484,060	
5. California	CA	L	42,744,689	9,926,656	5,472	2,253,213	54,930,030	
6. Colorado	CO	L	7,740,348	1,571,394	994	839,369	10,152,105	
7. Connecticut	CT	L	7,063,172	1,542,902	89	101,143	8,707,306	22,351
8. Delaware	DE	L	1,387,837	365,483		1,500	1,754,820	
9. District of Columbia	DC	L	718,236	162,303	33	12,250	892,822	
10. Florida	FL	L	28,690,132	6,469,115	5,883	1,508,309	36,673,439	
11. Georgia	GA	L	7,318,989	730,061	2,318	246,252	8,297,620	
12. Hawaii	HI	L	1,515,835	45,224	204	53,613	1,614,876	
13. Idaho	ID	L	1,439,326	193,159	671	9,604	1,642,760	
14. Illinois	IL	L	18,461,270	3,925,051	5,179	509,014	22,900,514	
15. Indiana	IN	L	4,762,619	932,818	2,897	410,122	6,108,456	(22,351)
16. Iowa	IA	L	4,227,291	309,858	7,453	361,179	4,905,781	
17. Kansas	KS	L	3,738,417	311,931	373	67,108	4,117,829	
18. Kentucky	KY	L	3,485,560	1,434,396	1,992	355,695	5,277,643	
19. Louisiana	LA	L	7,101,394	557,486	2,876	662,988	8,324,744	
20. Maine	ME	L	547,059	531,740		91,096	1,169,895	
21. Maryland	MD	L	12,580,707	1,737,844		435,224	14,753,775	
22. Massachusetts	MA	L	4,780,712	1,706,697		481,127	6,968,536	
23. Michigan	MI	L	9,538,762	1,141,601	5,652	316,819	11,002,834	
24. Minnesota	MN	L	9,980,127	1,859,512	7,823	599,885	12,447,347	
25. Mississippi	MS	L	2,095,550	12,053	372	41,201	2,149,176	
26. Missouri	MO	L	8,426,537	2,675,251	2,633	293,891	11,398,312	
27. Montana	MT	L	541,949	426,268	422	15,830	984,469	
28. Nebraska	NE	L	2,764,907	1,107,755	2,220	822,376	4,697,258	
29. Nevada	NV	L	2,774,256	179,200	809	23,880	2,978,145	
30. New Hampshire	NH	L	1,172,216	161,755		44,634	1,378,605	
31. New Jersey	NJ	L	8,944,312	1,945,302	111	735,200	11,624,925	
32. New Mexico	NM	L	1,854,402	77,792	233	16,402	1,948,829	
33. New York	NY	N	4,581,198	242,604	114	17,650	4,841,566	
34. North Carolina	NC	L	16,154,602	3,034,291	8,313	613,733	19,810,939	
35. North Dakota	ND	L	2,106,239	933,311	180	85,606	3,125,336	
36. Ohio	OH	L	9,795,134	2,165,587	4,034	365,335	12,330,090	
37. Oklahoma	OK	L	3,356,943	2,342,809	2,313	279,695	5,981,760	
38. Oregon	OR	L	2,146,396	1,188,797	778	11,583	3,347,554	
39. Pennsylvania	PA	L	17,543,822	2,423,365	235	890,101	20,857,523	
40. Rhode Island	RI	L	761,853	297,834	412	25,179	1,085,278	
41. South Carolina	SC	L	4,308,251	1,250,451	414	187,301	5,746,417	
42. South Dakota	SD	L	1,956,245	730,330	519	172,051	2,859,145	
43. Tennessee	TN	L	7,138,200	3,642,780	1,649	116,553	10,899,182	
44. Texas	TX	L	26,945,092	3,723,378	2,667	1,113,060	31,784,197	
45. Utah	UT	L	1,759,404	217,693	209	76,802	2,054,108	
46. Vermont	VT	L	742,417	136,121		12,925	891,463	
47. Virginia	VA	L	9,463,205	1,533,426	659	320,547	11,317,837	
48. Washington	WA	L	5,876,013	672,140	676	480,887	7,029,716	
49. West Virginia	WV	L	1,781,800	75,833	846	96,891	1,955,370	
50. Wisconsin	WI	L	7,218,579	3,625,281	18,827	363,910	11,226,597	
51. Wyoming	WY	L	507,050	211,405	(1,117)	194,990	912,328	
52. American Samoa	AS	N						
53. Guam	GU	N	6,008				6,008	
54. Puerto Rico	PR	L	21,969	34,511			56,480	
55. U.S. Virgin Islands	VI	L	1,195				1,195	
56. Northern Mariana Islands	MP	N						
57. Canada	CAN	N	473,851				473,851	
58. Aggregate Other Aliens	OT	XXX	709,315				709,315	
59. Subtotal	XXX		345,555,664	74,299,776	102,345	17,726,429	437,684,214	
90. Reporting entity contributions for employee benefits plans	XXX							
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX		881				881	
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX							
93. Premium or annuity considerations waived under disability or other contract provisions	XXX		767,703	1,963	2,046		771,712	
94. Aggregate or other amounts not allocable by State	XXX							
95. Totals (Direct Business)	XXX		346,324,248	74,301,739	104,391	17,726,429	438,456,807	
96. Plus Reinsurance Assumed	XXX		34,898,089	6,325,830		2,457,138	43,681,057	
97. Totals (All Business)	XXX		381,222,337	80,627,569	104,391	20,183,567	482,137,864	
98. Less Reinsurance Ceded	XXX		380,199,759	54,838		17,450,012	397,704,609	
99. Totals (All Business) less Reinsurance Ceded	XXX		1,022,578	80,572,731	104,391	2,733,555	84,433,255	
DETAILS OF WRITE-INS								
58001. ZZZ Other Alien	XXX		709,315				709,315	
58002.	XXX							
58003.	XXX							
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX							
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		709,315				709,315	
9401.	XXX							
9402.	XXX							
9403.	XXX							
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX							
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX							

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG..... 52
 E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....
 N - None of the above - Not allowed to write business in the state..... 5

R - Registered - Non-domiciled RRGs.....
 Q - Qualified - Qualified or accredited reinsurer.....

STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	NAIC Company Code	ID Number	Directly Controlled By	Ownership Percentage
Alan Waxman (member of TAO Insurance Holdings, LLC)*					
Sixth Street Advisers, LLC	DE		45-2553330	Ultimate Indirect control by Alan Waxman	
Sixth Street Insurance Solutions, LLC	DE				
Sixth Street TAO Management, LLC	DE		90-1019036		
Cadence ALM GP Holdco, LLC	DE			Ultimate Indirect control by Alan Waxman	
Cadence ALM Holdings, LP	DE		84-3077347		
Cadence ALM US, LLC	DE		86-2807598		
Cadence Services US, LLC	DE		86-2807499		
Anthony Michael Muscolino (managing member of TAO Insurance Holdings, LLC)					
TAO Insurance Holdings, LLC**	DE		86-1594781		
TAO Sutton Parent, LLC	CYM				
Sixth Street TAO	DE				
Sixth Street TAO Partners (A), L.P.***	DE		46-5353884		
Sixth Street TAO Partners (B), L.P.***	DE		47-4568030		
Sixth Street TAO Partners (C), L.P.***	DE		47-4572811		
Sixth Street TAO Partners (D), L.P.	DE		82-2903961		
Sixth Street TAO Partners (E), L.P.	DE		82-2904161		
Sixth Street TAO Partners (F), L.P.	DE		83-1650890		
Sixth Street TAO Partners, L.P.***	DE		46-3722549		
PSERS TAO Partners Parallel Fund, L.P.***	DE		46-5536435		
Knight TAO, L.P.***	DE		47-1159382		
Super TAO Contingent MA, L.P.	DE		82-4028968		
Super TAO MA, L.P.***	DE		46-4328935		
TAO Sutton Holdings, LLC	CYM			TAO Insurance Holdings, LLC	100%
Sutton Investments, LLC	BMU			TAO Sutton Holdings, LLC	100%
Sutton Holdings Investments, Ltd.	BMU			Sutton Investments, LLC.	100%
Sutton Re Holdings, Ltd.	BMU			Sutton Holdings Investments, Ltd.	100%
TR Re Ltd.	BMU			Sutton Holdings Investments, Ltd.	100%
Sutton Holdings GP, LLC	DE		86-1856539	Sutton Holdings Investments, Ltd.	100%
Hopmeadow Holdings, LP	DE		82-3930622	Sutton Holdings GP, LLC	100%
Hopmeadow Acquisition, Inc.	DE		82-3950446	Hopmeadow Holdings, LP	100%
Talcott Resolution Life, Inc.	DE		06-1470915	Hopmeadow Acquisition, Inc.	100%
Talcott Resolution Life Insurance Company	CT	88072	06-0974148	Talcott Resolution Life, Inc.	100%
Talcott Resolution Life and Annuity Insurance Company	CT	71153	39-1052598	Talcott Resolution Life Insurance Company	100%
Talcott Resolution Distribution Company, Inc.	CT		06-1408044	Talcott Resolution Life and Annuity Insurance Company	100%
Talcott Resolution Comprehensive Employee Benefit Service Company	CT		06-1120503	Talcott Resolution Life and Annuity Insurance Company	100%
American Maturity Life Insurance Company	CT	81213	06-1422508	Talcott Resolution Life Insurance Company	100%
Talcott Resolution International Life Reassurance Corporation	CT	93505	06-1207332	Talcott Resolution Life Insurance Company	100%
21 Church Street R, LLC	DE		83-2918805	Talcott Resolution Life Insurance Company	100%

*Pursuant to the operating agreement of TAO Insurance Holdings, LLC, Alan Waxman, as a member of TAO Insurance Holdings, LLC, has the authority to appoint the managing member of TAO Insurance Holdings, LLC and has appointed A. Michael Muscolino.

**TAO Insurance Holdings, LLC is the managing member of TAO Sutton Holdings, LLC and TAO Sutton Parent, LLC.

***Indirect owners of Klaverblad Levensverzekering N.V., Lifetri Uitvaartverzekering N.V., and Lifetri Verzekering N.V.

STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
4926	Hopmeadow Holding Grp	00000	86-1856539				Sutton Holdings GP, LLC	DE	UIP	Sutton Holdings Investments, Ltd.	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
4926	Hopmeadow Holdings Grp	00000	82-3930622				Hopmeadow Holdings, LP	DE	UIP	Sutton Holdings GP, LLC	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
4926	Hopmeadow Holdings Grp	00000	82-3950446				Hopmeadow Acquisition, Inc.	DE	UIP	Hopmeadow Holdings, LP	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
4926	Hopmeadow Holdings Grp	00000	06-1470915		0001032204		Talcott Resolution Life, Inc.	DE	UIP	Hopmeadow Acquisition, Inc.	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
4926	Hopmeadow Holdings Grp	88072	06-0974148		0000045947		Talcott Resolution Life Insurance Company	CT	UDP	Talcott Resolution Life, Inc.	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
4926	Hopmeadow Holdings Grp	81213	06-1422508				American Maturity Life Insurance Company	CT	IA	Talcott Resolution Life Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
							Talcott Resolution International Life Reassurance Corporation	CT	IA	Talcott Resolution Life Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
4926	Hopmeadow Holdings Grp	93505	06-1207332				21 Church Street R, LLC	DE	NIA	Talcott Resolution Life Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
4926	Hopmeadow Holdings Grp	00000	83-2918805				Talcott Resolution Life and Annuity Insurance Company	CT	RE	Talcott Resolution Life Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
4926	Hopmeadow Holdings Grp	71153	39-1052598				Talcott Resolution Comprehensive Employee Benefit Service Company	CT	DS	Talcott Resolution Life and Annuity Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	Y	
4926	Hopmeadow Holdings Grp	00000	06-1120503				Talcott Resolution Life and Annuity Insurance Company	CT	DS	Talcott Resolution Life and Annuity Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	Y	
4926	Hopmeadow Holdings Grp	00000	06-1408044		0000940622		Talcott Resolution Distribution Company	CT	DS	Talcott Resolution Life and Annuity Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	Y	

Asterisk	Explanation
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STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

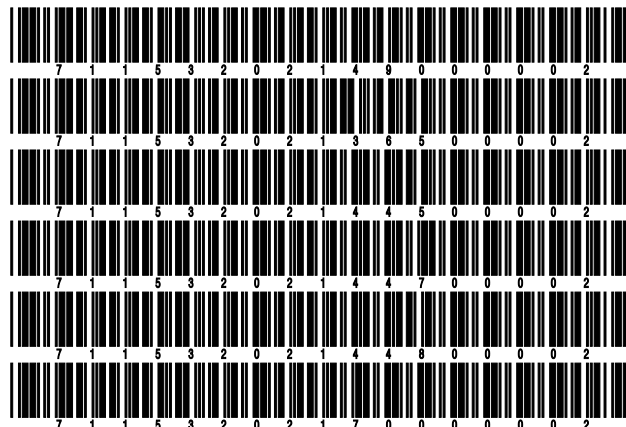
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	YES
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	YES
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	NO

Explanation:

- The data for this supplement is not required to be filed.
- The data for this supplement is not required to be filed.
- The data for this supplement is not required to be filed.
- The data for this supplement is not required to be filed.
- The data for this supplement is not required to be filed.
- The data for this supplement is not required to be filed.
- The data for this supplement is not required to be filed.
- The data for this supplement is not required to be filed.

Bar Code:

- Trusteed Surplus Statement [Document Identifier 490]
- Medicare Part D Coverage Supplement [Document Identifier 365]
- Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
- Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
- Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
- Life PBR Statement of Exemption (2nd Quarter Only) [Document Identifier 700]



OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Liabilities Line 25

	1 Current Statement Date	2 December 31 Prior Year
2504. Miscellaneous liabilities	9,415,957	14,585,888
2505. Provision for future dividends	1,396,592	1,222,551
2506. Accrued interest on derivatives in a liability position	232,589	5,375,823
2507. Interest on policy or contract funds due or accrued	17,695	17,684
2597. Summary of remaining write-ins for Line 25 from overflow page	11,062,833	21,201,946

STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

NONE**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	766,622,882	843,320,642
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	79,915,909	45,802,179
2.2 Additional investment made after acquisition	3,578,661	16,103,887
3. Capitalized deferred interest and other		
4. Accrual of discount	21,600	25,529
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals	72,556	(267,727)
7. Deduct amounts received on disposals	67,898,177	138,301,243
8. Deduct amortization of premium and mortgage interest points and commitment fees		60,385
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	782,313,431	766,622,882
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)	782,313,431	766,622,882
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)	782,313,431	766,622,882

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	515,641,472	523,096,361
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	34,883,733	16,528,080
2.2 Additional investment made after acquisition	12,872,329	26,319,460
3. Capitalized deferred interest and other		
4. Accrual of discount		26,674
5. Unrealized valuation increase (decrease)	50,823,730	29,425,759
6. Total gain (loss) on disposals		185
7. Deduct amounts received on disposals	45,918,390	64,491,881
8. Deduct amortization of premium and depreciation	90,779	244,986
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized	3,453,536	15,018,180
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	564,758,559	515,641,472
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	564,758,559	515,641,472

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	4,596,187,201	4,572,088,267
2. Cost of bonds and stocks acquired	607,582,061	1,059,824,188
3. Accrual of discount	2,118,341	5,821,031
4. Unrealized valuation increase (decrease)	1,379,240	(31,111)
5. Total gain (loss) on disposals	20,007,150	30,173,750
6. Deduct consideration for bonds and stocks disposed of	581,629,957	1,051,707,962
7. Deduct amortization of premium	10,212,048	18,152,309
8. Total foreign exchange change in book/adjusted carrying value	(416,950)	1,614,450
9. Deduct current year's other than temporary impairment recognized	100,788	4,753,109
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	3,556,408	1,310,006
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	4,638,470,658	4,596,187,201
12. Deduct total nonadmitted amounts	2,651,918	2,657,959
13. Statement value at end of current period (Line 11 minus Line 12)	4,635,818,740	4,593,529,242

STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	2,852,929,518	345,427,848	242,434,042	(102,797,230)	2,852,929,518	2,853,126,093		2,940,354,424
2. NAIC 2 (a)	1,634,694,311	89,105,247	226,893,722	89,604,739	1,634,694,311	1,586,510,575		1,565,227,642
3. NAIC 3 (a)	149,710,431	26,538,779	11,448,393	7,690,717	149,710,431	172,491,535		152,644,705
4. NAIC 4 (a)	28,768,308	549,656	2,166,286	1,748,108	28,768,308	28,899,787		18,384,867
5. NAIC 5 (a)	247,680			(1,204)	247,680	246,476		
6. NAIC 6 (a)	12,119		10,912	(546)	12,119	662		16,917
7. Total Bonds	4,666,362,368	461,621,530	482,953,355	(3,755,415)	4,666,362,368	4,641,275,128		4,676,628,554
PREFERRED STOCK								
8. NAIC 1		8,250,000		231,997		8,481,997		
9. NAIC 2	21,070,160			372,625	21,070,160	21,442,785		6,639,285
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock	21,070,160	8,250,000		604,623	21,070,160	29,924,782		6,639,285
15. Total Bonds and Preferred Stock	4,687,432,527	469,871,530	482,953,355	(3,150,793)	4,687,432,527	4,671,199,910		4,683,267,839

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ 34,276,256 ; NAIC 2 \$ 16,998,039 ; NAIC 3 \$; NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

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SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	18,285,979	xxx	18,284,299	41,365	

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	52,551,246	45,151,353
2. Cost of short-term investments acquired	33,704,141	118,579,224
3. Accrual of discount	13,663	276,361
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals	(7,498)	
6. Deduct consideration received on disposals	67,945,539	111,367,924
7. Deduct amortization of premium	30,035	87,768
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	18,285,979	52,551,246
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	18,285,979	52,551,246

SCHEDULE DB - PART A - VERIFICATION

Options, Caps, Floors, Collars, Swaps and Forwards

1. Book/Adjusted Carrying Value, December 31, prior year (Line 10, prior year)	(241,703,789)
2. Cost Paid/(Consideration Received) on additions	75,333,973
3. Unrealized Valuation increase/(decrease)	42,570,736
4. SSAP No. 108 adjustments	
5. Total gain (loss) on termination recognized	(211,143,434)
6. Considerations received/(paid) on terminations	(389,305,272)
7. Amortization	
8. Adjustment to the Book/Adjusted Carrying Value of hedged item	
9. Total foreign exchange change in Book/Adjusted Carrying Value	243,400
10. Book/Adjusted Carrying Value at End of Current Period (Lines 1+2+3+4+5-6+7+8+9)	54,606,158
11. Deduct nonadmitted assets	
12. Statement value at end of current period (Line 10 minus Line 11)	54,606,158

SCHEDULE DB - PART B - VERIFICATION

Futures Contracts

1. Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year)	6,330,465
2. Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column)	(6,330,465)
3.1 Add:	
Change in variation margin on open contracts - Highly Effective Hedges	
3.11 Section 1, Column 15, current year to date minus	
3.12 Section 1, Column 15, prior year	
Change in variation margin on open contracts - All Other	
3.13 Section 1, Column 18, current year to date minus	
3.14 Section 1, Column 18, prior year	(940,858) .940,858 .940,858
3.2 Add:	
Change in adjustment to basis of hedged item	
3.21 Section 1, Column 17, current year to date minus	
3.22 Section 1, Column 17, prior year	
Change in amount recognized	
3.23 Section 1, Column 19, current year to date minus	
3.24 Section 1, Column 19, prior year plus	(940,858)
3.25 SSAP No. 108 adjustments940,858 .940,858
3.3 Subtotal (Line 3.1 minus Line 3.2)	
4.1 Cumulative variation margin on terminated contracts during the year	
4.2 Less:	
4.21 Amount used to adjust basis of hedged item	
4.22 Amount recognized	
4.23 SSAP No. 108 adjustments	
4.3 Subtotal (Line 4.1 minus Line 4.2)	
5. Dispositions gains (losses) on contracts terminated in prior year:	
5.1 Total gain (loss) recognized for terminations in prior year	
5.2 Total gain (loss) adjusted into the hedged item(s) for terminations in prior year	
6. Book/Adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2)	
7. Deduct total nonadmitted amounts	
8. Statement value at end of current period (Line 6 minus Line 7)	

STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART C - SECTION 1

Replication (Synthetic Asset) Transactions Open as of Current Statement Date

Replication (Synthetic Asset) Transactions								Components of the Replication (Synthetic Asset) Transactions							
1 Number	2 Description	3 NAIC Designation or Other Description	4 Notional Amount	5 Book/Adjusted Carrying Value	6 Fair Value	7 Effective Date	8 Maturity Date	Derivative Instrument(s) Open			Cash Instrument(s) Held				
								9 Description	10 Book/Adjusted Carrying Value	11 Fair Value	12 CUSIP	13 Description	14 NAIC Designation or Other Description	15 Book/Adjusted Carrying Value	16 Fair Value
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	2,356,946	2,356,946	2,775,936	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		150,769	190760-HT-8	COBB-MARIETTA GA COLISEUM & EX	1.A FE	2,356,946	2,625,167
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	5,710,517	5,778,514	6,490,192	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		365,291	12629N-AH-8	COMM 15-DC1	1.A FM	5,778,514	6,124,901
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	10,981,764	10,965,099	17,314,576	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		702,483	44841S-AC-3	HUTOCHISON WAMPOA INTERNATIONAL (O	1.F FE	10,965,099	16,612,093
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	5,951,408	5,977,755	6,747,844	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		380,700	61765T-AE-3	MSBAM 15-C25	1.A FM	5,977,755	6,367,143
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	3,778,672	4,800,123	6,059,614	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		241,715	64972F-L2-0	NEW YORK N Y CITY MUN WTR FIN	1.B FE	4,800,123	5,817,900
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	3,603,908	4,399,106	6,002,738	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		230,535	702274-AW-1	PASADENA CALIF PUB FING AUTH L	1.C FE	4,399,106	5,772,203
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	35,321,133	34,561,810	48,623,923	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		2,259,426	912810-RD-2	TREASURY BOND	1.A	34,561,810	46,364,497
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	1,298,918	1,237,205	1,590,646	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		83,089	912810-RU-4	TREASURY BOND	1.A	1,237,205	1,507,557
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	9,446,679	9,420,975	9,434,343	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		604,286	912828-ZO-6	TREASURY NOTE	1.A	9,420,975	8,830,057
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	5,856,941	7,446,824	9,456,547	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		374,657	91324P-BK-7	UNITEDHEALTH GROUP INC	1.G FE	7,446,824	9,081,890
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	10,289,613	10,289,613	12,072,582	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		658,207	92783#-AB-2	VIRGINIA INTERNATIONAL GATEWAY INC	1.G PL	10,289,613	11,414,374
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	3,514,165	3,555,672	3,950,737	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		224,794	94989C-AZ-4	WFCM 15-C26	1.A FM	3,555,672	3,725,942
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	1,889,336	1,882,446	2,134,957	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		120,857	95000H-BL-5	WFCM 16-LC24	2.B FM	1,882,446	2,014,100
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B	3,570,651	3,638,576	4,049,644	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49		144,605	06541W-BH-5	BANK 17-BNK5	1.A FM	3,638,576	3,905,039
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B	14,679,343	11,801,517	18,495,536	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49		594,489	07274N-BH-5	BAYER US FINANCE II LLC	2.B FE	11,801,517	17,901,048
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B	29,755,425	29,755,425	34,324,545	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49		1,205,045	09056#-AA-8	BIO MED GROUND LEASE TRUST 2016 UW	1.B PL	29,755,425	33,119,501
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B	4,468,314	4,468,793	4,849,954	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49		181,000	12510H-AB-6	CAUTO_20-1A	1.A FE	4,468,793	4,668,954
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B	3,967,390	4,038,460	4,469,330	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49		160,673	12515G-AF-4	CD 17-C03	1.A FM	4,038,460	4,308,657
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B	20,342,792	23,998,182	36,147,948	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49		823,849	313309-AP-1	FEDERAL EXPRESS CORP	2.B FE	23,998,182	35,324,099
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B	4,666,745	4,666,480	4,850,714	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49		188,995	34964M-AA-2	FORTI_21-1A	1.A FE	4,666,480	4,661,719
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B	5,120,708	5,119,197	5,638,644	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49		207,380	38218D-AA-7	GOODG_19-1A	1.C FE	5,119,197	5,431,264
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B	5,988,775	5,988,775	8,067,128	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49		242,535	882484-AA-6	TEXAS HEALTH RESOURCES	1.C FE	5,988,775	7,824,592
91278#BB9	BOND WITH INTEREST RATE SWAP	1.B	7,438,856	7,480,861	8,352,863	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49		301,261	95000J-AU-2	WFCM 16-LC25	1.A FM	7,480,861	8,051,602
999999999 - Totals				203,628,354	261,900,942	XXX	XXX	XXX		10,446,644	XXX	XXX	XXX	203,628,354	251,454,299

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STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART C - SECTION 2

Replication (Synthetic Asset) Transactions Open

	First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Year To Date	
	1 Number of Positions	2 Total Replication (Synthetic Asset) Transactions Statement Value	3 Number of Positions	4 Total Replication (Synthetic Asset) Transactions Statement Value	5 Number of Positions	6 Total Replication (Synthetic Asset) Transactions Statement Value	7 Number of Positions	8 Total Replication (Synthetic Asset) Transactions Statement Value	9 Number of Positions	10 Total Replication (Synthetic Asset) Transactions Statement Value
1. Beginning Inventory	2	203,874,937	2	203,659,272					2	203,874,937
2. Add: Opened or Acquired Transactions										
3. Add: Increases in Replication (Synthetic Asset) Transactions Statement Value	XXX		XXX	65	XXX		XXX		XXX	65
4. Less: Closed or Disposed of Transactions										
5. Less: Positions Disposed of for Failing Effectiveness Criteria										
6. Less: Decreases in Replication (Synthetic Asset) Transactions Statement Value	XXX	215,665	XXX	30,983	XXX		XXX		XXX	246,648
7. Ending Inventory	2	203,659,272	2	203,628,354					2	203,628,354

SCHEDULE DB - VERIFICATION

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

	Book/Adjusted Carrying Value Check
1. Part A, Section 1, Column 14.....	54,606,163
2. Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance.....
3. Total (Line 1 plus Line 2).....	54,606,163
4. Part D, Section 1, Column 6.....	226,612,809
5. Part D, Section 1, Column 7.....	(172,006,646)
6. Total (Line 3 minus Line 4 minus Line 5).....
	Fair Value Check
7. Part A, Section 1, Column 16.....	65,978,783
8. Part B, Section 1, Column 13.....
9. Total (Line 7 plus Line 8).....	65,978,783
10. Part D, Section 1, Column 9.....	237,059,453
11. Part D, Section 1, Column 10.....	(171,080,670)
12. Total (Line 9 minus Line 10 minus Line 11).....
	Potential Exposure Check
13. Part A, Section 1, Column 21.....	6,575,698
14. Part B, Section 1, Column 20.....
15. Part D, Section 1, Column 12.....	6,575,698
16. Total (Line 13 plus Line 14 minus Line 15).....

