



LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2021

OF THE CONDITION AND AFFAIRS OF THE

TALCOTT RESOLUTION LIFE INSURANCE COMPANY

NAIC Group Code 4926 (Current) 4926 (Prior) NAIC Company Code 88072 Employer's ID Number 06-0974148

Organized under the Laws of CT, State of Domicile or Port of Entry CT

Country of Domicile United States of America

Licensed as business type: Life, Accident and Health [ X ] Fraternal Benefit Societies [ ]

Incorporated/Organized 02/16/1978 Commenced Business 01/01/1979

Statutory Home Office 1 Griffin Road N Windsor, CT, US 06095-1512 (Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 1 Griffin Road N Windsor, CT, US 06095-1512 (Street and Number) (City or Town, State, Country and Zip Code) 800-862-6668 (Area Code) (Telephone Number)

Mail Address 1 Griffin Road N Windsor, CT, US 06095-1512 (Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 1 Griffin Road N Windsor, CT, US 06095-1512 (Street and Number) (City or Town, State, Country and Zip Code) 800-862-6668 (Area Code) (Telephone Number)

Internet Website Address www.talcottresolution.com

Statutory Statement Contact Andrew G. Helming (Name) 860-791-0166 (Area Code) (Telephone Number) Statement.questions@talcottresolution.com (E-mail Address) 860-624-0444 (FAX Number)

OFFICERS

Chief Executive Officer and President Peter Francis Sannizzaro VP and Controller Michael Robert Hazel VP and Chief Financial Officer Robert Raymond Siracusa AVP and Treasurer Jeremy Matthew Billiel

OTHER

John Buck Brady, VP and Appointed Actuary Christopher Benedict Cramer, SVP and Corporate Secretary

DIRECTORS OR TRUSTEES

Matthew James Poznar # Samir Srivastava # Lisa Michelle Proch # Robert William Stein Peter Francis Sannizzaro Ronald Kazuo Tanemura #

State of Connecticut SS: County of Hartford

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Peter F. Sannizzaro Chief Executive Officer and President

Michael R. Hazel Vice President and Controller

Christopher B. Cramer Senior Vice President and Corporate Secretary

Subscribed and sworn to before me this 2 day of November 2021

Sandra D. Mangeri

August 31, 2023

SANDRA D. MANGERI NOTARY PUBLIC MY COMMISSION EXPIRES AUG. 31, 2023

- a. Is this an original filing? Yes [ X ] No [ ] b. If no, 1. State the amendment number..... 2. Date filed ..... 3. Number of pages attached.....

## STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	6,335,823,737		6,335,823,737	6,726,204,503
2. Stocks:				
2.1 Preferred stocks .....	39,250,729		39,250,729	38,401,440
2.2 Common stocks .....	859,139,745	12,298,438	846,841,307	687,459,862
3. Mortgage loans on real estate:				
3.1 First liens .....	1,228,347,148		1,228,347,148	1,210,628,087
3.2 Other than first liens.....				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....				
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....	13,849,359		13,849,359	14,128,205
4.3 Properties held for sale (less \$ encumbrances) .....				
5. Cash (\$ .....22,850,648 ), cash equivalents (\$ .....125,346,137 ) and short-term investments (\$ .....112,842,424 ) .....	261,039,209		261,039,209	462,642,587
6. Contract loans (including \$ ..... premium notes) .....	1,379,415,402		1,379,415,402	1,345,138,902
7. Derivatives .....	267,932,182		267,932,182	270,607,360
8. Other invested assets .....	656,549,717		656,549,717	539,185,449
9. Receivables for securities .....	112,306,442		112,306,442	11,629,849
10. Securities lending reinvested collateral assets .....				
11. Aggregate write-ins for invested assets .....	1,387,000		1,387,000	15,220,400
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	11,155,040,670	12,298,438	11,142,742,232	11,321,246,644
13. Title plants less \$ ..... charged off (for Title insurers only) .....				
14. Investment income due and accrued .....	117,667,746		117,667,746	107,229,899
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	128,955		128,955	35,461
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....				
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	19,995,822		19,995,822	28,969,540
16.2 Funds held by or deposited with reinsured companies .....				
16.3 Other amounts receivable under reinsurance contracts .....	144,807,582		144,807,582	148,716,313
17. Amounts receivable relating to uninsured plans .....				
18.1 Current federal and foreign income tax recoverable and interest thereon .....				
18.2 Net deferred tax asset .....	170,563,403	62,725,018	107,838,385	111,835,000
19. Guaranty funds receivable or on deposit .....	625,613		625,613	820,795
20. Electronic data processing equipment and software .....				
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....				
22. Net adjustment in assets and liabilities due to foreign exchange rates .....				
23. Receivables from parent, subsidiaries and affiliates .....	29,060,873		29,060,873	17,320,829
24. Health care (\$ ..... ) and other amounts receivable .....	7,932		7,932	36
25. Aggregate write-ins for other than invested assets .....	35,887,489	5,965,638	29,921,851	33,512,163
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	11,673,786,085	80,989,094	11,592,796,991	11,769,686,680
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	81,068,658,078		81,068,658,078	80,575,821,360
28. Total (Lines 26 and 27) .....	92,742,444,163	80,989,094	92,661,455,069	92,345,508,040
<b>DETAILS OF WRITE-INS</b>				
1101. Collateral on derivatives .....	1,387,000		1,387,000	15,220,400
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....				
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above) .....	1,387,000		1,387,000	15,220,400
2501. Disbursements and items not allocated .....	35,887,489	5,965,638	29,921,851	33,512,163
2502. ....				
2503. ....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....				
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	35,887,489	5,965,638	29,921,851	33,512,163

## STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$ .....6,921,082,337 less \$ .....0 included in Line 6.3 (including \$ .....9,907,407 Modco Reserve).....	6,921,082,337	6,956,404,721
2. Aggregate reserve for accident and health contracts (including \$ .....0 Modco Reserve).....	132,453	157,093
3. Liability for deposit-type contracts (including \$ .....0 Modco Reserve).....	123,203,927	231,427,677
4. Contract claims:		
4.1 Life .....	226,834,478	195,916,764
4.2 Accident and health .....		
5. Policyholders' dividends/refunds to members \$ .....0 and coupons \$ .....0 due and unpaid .....		
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$ .....0 Modco).....	1,708,948	1,858,830
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$ .....0 Modco).....		
6.3 Coupons and similar benefits (including \$ .....0 Modco).....		
7. Amount provisionally held for deferred dividend policies not included in Line 6 .....		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ .....0 discount; including \$ .....0 accident and health premiums .....		
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts .....		
9.2 Provision for experience rating refunds, including the liability of \$ .....0 accident and health experience rating refunds of which \$ ..... is for medical loss ratio rebate per the Public Health Service Act .....	338,767,194	363,903,876
9.3 Other amounts payable on reinsurance, including \$ .....0 assumed and \$ .....172,890,545 ceded .....	172,890,545	303,062,552
9.4 Interest Maintenance Reserve .....	211,689,546	203,545,868
10. Commissions to agents due or accrued-life and annuity contracts \$ .....5,934,765 , accident and health \$ .....569,969 and deposit-type contract funds \$ .....0 .....	6,504,734	7,078,495
11. Commissions and expense allowances payable on reinsurance assumed .....		
12. General expenses due or accrued .....	16,659,530	20,777,756
13. Transfers to Separate Accounts due or accrued (net) (including \$ .....(116,451,339) accrued for expense allowances recognized in reserves, net of reinsured allowances).....	(826,749,590)	(927,622,849)
14. Taxes, licenses and fees due or accrued, excluding federal income taxes .....	4,896,708	5,160,213
15.1 Current federal and foreign income taxes, including \$ .....0 on realized capital gains (losses).....	4,751,206	72,650,043
15.2 Net deferred tax liability .....		
16. Unearned investment income .....	830,245	4,156,882
17. Amounts withheld or retained by reporting entity as agent or trustee .....		
18. Amounts held for agents' account, including \$ .....5,157,912 agents' credit balances .....	5,165,844	5,339,510
19. Remittances and items not allocated .....	36,792,187	34,087,611
20. Net adjustment in assets and liabilities due to foreign exchange rates .....		
21. Liability for benefits for employees and agents if not included above .....		
22. Borrowed money \$ .....0 and interest thereon \$ .....0 .....		
23. Dividends to stockholders declared and unpaid .....		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve .....	210,396,729	170,141,095
24.02 Reinsurance in unauthorized and certified (\$ ..... ) companies .....		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$ ..... ) reinsurers .....		
24.04 Payable to parent, subsidiaries and affiliates .....		
24.05 Drafts outstanding .....	34,683,828	35,745,332
24.06 Liability for amounts held under uninsured plans .....		
24.07 Funds held under coinsurance .....	261,952,744	241,325,290
24.08 Derivatives .....	91,226,357	359,102,999
24.09 Payable for securities .....	332,799,733	2,418,713
24.10 Payable for securities lending .....		
24.11 Capital notes \$ ..... and interest thereon \$ ..... .....		
25. Aggregate write-ins for liabilities .....	479,890,328	340,841,682
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25) .....	8,656,110,011	8,627,480,153
27. From Separate Accounts Statement .....	81,068,658,078	80,575,821,360
28. Total liabilities (Lines 26 and 27) .....	89,724,768,089	89,203,301,513
29. Common capital stock .....	5,690,000	5,690,000
30. Preferred capital stock .....		
31. Aggregate write-ins for other than special surplus funds .....	144,140,993	150,072,795
32. Surplus notes .....		
33. Gross paid in and contributed surplus .....	1,107,535,846	1,107,535,846
34. Aggregate write-ins for special surplus funds .....		
35. Unassigned funds (surplus) .....	1,679,320,141	1,878,907,886
36. Less treasury stock, at cost:		
36.1 ..... shares common (value included in Line 29 \$ ..... ) .....		
36.2 ..... shares preferred (value included in Line 30 \$ ..... ) .....		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ ..... in Separate Accounts Statement) .....	2,930,996,980	3,136,516,527
38. Totals of Lines 29, 30 and 37 .....	2,936,686,980	3,142,206,527
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3) .....	92,661,455,069	92,345,508,040
<b>DETAILS OF WRITE-INS</b>		
2501. Payable for repurchase agreements .....	373,288,877	102,814,254
2502. Collateral on derivatives .....	72,177,804	202,732,137
2503. Other liabilities - abandoned property unpaid funds .....	16,543,784	17,340,393
2598. Summary of remaining write-ins for Line 25 from overflow page .....	17,879,863	17,954,898
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	479,890,328	340,841,682
3101. Gain on inforce reinsurance .....	144,140,993	150,072,795
3102. ....		
3103. ....		
3198. Summary of remaining write-ins for Line 31 from overflow page .....		
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above) .....	144,140,993	150,072,795
3401. ....		
3402. ....		
3403. ....		
3498. Summary of remaining write-ins for Line 34 from overflow page .....		
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....		

## SUMMARY OF OPERATIONS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	6,382,081	(36,252,108)	(49,778,399)
2. Considerations for supplementary contracts with life contingencies			
3. Net investment income	391,230,406	727,518,969	866,187,538
4. Amortization of Interest Maintenance Reserve (IMR)	9,636,589	5,840,709	9,281,209
5. Separate Accounts net gain from operations excluding unrealized gains or losses			
6. Commissions and expense allowances on reinsurance ceded	24,071,195	22,471,376	30,359,975
7. Reserve adjustments on reinsurance ceded	(931,976,709)	(920,598,099)	(1,325,292,111)
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	605,728,939	570,192,504	865,681,540
8.2 Charges and fees for deposit-type contracts			
8.3 Aggregate write-ins for miscellaneous income	89,140,880	92,930,357	23,514,749
9. Totals (Lines 1 to 8.3)	194,213,381	462,103,708	419,954,501
10. Death benefits	491,039,411	332,268,209	435,075,037
11. Matured endowments (excluding guaranteed annual pure endowments)	1,839,672	829,269	2,624,002
12. Annuity benefits	285,390,624	271,460,595	370,303,078
13. Disability benefits and benefits under accident and health contracts	16,533	(2,214)	27,920
14. Coupons, guaranteed annual pure endowments and similar benefits			
15. Surrender benefits and withdrawals for life contracts	1,029,945,767	1,103,491,305	1,430,936,339
16. Group conversions			
17. Interest and adjustments on contract or deposit-type contract funds	(876,219)	7,260,966	19,270,532
18. Payments on supplementary contracts with life contingencies	163,680	185,876	243,054
19. Increase in aggregate reserves for life and accident and health contracts	(35,347,023)	(86,508,041)	(108,829,882)
20. Totals (Lines 10 to 19)	1,772,172,445	1,628,985,965	2,149,650,080
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	37,732,446	33,587,581	46,469,494
22. Commissions and expense allowances on reinsurance assumed	27,422	(20,723)	(28,933)
23. General insurance expenses and fraternal expenses	98,583,908	80,741,790	108,028,955
24. Insurance taxes, licenses and fees, excluding federal income taxes	1,974,206	1,566,543	1,952,859
25. Increase in loading on deferred and uncollected premiums			
26. Net transfers to or (from) Separate Accounts net of reinsurance	(1,956,479,725)	(1,847,809,723)	(2,552,327,919)
27. Aggregate write-ins for deductions	352,402	(7,710,741)	(13,908,796)
28. Totals (Lines 20 to 27)	(45,636,896)	(110,659,308)	(260,164,260)
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	239,850,277	572,763,016	680,118,761
30. Dividends to policyholders and refunds to members	1,008,710	37,675,529	59,774,150
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	238,841,567	535,087,487	620,344,611
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	(5,572,344)	17,529,661	23,754,273
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	244,413,911	517,557,826	596,590,338
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$ 5,428,258 (excluding taxes of \$ 4,726,400 transferred to the IMR)	(108,713,443)	58,318,571	166,770
35. Net income (Line 33 plus Line 34)	135,700,468	575,876,397	596,757,108
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
36. Capital and surplus, December 31, prior year	3,142,206,527	3,194,448,830	3,194,448,830
37. Net income (Line 35)	135,700,468	575,876,397	596,757,108
38. Change in net unrealized capital gains (losses) less capital gains tax of \$ 12,829,865	210,409,850	(126,256,908)	(326,934,094)
39. Change in net unrealized foreign exchange capital gain (loss)	(13,912,467)		168,669
40. Change in net deferred income tax	(9,957,933)	(18,515,387)	46,566,387
41. Change in nonadmitted assets	18,427,971	54,670,346	(24,977,308)
42. Change in liability for reinsurance in unauthorized and certified companies			
43. Change in reserve on account of change in valuation basis, (increase) or decrease			
44. Change in asset valuation reserve	(40,255,634)	(3,308,234)	(16,913,996)
45. Change in treasury stock			
46. Surplus (contributed to) withdrawn from Separate Accounts during period			
47. Other changes in surplus in Separate Accounts Statement			
48. Change in surplus notes			
49. Cumulative effect of changes in accounting principles			
50. Capital changes:			
50.1 Paid in			
50.2 Transferred from surplus (Stock Dividend)			
50.3 Transferred to surplus			
51. Surplus adjustment:			
51.1 Paid in			
51.2 Transferred to capital (Stock Dividend)			
51.3 Transferred from capital			
51.4 Change in surplus as a result of reinsurance			
52. Dividends to stockholders	(500,000,000)	(319,000,000)	(319,000,000)
53. Aggregate write-ins for gains and losses in surplus	(5,931,802)	(5,931,802)	(7,909,069)
54. Net change in capital and surplus for the year (Lines 37 through 53)	(205,519,547)	157,534,412	(52,242,303)
55. Capital and surplus, as of statement date (Lines 36 + 54)	2,936,686,980	3,351,983,242	3,142,206,527
<b>DETAILS OF WRITE-INS</b>			
08.301. Other investment management fees	83,957,754	80,159,002	8,169,994
08.302. Miscellaneous income	4,988,883	12,504,590	15,037,807
08.303. Separate Account loads	194,243	266,765	306,948
08.398. Summary of remaining write-ins for Line 8.3 from overflow page			
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	89,140,880	92,930,357	23,514,749
2701. Change in provision for future dividends	786,698	(6,792,441)	(12,621,203)
2702. Miscellaneous deductions	406,431	(35,246)	(256,531)
2703. MODCO adjustment	(840,727)	(883,054)	(1,031,062)
2798. Summary of remaining write-ins for Line 27 from overflow page			
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	352,402	(7,710,741)	(13,908,796)
5301. Gain on inforce reinsurance	(5,931,802)	(5,931,802)	(7,909,069)
5302.			
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page			
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	(5,931,802)	(5,931,802)	(7,909,069)



## STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	(19,836,534)	(26,287,844)	(3,894,560)
2. Net investment income .....	398,905,235	724,192,645	888,987,070
3. Miscellaneous income .....	713,009,212	(240,935,664)	(413,644,916)
4. Total (Lines 1 to 3) .....	1,092,077,913	456,969,137	471,447,594
5. Benefit and loss related payments .....	2,829,776,753	1,658,281,499	2,183,093,069
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	(2,057,352,984)	(1,874,241,151)	(2,499,017,428)
7. Commissions, expenses paid and aggregate write-ins for deductions .....	138,533,524	(66,856,148)	73,958,843
8. Dividends paid to policyholders .....	1,158,592	37,754,603	59,728,531
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses) .....	72,481,151	742	14,961,587
10. Total (Lines 5 through 9) .....	984,597,036	(245,060,455)	(167,275,398)
11. Net cash from operations (Line 4 minus Line 10) .....	107,480,877	702,029,592	638,722,992
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	1,368,635,951	999,395,783	1,490,432,937
12.2 Stocks .....	15,624,080	37,121,675	37,121,675
12.3 Mortgage loans .....	127,946,219	179,962,667	220,018,801
12.4 Real estate .....			
12.5 Other invested assets .....	74,633,311	17,021,919	29,367,590
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	(33,717)	6,195	(304,398)
12.7 Miscellaneous proceeds .....	344,225,849	234,929,988	158,813,914
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	1,931,031,693	1,468,438,227	1,935,450,519
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	974,596,781	1,267,475,699	1,637,013,207
13.2 Stocks .....	9,628,980	14,498,336	15,078,341
13.3 Mortgage loans .....	145,587,792	134,166,608	180,337,729
13.4 Real estate .....			
13.5 Other invested assets .....	79,387,167	61,365,148	91,067,540
13.6 Miscellaneous applications .....	539,116,995	1,715,828	3,090,301
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	1,748,317,715	1,479,221,619	1,926,587,118
14. Net increase (or decrease) in contract loans and premium notes .....	34,276,500	(23,735,997)	(8,771,025)
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	148,437,478	12,952,605	17,634,426
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....			
16.2 Capital and paid in surplus, less treasury stock .....			
16.3 Borrowed funds .....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	(110,125,000)	(14,836,591)	(6,843,631)
16.5 Dividends to stockholders .....	500,000,000	319,000,000	319,000,000
16.6 Other cash provided (applied) .....	152,603,267	(13,299,610)	(22,958,693)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	(457,521,733)	(347,136,201)	(348,802,324)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(201,603,378)	367,845,996	307,555,094
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	462,642,587	155,087,493	155,087,493
19.2 End of period (Line 18 plus Line 19.1) .....	261,039,209	522,933,489	462,642,587
<b>Note: Supplemental disclosures of cash flow information for non-cash transactions:</b>			
20.0001. Non-cash proceeds from asset exchanges - bonds and mortgages .....	(89,516,157)	(110,424,165)	(204,263,040)
20.0002. Non-cash proceeds from asset exchanges - bonds and mortgages .....	(89,516,157)	(110,424,165)	(204,263,040)
20.0003. Non-cash premium for change in provision for experience rating refunds - ceded .....	(988,439)	(20,717,019)	(14,599,839)
20.0004. Non-cash for other amounts receivable under reinsurance contracts .....	(988,439)	(20,717,019)	(14,599,839)