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	213,502,545	249,810,754
2. Cost of cash equivalents acquired	1,224,331,748	5,758,046,367
3. Accrual of discount	36,991	1,345,315
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals	648	(9,655)
6. Deduct consideration received on disposals	1,278,325,654	5,795,675,511
7. Deduct amortization of premium		14,725
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	159,546,279	213,502,545
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	159,546,279	213,502,545

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE B - PART 2

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Loan Number	2 Location		3 State	4 Loan Type	5 Date Acquired	6 Rate of Interest	7 Actual Cost at Time of Acquisition	8 Additional Investment Made After Acquisition	9 Value of Land and Buildings
	City								
BHM1TM2K0	MIAMI		FL		06/11/2021	3.960		184,242	446,576
BHM27EGL3	IRVINE		CA		06/01/2021	2.840	9,300,000		18,538,009
BHM28JUL8	HERNDON		VA		04/07/2021	2.810	1,650,000		4,180,009
BHM28JJT1	SPRINGFIELD		VA		04/07/2021	2.810	1,600,000		4,080,737
BHM28JJV6	CENTERVILLE		VA		04/07/2021	3.010	800,000		2,350,522
BHM28JJX2	BALTIMORE		MD		04/07/2021	3.160	1,400,000		4,787,683
BHM28JK02	CHICAGO		IL		04/07/2021	2.810	1,800,000		4,584,492
BHM28JK36	SAN DIEGO		CA		04/07/2021	2.810	800,000		2,007,276
BHM28JK69	LOS ANGELES		CA		04/07/2021	2.810	1,400,000		3,507,374
BHM28JK85	SAN FRANCISCO		CA		04/07/2021	2.810	2,100,000		5,366,671
BHM28JK40	SAN FRANCISCO		CA		04/07/2021	3.160	1,900,000		5,718,379
BHM28JK06	SEATTLE		WA		04/07/2021	2.810	900,000		2,276,867
BHM28RWD3	DENVER		CO		06/01/2021	3.360	1,425,909	3,295,886	124,643,795
BHM291UY5	ATLANTA		GA		04/19/2021	3.040	3,200,000		6,478,441
BHM295T05	RALEIGH		NC		05/26/2021	3.210	6,200,000		15,541,340
BHM29J5E8	AUBURNDALE		FL		06/24/2021	2.270	17,900,000		30,430,015
0599999. Mortgages in good standing - Commercial mortgages-all other							52,375,909	3,480,127	234,938,187
0899999. Total Mortgages in good standing							52,375,909	3,480,127	234,938,187
1699999. Total - Restructured Mortgages									
2499999. Total - Mortgages with overdue interest over 90 days									
3299999. Total - Mortgages in the process of foreclosure									
3399999 - Totals							52,375,909	3,480,127	234,938,187

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment						14 Book Value/Recorded Investment Excluding Accrued Interest on Disposal	15 Consid-eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	City	State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)	13 Total Foreign Exchange Change in Book Value					
BHM01HEB7	NASSAU COUNTY	NY		02/01/2018	06/01/2021	125,253			825			825		126,079	126,079		
BHM0JEJK3	MULTI-CITY	US		04/14/2011	04/01/2021	12,502								12,502	12,502		
BHM0KTYD7	IRVINE	CA		09/28/2011	05/01/2021	8,189								8,189	8,189		
0199999. Mortgages closed by repayment							145,945		825			825		146,770	146,770		
BHM01LDV8	BALTIMORE	MD		11/15/2017	06/01/2021	76,824								76,824	76,824		
BHM01VSS7	WASHINGTON	DC		02/01/2018	06/01/2021	208,128								208,128	208,128		
BHM03Z7L4	MULTI-CITY	US		08/21/2008	06/01/2021	105,154								105,154	105,154		
BHM0JEH2	MULTI-CITY	US		05/10/2019	06/01/2021	9,568		(227)			(227)		9,341	9,341			
BHM0JEH22	MULTI-CITY	US		04/14/2011	06/01/2021	46,565							46,565	46,565			
BHM0JEP2	SANTA BARBARA	CA		04/14/2011	06/01/2021	21,579							21,579	21,579			
BHM0KTYF2	NEWPORT BEACH	CA		02/01/2018	06/01/2021	99,937							99,937	99,937			
BHM0KTYG0	IRVINE	CA		10/26/2011	06/01/2021	12,262							12,262	12,262			
BHM0L66Z8	MULTI-CITY	US		05/10/2019	06/01/2021	33,726			9		9		33,736	33,736			
BHM0LC8T7	SAN BRUNO	CA		01/08/2020	06/01/2021	132,966							132,966	132,966			
BHM0LKG86	ENCINITAS	CA		02/16/2012	06/01/2021	60,479							60,479	60,479			
BHM0LZHT6	CARLSBAD	CA		02/01/2018	06/01/2021	182,209							182,209	182,209			
BHM0M2AN8	CHICAGO	IL		04/24/2012	06/01/2021	50,262							50,262	50,262			
BHM0M2C06	EVANSTON	IL		04/05/2012	06/01/2021	61,841							61,841	61,841			
BHM0M3UB0	BELLEVUE	WA		07/17/2012	06/01/2021	253,448							253,448	253,448			
BHM10N6Z5	IRVINE	CA		02/01/2018	06/01/2021	290,635							290,635	290,635			
BHM190WN1	SAN DIEGO	CA		02/01/2018	06/01/2021	184,679							184,679	184,679			
BHM1KA3P3	CHARLOTTE	NC		02/01/2018	06/01/2021	166,335							166,335	166,335			
BHM1R1K02	FORT WORTH	TX		02/01/2018	06/01/2021	165,085							165,085	165,085			

STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment					14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consid- eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other- Than- Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)					
BHM1T12H3	COLUMBUS	OH		12/19/2017	06/01/2021	8,968						8,968	8,968			
BHM1TLVF8	ARLINGTON	TX		06/28/2018	06/01/2021	55,489						55,489	55,489			
BHM1LHQB3	HOUSTON	TX		04/27/2018	05/01/2021	20,297						20,297	20,297			
BHM1VMB76	BALTIMORE	MD		08/29/2018	06/01/2021	47,626						47,626	47,626			
BHM1W4Z95	MULTI-CITY	US		08/15/2018	06/01/2021	276,256						276,256	276,256			
BHM21C6E0	MIAMI	FL		08/14/2019	05/01/2021	14,770		44			44	14,814	14,814			
BHM21LBR5	MADISON	WI		02/11/2020	06/01/2021	52,037						52,037	52,037			
0299999. Mortgages with partial repayments						2,637,125		(174)			(174)	2,636,951	2,636,951			
BHM01W6B7	NASSAU COUNTY	NY		02/01/2018	06/11/2021	14,203,930		21,046			21,046	14,224,976	14,297,532			72,556
BHM0JEJK3	MULTI-CITY	US		04/14/2011	04/07/2021	5,511,345						5,511,345	5,511,345			
BHM0KTYD7	IRVINE	CA		09/28/2011	06/01/2021	1,612,723						1,612,723	1,612,723			
BHM0M5QI4	HOUSTON	TX		02/01/2018	04/02/2021	41,000,000						41,000,000	41,000,000			
0399999. Mortgages disposed						62,327,998		21,046			21,046	62,349,044	62,421,600			72,556
0599999 - Totals						65,111,068		21,698			21,698	65,132,766	65,205,321			72,556

STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	3 Location		5 Name of Vendor or General Partner	6 NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made After Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
		City	State									
BHM025-L7-6	AEA INVESTORS 2006 FUND LP	NEW YORK	NY	CAPITAL CALL		05/25/2021			8,149		1,327,882	1.636
BHM1J8-8K-6	AEA INVESTORS FUND VI LP	NEW YORK	NY	CAPITAL CALL		06/24/2021		1,725			2,393,042	0.867
BHM21P-3U-8	ARLINGTON CAPITAL PARTNERS V LP	CHEVY CHASE	MD	CAPITAL CALL		04/01/2021		1,634,704			6,368,550	1.222
BHM15W-66-0	BLACKSTONE STRATEGIC CAPITAL HOLDI	NEW YORK	NY	CAPITAL CALL		04/29/2021		78,323			8,637,000	0.827
BHM298-JB-3	CAROUSEL CAPITAL PARTNERS VI LP	CHARLOTTE	NC	DIRECT WITH ISSUER		04/21/2021		30,579			4,469,421	0.643
BHM2AM-9A-3	CIP CAPITAL FUND III LP	NEW YORK	NY	DIRECT WITH ISSUER		06/30/2021		5,522,661			4,477,339	1.667
BHM273-T4-1	DOVER STREET X LP	BOSTON	MA	CAPITAL CALL		04/20/2021			1,200,000		11,200,000	0.233
BHM299-RV-8	DRAWBRIDGE 2018 RCA	NEW YORK	NY	DIRECT WITH ISSUER		05/03/2021		12,772,965				0.360
BHM26R-27-5	GRAYCLIFF PRIVATE EQUITY PARTNERS	NEW YORK	NY	CAPITAL CALL		05/03/2021			648,756		8,443,195	2.743
BHM03B-4L-0	GRP III LP	LOS ANGELES	CA	CAPITAL CALL		04/09/2021			8,873		5,280,152	6.117
BHM27W-84-0	GRYPHON PARTNERS VI LP	LOS ANGELES	CA	CAPITAL DISTRIBUTION		06/24/2021			615,757		3,867,769	1.264
BHM22G-6B-6	GUARDIAN CAPITAL PARTNERS FUND III	WAYNE	PA	CAPITAL CALL		06/16/2021			550,000		6,450,000	4.557
BHM0MG-1V-9	HEARTWOOD PARTNERS II LP	GREENWICH	CT	CAPITAL CALL		05/12/2021			12,713		720,001	3.140
BHM0FS-8H-5	LINCOLNSHIRE EQUITY FUND IV LP	NEW YORK	NY	CAPITAL CALL		04/01/2021			11,014		32,270	0.991
BHM29R-8N-7	MPE PARTNERS III LP	CLEVELAND	OH	DIRECT WITH ISSUER		06/01/2021		988,029			8,011,971	3.000
BHM205-77-0	OAKTREE PORTS AMERICA FUND LP	LOS ANGELES	CA	CAPITAL CALL		06/28/2021			12,803		264,644	1.064
BHM29Y-QY-8	ONE ROCK CAPITAL PARTNERS III LP	NEW YORK	NY	DIRECT WITH ISSUER		06/21/2021		2,117,524			7,882,476	0.667
BHM0ME-7Y-2	PARTHENON INVESTORS IV LP	BOSTON	MA	CAPITAL CALL		05/26/2021			476,015			1.226
BHM22R-5B-3	REVELSTOKE CAP PARTNERS FUND II	DENVER	CO	CAPITAL CALL		05/18/2021			334,556		4,704,668	1.407
BHM025-L9-2	SAW MILL CAPITAL PARTNERS LP	BRIARCLIFF MANOR	NY	CAPITAL CALL		06/07/2021			21,614		1,472,785	4.130
BHM0LT-2L-7	SILVER OAK SERVICE PARTNERS II LP	EVANSTON	IL	CAPITAL CALL		05/27/2021			120,600		1,350,168	3.495
BHM28L-F6-0	SOUTHFIELD CAPITAL III LP	GREENWICH	CT	CAPITAL CALL		05/21/2021			310,903		3,137,122	1.110
BHM22R-5C-1	UPFRONT GROWTH III LP	LOS ANGELES	CA	CAPITAL CALL		06/18/2021			220,194		3,280,155	3.791
BHM0ME-7Z-9	UPFRONT IV L.P.	LOS ANGELES	CA	CAPITAL CALL		04/01/2021			38,717		6,275,023	5.404
BHM1DU-0C-9	VMG PARTNERS III LP	SAN FRANCISCO	CA	CAPITAL CALL		05/07/2021			12,489		1,830,426	4.212
BHM22J-9D-3	WIND POINT PARTNERS IX-A LP	CHICAGO	IL	CAPITAL CALL		04/26/2021			694,209		6,965,910	1.042
1999999	Joint Venture Interests - Common Stock - Unaffiliated							21,431,758	7,012,113		108,841,969	XXX
575767-AM-0	MASSACHUSETTS MUTUAL LIFE INSURANC	SPRINGFIELD	MA	EXCHANGE	1.D FE	04/12/2021		13,400,000				0.000
2799999	Surplus Debentures, etc - Unaffiliated							13,400,000				XXX
BHM20C-LC-8	KKR RECOF FEEDER II L.P.	NEW YORK	NY	CAPITAL CALL		06/28/2021			568,421		11,122,000	12.903
4699999	Any Other Class of Assets - Unaffiliated								568,421		11,122,000	XXX
4899999	Total - Unaffiliated							34,831,758	7,580,534		119,963,969	XXX
4999999	Total - Affiliated											XXX
5099999	Totals							34,831,758	7,580,534		119,963,969	XXX

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	3 Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/ Adjusted Carrying Value Less Encumbrances, Prior Year	9 Change in Book/Adjusted Carrying Value						15 Book/ Adjusted Carrying Value Less Encumbrances on Disposal	16 Consid-eration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Invest-ment Income
		City	State					9 Unrealized Valuation Increase (De-crease)	10 Current Year's (Depre-ciation) or (Amorti-zation)/ Accretion	11 Current Year's Other Than Temporary Impair-ment Recog-nized	12 Capital-ized Deferred Interest and Other	13 Total Change in Book/ Adjusted Carrying Value (9+10-11+12)	14 Total Foreign Exchange Change in Book/ Adjusted Carrying Value						

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STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Change in Book/Adjusted Carrying Value				15	16	17	18	19	20
BHM15W-66-0	BLACKSTONE STRATEGIC CAPITAL HOLDI	NEW YORK	.NY.	CAPITAL DISTRIBUTION	03/01/2018	04/29/2021	201,706	5,269			5,269	206,975	206,975				5,552
BHM0K4-9L-2	CORTEC GROUP FUND V LP	NEW YORK	.NY.	CAPITAL DISTRIBUTION	02/28/2018	04/19/2021	2,241,317	(275,368)			(275,368)	1,965,949	1,965,949				(18,400)
BHM19H-WIE-4	DRAWBRIDGE SPECIAL OPPORTUNITIES F	NEW YORK	.NY.	CAPITAL DISTRIBUTION	03/01/2018	05/03/2021	15,018,066	(2,245,103)			(2,245,103)	12,770,938	12,770,938				501,086
BHM27T-OL-7	GRYPHON HERITAGE PARTNERS LP	LOS ANGELES	.CA.	CAPITAL DISTRIBUTION	12/15/2020	06/25/2021	18,011					18,011	18,011				48
BHM27W-84-0	GRYPHON PARTNERS VI LP	LOS ANGELES	.CA.	CAPITAL DISTRIBUTION	12/18/2020	06/24/2021	885					885	885				9
BHM1AE-FF-5	GSO CREDIT ALPHA FUND LP	NEW YORK	.NY.	CAPITAL DISTRIBUTION	02/01/2018	04/28/2021	935,525	71,711			71,711	1,007,236	1,007,236				123,177
BHM0MG-1V-9	HEARTWOOD PARTNERS III LP	GREENWICH	.CT.	CAPITAL DISTRIBUTION	03/29/2018	04/13/2021	1,438,373	2,454			2,454	1,440,827	1,440,827				(15,344)
BHM1AK-95-0	LEXINGTON CAPITAL PARTNERS VIII LP	NEW YORK	.NY.	CAPITAL DISTRIBUTION	03/29/2018	05/28/2021	133,072	(2,346)			(2,346)	130,726	130,726				81,671
BHM0LU-T1-5	MSOUTH EQUITY PARTNERS II LP	WILMINGTON	.DE.	CAPITAL DISTRIBUTION	03/29/2018	04/16/2021	372,682	303,519			303,519	676,201	676,201				14,506
BHM205-77-0	OAKTREE PORTS AMERICA FUND LP	LOS ANGELES	.CA.	CAPITAL DISTRIBUTION	05/14/2019	06/28/2021	137,948	1,876			1,876	139,824	139,824				
BHM033-69-2	STEELRIVER INFRASTRUCTURE FUND NA	SAN FRANCISCO	.CA.	CAPITAL DISTRIBUTION	01/26/2010	06/30/2021	445	11			11	456	456				86
BHM22R-5C-1	UPFRONT GROWTH III LP	LOS ANGELES	.CA.	CAPITAL DISTRIBUTION	12/24/2019	06/18/2021	239,990	39,548			39,548	279,538	279,538				2,183
BHM0ME-7Z-9	UPFRONT IV L.P	LOS ANGELES	.CA.	CAPITAL DISTRIBUTION	02/01/2018	05/27/2021	1,772,136	(1,050,329)			(1,050,329)	721,808	721,808				(118,351)
BHM1DU-0C-9	VMG PARTNERS III LP	SAN FRANCISCO	.CA.	CAPITAL DISTRIBUTION	03/29/2018	06/30/2021	3,147,393	(144,552)			(144,552)	3,002,841	3,002,841				24,968
BHM22J-9D-3	WIND POINT PARTNERS IX-A LP	CHICAGO	.IL.	CAPITAL DISTRIBUTION	11/14/2019	06/11/2021	151,458	20,716			20,716	172,174	172,174				
1999999	Joint Venture Interests - Common Stock - Unaffiliated						26,310,044	(3,290,763)			(3,290,763)	23,017,255	23,017,255				610,616
BHM1S9-UL-7	PRETIUM RESIDENTIAL REAL ESTATE	NEW YORK	.NY.	CAPITAL DISTRIBUTION	04/27/2018	04/23/2021	459,996	(107,756)			(107,756)	352,240	352,240				4,009
2199999	Joint Venture Interests - Real Estate - Unaffiliated						459,996	(107,756)			(107,756)	352,240	352,240				4,009
4899999	Total - Unaffiliated						26,770,040	(3,398,519)			(3,398,519)	23,369,495	23,369,495				614,625
4999999	Total - Affiliated																
5099999	Totals						26,770,040	(3,398,519)			(3,398,519)	23,369,495	23,369,495				614,625

STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
38381D-ET-3	GNR 21-88 IS		.05/12/2021	CREDIT SUISSE SECURITIES (USA) LLC		7,602,409		62,890	1.A
912810-SU-3	TREASURY BOND		.04/30/2021	CITIGROUP GLOBAL MARKETS, INC		10,456,465	11,500,000	46,461	1.A
912810-SX-7	TREASURY BOND		.05/28/2021	Various		46,513,791	45,500,000	54,373	1.A
91282C-BD-2	TREASURY NOTE		.04/02/2021	OUTSIDE MANAGED ACCT		6,996,172	7,000,000	2,296	1.A
91282C-BX-8	TREASURY NOTE		.04/29/2021	JP MORGAN SECURITIES LLC		4,696,328	4,700,000	48	1.A
91282C-BZ-3	TREASURY NOTE		.05/24/2021	JP MORGAN SECURITIES LLC		13,689,832	13,700,000	12,099	1.A
0599999. Subtotal - Bonds - U.S. Governments						89,954,996	82,400,000	178,167	XXX
74445P-AE-2	INDONESIA ASAHAN ALUMINIUM PERSERO	D.	.05/13/2021	OUTSIDE MANAGED ACCT		1,003,720	920,000	486	2.C FE
62878U-2B-7	NBN CO LTD	D.	.04/26/2021	BANC OF AMERICA SECURITIES LLC		7,496,100	7,500,000		1.E FE
1099999. Subtotal - Bonds - All Other Governments						8,499,820	8,420,000	486	XXX
3137FY-UM-6	FHMS K128 IS		.04/22/2021	MORGAN STANLEY & CO. LLC		752,809		7,268	1.A
3199999. Subtotal - Bonds - U.S. Special Revenues						752,809		7,268	XXX
68245X-AH-2	1011778 BC UNLIMITED LIABILITY CO	A.	.06/15/2021	JP MORGAN SECURITIES LLC		88,220	88,000	1,051	3.B FE
00110X-AC-8	AFN 21-1A - ABS		.05/26/2021	CREDIT SUISSE SECURITIES (USA) LLC		772,960	773,333		1.F FE
14180L-AA-4	AIR TRANSPORT SERVICES GROUP INC		.04/14/2021	TRUIST SECURITIES, INC		201,161	195,000	1,880	3.C FE
00253X-AA-9	AMERICAN AIRLINES INC		.04/22/2021	JP MORGAN SECURITIES LLC		312,375	300,000	1,467	3.B FE
03969Y-AA-6	ARDAGH METAL PACKAGING USA LLC/ AR		.05/03/2021	Various		477,690	481,000	2,013	3.B FE
04016P-AL-2	ARES 17-43A - ABS		.06/04/2021	BNP PARIBAS SECURITIES CORP		6,000,000	6,000,000		1.C FE
05352T-AA-7	AVANTOR FUNDING INC	C.	.05/14/2021	Various		175,635	168,000	2,359	3.C FE
06051G-JT-7	BANK OF AMERICA CORP		.06/29/2021	Various		30,519,000	30,000,000	69,414	1.F FE
09659W-2P-8	BNP PARIBAS SA	D.	.04/12/2021	BNP PARIBAS SECURITIES CORP		10,000,000	10,000,000		1.G FE
103557-AC-8	BOYNE USA INC		.04/15/2021	WELLS FARGO ADVISORS, LLC		16,000	16,000		4.B FE
05608K-AJ-9	BX 21-VINO		.05/03/2021	WELLS FARGO ADVISORS, LLC		13,968,125	14,000,000		3.C FE
22535G-AC-6	CAALT 21-3A		.05/11/2021	WELLS FARGO ADVISORS, LLC		2,499,712	2,500,000		1.F FE
12482N-AS-0	CBAM 19-10A - ABS		.04/01/2021	CITIGROUP GLOBAL MARKETS, INC		3,000,000	3,000,000		1.C FE
1248EP-CN-1	CCO HOLDINGS LLC/CCO HOLDINGS CAPI		.05/11/2021	EXCHANGE		259,033	248,000	310	3.B FE
19240C-AC-7	COGENT COMMUNICATIONS GROUP INC		.04/30/2021	JP MORGAN SECURITIES LLC		27,000	27,000		3.C FE
196860-AD-2	COLT 19-4		.04/28/2021	CANTOR FITZGERALD & CO		7,735,972	7,683,150	19,725	1.F FE
228701-AG-3	CROWNROCK LP / CROWNROCK FINANCE I		.05/18/2021	Various		141,485	139,000	208	3.C FE
126307-BB-2	CSC HOLDINGS LLC		.04/29/2021	GOLDMAN SACHS & CO LLC		498,495	501,000	8,726	3.C FE
24703T-AK-2	DELL INTERNATIONAL LLC/EMC CORP		.06/23/2021	EXCHANGE		7,474,271	6,433,000	235,752	2.C FE
25179M-AX-1	DEVON ENERGY CORPORATION		.06/10/2021	EXCHANGE		158,988	163,000	2,021	2.C FE
25470X-BC-8	DISH DBS CORP		.05/10/2021	MORGAN STANLEY & CO. LLC		49,000	49,000		4.C FE
23345M-AA-5	DT MIDSTREAM INC		.05/26/2021	Various		423,585	422,000		3.A FE
27830X-AN-6	EATON 19-1A - ABS		.04/01/2021	WELLS FARGO ADVISORS, LLC		5,000,000	5,000,000		1.B FE
31574P-AD-7	EFMT 20-1		.05/27/2021	CITIGROUP GLOBAL MARKETS, INC		2,109,375	2,000,000		3.B FM
31573W-AE-1	EFMT 20-2		.05/25/2021	NOMURA SECURITIES INTERNATIONAL INC		2,600,769	2,553,000	7,010	3.B FE
29278G-AA-6	ENEL FINANCE INTERNATIONAL NV	D.	.04/08/2021	OUTSIDE MANAGED ACCT		3,823,260	3,500,000	48,283	2.A FE
29362U-AD-6	ENTEGRIS INC		.06/02/2021	Various		160,420	160,000	324	3.B FE
BHM2AL-T1-3	FKH 21-SFR1 - ABS		.06/29/2021	MORGAN STANLEY & CO. LLC		1,999,956	2,000,000		2.B FE
BHM2AL-T2-1	FKH 21-SFR1 - ABS		.06/29/2021	MORGAN STANLEY & CO. LLC		6,094,870	6,095,000		2.C FE
34960P-AD-3	FORTRESS TRANSPORTATION AND INFRAS		.04/07/2021	BARCLAYS CAPITAL INC		45,000	45,000		3.C FE
366651-AG-2	GARTNER INC		.06/15/2021	JP MORGAN SECURITIES LLC		38,000	38,000		3.C FE
36168Q-AM-6	GFL ENVIRONMENTAL INC	A.	.05/05/2021	Various		207,720	213,000	2,503	3.C FE
378272-BD-9	GLENCORE FUNDING LLC		.04/21/2021	MIZUHO SECURITIES USA INC		5,993,700	6,000,000		2.A FE
38218G-AA-0	GOODG 18-1 - ABS	C.	.05/05/2021	Various		1,333,880	1,255,416	2,891	1.A FE
382550-BL-4	GOODYEAR TIRE & RUBBER COMPANY (TH		.06/23/2021	Various		145,420	142,000	412	3.C FE
42770A-AA-5	HERO 21-1A - ABS		.04/08/2021	OPPENHEIMER & CO., INC.		626,758	625,000	894	1.A FE
431318-AS-3	HILCORP ENERGY I LP/HILCORP FINANC		.04/14/2021	BANC OF AMERICA SECURITIES LLC		276,263	265,000	7,591	3.C FE
431318-AU-8	HILCORP ENERGY I LP/HILCORP FINANC		.06/30/2021	CREDIT SUISSE SECURITIES (USA) LLC		172,425	165,000	4,217	3.C FE
456837-AV-5	ING GROEP NV	D.	.05/06/2021	OUTSIDE MANAGED ACCT		809,328	800,000	1,496	1.G FE
457030-AK-0	INGLES MARKETS INCORPORATED		.06/21/2021	Various		112,720	112,000	21	3.B FE
459506-AN-1	INTERNATIONAL FLAVORS & FRAGRANCES		.05/17/2021	EXCHANGE		200,342	200,000	314	2.B FE
460599-AF-0	INTERNATIONAL GAME TECHNOLOGY PLC	D.	.05/24/2021	MORGAN STANLEY & CO. LLC		546,563	530,000	3,704	3.C FE
46590X-AC-0	JBS USA LUX SA/JBS FOOD CO/JBS USA		.05/14/2021	BARCLAYS CAPITAL INC		32,000	32,000		3.A FE
48254@-AA-8	KKR 20-11-RE - ABS		.06/28/2021	CAPITAL CALL		189,474	189,474		2.C PL

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STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
501797-AN-4	L BRANDS INC		06/23/2021	Various		435,850	398,000	6,435	3.C FE
536797-AG-8	LITHIA MOTORS INC		05/19/2021	JP MORGAN SECURITIES LLC		29,000	29,000		3.B FE
55916A-AA-2	MAGIC MERGERCO INC		04/09/2021	BARCLAYS CAPITAL INC		30,000	30,000		4.A FE
575767-AM-0	MASSACHUSETTS MUTUAL LIFE INSURANC		04/01/2021	CANCELLED TRADE		(13,400,000)	(13,400,000)	(77,481)	1.D FE
60040F-AA-0	MILLENNIUM PIPELINE CO LLC		06/30/2021	SCHEDULED ACQUISITION					1.G PL
55354G-AH-3	MSCI INC		06/01/2021	Various		125,915	121,000	242	3.A FE
65246P-AD-3	NEWZ 21-1 - ABS		04/29/2021	BANC OF AMERICA SECURITIES LLC		5,346,773	5,346,773		2.B FE
66977W-AS-8	NOVA CHEMICALS CORP	A.	04/27/2021	HSBC SECURITIES (USA) INC.		112,000	112,000		3.C FE
67402J-AE-1	OAKCL 21-1A - ABS		05/27/2021	MORGAN STANLEY & CO. LLC		4,700,000	4,700,000		1.C FE
682691-AB-6	ONEMAIN FINANCE CORP		06/21/2021	Various		247,823	248,000	14	3.C FE
70137W-AG-3	PARKLAND CORP	A.	04/15/2021	Various		360,979	357,000	112	3.B FE
72147K-AF-5	PILGRIMS PRIDE CORP		06/08/2021	GOLDMAN SACHS & CO LLC		168,283	166,000	1,215	3.A FE
69370C-AC-4	PTC INC		05/28/2021	Various		258,300	252,000	2,977	3.C FE
75988A-BJ-4	REGT7 16-1A - ABS	C.	05/28/2021	NOMURA SECURITIES INTERNATIONAL INC		6,750,000	6,750,000		1.C FE
75972A-AD-5	RENEWABLE ENERGY GROUP INC		05/06/2021	CREDIT SUISSE SECURITIES (USA) LLC		4,000	4,000		4.B FE
76174L-AA-1	REYNOLDS GROUP ISSUER LLC	C.	05/05/2021	Various		128,810	130,000	289	4.A FE
74965L-AA-9	RLJ LODGING TRUST LP		06/30/2021	Various		243,169	241,000	166	3.C FE
78016E-ZO-3	ROYAL BANK OF CANADA	A.	04/22/2021	OUTSIDE MANAGED ACCT		2,095,737	2,100,000		1.F FE
81725W-AK-9	SENSATA TECHNOLOGIES BV	D.	06/07/2021	BANC OF AMERICA SECURITIES LLC		461,975	460,000	2,653	3.C FE
824348-BF-2	SHERWIN-WILLIAMS COMPANY (THE)		04/05/2021	OUTSIDE MANAGED ACCT		3,326,160	3,000,000	26,992	2.B FE
82873M-AA-1	SIMMONS FOODS INC		05/05/2021	Various		97,043	96,000	610	4.C FE
82967N-BG-2	SIRIUS XM RADIO INC		06/08/2021	GOLDMAN SACHS & CO LLC		143,179	143,000	2,605	3.C FE
78448T-AF-9	SIMC AVIATION CAPITAL FINANCE DAC	D.	06/08/2021	JP MORGAN SECURITIES LLC		7,191,568	7,192,000		1.G FE
85223A-AL-7	SQUARE INC		05/18/2021	GOLDMAN SACHS & CO LLC		108,000	108,000		3.B FE
87105N-AC-4	SWITCH LTD		06/07/2021	Various		69,291	69,000	9	4.A FE
87190C-BA-7	TCW 20-1A - ABS		05/10/2021	JEFFERIES & CO. INC.		2,500,000	2,500,000		1.C FE
88032W-BC-9	TENCENT HOLDINGS LTD	D.	06/07/2021	Various		4,482,504	4,444,000	3,534	1.E FE
88032W-BD-7	TENCENT HOLDINGS LTD	D.	06/07/2021	Various		3,610,468	3,556,000	4,688	1.E FE
88033G-DL-1	TENET HEALTHCARE CORPORATION		05/18/2021	BARCLAYS CAPITAL INC		85,000	85,000		4.A FE
880349-AT-2	TENNECO INC		05/14/2021	CREDIT SUISSE SECURITIES (USA) LLC		65,835	66,000	573	3.C FE
897051-AC-2	TRONOX INC		06/25/2021	Various		70,513	70,000	932	4.C FE
90931C-AA-6	UNITED AIRLINES 2019-1 CLASS AA PA		04/14/2021	SEAPORT GLOBAL SECURITIES LLC		437,091	406,124	2,388	1.E FE
90932L-AG-2	UNITED AIRLINES INC		04/14/2021	BARCLAYS CAPITAL INC		17,000	17,000		3.B FE
90932L-AH-0	UNITED AIRLINES INC		04/14/2021	BARCLAYS CAPITAL INC		18,000	18,000		3.B FE
91324P-ED-0	UNITEDHEALTH GROUP INC		05/17/2021	CITIGROUP GLOBAL MARKETS, INC		6,060,455	6,061,000		1.G FE
92558E-AL-6	VIBR 17-6A - ABS		05/17/2021	CITIGROUP GLOBAL MARKETS, INC		2,700,000	2,700,000	12,831	1.B FE
92660F-AN-4	VIDEOTRON LTD / VIDEOTRON LTEE	A.	06/03/2021	BANC OF AMERICA SECURITIES LLC		29,000	29,000		3.A FE
92769X-AP-0	VIRGIN MEDIA SECURED FINANCE PLC	D.	04/05/2021	CITIGROUP GLOBAL MARKETS, INC		213,500	200,000	4,339	3.C FE
Q8609*-AA-6	WESTCONNEX FINANCE COMPANY PTY LTD	D.	06/15/2021	DIRECT WITH ISSUER		3,000,000	3,000,000		2.A Z
Q8609*-AB-4	WESTCONNEX FINANCE COMPANY PTY LTD	D.	06/15/2021	DIRECT WITH ISSUER		3,000,000	3,000,000		2.A Z
93978T-S6-7	WSHC 21-1 IS		05/07/2021	CITIGROUP GLOBAL MARKETS, INC		2,748,522		16,604	2.A FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						165,388,690	159,911,271	437,313	XXX
BHM28W-2V-5	AADVANTAGE LOYALTY IP LTD	C.	06/25/2021	Various					3.B FE
BHM1VQ-06-3	BAUSCH HEALTH COMPANIES INC	A.	06/30/2021	Various		48,000	48,000		3.B FE
BHM27W-U5-2	ENERGIZER HOLDINGS INC		04/26/2021	JP MORGAN SECURITIES LLC		335,000	335,000		3.A FE
59921P-AB-2	MILEAGE PLUS HOLDINGS LLC		04/08/2021	Various					2.C FE
BHM28E-8L-1	PETSMART INC		04/07/2021	Various					4.A FE
84857H-AW-0	SPIRIT AEROSYSTEMS INC		04/19/2021	Various		(1,392)	(1,390)		3.C FE
BHM29T-9M-4	STRATEGIC PRT FUND VIII TL - 2		06/14/2021	NOMURA SECURITIES INTERNATIONAL INC		24,100,000	24,100,000		1.F Z
BHM299-W4-2	UNITED AIRLINES INC		06/02/2021	Various		99,886	99,000		3.B FE
92985*-AA-4	WHITEHORSE LIQ PRNTS III TERM LOAN		06/08/2021	NOMURA SECURITIES INTERNATIONAL INC		24,750,000	24,750,000		2.B Z
8299999. Subtotal - Bonds - Unaffiliated Bank Loans						49,331,495	49,330,610		XXX
8399997. Total - Bonds - Part 3						313,927,809	300,061,881	623,234	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						313,927,809	300,061,881	623,234	XXX

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STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
249670-AB-6	DEPOSITORY TRUST & CLEARING CORP		.06/08/2021	BANC OF AMERICA SECURITIES LLC	8,250,000.000	8,250,000	100.00		1.F FE
8499999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred						8,250,000	XXX		XXX
8999997. Total - Preferred Stocks - Part 3						8,250,000	XXX		XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						8,250,000	XXX		XXX
SB26CC-H5-7	LOANDEPOT INC CLASS A		.06/03/2021	HIMCO OPERATIONAL TRANSACTION	5,550.000	83,750			
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						83,750	XXX		XXX
31338#-11-2	FEDERAL HOME LOAN BANK BOSTON		.04/06/2021	FEDERAL HOME LOAN BANK OF BOSTON	1,200.000	120,000			
9199999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Other						120,000	XXX		XXX
018914-50-7	AB Discovery Value R Fund		.04/01/2021	DIRECT WITH ISSUER	16.000	366			
298706-84-7	American Funds EuroPacific Growth R4 Fund		.04/01/2021	DIRECT WITH ISSUER	5.000	347			
399874-84-1	American Funds Growth Fund of America R4 Fund		.04/01/2021	DIRECT WITH ISSUER	3.000	168			
09661L-30-2	BNY Mellon S&P 500 Index Fund		.04/01/2021	DIRECT WITH ISSUER	110.000	5,755			
354026-50-2	Franklin Mutual Shares R Fund		.04/01/2021	DIRECT WITH ISSUER	6.000	157			
355148-82-6	Franklin Small Cap Value R Fund		.04/01/2021	DIRECT WITH ISSUER	10.000	543			
354713-55-4	Franklin Strategic Income R Fund		.04/01/2021	DIRECT WITH ISSUER	37.000	345			
416649-35-8	Hartford Capital Appreciation R4 Fund		.04/01/2021	DIRECT WITH ISSUER	1,057.000	52,627			
416649-28-3	Hartford Dividend & Growth R4 Fund		.04/01/2021	DIRECT WITH ISSUER	375.000	10,676			
416641-87-6	Hartford Growth Opportunities R4 Fund		.04/01/2021	DIRECT WITH ISSUER	7,016.000	428,652			
416649-34-1	Hartford High Yield R4 Fund		.04/01/2021	DIRECT WITH ISSUER	44.000	334			
416649-24-2	Hartford International Opportunities R4 Fund		.04/01/2021	DIRECT WITH ISSUER	138.000	2,541			
416649-39-0	Hartford Small Company R4 Fund		.04/01/2021	DIRECT WITH ISSUER	1,046.000	34,935			
416649-25-9	Hartford Total Return Bond R4 Fund		.04/01/2021	DIRECT WITH ISSUER	808.000	9,120			
00141M-59-8	Invesco Main Street Mid Cap R Fund		.04/01/2021	DIRECT WITH ISSUER	11.000	316			
00141L-50-9	Invesco Small Cap Equity R Fund		.04/01/2021	DIRECT WITH ISSUER	36.000	522			
55272P-25-7	MFS Total Return Bond R3 Fund		.04/01/2021	DIRECT WITH ISSUER	687.000	7,874			
552981-46-6	MFS Total Return R3 Fund		.04/01/2021	DIRECT WITH ISSUER	9,899.000	202,309			
55273H-64-3	MFS Value R3 Fund		.04/01/2021	DIRECT WITH ISSUER	61.000	2,549			
880208-84-8	Templeton Global Bond R Fund		.04/01/2021	DIRECT WITH ISSUER	815.000	7,964			
9499999. Subtotal - Common Stocks - Mutual Funds						768,101	XXX		XXX
9799997. Total - Common Stocks - Part 3						971,850	XXX		XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						971,850	XXX		XXX
9899999. Total - Preferred and Common Stocks						9,221,850	XXX		XXX
9999999 - Totals						323,149,659	XXX		623,234 XXX

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STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
25044-AA-1	DESERT SUNLIGHT FUNDING I-GTD		04/07/2021	SCHEDULED REDEMPTION		2,124	2,124	2,124	2,124						2,124				37	09/30/2036	1.A PL
36200Q-3L-6	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		409	409	421	435		(25)		(25)		409				13	02/01/2032	1.A
36200R-YQ-9	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		151	151	156	161		(10)		(10)		151				5	01/01/2032	1.A
36200U-WJ-0	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		71	71	73	74		(3)		(3)		71				2	09/01/2031	1.A
36200W-CB-5	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		611	611	663	611		(52)		(52)		611				19	01/01/2032	1.A
36200X-JF-7	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		177	177	198	206		(29)		(29)		177				5	12/01/2031	1.A
36200Y-KN-8	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		916	916	955	947		(31)		(31)		916				28	01/01/2032	1.A
36201A-UL-0	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		1,044	1,044	1,094	1,117		(72)		(72)		1,044				34	07/01/2032	1.A
36201C-6E-9	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		187	187	205	202		(15)		(15)		187				6	03/01/2032	1.A
36201C-PY-4	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		220	220	223	225		(5)		(5)		220				7	01/01/2032	1.A
36201F-06-7	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		612	612	629	642		(30)		(30)		612				20	05/01/2032	1.A
36201F-UH-8	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		918	918	944	967		(49)		(49)		918				28	04/01/2032	1.A
36201F-UQ-8	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		11,713	11,713	12,046	12,357		(644)		(644)		11,713				378	04/01/2032	1.A
36201F-UR-6	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		310	310	319	329		(19)		(19)		310				10	04/01/2032	1.A
36201F-Y6-9	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		483	483	490	495		(12)		(12)		483				15	02/01/2032	1.A
36201H-WX-7	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		2,646	2,646	2,714	2,710		(64)		(64)		2,646				81	06/01/2032	1.A
36201J-F6-1	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		2,006	2,006	2,063	2,140		(134)		(134)		2,006				65	05/01/2032	1.A
36201J-FD-6	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		5,644	5,644	5,892	6,314		(671)		(671)		5,644				187	04/01/2032	1.A
36201L-7K-4	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		518	518	542	568		(50)		(50)		518				17	08/01/2032	1.A
36201M-G8-9	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		887	887	911	942		(56)		(56)		887				29	06/01/2032	1.A
36201M-JJ-7	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		606	606	624	650		(44)		(44)		606				18	07/01/2032	1.A
36201M-LH-3	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		579	579	626	633		(55)		(55)		579				18	08/01/2032	1.A
36201T-AM-9	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		1,845	1,845	1,898	1,911		(66)		(66)		1,845				57	08/01/2032	1.A
36203L-CQ-3	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		298	298	304	301		(4)		(4)		298				10	09/01/2032	1.A
36209D-R8-9	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		15	15	16	16		(1)		(1)		15				1	09/01/2031	1.A
36209R-VG-5	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		185	185	187	189		(4)		(4)		185				7	08/01/2030	1.A
36209Y-X4-5	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		204	204	208	209		(5)		(5)		204				7	09/01/2031	1.A
3620A1-X7-8	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		40	40	41	41		(1)		(1)		40				1	06/01/2039	1.A
3620A8-LU-5	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		1,648	1,648	1,702	1,695		(46)		(46)		1,648				38	08/01/2039	1.A
3620A9-SH-5	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		2,397	2,397	2,475	2,460		(63)		(63)		2,397				57	09/01/2039	1.A
3620AC-3Z-5	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		10,159	10,159	10,488	10,430		(271)		(271)		10,159				235	09/01/2039	1.A
3620AC-4G-6	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		620	620	640	637		(17)		(17)		620				14	09/01/2039	1.A
36211C-2S-0	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		2,531	2,531	2,572	2,595		(64)		(64)		2,531				74	07/01/2039	1.A
36213D-3C-0	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		827	827	853	848		(21)		(21)		827				25	02/01/2032	1.A
36213E-AB-2	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		1,065	1,065	1,112	1,117		(52)		(52)		1,065				33	05/01/2032	1.A
36213E-SK-3	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		2,180	2,180	2,240	2,240		(60)		(60)		2,180				67	01/01/2032	1.A
36213E-YS-9	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		949	949	1,030	1,007		(58)		(58)		949				29	04/01/2032	1.A
36213G-AL-5	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		1,147	1,147	1,170	1,190		(43)		(43)		1,147				37	02/01/2032	1.A
36213G-TY-7	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		70	70	71	73		(4)		(4)		70				2	11/01/2031	1.A
36213J-V2-8	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		373	373	397	406		(33)		(33)		373				11	04/01/2032	1.A
36213N-LL-8	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		257	257	261	265		(8)		(8)		257				8	12/01/2031	1.A
36213U-C9-9	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		20	20	20	22		(2)		(2)		20				1	11/01/2031	1.A
36213X-SB-1	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		5,373	5,373	5,751	5,587		(214)		(214)		5,373				169	04/01/2032	1.A
36213X-T5-3	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		57	57	59	60		(3)		(3)		57				2	05/01/2032	1.A
36213X-T6-1	GNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		805	805	828	846		(41)		(41)		805				27	05/01/2032	1.A
36225B-ND-6	GNMA 30YR PLATINUM		06/01/2021	SCHEDULED REDEMPTION		2,527	2,527	2,602	2,686		(159)		(159)		2,527				78	05/01/2031	1.A
36225B-PM-4	GNMA 30YR PLATINUM		06/01/2021	SCHEDULED REDEMPTION		13,781	13,781	14,096	14,427		(646)		(646)		13,781				457	09/01/2031	1.A
36179U-CA-8	GNMA2 30YR		06/01/2021	SCHEDULED REDEMPTION		54,353	54,353	54,252	54,250		104		104		54,353				895	09/01/2048	1.A
36179U-CB-6	GNMA2 30YR		06/01/2021	SCHEDULED REDEMPTION		227,602	227,602	232,225	232,256		(4,654)		(4,654)		227,602				3,614	09/01/2048	1.A
36202E-AL-3	GNMA2 30YR		06/01/2021	SCHEDULED REDEMPTION		17,409	17,409	17,899	17,883		(475)		(475)		17,409				489	09/01/2034	1.A
36202F-B4-7	GNMA2 30YR		06/01/2021	SCHEDULED REDEMPTION		1,836	1,836	1,963	1,977		(141)		(141)		1,836				43	10/01/2039	1.A
36202F-DB-9	GNMA2 30YR		06/01/2021	SCHEDULED REDEMPTION		7,465	7,465	7,658	7,622		(157)		(157)		7,465				159	12/01/2039	1.A
36202F-E6-9	GNMA2 30YR		06/01/2021	SCHEDULED REDEMPTION		6,218	6,218	6,289	6,283		(65)		(65)		6,218				131	03/01/2040	1.A
36202F-EH-5	GNMA2 30YR		06/01/2021	SCHEDULED REDEMPTION		99,832	99,832	100,609	100,526		(693)		(693)		99,832				2,113	02/01/2040	1.A

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STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol	
36202F-GW-0	GKMA2 30YR		06/01/2021	SCHEDULED REDEMPTION		10,855	10,855	11,118	11,098				(243)		10,855				230	06/01/2040	1.A	
36202F-KN-5	GKMA2 30YR		06/01/2021	SCHEDULED REDEMPTION		248,118	248,118	262,734	261,986			(13,868)	(13,868)		248,118				5,239	09/01/2040	1.A	
36202F-LP-9	GKMA2 30YR		06/01/2021	SCHEDULED REDEMPTION		4,086	4,086	4,308	4,299			(213)	(213)		4,086				86	10/01/2040	1.A	
38380J-DW-5	GNR 17-168 IS		06/17/2021	PREPAYMENT PENALTY		24,599													24,599	12/01/2059	1.A	
38380J-FE-3	GNR 17-169 IS		05/17/2021	PREPAYMENT PENALTY		41,926													41,926	01/01/2060	1.A	
38380M-G5-4	GNR 19-14 IS		06/16/2021	PREPAYMENT PENALTY		126,897													126,897	11/01/2060	1.A	
38380M-E9-8	GNR 19-8 IS		06/16/2021	PREPAYMENT PENALTY		132,695													132,695	11/01/2060	1.A	
38381D-ET-3	GNR 21-88 IS		06/17/2021	PREPAYMENT PENALTY		1,372													1,372	09/01/2062	1.A	
912810-SD-1	TREASURY BOND		06/08/2021	JP MORGAN SECURITIES LLC		1,859,250	1,600,000	1,538,688	1,541,577		582		582		1,542,159		317,091	317,091	39,116	08/15/2048	1.A	
912828-6F-2	TREASURY NOTE		06/08/2021	JP MORGAN SECURITIES LLC		1,408,012	1,300,000	1,289,895	1,292,365		605		605		1,292,969		115,042	115,042	25,170	02/28/2026	1.A	
91282C-AV-3	TREASURY NOTE		06/08/2021	Various		8,446,289	9,000,000	8,805,938		6,413		6,413		8,812,350		(366,061)	(366,061)	41,729	11/15/2030	1.A		
91282C-BD-2	TREASURY NOTE		05/28/2021	Various		42,005,771	42,000,000	42,003,008		(1,388)		(1,388)		42,001,620		4,150	4,150	21,019	12/31/2022	1.A		
91282C-BU-4	TREASURY NOTE		04/27/2021	Various		8,492,246	8,500,000	8,494,688		163		163		8,494,850		(2,604)	(2,604)	792	03/31/2023	1.A		
91282C-BZ-3	TREASURY NOTE		05/28/2021	CITIGROUP GLOBAL MARKETS, INC		11,003,008	11,000,000	10,991,836		18		18		10,991,854		11,153	11,153	11,957	04/30/2028	1.A		
0599999 Subtotal - Bonds - U.S. Governments						74,302,044	74,159,979	73,908,050	3,618,277		(17,963)		(17,963)		73,895,783		78,771	78,771	482,741	XXX	XXX	
09076A-AA-5	BIOCEANICO SOVEREIGN CERTIFICATE	D	06/05/2021	SCHEDULED REDEMPTION		15,689		10,651	11,289		4,399		4,399		15,689					06/05/2034	3.B FE	
Y2702W-AD-1	GEORGIA (GOVERNMENT OF)	D	04/12/2021	MATURED		510,000	510,000	547,463	519,072		(3,072)		(3,072)		510,000				17,531	04/12/2021	3.B FE	
Y2R03T-AA-1	GEORGIAN RAILWAY JSC	D	06/09/2021	TENDER TRANSACTION		252,600	240,000	261,600	244,884		(1,522)		(1,522)		243,362		(3,362)	(3,362)	30,528	07/11/2022	4.A FE	
445545-AH-9	HUNGARY (REPUBLIC OF)	D	04/30/2021	GOLDMAN SACHS & CO LLC		130,728	120,000	127,608	123,863		(597)		(597)		123,266		7,462	7,462	4,533	02/21/2023	2.B FE	
445545-AL-0	HUNGARY (REPUBLIC OF)	D	04/30/2021	JP MORGAN SECURITIES LLC		149,854	132,000	148,230	145,786		(1,414)		(1,414)		144,372		5,483	5,483	4,316	03/25/2024	2.B FE	
1099999 Subtotal - Bonds - All Other Governments						1,058,871	1,017,689	1,095,552	1,038,895		(2,206)		(2,206)		1,036,688		9,583	9,583	56,909	XXX	XXX	
736688-FN-7	PORTLAND ORE CNTY COLLEGE		06/01/2021	CALL TRANSACTION		360,000	360,000	360,000	360,000						360,000				8,658	06/01/2027	1.B FE	
2499999 Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						360,000	360,000	360,000	360,000						360,000					8,658	XXX	XXX
130911-XH-8	CALIFORNIA STWIDE TXBL PENS AMBAC		06/01/2021	CALL TRANSACTION		1,440,000	1,440,000	1,440,000	1,440,000						1,440,000				42,451	06/01/2024	1.F FE	
31288F-6X-7	FGOLD 30YR		06/01/2021	SCHEDULED REDEMPTION		37		39	39		(1)		(1)		37				1	03/01/2033	1.A	
3128KR-WQ-3	FGOLD 30YR		06/01/2021	SCHEDULED REDEMPTION		41,020	41,020	43,270	43,583		(2,563)		(2,563)		41,020				1,156	10/01/2036	1.A	
31292G-Y5-9	FGOLD 30YR		06/01/2021	SCHEDULED REDEMPTION		384		391	390		(6)		(6)		384				13	03/01/2029	1.A	
31292H-4H-4	FGOLD 30YR		06/01/2021	SCHEDULED REDEMPTION		16,286		16,123	16,123		163		163		16,286				393	12/01/2033	1.A	
31292H-SO-8	FGOLD 30YR		06/01/2021	SCHEDULED REDEMPTION		553		571	571		(19)		(19)		553				16	11/01/2032	1.A	
31296J-TJ-5	FGOLD 30YR		06/01/2021	SCHEDULED REDEMPTION		4,172		4,203	4,197		(25)		(25)		4,172				109	06/01/2033	1.A	
31296M-2N-8	FGOLD 30YR		06/01/2021	SCHEDULED REDEMPTION		4,863		5,106	5,081		(218)		(218)		4,863				138	09/01/2033	1.A	
31296P-TL-6	FGOLD 30YR		06/01/2021	SCHEDULED REDEMPTION		15,031		15,137	15,116		(85)		(85)		15,031				405	10/01/2033	1.A	
31296S-AC-0	FGOLD 30YR		06/01/2021	SCHEDULED REDEMPTION		87		90	90		(3)		(3)		87				3	01/01/2034	1.A	
31296U-EU-1	FGOLD 30YR		06/01/2021	SCHEDULED REDEMPTION		196		203	204		(8)		(8)		196				6	03/01/2034	1.A	
31297A-3S-1	FGOLD 30YR		06/01/2021	SCHEDULED REDEMPTION		31,718		30,356	30,867		852		852		31,718				681	06/01/2034	1.A	
31297A-3T-9	FGOLD 30YR		06/01/2021	SCHEDULED REDEMPTION		7,009		6,708	6,795		215		215		7,009				167	06/01/2034	1.A	
31297A-5J-9	FGOLD 30YR		06/01/2021	SCHEDULED REDEMPTION		9,415		9,011	9,166		249		249		9,415				223	06/01/2034	1.A	
31297A-5K-6	FGOLD 30YR		06/01/2021	SCHEDULED REDEMPTION		11,914		11,402	11,587		327		327		11,914				287	06/01/2034	1.A	
31297B-AM-4	FGOLD 30YR		06/01/2021	SCHEDULED REDEMPTION		33,498		32,059	32,597		901		901		33,498				766	06/01/2034	1.A	
31298F-JL-7	FGOLD 30YR		06/01/2021	SCHEDULED REDEMPTION		80		80	80						80				3	01/01/2031	1.A	
3128LD-YL-0	FGOLD 30YR ALT-A		06/01/2021	SCHEDULED REDEMPTION		62		65	65		(2)		(2)		62				2	11/01/2037	1.A	
31283H-QX-6	FGOLD 30YR GIANT		06/01/2021	SCHEDULED REDEMPTION		296		306	306		(10)		(10)		296				9	03/01/2032	1.A	
31283H-UA-1	FGOLD 30YR GIANT		06/01/2021	SCHEDULED REDEMPTION		470		486	487		(16)		(16)		470				13	12/01/2032	1.A	
31283H-XH-3	FGOLD 30YR GIANT		06/01/2021	SCHEDULED REDEMPTION		129		133	133		(4)		(4)		129				4	06/01/2033	1.A	
31283H-Y5-8	FGOLD 30YR GIANT		06/01/2021	SCHEDULED REDEMPTION		10,566		10,566	11,127		(478)		(478)		10,566				295	12/01/2033	1.A	
3128M5-LF-5	FGOLD 30YR GIANT		06/01/2021	SCHEDULED REDEMPTION		1,634		1,784	1,843		(208)		(208)		1,634				51	12/01/2037	1.A	
3128M7-BX-3	FGOLD 30YR GIANT		06/01/2021	SCHEDULED REDEMPTION		3,395		3,575	3,626		(230)		(230)		3,395				98	12/01/2038	1.A	
3128JR-LE-4	FHLMC 1YR QMT ARM		06/02/2021	SCHEDULED REDEMPTION		113,589		113,589	116,586						113,589				796	04/01/2034	1.A	
3133A3-3Y-2	FHLMC 30YR UMBS		06/01/2021	SCHEDULED REDEMPTION		329,310		342,379	340,890		(11,580)		(11,580)		329,310				3,839	04/01/2050	1.A	

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STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For-foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
3133A9-D8-5	FHLMC 30YR UMBS		06/01/2021	SCHEDULED REDEMPTION		280,100	280,100	290,209	289,776		(9,677)		(9,677)		280,100				2,792	08/01/2050	1.A
3132AC-RS-1	FHLMC 30YR UMBS MIRROR		06/01/2021	SCHEDULED REDEMPTION		527,820	527,820	578,128	580,921		(53,101)		(53,101)		527,820				9,852	08/01/2048	1.A
3132XP-LD-7	FHLMC GOLD 30YR		06/01/2021	SCHEDULED REDEMPTION		107,701	107,701	106,607	106,607		1,036		1,036		107,701				1,804	07/01/2047	1.A
3132XX-MY-2	FHLMC GOLD 30YR		06/01/2021	SCHEDULED REDEMPTION		241,107	241,107	238,583	239,230		1,877		1,877		241,107				3,758	03/01/2048	1.A
3132Y1-UJ-5	FHLMC GOLD 30YR		06/01/2021	SCHEDULED REDEMPTION		740,751	740,751	768,761	763,500		(22,748)		(22,748)		740,751				15,721	08/01/2048	1.A
3132LA-K5-0	FHLMC GOLD 30YR CASH ISSUANCE		06/01/2021	SCHEDULED REDEMPTION		165,466	165,466	173,403	171,912		(6,446)		(6,446)		165,466				3,710	10/01/2048	1.A
31335B-BR-6	FHLMC GOLD 30YR GIANT		06/01/2021	SCHEDULED REDEMPTION		127,968	127,968	128,409	128,334		(366)		(366)		127,968				1,803	01/01/2047	1.A
3133TH-A5-6	FHLMC 2104		06/01/2021	SCHEDULED REDEMPTION		42,104	42,104	42,995	42,866		(762)		(762)		42,104				1,191	12/01/2028	1.A
3137AJ-MF-8	FHMS K016		06/01/2021	SCHEDULED REDEMPTION		405,740	405,740	409,794	406,030		(290)		(290)		405,740				6,016	10/01/2021	1.A
31362J-UN-3	FN 6/12 11TH COFI ARM		06/01/2021	SCHEDULED REDEMPTION		296	296	288	291		4		4		296				6	06/01/2028	1.A
3136A7-2G-6	FNA_12-M9 IS		06/28/2021	PREPAYMENT PENALTY		75,261	75,261												75,261	04/01/2022	1.A
3136AE-X6-9	FNA_13-M2		06/28/2021	Various		23,408	23,255	22,182	23,123		132		132		23,255				429	01/01/2023	1.A
31418M-VX-7	FNMA 15YR		06/01/2021	SCHEDULED REDEMPTION		1,895	1,895	2,020	1,954		(59)		(59)		1,895				45	02/01/2024	1.A
31418T-XF-9	FNMA 15YR		06/01/2021	SCHEDULED REDEMPTION		188	188	197	194		(6)		(6)		188				4	05/01/2025	1.A
31418U-2M-5	FNMA 15YR		06/01/2021	SCHEDULED REDEMPTION		1,469	1,469	1,539	1,510		(40)		(40)		1,469				31	06/01/2025	1.A
31419A-BJ-5	FNMA 15YR		06/01/2021	SCHEDULED REDEMPTION		36,502	36,502	39,046	37,799		(1,297)		(1,297)		36,502				863	06/01/2025	1.A
31419A-HL-4	FNMA 15YR		06/01/2021	SCHEDULED REDEMPTION		1,681	1,681	1,760	1,723		(42)		(42)		1,681				36	08/01/2025	1.A
31371J-L4-4	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		48	48	49	52		(4)		(4)		48				2	06/01/2030	1.A
31371J-XA-7	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		356	356	363	373		(17)		(17)		356				13	03/01/2031	1.A
31371K-7E-5	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		67,795	67,795	69,204	69,483		(1,687)		(1,687)		67,795				1,600	07/01/2033	1.A
31371K-HY-0	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		470	470	467	465		5		5		470				15	01/01/2032	1.A
31371L-CD-9	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		344	344	354	356		(12)		(12)		344				8	09/01/2033	1.A
31371L-DH-9	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		7,229	7,229	7,277	7,272		(43)		(43)		7,229				187	10/01/2033	1.A
31382S-6P-0	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		550	550	570	597		(47)		(47)		550				17	04/01/2029	1.A
31383P-2X-3	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		482	482	489	487		(5)		(5)		482				16	09/01/2029	1.A
31383R-FV-9	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		136	136	134	133		3		3		136				4	08/01/2029	1.A
31383W-X7-1	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		72	72	70	69		3		3		72				2	11/01/2029	1.A
31385J-GG-7	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		897	897	929	956		(59)		(59)		897				28	06/01/2032	1.A
31386E-C4-8	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		458	458	471	475		(18)		(18)		458				14	04/01/2031	1.A
31386H-MR-9	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		10	10	10	10						10				1	01/01/2031	1.A
31386M-ZB-9	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		710	710	723	726		(16)		(16)		710				22	10/01/2030	1.A
31386P-UJ-0	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		43	43	44	45		(2)		(2)		43				2	01/01/2031	1.A
31386R-KK-4	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		250	250	256	262		(12)		(12)		250				9	02/01/2031	1.A
31389C-08-5	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		240	240	242	243		(3)		(3)		240				7	12/01/2031	1.A
31390K-CM-8	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		2,722	2,722	2,790	2,878		(156)		(156)		2,722				94	06/01/2032	1.A
31390K-IQ-7	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		917	917	949	960		(43)		(43)		917				28	08/01/2032	1.A
31390P-GK-7	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		5,909	5,909	6,178	6,336		(428)		(428)		5,909				184	08/01/2032	1.A
31391U-J2-2	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		328	328	344	348		(20)		(20)		328				10	01/01/2033	1.A
31391W-SH-0	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		2,270	2,270	2,337	2,348		(79)		(79)		2,270				56	04/01/2033	1.A
31400J-PF-0	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		99	99	102	103		(4)		(4)		99				2	05/01/2033	1.A
31400J-SJ-9	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		550	550	567	570		(20)		(20)		550				14	02/01/2033	1.A
31400Q-TN-3	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		385	385	387	387		(2)		(2)		385				10	04/01/2033	1.A
31400R-NI-4	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		553	553	588	627		(74)		(74)		553				18	02/01/2033	1.A
31400T-B2-2	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		138	138	139	138		(1)		(1)		138				4	05/01/2033	1.A
31401B-NS-0	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		4,231	4,231	4,266	4,260		(29)		(29)		4,231				115	04/01/2033	1.A
31401N-4U-0	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		2,671	2,671	2,772	2,819		(149)		(149)		2,671				63	09/01/2033	1.A
31402C-PL-0	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		48,795	48,795	51,359	52,143		(3,348)		(3,348)		48,795				1,147	11/01/2033	1.A
31402C-U6-7	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		465	465	479	481		(16)		(16)		465				11	03/01/2034	1.A
31402E-AQ-1	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		696	696	717	726		(30)		(30)		696				17	07/01/2033	1.A
31402K-CE-2	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		682	682	703	705		(23)		(23)		682				17	08/01/2033	1.A
31403F-JII-5	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		4,532	4,532	4,568	4,555		(23)		(23)		4,532				118	10/01/2033	1.A
31404M-6Q-6	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		320	320	314	315		5		5		320				8	06/01/2034	1.A
31405A-TY-9	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		8,576	8,576	8,407	8,441		135		135		8,576				200	06/01/2034	1.A
31405D-04-6	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		104	104	102	102		2		2		104				3	07/01/2034	1.A

STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For-foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
31408E-G5-5	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		5,400	5,400	5,229	5,219		182		182		5,400				148	01/01/2036	1.A
31410F-Z9-9	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		13,820	13,820	15,250	15,722	(1,901)		(1,901)			13,820				393	03/01/2037	1.A
31412N-SL-1	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		682	682	719	733	(51)		(51)			682				19	12/01/2038	1.A
31413U-TQ-2	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		24,336	24,336	25,652	25,804	(1,468)		(1,468)			24,336				671	12/01/2037	1.A
31416B-VH-8	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		1,586	1,586	1,634	1,646	(60)		(60)			1,586				38	12/01/2034	1.A
31418M-A2-8	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		2,092	2,092	2,181	2,202	(110)		(110)			2,092				55	08/01/2037	1.A
3138ES-AV-0	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		108,242	108,242	108,623	108,560	(318)		(318)			108,242				1,526	02/01/2047	1.A
3138W5-KA-5	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		1,828	1,828	1,833	1,832	(3)		(3)			1,828				27	03/01/2043	1.A
3138W6-GB-6	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		3,270	3,270	3,278	3,275	(6)		(6)			3,270				46	05/01/2043	1.A
3138WJ-AU-2	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		10,720	10,720	10,760	10,753	(34)		(34)			10,720				155	10/01/2046	1.A
3138WJ-UL-0	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		80,201	80,201	80,502	80,444	(242)		(242)			80,201				1,107	01/01/2047	1.A
3138WM-XK-2	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		6,478	6,478	6,495	6,490	(12)		(12)			6,478				91	03/01/2043	1.A
3138WP-G2-4	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		14,445	14,445	14,482	14,473	(28)		(28)			14,445				204	04/01/2043	1.A
3138WQ-A2-8	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		3,148	3,148	3,154	3,152	(4)		(4)			3,148				44	05/01/2043	1.A
3138WQ-AY-8	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		7,150	7,150	7,165	7,161	(12)		(12)			7,150				99	05/01/2043	1.A
3138WR-RV-0	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		2,539	2,539	2,545	2,543	(4)		(4)			2,539				35	06/01/2043	1.A
3138WT-US-3	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		4,695	4,695	4,708	4,704	(9)		(9)			4,695				66	04/01/2043	1.A
3138X2-RR-7	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		5,306	5,306	5,317	5,313	(7)		(7)			5,306				72	08/01/2043	1.A
3138X2-YC-2	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		1,975	1,975	1,979	1,978	(3)		(3)			1,975				28	07/01/2043	1.A
31402R-UN-7	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		1,519	1,519	1,584	1,605	(86)		(86)			1,519				40	02/01/2035	1.A
3140F9-VX-0	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		59,205	59,205	59,030	59,063	142		142			59,205				838	01/01/2047	1.A
3140FN-AS-3	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		1,038,882	1,038,882	1,068,749	1,065,437	(26,555)		(26,555)			1,038,882				19,095	07/01/2047	1.A
3140GQ-BT-8	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		2,581,149	2,581,149	2,744,488	2,763,690	(182,541)		(182,541)			2,581,149				42,297	09/01/2047	1.A
3140GX-BT-7	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		366,473	366,473	376,866	374,486	(8,013)		(8,013)			366,473				5,783	11/01/2047	1.A
31410L-VC-3	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		834,072	834,072	838,894	838,147	(4,076)		(4,076)			834,072				11,906	01/01/2047	1.A
31417E-ZA-2	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		1,143	1,143	1,146	1,145	(2)		(2)			1,143				16	02/01/2043	1.A
31417F-VB-1	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		33,182	33,182	33,996	33,883	(701)		(701)			33,182				479	03/01/2043	1.A
31417G-TQ-9	FNMA 30YR		06/01/2021	SCHEDULED REDEMPTION		2,372	2,372	2,377	2,375	(4)		(4)			2,372				33	06/01/2043	1.A
31410G-NB-5	FNMA 30YR 10/20 INT FIRST		06/01/2021	SCHEDULED REDEMPTION		141,125	141,125	141,081	141,079	46		46			141,125				3,731	10/01/2037	1.A
3140K4-LB-4	FNMA 30YR UMBS		06/01/2021	SCHEDULED REDEMPTION		282,197	282,197	298,158	297,282	(15,085)		(15,085)			282,197				3,934	03/01/2050	1.A
3140KC-WV-0	FNMA 30YR UMBS		06/01/2021	SCHEDULED REDEMPTION		189,361	189,361	197,468	196,622	(7,261)		(7,261)			189,361				2,351	04/01/2050	1.A
3140KT-TW-5	FNMA 30YR UMBS		06/01/2021	SCHEDULED REDEMPTION		115,317	115,317	112,920	112,920	2,396		2,396			115,317				382	03/01/2051	1.A
3140KT-TY-1	FNMA 30YR UMBS		06/01/2021	SCHEDULED REDEMPTION		136,009	136,009	136,944	136,944	(935)		(935)			136,009				558	03/01/2051	1.A
3140KT-XT-7	FNMA 30YR UMBS		06/01/2021	SCHEDULED REDEMPTION		14,716	14,716	15,188	15,188	(471)		(471)			14,716				90	11/01/2050	1.A
3140L3-QQ-7	FNMA 30YR UMBS		06/01/2021	SCHEDULED REDEMPTION		11,840	11,840	12,269	12,269	(429)		(429)			11,840				71	02/01/2051	1.A
3140X4-7L-4	FNMA 30YR UMBS SUPER		06/01/2021	SCHEDULED REDEMPTION		480,156	480,156	519,919	519,919	(39,763)		(39,763)			480,156				5,585	09/01/2049	1.A
3140X7-S4-2	FNMA 30YR UMBS SUPER		06/01/2021	SCHEDULED REDEMPTION		400,354	400,354	424,063	422,552	(22,198)		(22,198)			400,354				4,649	09/01/2050	1.A
3140X9-SL-0	FNMA 30YR UMBS SUPER		06/01/2021	SCHEDULED REDEMPTION		99,795	99,795	106,780	106,780	(6,986)		(6,986)			99,795				1,156	01/01/2050	1.A
31359S-J3-5	FNMA 01-19		06/01/2021	SCHEDULED REDEMPTION		6,765	6,765	7,097	6,972	(208)		(208)			6,765				207	05/01/2031	1.A
31359S-JT-8	FNMA 01-5		06/01/2021	SCHEDULED REDEMPTION		2,274	2,274	2,293	2,293	(18)		(18)			2,274				75	03/01/2031	1.A
31392C-KP-8	FNMA 02-15		06/25/2021	SCHEDULED REDEMPTION		9,278	9,278	9,351	9,278						9,278				34	04/25/2032	1.A
31392F-P9-2	FNMA 02-82		06/25/2021	SCHEDULED REDEMPTION		8,666	8,666	8,669	8,666						8,666				26	12/25/2032	1.A
31394A-E2-8	FNMA 04-69		06/01/2021	SCHEDULED REDEMPTION		10,807	10,807	11,276	11,087	(281)		(281)			10,807				330	05/01/2033	1.A
31396X-QJ-6	FNMA 07-89		06/25/2021	SCHEDULED REDEMPTION		16,407	16,407	16,318	16,338	69		69			16,407				54	09/25/2037	1.A
31397L-TB-5	FNMA 08-49		06/01/2021	SCHEDULED REDEMPTION		30,591	30,591	32,088	32,067	(1,476)		(1,476)			30,591				746	04/01/2038	1.A
626207-YM-0	MUNICIPAL ELEC AUTH GA - BABS		04/01/2021	CALL TRANSACTION		3,000	3,000	3,434	3,428	(1)		(1)			3,427		(427)	(427)	100	04/01/2057	1.F FE
3199999	Subtotal - Bonds - U.S. Special Revenues					12,194,768	12,119,354	12,553,649	11,648,033		(429,275)		(429,275)		12,119,781				288,696	XXX	XXX
00038A-AB-9	ABB TREASURY CENTER USA INC		06/15/2021	MATURED		1,050,000	1,050,000	1,104,359	1,053,496	(3,496)		(3,496)			1,050,000				21,000	06/15/2021	1.G FE
04542B-LY-6	ABFC 05-WF1		06/25/2021	SCHEDULED REDEMPTION		57,559	57,559	53,889	56,473	1,086		1,086			57,559				175	11/25/2034	1.A FM
004421-MG-5	ACE 05-HE2		06/25/2021	SCHEDULED REDEMPTION		97,252	97,252	83,120	91,785	5,468		5,468			97,252				524	04/25/2035	1.D FM
008511-AE-7	AGL 20-7A - ABS		06/28/2021	CALL TRANSACTION		7,700,000	7,700,000	7,700,000	7,700,000						7,700,000				122,079	07/15/2031	1.C FE
009098-A*-5	AIR CARGO LOGISTICS FT WORTH TX C.		06/10/2021	SCHEDULED REDEMPTION		27,896	27,896	27,896	27,896						27,896				592	11/10/2039	1.F FE
01185*-AA-3	ALASKA VENTURES LLC		06/30/2021	SCHEDULED REDEMPTION		137,690	137,690	137,690	137,690						137,690				1,608	06/30/2033	2.C PL
00164V-AD-5	AMC NETWORKS INC		04/23/2021	Various		115,755	114,000	116,138	115,828		(488)		(488)		115,340		.415	.415	3,192	04/01/2024	3.C FE