## EXHIBIT 1

### DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life .....			
2. Ordinary life insurance .....	104,039,822	60,699,336	99,942,426
3. Ordinary individual annuities .....	128,658,960	113,911,086	154,401,558
4. Credit life (group and individual) .....			
5. Group life insurance .....	24,178,294	13,097,422	(16,998,220)
6. Group annuities .....	691,084,340	790,743,707	1,007,119,683
7. A & H - group .....	13,585,100	19,233,187	26,402,331
8. A & H - credit (group and individual) .....			
9. A & H - other .....	14,203	16,431	602,378
10. Aggregate of all other lines of business .....			
11. Subtotal (Lines 1 through 10) .....	961,560,719	997,701,169	1,271,470,156
12. Fraternal (Fraternal Benefit Societies Only) .....			
13. Subtotal (Lines 11 through 12) .....	961,560,719	997,701,169	1,271,470,156
14. Deposit-type contracts .....	792,435,415	860,351,044	1,122,756,132
15. Total (Lines 13 and 14)	1,753,996,134	1,858,052,213	2,394,226,288
DETAILS OF WRITE-INS			
1001. ....			
1002. ....			
1003. ....			
1098. Summary of remaining write-ins for Line 10 from overflow page .....			
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)			

## NOTES TO FINANCIAL STATEMENTS

### **Note 1 - Summary of Significant Accounting Policies and Going Concern**

#### **A. Accounting Practices**

The accompanying statutory-basis financial statements of Talcott Resolution Life Insurance Company (the "Company" or "TL") have been prepared in conformity with statutory accounting practices prescribed or permitted by the State of Connecticut Insurance Department ("the Department"). The Department recognizes only statutory accounting practices prescribed or permitted by the State of Connecticut for determining and reporting the financial condition and results of operations of an insurance company and for determining solvency under the State of Connecticut Insurance Law. The National Association of Insurance Commissioners' Accounting Practices and Procedures Manual ("NAIC SAP") has been adopted as a component of prescribed practices by the State of Connecticut.