E05.3

STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
..00164V-AF-0	AMC NETWORKS INC		05/26/2021	BANC OF AMERICA SECURITIES LLC		123,380	124,000	124,155			(9)		(9)		124,146		(766)	(766)	1,610	02/15/2029	3.C FE
..03072S-XD-2	AMSI_04-R12		06/25/2021	SCHEDULED REDEMPTION		7,420	7,420	7,164	7,355		65		65		7,420				33	01/25/2035	1.D FM
..03072S-QC-2	AMSI_04-R3		06/25/2021	SCHEDULED REDEMPTION		28,261	28,261	27,060	27,583		677		677		28,261				123	05/25/2034	1.D FM
..03072S-RX-5	AMSI_04-R5		06/25/2021	SCHEDULED REDEMPTION		36,601	36,601	32,557	34,657		1,944		1,944		36,601				160	07/25/2034	1.D FM
..034863-AP-5	ANGLO AMERICAN CAPITAL PLC	D	06/03/2021	TENDER TRANSACTION		776,131	679,000	696,675	689,313		(911)		(911)		688,402		(9,403)	(9,403)	115,429	05/14/2025	2.B FE
..03464N-AA-0	AOIT_18-3		06/01/2021	SCHEDULED REDEMPTION		176,109	176,109	176,107	176,108						176,109				2,975	09/01/2048	1.A FM
..03464N-AB-8	AOIT_18-3		06/01/2021	SCHEDULED REDEMPTION		181,940	181,940	181,938	181,939						181,940				3,160	09/01/2048	1.A FM
..037411-AM-7	APACHE CORPORATION		06/14/2021	Various		3,571,375	3,000,000	3,434,688	3,418,225		(2,703)		(2,703)		3,415,523		155,852	155,852	179,151	08/15/2047	3.A FE
..03939C-AA-1	ARCH CAPITAL FINANCE LLC		04/30/2021	LLC		3,989,454	3,525,000	3,525,000	3,525,000						3,525,000		464,454	464,454	54,591	12/15/2026	2.A FE
..03939J-AA-7	ARCH CAPITAL GROUP US INC		04/30/2021	GOLDMAN SACHS & CO LLC JP MORGAN SECURITIES LLC		1,301,832	1,050,000	1,050,000	1,050,000						1,050,000		251,832	251,832	27,456	11/01/2043	2.A FE
..039653-AA-8	ARCOSA INC		04/12/2021	Various		31,698	31,000	31,000			12		12		31,000		698	698	30	04/15/2029	3.B FE
..042856-AA-2	ARRW_18-1		06/30/2021	Various		5,412,832	5,412,832	5,412,719	5,412,798		3		3		5,412,809		23	23	110,851	04/01/2048	1.A FM
..042856-AB-0	ARRW_18-1		06/30/2021	Various		2,873,942	2,873,942	2,873,912	2,873,933						2,873,936		6	6	62,707	04/01/2048	1.A FM
..21871F-AN-8	BOCRE_19-CORE		04/15/2021	SCHEDULED REDEMPTION		1,711,942	1,711,942	1,711,942	1,711,942						1,711,942				14,536	12/15/2031	1.D FM
..075887-BW-8	BECTION DICKINSON AND COMPANY		05/28/2021	CREDIT SUISSE SECURITIES (USA) LLC RBC CAPITAL MARKETS, LLC		3,267,566	2,934,000	2,891,486	2,903,120		1,788		1,788		2,904,908		362,659	362,659	53,073	06/06/2027	2.C FE
..103557-AC-8	BOYNE USA INC		06/14/2021	Various		16,580	16,000	16,000							16,000		580	580	106	05/15/2029	4.B FE
..073879-NZ-7	BSABS_04-HE11		06/25/2021	SCHEDULED REDEMPTION		115,302	115,302	103,242	112,968		2,334		2,334		115,302				914	12/25/2034	1.D FM
..22534B-AC-8	CAALT_18-1A		05/15/2021	SCHEDULED REDEMPTION		1,116,419	1,116,419	1,116,388	1,116,415		4		4		1,116,419				10,590	04/15/2027	1.A FM
..22534B-AE-4	CAALT_18-1A		06/15/2021	SCHEDULED REDEMPTION		4,130,000	4,130,000	4,128,960	4,129,793		207		207		4,130,000				75,681	06/15/2027	1.B FE
..136055-AA-8	CANADIAN IMPERIAL BANK OF COMMERCE - ABS	A	04/10/2021	SCHEDULED REDEMPTION		237,891	237,891	240,053	239,739		(1,848)		(1,848)		237,891				8,638	04/10/2032	1.C FE
..144531-BC-3	CARR_05-NC1		06/25/2021	SCHEDULED REDEMPTION		71,818	71,818	69,204	71,448		371		371		71,818				168	02/25/2035	1.D FM
..12510H-AB-6	CAUTO_20-1A		06/15/2021	SCHEDULED REDEMPTION		21,526	21,526	21,523	21,523		3		3		21,526				309	02/15/2050	1.A FE
..12510H-AD-2	CAUTO_20-1A		06/15/2021	SCHEDULED REDEMPTION		472	472	472							472				7	02/15/2050	1.E FE
..12489W-NZ-4	CBASS_05-CB5		06/25/2021	SCHEDULED REDEMPTION		93,047	93,047	83,365	89,663		3,384		3,384		93,047				320	08/25/2035	1.D FM
..125238-AA-9	CC TUGS LLC		06/30/2021	SCHEDULED REDEMPTION		144,153	144,153	144,153	144,153						144,153				4,613	09/30/2030	3.C PL
..1248EP-CJ-0	CCO HOLDINGS LLC/CCO HOLDINGS CAPI		05/11/2021	EXCHANGE		259,033	248,000	259,315			(282)		(282)		259,033				5,890	05/01/2032	3.B FE
..12508E-AD-3	CDK GLOBAL INC		05/19/2021	CITIGROUP GLOBAL MARKETS, INC		4,400	4,000	4,111	4,081		(8)		(8)		4,073		327	327	120	10/15/2024	3.A FE
..12508E-AF-8	CDK GLOBAL INC		06/21/2021	Various		343,716	325,000	318,354	319,935		305		305		320,239		23,476	23,476	8,849	06/01/2027	3.A FE
..165183-CM-4	CFII_20-1A		05/26/2021	TD SECURITIES (USA) LLC		950,438	925,000	924,987	924,989		2		2		924,991		25,447	25,447	8,963	08/16/2032	1.F FE
..17290X-AS-9	COGIT_16-6C37		06/01/2021	SCHEDULED REDEMPTION		200,429	200,429	202,425	201,482		(1,052)		(1,052)		200,429				3,035	04/01/2049	1.A FM
..19240C-AC-7	COGENT COMMUNICATIONS GROUP INC		06/21/2021	JEFFERIES & CO. INC		27,473	27,000	27,000							27,000		473	473	121	05/01/2026	3.C FE
..12625K-AD-7	COMM_13-CR8		04/01/2021	SCHEDULED REDEMPTION		89,515	89,515	90,334	89,729		(213)		(213)		89,515				5,312	06/01/2046	1.A FM
..12593Q-BD-1	COMM_15-CR26		06/01/2021	SCHEDULED REDEMPTION		15,230	15,230	15,230	15,428		(199)		(199)		15,230				256	10/01/2048	1.A FM
..12635Q-BF-6	COMM_15-CR27		06/01/2021	SCHEDULED REDEMPTION		18	18	18	18						18					10/01/2048	1.A FM
..20453K-AB-1	COMPASS BANK		04/30/2021	KEYBANC CAPITAL MARKETS, INC		3,491,970	3,400,000	3,394,798	3,398,366		368		368		3,398,734		93,236	93,236	33,941	06/29/2022	2.B FE
..12655C-AC-0	CPS_18-C		06/15/2021	SCHEDULED REDEMPTION		656,472	656,472	656,498	656,476		(4)		(4)		656,472				11,953	06/17/2024	1.A FE
..22576C-F*-7	CRESCENT POINT ENERGY CORP	A	06/20/2021	MATURED		500,000	500,000	500,000							500,000				8,271	06/20/2021	3.B
..14889K-AN-2	CRMN_15-1A - ABS	C	03/09/2021	Various				680,000											3,957	04/22/2027	1.C FE
..12636L-AX-8	CSAIL_16-C5		06/01/2021	SCHEDULED REDEMPTION		127,223	127,223	128,495	127,938		(716)		(716)		127,223				2,219	11/01/2048	1.A FM
..12656*-AK-8	CSLB HOLDINGS INC		06/16/2021	STONECASTLE SECURITIES, LLC		3,202,260	3,000,000	3,000,000	3,000,000						3,000,000		202,260	202,260	58,118	10/13/2026	1.G
..22545L-BR-9	CSMC_06-C5 IS		04/01/2021	SCHEDULED REDEMPTION				276,238											90,592	12/01/2039	6. FE
..126408-GU-1	CSX CORP		04/30/2021	US BANCORP INVESTMENTS, INC		7,098,678	5,400,000	5,349,774	5,353,159		432		432		5,353,591		1,745,087	1,745,087	164,175	04/15/2041	2.A FE
..126659-AA-9	CVS PASSTHROUGH TRUST - ABS		06/10/2021	SCHEDULED REDEMPTION		53,123	53,123	71,229	65,117		(11,994)		(11,994)		53,123				2,096	07/10/2031	2.B IF
..12665U-AA-2	CVS PASSTHROUGH TRUST - ABS		06/10/2021	SCHEDULED REDEMPTION		63,772	63,772	63,872							63,772				1,417	01/10/2036	2.B FE

E05.4

STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
152314-NB-2	CXHE 05-B		06/25/2021	SCHEDULED REDEMPTION		86,515	86,515	79,892	85,516		999		999		86,515			289	289	03/25/2035	1.A FM
23312L-AR-9	DBJPM 16-C1		06/01/2021	SCHEDULED REDEMPTION		237,639	237,639	240,011	238,923		(1,284)		(1,284)		237,639			3,582	3,582	05/01/2049	1.A FM
23311V-AF-4	DCP MIDSTREAM OPERATING LP		05/25/2021	Various		326,908	313,000	309,300	309,463		29		29		309,492		17,416	17,416	11,406	04/01/2044	3.A FE
25243Y-BB-4	DIAGEO CAPITAL PLC	D	05/18/2021	OUTSIDE MANAGED ACCT		1,732,674	1,700,000	1,684,632	1,686,334		534		534		1,686,868		45,806	45,806	22,991	10/24/2029	1.G FE
25272K-AR-4	DIAMOND 1 FINANCE CORPORATION/DIAM		06/23/2021	EXCHANGE		7,474,271	6,433,000	7,528,918	7,482,686		(8,414)		(8,414)		7,474,271			504,329	504,329	07/15/2046	2.C FE
25470D-BG-3	DISCOVERY COMMUNICATIONS LLC		05/06/2021	Various		3,189,560	2,656,000	2,652,730	2,652,802		15		15		2,652,817		536,743	536,743	67,447	05/15/2049	2.C FE
25470D-BK-4	DISCOVERY COMMUNICATIONS LLC		04/30/2021	SECURITIES LLC		2,981,284	3,050,000	2,468,245	2,469,921		2,082		2,082		2,472,003		509,281	509,281	75,572	09/15/2055	2.C FE
24380T-AC-0	DRMT 17-1A		06/01/2021	SCHEDULED REDEMPTION		24,945	24,945	24,945	24,945						24,945			352	352	12/02/2046	1.C FE
24380T-AD-8	DRMT 17-1A		06/01/2021	SCHEDULED REDEMPTION		1,010,000	1,010,000	1,009,995	1,009,997		3		3		1,010,000			22,715	22,715	12/02/2046	1.F FE
24381C-AB-8	DRMT 17-2A		06/01/2021	SCHEDULED REDEMPTION		111,734	111,734	111,732	111,734						111,734			1,258	1,258	06/01/2047	1.A FM
24381C-AC-6	DRMT 17-2A		06/01/2021	SCHEDULED REDEMPTION		156,126	156,126	156,123	156,126						156,126			1,827	1,827	06/01/2047	1.A FM
24381C-AD-4	DRMT 17-2A		06/01/2021	SCHEDULED REDEMPTION		1,195,000	1,195,000	1,194,944	1,195,000						1,195,000			23,285	23,285	06/01/2047	1.D FM
269330-AA-4	E3 19-1 - ABS		06/20/2021	SCHEDULED REDEMPTION		118,715	118,715	118,711	118,711		4		4		118,715			1,921	1,921	09/20/2055	1.A FE
278058-E#-5	EATON CORPORATION		06/28/2021	MATURED		16,000,000	16,000,000	16,000,000	16,000,000						16,000,000			277,600	277,600	06/28/2021	2.A
P4001#-AA-8	EOLICA MESA LA PAZ S DE RL DE CV	D	06/20/2021	SCHEDULED REDEMPTION		45,278	45,278	45,278	45,278						45,278			1,354	1,354	12/20/2044	2.C FE
68275C-AC-2	FB 05-1		06/08/2021	SCHEDULED REDEMPTION		63,999	63,999	64,307	63,999		(199)		(199)		63,999			1,597	1,597	08/08/2035	1.A FM
32027N-LA-7	FFML 04-FF7		06/25/2021	SCHEDULED REDEMPTION		45,611	45,611	42,002	43,798		1,813		1,813		45,611			213	213	09/25/2034	1.A FM
32027N-TA-9	FFML 05-FFH1		06/25/2021	SCHEDULED REDEMPTION		175,207	175,207	166,447	172,684		2,523		2,523		175,207			676	676	06/25/2036	1.A FM
32027N-YD-8	FFML 05-FFH4		06/25/2021	SCHEDULED REDEMPTION		4,129	4,129	3,897	4,079		51		51		4,129			16	16	12/25/2035	1.D FM
320276-AB-4	FFML 06-FF9		06/25/2021	SCHEDULED REDEMPTION		46,723	46,723	43,409	44,474		2,249		2,249		46,723			80	80	06/25/2036	1.D FM
31659T-DV-4	FMIC 05-2		06/25/2021	SCHEDULED REDEMPTION		10,361	10,361	7,852	9,363		999		999		10,361			41	41	12/25/2035	1.D FM
34964M-AA-2	FORTI 21-1A - ABS	C	06/20/2021	SCHEDULED REDEMPTION		123,897	123,897	123,890	123,890		7		7		123,897			123,897	123,897	03/20/2057	1.A FE
34960P-AD-3	FORTRESS TRANSPORTATION AND INFRAS		04/08/2021	BARCLAYS CAPITAL INC		46,238	46,238	45,000	45,000						45,000		1,238	1,238	05/01/2028	3.C FE	
30294D-AN-3	FREMIF 16-K52		05/24/2021	MARKETS, INC		4,418,750	4,000,000	4,071,406	4,040,780		(3,126)		(3,126)		4,037,654		381,096	381,096	77,142	01/01/2049	2.B FM
30289H-AE-1	FREMIF 16-K55		04/30/2021	GOLDMAN SACHS & CO LLC		3,348,516	3,000,000	2,832,177	2,897,064		5,976		5,976		2,903,040		445,476	445,476	53,445	04/01/2049	1.D FM
30289U-AS-1	FREMIF 16-K56		04/30/2021	CAPITAL PARTNERS,		1,670,447	1,513,000	1,489,974	1,498,987		801		801		1,499,788		170,659	170,659	25,523	06/01/2049	1.D FM
35690A-AS-7	FREMIF 16-K58		05/24/2021	Various		4,348,311	3,949,462	3,693,738	3,788,412		9,737		9,737		3,798,149		550,162	550,162	69,739	09/01/2049	1.D FM
30296A-AS-6	FREMIF 17-K61		04/30/2021	SG AMERICAS, LLC		5,150,539	4,700,000	4,355,810	4,477,306		11,364		11,364		4,488,670		661,869	661,869	74,136	12/01/2049	1.D FM
302949-AQ-5	FREMIF 17-K62		04/30/2021	SG AMERICAS, LLC		2,211,563	2,000,000	1,861,872	1,910,435		4,480		4,480		1,914,915		296,647	296,647	33,168	01/01/2050	1.D FM
30295X-AS-7	FREMIF 17-K724		05/24/2021	GOLDMAN SACHS & CO LLC		1,805,188	1,700,000	1,605,448	1,657,323		5,744		5,744		1,663,067		142,120	142,120	29,089	11/01/2023	1.D FM
30298F-AJ-3	FREMIF 19-K735		05/24/2021	SG AMERICAS, LLC		4,437,656	4,000,000	4,147,608	4,118,667		(8,223)		(8,223)		4,110,444		327,212	327,212	78,969	05/01/2026	2.B FM
30280#-AA-4	FR-ENCLAVE LLC - ABS		04/07/2021	SCHEDULED REDEMPTION		40,293	40,293	40,293	40,293						40,293			1,046	1,046	09/30/2033	2.B
366651-AG-2	GARTNER INC		06/24/2021	GOLDMAN SACHS & CO LLC		38,570	38,000	38,000	38,000						38,000		570	570	38	06/15/2029	3.C FE
38218#-AA-0	GOODS 18-1 - ABS	C	06/15/2021	SCHEDULED REDEMPTION		296,967	296,967	300,202	244,782		(3,236)		(3,236)		296,967			4,965	4,965	10/15/2053	1.A FE
38218D-AA-7	GOODS 19-1A - ABS		06/15/2021	SCHEDULED REDEMPTION		295,752	295,752	295,664	295,664		88		88		295,752			5,928	5,928	10/15/2054	1.C FE
362334-LJ-1	GSAMP 06-HE2		06/25/2021	SCHEDULED REDEMPTION		75,461	75,461	66,123	71,522		3,939		3,939		75,461			212	212	03/25/2046	1.D FM
362334-EC-4	GSAMP 06-NC1		06/25/2021	SCHEDULED REDEMPTION		9,331	9,331	8,106	8,965		366		366		9,331			31	31	02/25/2036	1.A FM
41161E-AA-6	HARBORWALK FUNDING TRUST		04/12/2021	Various					13,400,000									370,395	370,395	02/15/2069	1.D FE
437084-JU-1	HEAT 05-2		06/25/2021	SCHEDULED REDEMPTION		79,404	79,404	71,910	77,473		1,931		1,931		79,404			422	422	07/25/2035	1.A FM
42770U-AA-1	HERO 15-2A - ABS		06/20/2021	SCHEDULED REDEMPTION		27,529	27,529	28,114	28,247		(718)		(718)		27,529			583	583	09/20/2040	1.A FE
42770A-AA-5	HERO 21-1A - ABS		06/20/2021	SCHEDULED REDEMPTION		231,919	231,919	232,022	232,022		(103)		(103)		231,919			231,919	231,919	09/20/2051	1.A FE
44416*-AB-2	HUDSON TRANSMISSION PARTNERS LLC		05/31/2021	SCHEDULED REDEMPTION		30,515	30,515	30,096	30,163		352		352		30,515			674	674	05/31/2033	2.A PL
456606-GV-8	INABS 05-B		04/26/2021	SCHEDULED REDEMPTION		35,057	35,057	34,695	35,007		49		49		35,057			53	53	08/25/2035	1.D FM
456606-HU-9	INABS 05-C		06/25/2021	SCHEDULED REDEMPTION		156,532	156,532	136,965	151,288		5,243		5,243		156,532			609	609	10/25/2035	1.A FM
460146-CQ-4	INTERNATIONAL PAPER CO		06/22/2021	Various		15,977,824	13,308,000	12,792,370	12,816,435		3,357		3,357		12,819,792		1,927,088	1,927,088	1,659,645	08/15/2047	2.B FE
460146-CS-0	INTERNATIONAL PAPER CO		06/22/2021	TENDER TRANSACTION		3,999,759	3,191,000	3,040,002	3,046,607		1,247		1,247		3,047,854		143,146	143,146	927,132	08/15/2048	2.B FE
46625Y-NE-9	JPMCC 05-CB13 IS		05/01/2021	SCHEDULED REDEMPTION				5,799												01/01/2043	6. *
46590M-AQ-3	JPMCC 16-JP2		06/01/2021	SCHEDULED REDEMPTION		22,294	22,294	22,517	22,417		(123)		(123)		22,294			271	271	08/01/2049	1.A FM
46639G-AF-3	JPMIT 13-1		06/01/2021	SCHEDULED REDEMPTION		173,706	173,706	178,917	177,475		(3,769)		(3,769)		173,706			2,829	2,829	03/01/2043	1.A FM
46639G-AG-1	JPMIT 13-1		06/01/2021	SCHEDULED REDEMPTION		99,142	99,142	101,636	101,106		(1,964)		(1,964)		99,142			1,614	1,614	03/01/2043	1.A FM
46639G-AH-9	JPMIT 13-1		06/01/2021	SCHEDULED REDEMPTION		86,692	86,692	87,952	87,814												

STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
46643D-BF-3	JPMIT_14-0AK4		06/01/2021	SCHEDULED REDEMPTION		102,682	102,682	106,147	105,708				(3,027)		102,682				1,824	09/01/2044	1.A FM
50185V-AA-1	LOOM_14-909		04/15/2021	CALL TRANSACTION		460,000	460,000	473,777	460,735			(637)	(637)		460,098		(98)	(98)	5,195	05/01/2031	1.A FM
536797-AG-8	LITHIA MOTORS INC		05/28/2021	BANC OF AMERICA SECURITIES LLC		29,798	29,000	29,000						29,000		798	798	16	06/01/2029	3.B FE	
55916A-AA-2	MAGIC MERGERCO INC		04/12/2021	BARCLAYS CAPITAL INC JANE STREET EXECUTION		30,450	30,000	30,000						30,000		450	450	16	06/01/2029	3.B FE	
575634-AS-9	MASSACHUSETTS ELECTRIC COMPANY		04/30/2021	SERVICES LLC		4,254,656	3,160,000	3,935,982	3,791,081			(7,455)	(7,455)		3,783,626		471,030	471,030	87,523	11/15/2039	2.A FE
58769T-AC-9	MBART_19-1		05/17/2021	SCHEDULED REDEMPTION		616,076	616,076	616,076	616,076					616,076				468	06/15/2022	1.A FE	
61913P-AS-1	MHL_05-1		06/25/2021	SCHEDULED REDEMPTION		6,175	6,175	5,751	5,867			308	308		6,175				24	02/25/2035	1.D FM
60040#-AA-0	MILLENNIUM PIPELINE CO LLC		06/30/2021	SCHEDULED REDEMPTION		166,370	166,370	166,370	166,370						166,370				2,217	06/30/2027	1.G FE
60040#-AB-8	MILLENNIUM PIPELINE CO LLC		06/30/2021	SCHEDULED REDEMPTION		38,393	38,393	38,393	38,393						38,393				1,152	06/30/2032	1.G PL
55342U-AG-9	MPT OPERATING PARTNERSHIP LP / MPT		06/21/2021	INC.		459,107	445,000	446,188	445,801			(99)	(99)		445,702		13,404	13,404	20,896	08/01/2026	3.A FE
61746W-HJ-2	MSDNC_01-TOP3 IS		05/01/2021	SCHEDULED REDEMPTION				1,897											95	07/01/2033	6. FE
97077#-AH-7	NASSAU AIRPORT DEVELOPMENT CO	D	06/30/2021	SCHEDULED REDEMPTION		150,000	150,000	150,000	150,000						150,000				2,378	03/31/2035	3.C PL
780097-BK-6	NATWEST GROUP PLC	D	04/30/2021	NATWEST MARKETS SECURITIES INC.		7,875,539	7,225,000	7,225,000	7,225,000						7,225,000		650,539	650,539	190,202	03/22/2025	2.B FE
78442F-EQ-7	NAVIENT CORP		05/19/2021	BANC OF AMERICA SECURITIES LLC		105,000	100,000	97,750	98,888			199	199		99,087		5,913	5,913	4,522	01/25/2023	3.C FE
64352V-LL-3	NCHET_05-3		06/25/2021	SCHEDULED REDEMPTION		321,079	321,079	288,951	316,719			4,360	4,360		321,079				851	07/25/2035	1.A FM
64110L-AN-6	NETFLIX INC		04/01/2021	MILLENNIUM ADVISORS, LLC		201,258	180,000	182,250	181,864			(74)	(74)		181,790		19,468	19,468	3,084	11/15/2026	3.C FE
62912X-AF-1	NSPL PIPECO LLC		06/09/2021	JANE STREET EXECUTION SERVICES LLC		255,875	223,000	223,965	223,705			(45)	(45)		223,660		32,215	32,215	8,939	08/15/2027	2.C FE
66977W-AS-8	NOVA CHEMICALS CORP	A	04/28/2021	HSBC SECURITIES (USA) INC.		112,000	112,000	112,000							112,000					05/15/2029	3.C FE
629377-CC-4	NRG ENERGY INC		06/01/2021	BANC OF AMERICA SECURITIES LLC		502,825	484,000	514,995	504,006			(3,101)	(3,101)		500,905		1,919	1,919	28,285	01/15/2027	3.B FE
64829L-AA-6	NRZT_16-4		06/01/2021	SCHEDULED REDEMPTION		65,231	65,231	64,717	64,761			470	470		65,231				1,162	11/01/2056	1.A FM
64829J-AA-1	NRZT_17-1A		06/01/2021	SCHEDULED REDEMPTION		193,858	193,858	196,128	195,748			(1,890)	(1,890)		193,858				3,654	02/01/2057	1.A FM
64830G-AB-2	NRZT_18-1		06/01/2021	SCHEDULED REDEMPTION		25,865	25,865	25,916	25,907			(43)	(43)		25,865				495	12/01/2057	1.A FM
64828C-AY-5	NRZT_18-2		06/01/2021	SCHEDULED REDEMPTION		41,523	41,523	41,899	41,816			(293)	(293)		41,523				833	02/01/2058	1.A FM
67079B-AB-6	NUTRITION & BIOSCIENCES INC		05/17/2021	EXCHANGE		200,342	200,000	200,382	200,370			(29)	(29)		200,342				1,647	10/10/2026	3.B FE
674599-DG-7	OCCIDENTAL PETROLEUM CORPORATION		06/03/2021	CITIGROUP GLOBAL MARKETS, INC		1,377,000	2,700,000	1,260,283	1,334,786			26,081	26,081		1,360,867		16,133	16,133		10/10/2036	3.B FE
85172F-AP-4	ONEIAMA FINANCE CORP		06/30/2021	Various		856,275	797,000	844,820	841,210			(7,672)	(7,672)		833,537		22,738	22,738	38,306	03/15/2024	3.C FE
68389F-JY-1	OMLT_05-5		06/25/2021	SCHEDULED REDEMPTION		152,169	152,169	132,672	146,815			5,354	5,354		152,169				506	12/25/2035	1.A FM
68389X-AE-5	ORACLE CORPORATION		04/30/2021	GOLDMAN SACHS & CO LLC		6,905,200	5,000,000	6,681,622	6,332,692			(17,919)	(17,919)		6,314,773		590,427	590,427	179,653	04/15/2038	2.A FE
68389X-AU-9	ORACLE CORPORATION		04/28/2021	LOOP CAPITAL MARKETS LLC		1,783,494	1,655,000	1,659,750	1,658,160			(282)	(282)		1,657,878		125,616	125,616	45,641	07/08/2024	2.A FE
68389X-BB-0	ORACLE CORPORATION		04/28/2021	JP MORGAN SECURITIES LLC		3,771,632	3,700,000	3,611,866	3,680,461			4,633	4,633		3,685,094		86,538	86,538	42,396	05/15/2022	2.A FE
68389X-BC-8	ORACLE CORPORATION		04/28/2021	JANE STREET EXECUTION SERVICES LLC		896,851	840,000	863,688	852,160			(871)	(871)		851,289		45,562	45,562	11,358	05/15/2025	2.A FE
69376B-AA-4	PACF_20-1A - ABS		06/20/2021	SCHEDULED REDEMPTION		247,263	247,263	249,301	249,295			(2,032)	(2,032)		247,263				3,857	09/20/2055	1.A FE
72147K-AC-2	PILGRIMS PRIDE CORP		04/14/2021	CALL TRANSACTION		1,065,033	1,045,000	1,070,079	1,066,915			(3,151)	(3,151)		1,063,764		(18,764)	(18,764)	54,917	03/15/2025	3.A FE
73316P-EN-6	POPLR_05-B		05/25/2021	SCHEDULED REDEMPTION		747,267	747,267	698,694	736,139			11,128	11,128		747,267				4,581	08/25/2035	1.D FM
70069F-FL-6	PPSI_05-WCH1		06/25/2021	SCHEDULED REDEMPTION		205,060	205,060	204,419	204,790			270	270		205,060				1,307	01/25/2036	1.A FM
74166M-AC-0	PRIME SECURITY SERVICES BORROWER L		05/19/2021	GOLDMAN SACHS & CO LLC		218,500	200,000	212,840	211,961			(814)	(814)		211,147		7,353	7,353	1,307	04/15/2026	3.C FE
743315-AV-5	PROGRESSIVE CORPORATION (THE)		06/04/2021	OUTSIDE MANAGED ACCT		994,493	870,000	973,556	961,553			(4,098)	(4,098)		957,455		37,038	37,038	25,665	03/01/2029	1.F FE
69700P-AA-0	PSTAT_18-5A - ABS		04/20/2021	SCHEDULED REDEMPTION		1,828,163	1,828,163	1,828,163	1,828,163						1,828,163				9,900	01/20/2027	1.A FM
75406W-AD-3	RASC_06-KS6		06/25/2021	SCHEDULED REDEMPTION		63,947	63,947	56,633	61,121			2,826	2,826		63,947				110	08/25/2036	1.A FM
75975B-AA-6	RENEW_21-1 - ABS		06/20/2021	SCHEDULED REDEMPTION		74,388	74,388	74,377				.11	.11		74,388				209	11/20/2056	1.A FE

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STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	
75972A-AD-5	RENEWABLE ENERGY GROUP INC		05/10/2021	ROBERT W. BAIRD & CO. INCORPORATED		4,150	4,000	4,000							4,000		150	150		06/01/2028	4.B FE	
76119L-AA-9	RESIDEO FUNDING INC		06/10/2021	MORGAN STANLEY & CO. LLC		115,268	109,000	113,360	112,258		(352)		(352)		111,906		3,361	3,361	4,136	11/01/2026	4.A FE	
18038*-AA-4	SBM BALEIA AZUL SARL	D	06/15/2021	SCHEDULED REDEMPTION		189,000	189,000	188,090	188,679		321		321		189,000				5,197	09/15/2027	3.C	
81745J-AA-6	SEMT 13-11		06/01/2021	SCHEDULED REDEMPTION		29,580	29,580	28,157	28,516		1,064		1,064		29,580				472	09/01/2043	1.A FM	
81746N-CB-2	SEMT 16-3		06/01/2021	SCHEDULED REDEMPTION		4,470	4,470	4,576	4,557		(87)		(87)		4,470				77	11/01/2046	1.A FM	
81746N-CC-0	SEMT 16-3		06/01/2021	SCHEDULED REDEMPTION		3,376	3,376	3,340	3,346		30		30		3,376				58	11/01/2046	1.A FM	
822582-CD-2	SHELL INTERNATIONAL FINANCE BV	D	05/18/2021	OUTSIDE MANAGED ACCT		563,167	550,000	546,117	546,517		136		136		546,653		16,514	16,514	6,967	11/07/2029	1.D FE	
05545M-AC-6	SIGNATURE AVIATION US HOLDINGS INC		04/21/2021	TENDER TRANSACTION		303,750	300,000	299,434	299,502		26		26		299,528		472	472	12,950	03/01/2028	3.C FE	
83421*-AA-1	SOLGEN LLC - ABS		06/30/2021	SCHEDULED REDEMPTION		154,539	154,539	154,539	154,539						154,539					09/30/2036	2.C PL	
84519*-AG-9	SOUTHWEST POWER POOL INC		06/30/2021	SCHEDULED REDEMPTION		75,000	75,000	75,000	75,000						75,000				609	09/30/2024	1.F	
85208N-AD-2	SPRINT SPECTRUM CO I / II / III / LLC		06/20/2021	SCHEDULED REDEMPTION		212,938	212,938	213,354	213,205		(267)		(267)		212,938				5,044	03/20/2025	2.A FE	
852234-AL-7	SQUARE INC		06/22/2021	SECURITIES LLC		109,350	108,000	108,000							108,000		1,350	1,350	281	06/01/2026	3.B FE	
86203*-AA-7	STONEHEDGE CAPITAL FUND CONNECTICU		06/15/2021	SCHEDULED REDEMPTION		12,919	12,919	12,919	12,919						12,919				517	12/15/2025	1.G	
84751P-ET-2	SURF_05-BC1		06/25/2021	SCHEDULED REDEMPTION		57,437	57,437	57,437	57,437						57,437				320	12/25/2035	1.A FM	
88023U-AH-4	TEMPUR SEALY INTERNATIONAL INC		04/08/2021	WELLS FARGO ADVISORS, LLC		93,698	93,000	93,000							93,000		698	698	176	04/15/2029	3.B FE	
88031V-AA-7	TENASKA GATEWAY PARTNERS LTD		06/30/2021	SCHEDULED REDEMPTION		96,600	96,600	82,022	82,638		3,962		3,962		96,600				2,923	12/30/2023	2.C FE	
06750*-AB-8	THE 1887 COMPANY LIMITED	D	04/04/2021	MATURED		15,000,000	15,000,000	15,000,000	15,000,000						15,000,000				379,889	04/04/2021	2.C	
87264A-AU-9	T-MOBILE USA INC		04/06/2021	DEUTSCHE BANK SECURITIES INC		792,325	773,000	789,285			(681)		(681)		788,604		3,721	3,721	6,474	02/01/2026	3.B FE	
89153V-AT-6	TOTAL CAPITAL INTERNATIONAL SA	D	05/18/2021	OUTSIDE MANAGED ACCT		3,880,192	3,680,000	3,745,350	3,738,485		(2,226)		(2,226)		3,736,259		143,933	143,933	89,359	01/10/2030	1.E FE	
89867*-AU-7	TRITON CONTAINER INTERNATIONAL LTD		06/28/2021	CALL TRANSACTION		2,748,124	2,500,000	2,500,000	2,500,000						2,500,000				301,894	06/30/2027	2.C FE	
90139Q-AB-3	TWIN BROOK I - OFFSHORE - ABS		06/15/2021	CAPITAL DISTRIBUTION		1,573,026	1,573,026	1,573,026	1,573,026						1,573,026				31,274	04/25/2024	1.E PL	
90139P-AB-5	TWIN BROOK I - ONSHORE - ABS		06/15/2021	CAPITAL DISTRIBUTION		1,490,705	1,490,705	1,490,705	1,490,705						1,490,705				29,725	04/25/2024	1.E PL	
90139*-AA-9	TWIN BROOK II - OFFSHORE - ABS		06/22/2021	CAPITAL DISTRIBUTION		2,382,882	2,382,882	2,378,925	2,377,309		663		663		2,377,972		4,910	4,910	47,032	06/09/2026	1.E PL	
90139*-AA-3	TWIN BROOK II - ONSHORE - ABS		06/22/2021	Various		2,467,107	2,467,107	2,460,939	2,093,484		834		834		2,462,009		5,098	5,098	61,164	06/09/2026	1.E PL	
90932L-AA-5	UNITED AIRLINES 2015-1 CLASS AA		06/01/2021	SCHEDULED REDEMPTION		9,066	9,066	9,066	9,066						9,066				156	06/01/2029	1.E FE	
90932J-AA-0	UNITED AIRLINES 2019-2 CLASS AA PA		05/01/2021	SCHEDULED REDEMPTION		37,395	37,395	37,395	37,395						37,395				505	05/01/2032	1.E FE	
92558E-AC-6	VIBR_17-6A - ABS		05/17/2021	CALL TRANSACTION		6,000,000	6,000,000	5,970,000	5,981,673		4,733		4,733		5,986,406		13,594	13,594	60,190	06/20/2029	1.C FE	
92660F-AN-4	VIDEOTRON LTD / VIDEOTRON LTEE	A	06/15/2021	MUFG SECURITIES AMERICAS INC		29,399	29,000	29,000							29,000		399	399		06/15/2029	3.A FE	
92769X-AH-7	VIRGIN MEDIA SECURED FINANCE PLC	D	05/10/2021	CITIGROUP GLOBAL MARKETS, INC		454,207	437,000	454,434	452,860		(2,271)		(2,271)		450,589		3,618	3,618	17,826	08/15/2026	3.C FE	
92925C-BD-3	WAMU_05-AR19		06/25/2021	SCHEDULED REDEMPTION		4,910	4,910	4,320	4,378		531		531		4,910				22	12/25/2045	1.D FM	
03716*-AA-7	WASTE CONNECTIONS INC		06/01/2021	MATURED		5,000,000	5,000,000	5,000,000	5,000,000						5,000,000				59,750	06/01/2021	2.A FE	
9497EN-AE-3	WFHET_05-3		06/25/2021	SCHEDULED REDEMPTION		91,916	91,916	82,753	90,797		1,118		1,118		91,916				464	11/25/2035	1.D FM	
92890P-AD-6	WFRBS_13-C14		05/01/2021	SCHEDULED REDEMPTION		40,398	40,398	39,287	40,099		299		299		40,398				888	06/01/2046	1.A FM	
96042G-AJ-1	WLAKE_18-3		06/15/2021	SCHEDULED REDEMPTION		1,042,633	1,042,633	1,043,611	1,042,781		(147)		(147)		1,042,633				17,619	10/16/2023	1.B FE	
96042J-AL-0	WLAKE_19-1		05/25/2021	GOLDMAN SACHS & CO LLC		2,470,313	2,400,000	2,473,219	2,456,880		(15,644)		(15,644)		2,441,236		29,077	29,077	39,636	03/15/2024	1.F FE	
98212B-AE-3	WPX ENERGY INC		06/10/2021	EXCHANGE		158,988	163,000	156,480	158,502		485		485		158,988				6,462	09/15/2024	2.C FE	
98212B-AH-6	WPX ENERGY INC		06/03/2021	CALL TRANSACTION		248,265	238,000	238,395	238,240		(27)		(27)		238,213		(213)	(213)	17,183	06/01/2026	2.C FE	
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					225,330,044	210,511,194	211,351,393	223,571,895		22,847		22,847		211,102,898		11,808,140	11,808,140	7,721,474	XXX	XXX	XXX
BHM27W-U5-2	ENERGIZER HOLDINGS INC		04/01/2021	SINKING FUND TRANSACTION		195	195	194	194						194		1	1	1	12/22/2027	3.A FE	
15669G-AH-7	LUMEN TECHNOLOGIES INC		06/30/2021	SINKING FUND TRANSACTION		220	220	220	220						220				2	03/15/2027	3.A FE	
63937Y-AE-1	NAVISTAR INTERNATIONAL CORPORATION		04/30/2021	SINKING FUND TRANSACTION		3,054	3,054	3,046	3,047		1		1		3,047		7	7	38	11/02/2024	3.C FE	
76090L-AE-4	RESIDEO FUNDING INC		06/30/2021	SINKING FUND TRANSACTION		813	813	810							811		2	2	6	02/09/2028	3.B FE	

STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22			
										11	12	13	14	15										
CUSIP Identification	Description	For-foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol			
81753H-AD-3	SERTA SIMMONS BEDDING LLC		04/26/2021	SINKING FUND TRANSACTION		252,333	420,555	55,513	16,026		(5,114)		(5,114)		10,912		241,421	241,421	19,135	10/21/2024	6. FE			
84857H-AW-0	SPIRIT AEROSYSTEMS INC		06/30/2021	SINKING FUND TRANSACTION		2,635	2,635	2,622	2,622		1		1		2,624		11	11	94	01/15/2025	3.C FE			
78466D-BF-0	SS&C TECHNOLOGIES HOLDINGS INC		06/30/2021	SINKING FUND TRANSACTION		335	335	333	334						334		1	1	3	04/16/2025	3.B FE			
BHM299-114-2	UNITED AIRLINES INC		06/30/2021	SINKING FUND TRANSACTION		248	248	246							246		1	1		04/21/2028	3.B FE			
BHM21Y-1D-9	WELLS ENTERPRISES INC		06/30/2021	SINKING FUND TRANSACTION		527	527	525	526						526		1	1	8	03/29/2025	4.A FE			
92985*-AA-4	WHITEHORSE LIQ.PRTNS III TERM LOAN		06/07/2021	SINKING FUND TRANSACTION		361,430	361,430	361,430							361,430					03/22/2025	2.B Z			
8299999. Subtotal - Bonds - Unaffiliated Bank Loans						621,788	790,010	424,940	22,968		(5,112)		(5,112)		380,343		241,445	241,445	19,287		XXX	XXX		
8399997. Total - Bonds - Part 4						313,867,514	298,958,225	299,693,583	240,260,068		(431,708)		(431,708)		298,895,493		12,137,513	12,137,513	8,577,765		XXX	XXX		
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		XXX	XXX	
8399999. Total - Bonds						313,867,514	298,958,225	299,693,583	240,260,068		(431,708)		(431,708)		298,895,493		12,137,513	12,137,513	8,577,765		XXX	XXX		
8999997. Total - Preferred Stocks - Part 4							XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		XXX	XXX	
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		XXX	XXX
8999999. Total - Preferred Stocks							XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		XXX	XXX	
5B26CC-H5-7	LOANDEPOT INC CLASS A		06/21/2021	BARCLAYS CAPITAL INC		5,550,000	72,030	83,750						83,750		(11,719)	(11,719)							
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						72,030	XXX	83,750						83,750		(11,719)	(11,719)					XXX	XXX	
018914-50-7	AB Discovery Value R Fund		06/30/2021	DIRECT WITH ISSUER		2,836,000	46,857	56,332	56,332					56,332		(9,475)	(9,475)							
298706-84-7	American Funds EuroPacific Growth R4 Fund		06/30/2021	DIRECT WITH ISSUER		193,000	11,289	7,960	7,960					7,960		3,330	3,330							
399874-84-1	BNY Mellon S&P 500 Index Fund		06/30/2021	DIRECT WITH ISSUER		2,079,000	128,121	98,987	98,987					98,987		29,134	29,134							
09661L-30-2	Franklin Mutual Shares R Fund		06/30/2021	DIRECT WITH ISSUER		1,937,000	109,829	95,650	95,650					95,650		14,178	14,178							
354026-50-2	Franklin Small Cap Value R Fund		06/30/2021	DIRECT WITH ISSUER		2,228,000	50,245	59,301	59,301					59,301		(9,056)	(9,056)							
355148-82-6	Franklin Strategic Income R Fund		06/30/2021	DIRECT WITH ISSUER		514,000	22,266	27,145	27,145					27,145		(4,879)	(4,879)							
354713-55-4	Franklin Strategic Growth R4 Fund		06/30/2021	DIRECT WITH ISSUER		6,656,000	61,632	64,174	64,174					64,174		(2,542)	(2,542)							
416649-35-8	Hartford Capital Appreciation R4 Fund		06/30/2021	DIRECT WITH ISSUER		2,587,000	175,245	105,662	105,662					105,662		69,584	69,584							
416649-28-3	Hartford Dividend & Growth R4 Fund		06/30/2021	DIRECT WITH ISSUER		12,037,000	320,501	290,230	290,230					290,230		30,271	30,271							
416641-87-6	Hartford Growth Opportunities R4 Fund		06/30/2021	DIRECT WITH ISSUER		128,000	104,316	4,986	4,986					4,986		99,330	99,330							
416649-34-1	Hartford High Yield R4 Fund		06/30/2021	DIRECT WITH ISSUER		6,614,000	48,809	48,338	48,338					48,338		471	471							
416649-24-2	Hartford International Opportunities R4 Fund		06/30/2021	DIRECT WITH ISSUER		0.000																		
416649-39-0	Hartford Small Company R4 Fund		06/30/2021	DIRECT WITH ISSUER		3,163,000	136,820	65,350	65,350					65,350		71,469	71,469							
416649-25-9	Hartford Total Return Bond R4 Fund		06/30/2021	DIRECT WITH ISSUER		1,505,000	19,548	16,002	16,002					16,002		3,547	3,547							
00141M-59-8	Invesco Main Street Mid Cap R Fund		06/30/2021	DIRECT WITH ISSUER		242,000	5,519	4,509	4,509					4,509		1,010	1,010							
00141L-50-9	Invesco Small Cap Equity R Fund		06/30/2021	DIRECT WITH ISSUER		4,007,000	48,410	49,274	49,274					49,274		(864)	(864)							
55272P-25-7	MFS Total Return Bond R3 Fund		06/30/2021	DIRECT WITH ISSUER		1,000,000	21,752	21,588	21,588					21,588		164	164							
552981-46-6	MFS Total Return R3 Fund		06/30/2021	DIRECT WITH ISSUER		22,546,000	455,289	331,460	331,460					331,460		123,829	123,829							
55273H-64-3	MFS Value R3 Fund		06/30/2021	DIRECT WITH ISSUER		0.000	167,237									167,237	167,237							
880208-84-8	Templeton Global Bond R Fund		06/30/2021	DIRECT WITH ISSUER		9.000	117	92	92					92		25	25							
9499999. Subtotal - Common Stocks - Mutual Funds						1,933,802	XXX	1,347,040	1,347,040					1,347,040		586,763	586,763					XXX	XXX	
9799997. Total - Common Stocks - Part 4						2,005,832	XXX	1,430,790	1,347,040					1,430,790		575,044	575,044					XXX	XXX	
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		XXX	XXX	
9799999. Total - Common Stocks						2,005,832	XXX	1,430,790	1,347,040					1,430,790		575,044	575,044					XXX	XXX	
9899999. Total - Preferred and Common Stocks						2,005,832	XXX	1,430,790	1,347,040					1,430,790		575,044	575,044					XXX	XXX	
9999999 - Totals						315,873,347	XXX	301,124,373	241,607,108			(431,708)		(431,708)		300,326,282		12,712,556	12,712,556	8,577,765		XXX	XXX	