A difference prescribed by Connecticut state law allows the Company and its wholly-owned subsidiary, Talcott Resolution Life and Annuity Insurance Company ("TLA"), to receive a reinsurance reserve credit for reinsurance treaties that provide for a limited right of unilateral cancellation by the reinsurer. Even if the Company and/or TLA did not obtain reinsurance reserve credit for these reinsurance treaties, the Company's and TLA's risk-based capital would not have triggered a regulatory event.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed by the Department is shown below:

	SSAP #	F/S Page	F/S Line #	2021	2020
<b>Net Income</b>					
1. TL state basis (Page 4, Line 35, Columns 1 & 3)	XXX	XXX	XXX	\$ 135,700,468	\$ 596,757,108
2. State prescribed practices that are an (increase)/decrease from NAIC SAP:					
Less: Reinsurance reserve credit (as described above)	61	4	19	(2,373,153)	1,741,372
				(2,373,153)	1,741,372
3. State permitted practices that are an (increase)/decrease from NAIC SAP				—	—
4. NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ 138,073,621	\$ 595,015,736
<b>Surplus</b>					
5. TL state basis (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	\$ 2,936,686,980	\$ 3,142,206,527
6. State prescribed practices that are an (increase)/decrease from NAIC SAP:					
Less: Reinsurance reserve credit (TL) (as described above)	61	3	1	4,848,470	7,221,623
Less: Reinsurance reserve credit (TLA) (as described above)	61	3	35	23,525,736	43,673,148
				28,374,206	50,894,771
7. State permitted practices that are an (increase)/decrease from NAIC SAP				—	—
8. NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$ 2,908,312,774	\$ 3,091,311,756

The Company's reported investment in TLA was \$781,455,470 and \$621,689,840 as of September 30, 2021 and December 31, 2020, respectively. The Company's investment in TLA would have been reported as \$757,929,734 and \$578,016,692 as of September 30, 2021 and December 31, 2020, respectively, without the state prescribed practices.

The Company does not follow any other prescribed or permitted statutory accounting practices that have a material effect on statutory surplus, statutory net income or risk-based capital of the Company.

#### **C. Accounting Policy**

- The Company had no SVO - identified investments in exchange traded funds or bond mutual funds that qualifies for bond accounting treatment.
- Loan-backed bonds and structured securities are carried at either amortized cost or the lower of amortized cost or fair value in accordance with the provisions of SSAP No. 43-Revised, Loan-backed and Structured Securities. Significant changes in estimated cash flows from the original purchase assumptions are accounted for using the prospective method, except for highly rated fixed rate securities, which use the retrospective method.

#### **D. Going Concern**

The Company is not aware of any conditions or events which raise substantial doubts concerning the Company's ability to continue as a going concern.

### **Note 2 - Accounting Changes and Corrections of Errors**

No significant change.

### **Note 3 - Business Combinations and Goodwill**

No significant change.

### **Note 4 - Discontinued Operations**

No significant change.

### **Note 5 - Investments**

#### **D. Loan-Backed Securities**

- Prepayment assumptions for single class and multi-class mortgage-backed/asset-backed securities were obtained from broker dealer survey values or internal estimates.
- The Company had no other-than-temporary impairments ("OTTI") for loan-backed securities recorded during the year where the Company had either the intent to sell the securities or the inability or lack of intent to retain.
- The Company did not recognize any OTTI for loan-backed securities held as of September 30, 2021.

## NOTES TO FINANCIAL STATEMENTS

### 4. Security Unrealized Loss Aging

All impaired securities (fair value is less than cost or amortized cost) for which an OTTI has not been recognized in earnings as a realized loss (including securities with a recognized OTTI for non-interest related declines when a non-recognized interest related impairment remains):

a. The aggregate amount of unrealized losses:

1. Less than 12 Months	\$ 1,164,372
2. 12 Months or Longer	\$ 2,228,838

b. The aggregate related fair value of securities with unrealized losses:

1. Less than 12 Months	\$ 239,204,804
2. 12 Months or Longer	\$ 146,922,869

5. As of September 30, 2021, loan-backed securities in an unrealized loss position comprised 99 securities, primarily related to commercial mortgage-backed securities ("CMBS"), residential mortgage-backed securities ("RMBS"), corporate securities in the financial services sector, and assets-backed securities ("ABS") in the student loan sector, which were depressed primarily due to higher interest rates and/or widening of credit spreads since the securities were purchased. The Company does not intend to sell the securities outlined above. Furthermore, based upon the Company's cash flow modeling and the expected continuation of contractually required principal and interest payments, the Company has deemed these securities to be temporarily impaired as of September 30, 2021.