E05.8

STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23													
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)													
007999999. Subtotal - Purchased Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108														XXX																			XXX	XXX	
014999999. Subtotal - Purchased Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108														XXX																				XXX	XXX
MXEA IDX CALL @ 2040 06/30/22	VAGLB Hedge - Macro	N/A	E	CREDIT SUISSSE FB	05/27/2020	06/30/2022		25,169,694	2,040.00	333,824			2,938,552	XXX	2,938,552	939,376							0002												
S&P IDX CALL @ 4160 06/30/25	VAGLB Hedge - Macro	N/A	E	JP MORGAN CHASE BANK	06/05/2020	06/30/2025		92,826,000	4,160.00	3,240,000			13,773,848		13,773,848	6,052,912							0002												
MXEA IDX CALL @ 2250 06/30/23	VAGLB Hedge - Macro	N/A	E	CREDIT SUISSSE FB	06/11/2020	06/30/2023		21,435,728	2,250.00	317,037			1,650,102		1,650,102	517,943							0002												
S&P IDX CALL @ 4000 - PREMIUM PAYABLE 06/30/27	VAGLB Hedge - Macro	N/A	E	CREDIT SUISSSE FB	06/18/2020	06/30/2027		68,330,250	4,000.00		4,660,124		14,410,982		14,410,982	5,694,470							0002												
NDX IDX CALL @ 12500 - PREMIUM PAYABLE 06/30/26	VAGLB Hedge - Macro	N/A	E	JP MORGAN CHASE BANK	06/17/2020	06/30/2026		20,958,915	12,500.00		1,751,698		5,234,107		5,234,107	1,624,163							0002												
S&P IDX CALL @ 4000 - PREMIUM PAYABLE 06/30/26	VAGLB Hedge - Macro	N/A	E	CREDIT SUISSSE FB	06/18/2020	06/30/2026		79,933,500	4,000.00		4,535,765		15,246,291		15,246,291	6,239,892							0002												
NDX IDX CALL @ 12500 - PREMIUM PAYABLE 06/30/27	VAGLB Hedge - Macro	N/A	E	CREDIT SUISSSE FB	06/17/2020	06/30/2027		17,465,762	12,500.00		1,519,544		4,649,210		4,649,210	1,471,424							0002												
015999999. Subtotal - Purchased Options - Hedging Other - Call Options and Warrants										3,890,861	12,467,131		57,903,092	XXX	57,903,092	22,540,180										XXX	XXX								
S&P IDX PUT @ 1431.25 10/21/22	VAGLB Hedge - Macro	N/A	E	WELLS FARGO BANK	10/19/2012	10/21/2022		300,262,028	1,431.25	30,380,002			421,711		421,711	(740,144)							0002												
S&P IDX PUT @ 1426.25 10/21/22	VAGLB Hedge - Macro	N/A	E	BANK OF AMERICA, NA	10/22/2012	10/21/2022		301,314,657	1,426.25	30,400,002			417,891		417,891	(728,740)							0002												
S&P IDX PUT @ 1410.77 10/21/22	VAGLB Hedge - Macro	N/A	E	MORGAN STANLEY INTER	10/23/2012	10/21/2022		304,621,884	1,410.77	30,749,999			406,142		406,142	(693,177)							0002												
S&P IDX PUT @ 1411.60 10/21/22	VAGLB Hedge - Macro	N/A	E	HSBC BANK PLC	10/25/2012	10/21/2022		304,439,198	1,411.60	29,899,861			406,768		406,768	(695,096)							0002												
S&P IDX PUT @ 1409.50 10/21/22	VAGLB Hedge - Macro	N/A	E	HSBC BANK PLC	10/26/2012	10/21/2022		304,894,733	1,409.50	30,249,672			405,186		405,186	(690,258)							0002												
S&P IDX PUT @ 1425.10 10/21/22	VAGLB Hedge - Macro	N/A	E	BARCLAYS BANK PLC	11/01/2012	10/21/2022		301,555,575	1,425.10	29,999,780			417,011		417,011	(726,105)							0002												
S&P IDX PUT @ 1370.00 11/18/22	VAGLB Hedge - Macro	N/A	E	MORGAN STANLEY INTER	11/14/2012	11/18/2022		313,683,120	1,370.00	30,799,704			400,727		400,727	(632,941)							0002												
S&P IDX PUT @ 1455.67 01/20/23	VAGLB Hedge - Macro	N/A	E	GOLDMAN SACHS INTL	01/08/2013	01/20/2023		295,224,928	1,455.67	29,638,591			539,606		539,606	(932,074)							0002												
S&P IDX PUT @ 2700 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro	N/A	E	JP MORGAN CHASE BANK	06/25/2018	06/20/2025		159,007,500	2,700.00		19,123,913		6,428,076		6,428,076	(4,298,610)							0002												
S&P IDX PUT @ 2700 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro	N/A	E	HSBC BANK PLC	06/27/2018	06/20/2025		318,015,000	2,700.00		37,664,727		12,856,169		12,856,169	(8,606,078)							0002												
S&P IDX PUT @ 2800 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro	N/A	E	HSBC BANK PLC	07/23/2018	06/20/2025		153,420,750	2,800.00		17,825,321		6,884,326		6,884,326	(4,508,201)							0002												
S&P IDX PUT @ 2800 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro	N/A	E	HSBC BANK PLC	07/24/2018	06/20/2025		153,420,750	2,800.00		17,977,114		6,884,322		6,884,322	(4,505,895)							0002												
RTY IDX PUT @ 1670 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro	N/A	E	MORGAN STANLEY INTER	08/01/2018	06/20/2025		138,632,940	1,670.00		17,553,821		8,715,132		8,715,132	(5,571,930)							0002												
MXEA IDX PUT @ 1990 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro	N/A	E	JP MORGAN CHASE BANK	08/08/2018	06/20/2025		115,245,850	1,990.00		16,578,609		11,381,832		11,381,832	(4,761,986)							0002												
MXEA IDX PUT @ 1990 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro	N/A	E	BARCLAYS BANK PLC	08/08/2018	06/20/2025		115,245,850	1,990.00		17,665,483		11,381,801		11,381,801	(4,745,475)							0002												

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STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23		
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)		
S&P IDX PUT @ 2800 06/16/28	VAGLB Hedge - Macro	N/A	E	MORGAN STANLEY INTER 4PQUHJJPFGFNF3BB653	08/14/2018	06/16/2028		153,420,750	2,800.00	18,956,700			11,155,194		11,155,194	(5,079,548)							0002	
S&P IDX PUT @ 2900 06/20/25	VAGLB Hedge - Macro	N/A	E	MORGAN STANLEY INTER 4PQUHJJPFGFNF3BB653	08/27/2018	06/20/2025		148,263,750	2,900.00	15,487,481			7,358,496		7,358,496	(4,979,096)							0002	
S&P IDX PUT @ 2900 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro	N/A	E	HSBC BANK PLC MP615ZYZBEU3UXPYFY54	09/05/2018	06/20/2025		148,263,750	2,900.00		17,730,822		7,358,000	^	7,358,000	(4,709,737)							0002	
S&P IDX PUT @ 2880 06/16/28	VAGLB Hedge - Macro	N/A	E	MORGAN STANLEY INTER 4PQUHJJPFGFNF3BB653	09/12/2018	06/16/2028		149,123,250	2,880.00	18,391,000			11,583,186		11,583,186	(5,232,889)							0002	
RTY IDX PUT @ 1700 - PREMIUM PAYABLE 09/15/23	VAGLB Hedge - Macro	N/A	E	JP MORGAN CHASE BANK 7H6GLXDRUGQFU57RNE97	09/25/2018	09/15/2023		135,860,281	1,700.00		13,438,448		5,642,369	^	5,642,369	(6,151,843)							0002	
S&P IDX PUT @ 2900 - PREMIUM PAYABLE 09/19/25	VAGLB Hedge - Macro	N/A	E	CREDIT SUISSE FB INT E58DKGJMYJYLN8C3868	09/27/2018	09/19/2025		148,263,750	2,900.00		17,088,294		7,748,309	^	7,748,309	(4,743,869)							0002	
S&P IDX PUT @ 2800 - PREMIUM PAYABLE 09/19/25	VAGLB Hedge - Macro	N/A	E	CREDIT SUISSE FB INT E58DKGJMYJYLN8C3868	10/15/2018	09/19/2025		153,420,750	2,800.00		18,073,297		7,264,554	^	7,264,554	(4,538,170)							0002	
S&P IDX PUT @ 2700 - PREMIUM PAYABLE 12/19/25	VAGLB Hedge - Macro	N/A	E	JP MORGAN CHASE BANK 7H6GLXDRUGQFU57RNE97	10/31/2018	12/19/2025		159,007,500	2,700.00		17,912,522		7,166,162	^	7,166,162	(4,410,667)							0002	
S&P IDX PUT @ 2650 - PREMIUM PAYABLE 12/19/25	VAGLB Hedge - Macro	N/A	E	HSBC BANK PLC MP615ZYZBEU3UXPYFY54	11/26/2018	12/19/2025		163,305,000	2,650.00		18,654,197		6,990,629	^	6,990,629	(4,356,121)							0002	
S&P IDX PUT @ 3900 - PREMIUM PAYABLE 06/28/24	VAGLB Hedge - Macro	N/A	E	BANK OF AMERICA, NA B4TYDEB6GKMZ0031MB27	05/20/2021	06/28/2024		109,844,100	3,900.00		10,936,384		9,420,894	^	9,420,894	(1,515,490)							0002	
S&P IDX PUT @ 3150 07/16/21	VAGLB Hedge - Macro	N/A	E	BARCLAYS BANK PLC G5GSEF7VJP517OUK5573	06/04/2021	07/16/2021		1,160,325,000	3,150.00		750,600		13,986		13,986	(736,614)							0002	
NDX IDX PUT @ 11000 - PREMIUM PAYABLE 06/30/23	VAGLB Hedge - Macro	N/A	E	BANK OF AMERICA, NA B4TYDEB6GKMZ0031MB27	06/07/2021	06/30/2023		33,184,949	11,000.00		1,429,607		933,992	^	933,992	(495,615)							0002	
NDX IDX PUT @ 12750 - PREMIUM PAYABLE 06/30/25	VAGLB Hedge - Macro	N/A	E	BANK OF AMERICA, NA B4TYDEB6GKMZ0031MB27	06/07/2021	06/30/2025		24,452,067	12,750.00		2,939,824		2,493,346	^	2,493,346	(446,478)							0002	
NDX IDX PUT @ 12500 - PREMIUM PAYABLE 06/28/24	VAGLB Hedge - Macro	N/A	E	BANK OF AMERICA, NA B4TYDEB6GKMZ0031MB27	06/07/2021	06/28/2024		28,818,508	12,500.00		2,837,551		2,242,212	^	2,242,212	(595,339)							0002	
NDX IDX PUT @ 11280 - PREMIUM PAYABLE 06/30/22	VAGLB Hedge - Macro	N/A	E	BANK OF AMERICA, NA B4TYDEB6GKMZ0031MB27	06/04/2021	06/30/2022		39,210,637	11,280.00		557,528		168,707	^	168,707	(388,821)							0002	
S&P IDX PUT @ 3875 - PREMIUM PAYABLE 06/30/23	VAGLB Hedge - Macro	N/A	E	BANK OF AMERICA, NA B4TYDEB6GKMZ0031MB27	06/16/2021	06/30/2023		128,925,000	3,875.00		8,098,978		7,265,935	^	7,265,935	(833,043)							0002	
S&P IDX PUT @ 3800 - PREMIUM PAYABLE 06/30/22	VAGLB Hedge - Macro	N/A	E	BANK OF AMERICA, NA B4TYDEB6GKMZ0031MB27	06/15/2021	06/30/2022		154,710,000	3,800.00		2,942,778		2,115,288	^	2,115,288	(827,490)							0002	
0169999999. Subtotal - Purchased Options - Hedging Other - Put Options										294,952,792	277,779,818		164,867,959	XXX	164,867,959	(92,877,540)						XXX	XXX	
0219999999. Subtotal - Purchased Options - Hedging Other										298,843,653	290,246,949		222,771,051	XXX	222,771,051	(70,337,360)							XXX	XXX
0289999999. Subtotal - Purchased Options - Replications														XXX									XXX	XXX
0359999999. Subtotal - Purchased Options - Income Generation														XXX									XXX	XXX
0429999999. Subtotal - Purchased Options - Other														XXX									XXX	XXX
0439999999. Total Purchased Options - Call Options and Warrants										3,890,861	12,467,131		57,903,092	XXX	57,903,092	22,540,180							XXX	XXX
0449999999. Total Purchased Options - Put Options										294,952,792	277,779,818		164,867,959	XXX	164,867,959	(92,877,540)							XXX	XXX
0459999999. Total Purchased Options - Caps														XXX									XXX	XXX
0469999999. Total Purchased Options - Floors														XXX									XXX	XXX
0479999999. Total Purchased Options - Collars														XXX									XXX	XXX

STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)															
048999999. Total Purchased Options - Other														XXX					XXX	XXX	XXX	XXX															
049999999. Total Purchased Options														XXX	222,771,051	(70,337,360)																					
056999999. Subtotal - Written Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108														XXX																							
063999999. Subtotal - Written Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108														XXX																							
MXEA IDX CALL @ 850	VAGLB Hedge - Macro		E	CREDIT SUISSE FB																																	
06/30/22	Hedge	N/A	E	INT E58DKGMJYYYJLN8C3868	05/27/2020	06/30/2022		25,169,694	850.00	(8,946,428)			(15,659,119)		(15,659,119)	(2,047,684)						0002															
MXEA IDX CALL @ 925	VAGLB Hedge - Macro		E	CREDIT SUISSE FB																																	
06/30/23	Hedge	N/A	E	INT E58DKGMJYYYJLN8C3868	06/11/2020	06/30/2023		21,435,728	925.00	(6,975,000)			(12,196,244)		(12,196,244)	(1,698,580)						0002															
NDX IDX CALL @ 5000 - PREMIUM PAYABLE	VAGLB Hedge - Macro		E	JP MORGAN CHASE BANK																																	
06/30/26	Hedge	N/A	E	7H6GLXDRUGOFU57RNE97	06/17/2020	06/30/2026		20,958,915	5,000.00	(7,485,536)			(13,450,916)	^	(13,450,916)	(2,531,166)						0002															
S&P IDX CALL @ 1550 - PREMIUM PAYABLE	VAGLB Hedge - Macro		E	CREDIT SUISSE FB																																	
06/30/27	Hedge	N/A	E	INT E58DKGMJYYYJLN8C3868	06/18/2020	06/30/2027		68,330,250	1,550.00	(23,365,544)			(41,879,612)	^	(41,879,612)	(9,355,893)						0002															
NDX IDX CALL @ 5000 - PREMIUM PAYABLE	VAGLB Hedge - Macro		E	CREDIT SUISSE FB																																	
06/30/27	Hedge	N/A	E	INT E58DKGMJYYYJLN8C3868	06/17/2020	06/30/2027		17,465,762	5,000.00	(6,069,111)			(11,222,093)	^	(11,222,093)	(2,172,614)						0002															
S&P IDX CALL @ 1550 - PREMIUM PAYABLE	VAGLB Hedge - Macro		E	CREDIT SUISSE FB																																	
06/30/26	Hedge	N/A	E	INT E58DKGMJYYYJLN8C3868	06/18/2020	06/30/2026		79,933,500	1,550.00	(27,658,536)			(49,017,992)	^	(49,017,992)	(10,639,064)						0002															
064999999. Subtotal - Written Options - Hedging Other - Call Options and Warrants														XXX	(143,425,976)	(28,445,001)																					
S&P IDX PUT @ 712.55	VAGLB Hedge - Macro		E	BARCLAYS BANK PLC																																	
10/21/22	Hedge	N/A	E	G5GSEF7VJP5170UK5573	11/01/2012	10/21/2022		603,111,150	712.55	(19,119,860)			(8,172)		(8,172)	16,710						0002															
S&P IDX PUT @ 700.00	VAGLB Hedge - Macro		E	SOCIETE GENERALE																																	
10/21/22	Hedge	N/A	E	02RNE81BXP4R0TD8PU41	11/07/2012	10/21/2022		601,650,000	700.00	(18,760,000)			(7,048)		(7,048)	14,642						0002															
S&P IDX PUT @ 700.00	VAGLB Hedge - Macro		E	SOCIETE GENERALE																																	
10/21/22	Hedge	N/A	E	02RNE81BXP4R0TD8PU41	11/08/2012	10/21/2022		601,650,000	700.00	(18,862,200)			(7,048)		(7,048)	14,642						0002															
S&P IDX PUT @ 700.00	VAGLB Hedge - Macro		E	CITIBANK, N.A.																																	
10/21/22	Hedge	N/A	E	E570DZIW7FF32WIFA76	12/21/2012	10/21/2022		601,650,000	700.00	(17,788,400)			(7,048)		(7,048)	14,642						0002															
S&P IDX PUT @ 727.84	VAGLB Hedge - Macro		E	GOLDMAN SACHS INTL																																	
01/20/23	Hedge	N/A	E	W22LROIP2IHZNBB6K528	01/08/2013	01/20/2023		590,449,856	727.84	(17,708,687)			(13,786)		(13,786)	28,598						0002															
S&P IDX PUT @ 1350 - PREMIUM PAYABLE	VAGLB Hedge - Macro		E	JP MORGAN CHASE BANK																																	
06/20/25	Hedge	N/A	E	7H6GLXDRUGOFU57RNE97	06/25/2018	06/20/2025		159,007,500	1,350.00	(3,692,359)			(893,608)	^	(893,608)	817,893						0002															
S&P IDX PUT @ 1350 - PREMIUM PAYABLE	VAGLB Hedge - Macro		E	HSBC BANK PLC																																	
06/20/25	Hedge	N/A	E	MP615ZYZBEU3UXPYF54	06/27/2018	06/20/2025		318,015,000	1,350.00	(7,144,405)			(1,787,223)	^	(1,787,223)	1,639,437						0002															
S&P IDX PUT @ 1400 - PREMIUM PAYABLE	VAGLB Hedge - Macro		E	HSBC BANK PLC																																	
06/20/25	Hedge	N/A	E	MP615ZYZBEU3UXPYF54	07/23/2018	06/20/2025		153,420,750	1,400.00	(3,085,312)			(963,466)	^	(963,466)	938,183						0002															
S&P IDX PUT @ 1400 - PREMIUM PAYABLE	VAGLB Hedge - Macro		E	HSBC BANK PLC																																	
06/20/25	Hedge	N/A	E	MP615ZYZBEU3UXPYF54	07/24/2018	06/20/2025		153,420,750	1,400.00	(3,202,987)			(963,463)	^	(963,463)	936,396						0002															
RTY IDX PUT @ 835 - PREMIUM PAYABLE	VAGLB Hedge - Macro		E	MORGAN STANLEY INTER																																	
06/20/25	Hedge	N/A	E	4PQUHNSJPFQFN3BB653	08/01/2018	06/20/2025		138,632,940	835.00	(3,199,769)			(807,958)	^	(807,958)	1,248,681						0002															
MXEA IDX PUT @ 995 - PREMIUM PAYABLE	VAGLB Hedge - Macro		E	JP MORGAN CHASE BANK																																	
06/20/25	Hedge	N/A	E	7H6GLXDRUGOFU57RNE97	08/08/2018	06/20/2025		115,245,850	995.00	(1,984,854)			(1,764,694)	^	(1,764,694)	641,650						0002															
MXEA IDX PUT @ 995 - PREMIUM PAYABLE	VAGLB Hedge - Macro		E	BARCLAYS BANK PLC																																	
06/20/25	Hedge	N/A	E	G5GSEF7VJP5170UK5573	08/08/2018	06/20/2025		115,245,850	995.00	(3,120,679)			(1,764,662)	^	(1,764,662)	624,396						0002															
S&P IDX PUT @ 1400	VAGLB Hedge - Macro		E	MORGAN STANLEY INTER																																	
06/16/28	Hedge	N/A	E	4PQUHNSJPFQFN3BB653	08/14/2018	06/16/2028		153,420,750	1,400.00	(4,758,524)			(2,148,542)		(2,148,542)	1,088,850						0002															
S&P IDX PUT @ 1450	VAGLB Hedge - Macro		E	MORGAN STANLEY INTER																																	
06/20/25	Hedge	N/A	E	4PQUHNSJPFQFN3BB653	08/27/2018	06/20/2025		148,263,750	1,450.00	(2,760,000)			(1,035,109)		(1,035,109)	1,089,094						0002															

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STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23			
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)			
S&P IDX PUT @ 1450 - PREMIUM PAYABLE	VAGLB Hedge - Macro	N/A	E	HSBC BANK PLC	09/05/2018	06/20/2025		148,263,750	1,450.00		(2,991,866)		(1,035,026)	^	(1,035,026)	1,043,643						0002			
06/20/25				MORGAN STANLEY																					
S&P IDX PUT @ 1440	VAGLB Hedge - Macro	N/A	E	INTER	09/12/2018	06/16/2028		149,123,250	1,440.00	(4,448,887)			(2,242,873)		(2,242,873)	1,175,466						0002			
06/16/28																									
RTY IDX PUT @ 850 - PREMIUM PAYABLE	VAGLB Hedge - Macro	N/A	E	JP MORGAN CHASE BANK	09/25/2018	09/15/2023		135,860,281	.850.00		(1,707,267)		(319,584)	^	(319,584)	1,138,682						0002			
09/15/23																									
S&P IDX PUT @ 1450 - PREMIUM PAYABLE	VAGLB Hedge - Macro	N/A	E	CREDIT SUISSE FB INT	09/27/2018	09/19/2025		148,263,750	1,450.00		(3,036,742)		(1,130,384)	^	(1,130,384)	1,122,571						0002			
09/19/25																									
S&P IDX PUT @ 1400 - PREMIUM PAYABLE	VAGLB Hedge - Macro	N/A	E	CREDIT SUISSE FB INT	10/15/2018	09/19/2025		153,420,750	1,400.00		(3,294,664)		(1,054,248)	^	(1,054,248)	1,010,590						0002			
09/19/25																									
S&P IDX PUT @ 1350 - PREMIUM PAYABLE	VAGLB Hedge - Macro	N/A	E	JP MORGAN CHASE BANK	10/31/2018	12/19/2025		159,007,500	1,350.00		(3,108,002)		(1,069,472)	^	(1,069,472)	972,348						0002			
12/19/25																									
S&P IDX PUT @ 1325 - PREMIUM PAYABLE	VAGLB Hedge - Macro	N/A	E	HSBC BANK PLC	11/26/2018	12/19/2025		163,305,000	1,325.00		(3,330,620)		(1,039,066)	^	(1,039,066)	914,259						0002			
12/19/25																									
0659999999. Subtotal - Written Options - Hedging Other - Put Options										(104,206,558)	(42,899,526)		(20,062,480)	XXX	(20,062,480)	16,491,373					XXX	XXX			
0709999999. Subtotal - Written Options - Hedging Other										(120,127,986)	(107,478,253)		(163,488,456)	XXX	(163,488,456)	(11,953,628)						XXX	XXX		
0779999999. Subtotal - Written Options - Replications														XXX								XXX	XXX		
0849999999. Subtotal - Written Options - Income Generation														XXX									XXX	XXX	
0919999999. Subtotal - Written Options - Other														XXX									XXX	XXX	
0929999999. Total Written Options - Call Options and Warrants										(15,921,428)	(64,578,727)		(143,425,976)	XXX	(143,425,976)	(28,445,001)						XXX	XXX		
0939999999. Total Written Options - Put Options										(104,206,558)	(42,899,526)		(20,062,480)	XXX	(20,062,480)	16,491,373						XXX	XXX		
0949999999. Total Written Options - Caps														XXX									XXX	XXX	
0959999999. Total Written Options - Floors														XXX										XXX	XXX
0969999999. Total Written Options - Collars														XXX										XXX	XXX
0979999999. Total Written Options - Other														XXX										XXX	XXX
0989999999. Total Written Options										(120,127,986)	(107,478,253)		(163,488,456)	XXX	(163,488,456)	(11,953,628)							XXX	XXX	
CSWP: USD 3.05%(EUR 1.12%) 09/23/26	980745F*9 - WOODWARD INC	D PART 1	D	JP MORGAN CHASE BANK	09/14/2016	09/23/2026		2,248,800	USD 3.05%(EUR 1.12%)			21,199	(122,200)		(66,098)		75,300			25,728		100/100			
CSWP: USD 3.00%(GBP 2.31%) 02/20/25	G1591#AU6 - BRITVIC PLC	D PART 1	D	JP MORGAN CHASE BANK	11/01/2016	02/20/2025		2,448,000	USD 3.00%(GBP 2.31%)			3,965	(319,000)		(289,750)		(29,000)			23,374		100/100			
CSWP: USD 4.00%(EUR 1.84%) 12/07/27	B9550#AA9 - UMICORE SA	D PART 1	D	CITIBANK, N.A.	04/05/2017	12/07/2027		5,331,571	USD 4.00%(EUR 1.84%)			50,802	(597,929)		(449,440)		188,250			67,656		100/100			
CSWP: USD 3.56%(GBP 2.35%) 01/31/25	G8030*AJ9 - SENIOR PLC	D PART 1	D	CITIBANK, N.A.	12/01/2017	01/31/2025		4,054,054	USD 3.56%(GBP 2.35%)			23,288	(97,200)		52,758		(43,500)			38,416		100/100			
CSWP: AMORT USD 4.24%(EUR 2.22%) 07/30/49	L8749#AA0 - STADIUM FINANCE COMPANY SARL	D PART 1	D	JP MORGAN CHASE BANK	03/26/2019	07/30/2049		2,255,400	USD 4.24%(EUR 2.22%)			22,234	(115,700)		24,200		75,300			59,780		100/100			
CSWP: AMORT USD 4.37%(EUR 2.37%) 07/30/49	L8749#ABB - STADIUM FINANCE COMPANY SARL	D PART 1	D	JP MORGAN CHASE BANK	03/26/2019	07/30/2049		4,510,800	USD 4.37%(EUR 2.37%)			43,734	(231,400)		10,609		150,600			119,560		100/100			
CSWP: AMORT USD 4.49%(EUR 2.50%) 07/30/49	L8749#AC6 - STADIUM FINANCE COMPANY SARL	D PART 1	D	JP MORGAN CHASE BANK	03/26/2019	07/30/2049		3,383,100	USD 4.49%(EUR 2.50%)				(173,550)		(13,282)		(173,550)			89,670		100/100			
1019999999. Subtotal - Swaps - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108 - Foreign Exchange												165,222	(1,656,979)	XXX	(731,003)	243,400		424,184	XXX	XXX					
1049999999. Subtotal - Swaps - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108												165,222	(1,656,979)	XXX	(731,003)	243,400		424,184	XXX	XXX					
1109999999. Subtotal - Swaps - Hedging Effective Variable Annuity Guarantees Under SSAP No.108														XXX							XXX	XXX			
SWP: 3ML(2.14%) 11/15/21	OFFSET	OFFSET	A	CITIBANK, N.A.	12/23/2011	11/15/2021		7,055,000	3ML(2.14%)			(68,775)	(52,108)		(52,108)	68,052				21,690		0001			
SWP: 1.56%(3ML) 11/15/21	OFFSET	OFFSET	A	BARCLAYS BANK PLC	07/19/2012	11/15/2021		7,055,000	1.56%(3ML)			48,201	36,800		36,800	(47,492)				21,690		0001			

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STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23																	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)																	
SWP: 3ML(2.81%) 04/11/24	OFFSET	OFFSET	C	CME	04/09/2014	04/11/2024		30,000,000	3ML(2.81%)			(390,222)	(1,912,004)		(1,912,004)	599,168				250,260		0001																	
SWP: 3ML(2.81%) 04/11/24	OFFSET	OFFSET	C	CME	04/09/2014	04/11/2024		2,000,000	3ML(2.81%)			(26,015)	(127,467)		(127,467)	39,945				16,684		0001																	
SWP: 3ML(2.81%) 04/11/24	OFFSET	OFFSET	C	CME	04/09/2014	04/11/2024		9,000,000	3ML(2.81%)			(117,067)	(573,601)		(573,601)	179,750				75,078		0001																	
SWP: 3ML(2.69%) 04/11/24	OFFSET	OFFSET	C	CME	05/07/2014	04/11/2024		24,000,000	3ML(2.69%)			(297,658)	(1,449,214)		(1,449,214)	464,670				200,208		0001																	
SWP: 3ML(2.69%) 04/11/24	OFFSET	OFFSET	C	CME	05/07/2014	04/11/2024		1,000,000	3ML(2.69%)			(12,402)	(60,384)		(60,384)	19,361				8,342		0001																	
SWP: 2.57%(3ML) 04/11/24	OFFSET	OFFSET	C	CME	05/15/2014	04/11/2024		25,000,000	2.57%(3ML)			295,560	1,429,319		1,429,319	(469,387)				208,550		0001																	
SWP: 2.57%(3ML) 04/11/24	OFFSET	OFFSET	C	CME	05/15/2014	04/11/2024		1,000,000	2.57%(3ML)			11,822	57,173		57,173	(18,775)				8,342		0001																	
SWP: 2.60%(3ML) 04/11/24	OFFSET	OFFSET	C	CME	06/02/2014	04/11/2024		29,000,000	2.60%(3ML)			346,982	1,680,890		1,680,890	(548,662)				241,918		0001																	
SWP: 2.60%(3ML) 04/11/24	OFFSET	OFFSET	C	CME	06/02/2014	04/11/2024		2,000,000	2.60%(3ML)			23,930	115,923		115,923	(37,839)				16,684		0001																	
SWP: 2.60%(3ML) 04/11/24	OFFSET	OFFSET	C	CME	06/02/2014	04/11/2024		9,000,000	2.60%(3ML)			107,684	521,655		521,655	(170,274)				75,078		0001																	
1119999999. Subtotal - Swaps - Hedging Other - Interest Rate																																							
TRSWP: SPX FORWARD 06/30/25	YAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK	04/28/2021	06/30/2025		92,826,000	0.00%(SPX)				(2,686,435)		(2,686,435)	(2,686,435)				928,578		0002																	
1149999999. Subtotal - Swaps - Hedging Other - Total Return																																							
1169999999. Subtotal - Swaps - Hedging Other																																							
SWP: 2.13%(3ML) 06/10/29	91283#DP5 - BOND WITH INTEREST RATE SWAP		B	CME	06/06/2019	06/10/2029		50,000,000	2.13%(3ML)			484,225			3,192,637					704,924																			
SWP: 2.14%(3ML) 06/10/29	91283#DP5 - BOND WITH INTEREST RATE SWAP		B	CME	06/06/2019	06/10/2029		50,000,000	2.14%(3ML)			484,975			3,204,175					704,924																			
SWP: 1.95%(3ML) 12/17/49	91278*BB9 - BOND WITH INTEREST RATE SWAP		B	CME	12/13/2019	12/17/2049		100,000,000	1.95%(3ML)			878,231			4,049,832					2,668,564																			
1179999999. Subtotal - Swaps - Replication - Interest Rate																																							
1229999999. Subtotal - Swaps - Replication																																							
1289999999. Subtotal - Swaps - Income Generation																																							
1349999999. Subtotal - Swaps - Other																																							
1359999999. Total Swaps - Interest Rate																																							
1369999999. Total Swaps - Credit Default																																							
1379999999. Total Swaps - Foreign Exchange																																							
1389999999. Total Swaps - Total Return																																							
1399999999. Total Swaps - Other																																							
1409999999. Total Swaps																																							
1479999999. Subtotal - Forwards																																							
1509999999. Subtotal - SSAP No. 108 Adjustments																																							
1689999999. Subtotal - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108																																							
1699999999. Subtotal - Hedging Effective Variable Annuity Guarantees Under SSAP No.108																																							
1709999999. Subtotal - Hedging Other																																							
1719999999. Subtotal - Replication																																							
1729999999. Subtotal - Income Generation																																							
1739999999. Subtotal - Other																																							
1749999999. Subtotal - Adjustments for SSAP No. 108 Derivatives																																							
1759999999 - Totals																																							

(a)	Code	Description of Hedged Risk(s)
A	INTEREST	

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STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

(a)	Code	Description of Hedged Risk(s)
	A	INTEREST
	B	CREDIT
	C	DURATION
	D	CURRENCY
	E	EQUITY INDEX

(b)	Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period
	0001	This derivative is part of an offsetting relationship in which an open hedge was effectively terminated as a result of the Company entering into a new derivative with offsetting terms.
	0002	This derivative is part of the Company's macro program, which hedges against the economic risk arising from guaranteed minimum death benefit (GMDB) and guaranteed minimum withdrawal benefit (GMWB) liabilities and contract revenues. For the six months ended June 30, 2021, the hedge has been effective at achieving the enterprise economic objective.

STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART B - SECTION 1

Futures Contracts Open as of the Current Statement Date

1 Ticker Symbol	2 Number of Contracts	3 Notional Amount	4 Description	5 Description of Item(s) Hedged, Used for Income Generation or Replicated	6 Schedule/ Exhibit Identifier	7 Type(s) of Risk(s) (a)	8 Date of Maturity or Expiration	9 Exchange	10 Trade Date	11 Transaction Price	12 Reporting Date Price	13 Fair Value	14 Book/ Adjusted Carrying Value	Highly Effective Hedges			18 Cumulative Variation Margin for All Other Hedges	19 Change in Variation Margin Gain (Loss) Recognized in Current Year	20 Potential Exposure	21 Hedge Effectiveness at Inception and at Quarter-end (b)	22 Value of One (1) Point																		
														15 Cumulative Variation Margin	16 Deferred Variation Margin	17 Change in Variation Margin Gain (Loss) Used to Adjust Basis of Hedged Item																							
NONE																																							
1759999999 - Totals																																						XXX	XXX

Broker Name	Beginning Cash Balance	Cumulative Cash Change	Ending Cash Balance
BARCLAYS CAPITAL INC	6,330,465	(6,330,465)	
Total Net Cash Deposits	6,330,465	(6,330,465)	

(a)

Code	Description of Hedged Item
NONE	

(b)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period

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STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART D - SECTION 2

Collateral for Derivative Instruments Open as of Current Statement Date

Collateral Pledged by Reporting Entity

1	2	3	4	5	6	7	8	9
Exchange, Counterparty or Central Clearinghouse	Type of Asset Pledged	CUSIP Identification	Description	Fair Value	Par Value	Book/Adjusted Carrying Value	Maturity Date	Type of Margin (I, V or IV)
BANK OF AMERICA, NA	Treasury	912810-SC-3	TREASURY BOND	122,356	100,000	100,820	05/15/2048	
BANK OF AMERICA, NA	Treasury	912810-SN-9	TREASURY BOND	28,728,265	35,115,000	33,638,427	05/15/2050	
BANK OF AMERICA, NA	Treasury	912828-BB-1	TREASURY NOTE	331,927	300,000	299,539	02/15/2029	
BANK OF AMERICA, NA	Treasury	912828-SF-8	TREASURY NOTE	96,864	95,000	95,011	02/15/2022	
BANK OF AMERICA, NA	Treasury	912828-UN-8	TREASURY NOTE	510,072	492,000	492,873	02/15/2023	
BANK OF AMERICA, NA	Treasury	912828-W8-9	TREASURY NOTE	279,991	275,000	275,098	03/31/2022	
BANK OF AMERICA, NA	Treasury	91282C-BL-4	TREASURY NOTE	1,638,013	1,680,000	1,592,414	02/15/2031	
BARCLAYS BANK PLC	Treasury	912810-RD-2	TREASURY BOND	399,186	303,000	296,686	11/15/2043	
BARCLAYS BANK PLC	Treasury	912810-RU-4	TREASURY BOND	886,030	761,000	724,844	11/15/2046	
BARCLAYS BANK PLC	Treasury	912810-SF-6	TREASURY BOND	1,105,774	915,000	929,761	02/15/2049	
BARCLAYS BANK PLC	Treasury	912810-SN-9	TREASURY BOND	908,113	1,110,000	1,063,325	05/15/2050	
BARCLAYS BANK PLC	Treasury	912810-SU-3	TREASURY BOND	372,069	387,000	352,015	02/15/2051	
BARCLAYS BANK PLC	Treasury	912828-SF-8	TREASURY NOTE	710,677	697,000	697,125	02/15/2022	
BARCLAYS BANK PLC	Treasury	912828-ZC-7	TREASURY NOTE	524,307	513,000	522,447	02/28/2025	
BARCLAYS BANK PLC	Treasury	91282C-BL-4	TREASURY NOTE	429,978	441,000	418,009	02/15/2031	
CITIBANK, N.A.	Treasury	912810-SX-7	TREASURY BOND	708,796	662,000	676,662	05/15/2051	
CME	Treasury	912810-RD-2	TREASURY BOND	21,079,126	16,000,000	15,666,589	11/15/2043	I
CREDIT SUISSE FB INT	Treasury	912810-RD-2	TREASURY BOND	14,742,214	11,190,000	10,955,909	11/15/2043	
CREDIT SUISSE FB INT	Treasury	912810-SE-9	TREASURY BOND	342,055	267,000	286,770	11/15/2048	
CREDIT SUISSE FB INT	Treasury	912810-SF-6	TREASURY BOND	23,291,357	19,273,000	19,402,750	02/15/2049	
CREDIT SUISSE FB INT	Treasury	912810-SN-9	TREASURY BOND	5,130,427	6,271,000	6,007,307	05/15/2050	
CREDIT SUISSE FB INT	Treasury	912810-SU-3	TREASURY BOND	1,661,332	1,728,000	1,571,787	02/15/2051	
CREDIT SUISSE FB INT	Treasury	912810-SX-7	TREASURY BOND	20,867,734	19,490,000	19,921,828	05/15/2051	
CREDIT SUISSE FB INT	Treasury	91282C-BL-4	TREASURY NOTE	857,032	879,000	833,174	02/15/2031	
CREDIT SUISSE FB INT	Treasury	91282C-BZ-3	TREASURY NOTE	836,352	832,000	831,391	04/30/2028	
HSBC BANK PLC	Treasury	912810-RD-2	TREASURY BOND	15,515,555	11,777,000	11,531,589	11/15/2043	
HSBC BANK PLC	Treasury	912810-SE-9	TREASURY BOND	26,903	21,000	22,555	11/15/2048	
HSBC BANK PLC	Treasury	912810-SF-6	TREASURY BOND	88,220	73,000	73,434	02/15/2049	
HSBC BANK PLC	Treasury	912810-SN-9	TREASURY BOND	38,157,092	46,640,000	44,678,805	05/15/2050	
HSBC BANK PLC	Treasury	912810-SU-3	TREASURY BOND	254,776	265,000	241,044	02/15/2051	
HSBC BANK PLC	Treasury	912828-ZC-7	TREASURY NOTE	1,722,139	1,685,000	1,716,030	02/28/2025	
HSBC BANK PLC	Treasury	91282C-BZ-3	TREASURY NOTE	1,109,775	1,104,000	1,103,192	04/30/2028	
JP MORGAN CHASE BANK	Loan-backed and Structured	3140FN-AS-3	FINMA 30YR	1,641,931	4,000,000	1,577,100	07/01/2047	
JP MORGAN CHASE BANK	Loan-backed and Structured	3140GQ-6T-8	FINMA 30YR	4,894,871	13,000,000	4,988,662	09/01/2047	
JP MORGAN CHASE BANK	Loan-backed and Structured	3140KT-TW-5	FINMA 30YR UMBS	1,943,347	2,000,000	1,939,192	03/01/2051	
JP MORGAN CHASE BANK	Loan-backed and Structured	3140KT-TY-1	FINMA 30YR UMBS	2,998,489	3,000,000	2,982,573	03/01/2051	
JP MORGAN CHASE BANK	Loan-backed and Structured	31410L-VC-3	FINMA 30YR	4,376,795	5,872,934	4,116,843	01/01/2047	
JP MORGAN CHASE BANK	Treasury	912810-SN-9	TREASURY BOND	8,244,190	10,077,000	9,653,266	05/15/2050	
SOCIETE GENERALE	Loan-backed and Structured	31410L-VC-3	FINMA 30YR	942,335	1,264,458	886,367	01/01/2047	
0199999999 - Total				208,476,465	220,555,392	203,163,213	XXX	XXX

Collateral Pledged to Reporting Entity

1	2	3	4	5	6	7	8	9
Exchange, Counterparty or Central Clearinghouse	Type of Asset Pledged	CUSIP Identification	Description	Fair Value	Par Value	Book/Adjusted Carrying Value	Maturity Date	Type of Margin (I, V or IV)
CME	Cash	LCZ7XYGSLJUHFXNXD88	Cash	9,194,188	9,194,188	XXX		V
LCH	Cash	WAM6YERMS70XFZUOY219	Cash	2	2	XXX		V
MORGAN STANLEY INTL	Cash	4PQUHNSJPFQFN38B653	Cash	18,533,000	18,533,000	XXX		
MORGAN STANLEY INTL	Cash	4PQUHNSJPFQFN38B653	Cash	1,150,998	1,150,998	XXX		

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Collateral Pledged to Reporting Entity

1 Exchange, Counterparty or Central Clearinghouse	2 Type of Asset Pledged	3 CUSIP Identification	4 Description	5 Fair Value	6 Par Value	7 Book/Adjusted Carrying Value	8 Maturity Date	9 Type of Margin (I, V or IV)
WELLS FARGO BANK	Cash	KB1H1DSPRFMYMCFXT09	Cash	406,000	406,000	XXX		
.....
.....
.....
.....
0299999999 - Total				29,284,188	29,284,188	XXX	XXX	XXX

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Bank of America New York, NY		0.000			2,459,993	507,102	500,000	.XXX.
Wells Fargo Bank N.A. Minneapolis, MN		0.000			801,866	268,064	1,310,020	.XXX.
JP Morgan Chase Bank GB London, GB		0.000			1,147,406	1,146,187	1,129,492	.XXX.
Wells Fargo Bank N.A. Minneapolis, MN		0.000				302,266		.XXX.
JP Morgan Chase Bank N.A New York, NY		0.000				420,979	2,566,434	.XXX.
JP Morgan Chase Bank N.A New York, NY		0.000					4,986,409	.XXX.
JP Morgan Chase Bank N.A New York, NY		0.000					9,667,281	.XXX.
0199998. Deposits in ... 48 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX			935,867	582,481	762,132	.XXX.
0199999. Totals - Open Depositories	XXX	XXX			5,345,133	3,227,079	20,921,769	.XXX.
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						.XXX.
0299999. Totals - Suspended Depositories	XXX	XXX						.XXX.
0399999. Total Cash on Deposit	XXX	XXX			5,345,133	3,227,079	20,921,769	.XXX.
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				.XXX.
0599999. Total - Cash	XXX	XXX			5,345,133	3,227,079	20,921,769	.XXX.

STATEMENT AS OF JUNE 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due and Accrued	Amount Received During Year
0599999. Total - U.S. Government Bonds								
	BRITISH COLUMBIA (PROVINCE OF)		06/04/2021	0.000	08/03/2021	2,999,892		92
	QUEBEC (PROVINCE OF)		05/20/2021	0.000	08/03/2021	4,999,728		353
0699999. Subtotal - Bonds - All Other Governments - Issuer Obligations						7,999,620		445
1099999. Total - All Other Government Bonds						7,999,620		445
1799999. Total - U.S. States, Territories and Possessions Bonds								
2499999. Total - U.S. Political Subdivisions Bonds								
3199999. Total - U.S. Special Revenues Bonds								
	ALBERTA (PROVINCE OF)		05/21/2021	0.000	08/18/2021	4,991,275		512
	AMERICAN HONDA FINANCE CORPORATION		06/04/2021	0.000	08/25/2021	2,999,265		358
	DOMINION RESOURCES INC.		05/28/2021	0.000	08/09/2021	2,999,419		514
	EATON CAPITAL UNLIMITED		06/07/2021	0.000	07/08/2021	2,999,907		320
	EVERSOURCE ENERGY		06/25/2021	0.000	08/03/2021	2,999,589		77
	GOLDMAN SACHS INTERNATIONAL		06/23/2021	0.000	08/23/2021	2,999,383		95
	HYUNDAI CAPITAL AMERICA		05/07/2021	0.000	07/06/2021	4,999,859		1,525
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations						24,988,697		3,402
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						24,988,697		3,402
4899999. Total - Hybrid Securities								
5599999. Total - Parent, Subsidiaries and Affiliates Bonds								
6099999. Subtotal - SVO Identified Funds								
6599999. Subtotal - Unaffiliated Bank Loans								
7699999. Total - Issuer Obligations								
						32,988,316		3,846
7799999. Total - Residential Mortgage-Backed Securities								
7899999. Total - Commercial Mortgage-Backed Securities								
7999999. Total - Other Loan-Backed and Structured Securities								
8099999. Total - SVO Identified Funds								
8199999. Total - Affiliated Bank Loans								
8299999. Total - Unaffiliated Bank Loans								
8399999. Total Bonds						32,988,316		3,846
4812A0-37-5	JPMORGAN 100% US TRS SEC CL CAP		04/01/2021	0.000		1,574		
4812C2-23-9	JPMORGAN US TREASURY PLUS CL CAP		04/01/2021	0.000		989		357
8599999. Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO						2,562		357
4812C0-66-2	JPMORGAN US GOVT MMKT		04/01/2021	0.000		37,217,666		1,374
949917-39-7	Wells Fargo ADV HER MMKT INS		04/01/2021	0.000		4,417,347		
4812C0-67-0	JPM US GOVT MM - CP		04/01/2021	0.000		84,920,387		11,799
8699999. Subtotal - All Other Money Market Mutual Funds						126,555,400		13,172
9999999 - Total Cash Equivalents						159,546,279		17,375