### E. Dollar Repurchase Agreements and/or Securities Lending Transactions

3. Collateral Received

b. The Company did not accept collateral that is permitted by contract or custom to sell or repledge as of as of September 30, 2021.

### F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

1. Company Policies or Strategies for Repo Programs

From time to time, the Company enters into repurchase agreements to manage liquidity or to earn incremental income. A repurchase agreement is a transaction in which one party (transferor) agrees to sell securities to another party (transferee) in return for cash (or securities), with a simultaneous agreement to repurchase the same securities at a specified price at a later date. These transactions generally have a contractual maturity of ninety days or less. Repurchase agreements include master netting provisions that provide both counterparties the right to offset claims and apply securities held by them with respect to their obligations in the event of a default. Although the Company has the contractual right to offset claims, the Company's current positions do not meet the specific conditions for net presentation.

Under repurchase agreements, the Company transfers collateral of U.S. government and government agency securities and receives cash. For repurchase agreements, the Company obtains cash in an amount equal to at least 95% of the fair value of the securities transferred. The agreements require additional collateral to be transferred when necessary and provide the counterparty the right to sell or re-pledge the securities transferred. The cash received from the repurchase program is typically invested in short-term investments or fixed maturities and is reported as an asset on the Company's consolidated balance sheets. The Company accounts for the repurchase agreements as collateralized borrowings. The securities transferred under repurchase agreements are included in bonds, with the obligation to repurchase those securities recorded in Other liabilities on the Company's consolidated balance sheets.

From time to time, the Company enters into reverse repurchase agreements where the Company purchases securities and simultaneously agrees to resell the same or substantially the same securities. The agreements require additional collateral to be transferred to the Company when necessary and the Company has the right to sell or re-pledge the securities received as collateral. The Company accounts for reverse repurchase agreements as collateralized financing.

2. Type of Repo Trades Used

	1 First Quarter	2 Second Quarter	3 Third Quarter	4 Fourth Quarter
a. Bilateral (YES/NO)	YES	YES	YES	
b. Tri-Party (YES/NO)	NO	NO	NO	

3. Maturity Time Frame

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Open - no maturity	\$ —	\$ —	\$ —	\$ —
2. Overnight	—	—	—	—
3. 2 days to 1 week	—	—	—	—
4. >1 week to 1 month	—	—	—	—
5. >1 month to 3 months	—	—	92,867,250	—
6. >3 months to 1 year	239,523,773	328,425,698	328,425,698	—
7. > 1 year	—	—	—	—
b. Ending Balance				
1. Open - no maturity	—	—	—	—
2. Overnight	—	—	—	—
3. 2 days to 1 week	—	—	—	—
4. >1 week to 1 month	—	—	—	—
5. >1 month to 3 months	—	—	92,867,250	—
6. >3 months to 1 year	239,523,773	328,425,698	280,421,623	—
7. > 1 year	—	—	—	—

## NOTES TO FINANCIAL STATEMENTS

## 4. Counterparty, Jurisdiction and Fair Value (FV)

The Company has no investments in defaulted repurchase agreements.

## 5. Securities "Sold" Under Repo - Secured Borrowing

The Company has no securities sold under repurchase agreement transactions accounted for as secured borrowings.

## 6. Securities Sold Under Repo - Secured Borrowing by NAIC Designation

The Company has no securities sold under repurchase agreement transactions accounted for as secured borrowings.

## 7. Collateral Received - Secured Borrowing

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Cash	\$ 239,523,773	\$ 328,425,698	\$ 373,288,873	\$ —
2. Securities (FV)	—	—	—	—
b. Ending Balance	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
1. Cash	\$ 239,523,773	\$ 328,425,698	\$ 373,288,873	\$ —
2. Securities (FV)	—	—	—	—

## 8. Cash &amp; Non-Cash Collateral Received - Secured Borrowing by NAIC Designation

Ending Balance	1 None	2 NAIC 1	3 NAIC 2	4 NAIC 3	5 NAIC 4	6 NAIC 5	7 NAIC 6	8 Does Not Qualify as Admitted
a. Cash	\$ —	\$373,288,873	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
b. Bonds - FV	—	—	—	—	—	—	—	—
c. LB & SS - FV	—	—	—	—	—	—	—	—
d. Preferred stock - FV	—	—	—	—	—	—	—	—
e. Common stock	—	—	—	—	—	—	—	—
f. Mortgage loans - FV	—	—	—	—	—	—	—	—
g. Real estate - FV	—	—	—	—	—	—	—	—
h. Derivatives - FV	—	—	—	—	—	—	—	—
i. Other invested assets - FV	—	—	—	—	—	—	—	—
j. Total collateral assets - FV	\$ —	\$373,288,873	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —

## 9. Allocation of Aggregate Collateral by Remaining Contractual Maturity

	Fair Value
a. Overnight and continuous	\$ —
b. 30 days or less	92,867,250
c. 31 to 90 days	150,000,773
d. >90 days	130,420,850

## 10. Allocation of Aggregate Collateral Reinvested by Remaining Contractual Maturity

	Amortized Cost	Fair Value
a. 30 days or less	\$ 246,239,220	\$ 250,190,333
b. 31 to 60 days	39,174,746	39,177,572
c. 61 to 90 days	29,818,517	29,780,459
d. 91 to 120 days	15,671,989	15,678,481
e. 121 to 180 days	15,671,990	15,669,227
f. 181 to 365 days	—	—
g. 1 to 2 years	—	—
h. 2 to 3 years	—	—
i. >3 years	26,712,411	26,712,411

## NOTES TO FINANCIAL STATEMENTS

## 11. Liability to Return Collateral - Secured Borrowing (Total)

		First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a.	Maximum Amount				
	1. Cash	\$ 239,523,773	\$ 328,425,698	\$ 373,288,873	\$ —
	2. Securities (FV)	—	—	—	—
b.	Ending Balance				
	1. Cash	\$ 239,523,773	\$ 328,425,698	\$ 373,288,873	\$ —
	2. Securities (FV)	—	—	—	—

## G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

## 1. Company Policy or Strategies for Engaging in Repo Programs

From time to time, the Company enters into reverse repurchase agreements where the Company purchases securities and simultaneously agrees to resell the same or substantially the same securities. The agreements require additional collateral to be transferred to the Company when necessary and the Company has the right to sell or re-pledge the securities received as collateral. The Company accounts for reverse repurchase agreements as collateralized financing. The receivable for reverse repurchase agreements is included within short term investments.

## 2. Type of Repo Trades Used

	1 First Quarter	2 Second Quarter	3 Third Quarter	4 Fourth Quarter
a. Bilateral (YES/NO)	YES	YES	YES	
b. Tri-Party (YES/NO)	NO	NO	NO	

## 3. Original (Flow) and Residual Maturity

a. Maximum Amount	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
1. Open - No Maturity	\$ —	\$ —	\$ —	\$ —
2. Overnight	—	—	—	—
3. 2 days to 1 week	—	—	—	—
4. >1 week to 1 month	—	—	—	—
5. >1 month to 3 months	—	—	4,549,068	—
6. >3 months to 1 year	13,118,149	8,282,164	8,812,835	—
7. > 1 year	—	—	—	—
b. Ending Balance	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
1. Open - No Maturity	\$ —	\$ —	\$ —	\$ —
2. Overnight	—	—	—	—
3. 2 days to 1 week	—	—	—	—
4. >1 week to 1 month	—	—	—	—
5. >1 month to 3 months	—	—	4,549,068	—
6. >3 months to 1 year	7,623,939	8,270,962	7,846,850	—
7. > 1 year	—	—	—	—

## 4. Counterparty, Jurisdiction and Fair Value (FV)

None

## 5. Fair Value of Securities Acquired Under Repo - Secured Borrowing

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount	\$ 17,977,419	\$ 12,112,926	\$ 18,109,146	\$ —
b. Ending Balance	\$ 10,348,138	\$ 11,822,245	\$ 17,578,161	\$ —

## NOTES TO FINANCIAL STATEMENTS

### 6. Securities Acquired Under Repo - Secured Borrowing by NAIC Designation

Ending Balance		1	2	3	4	5	6	7	8
		None	NAIC 1	NAIC 2	NAIC 3	NAIC 4	NAIC 5	NAIC 6	Does Not Qualify as Admitted
a.	Bonds - FV	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
b.	LB & SS - FV	—	—	—	—	—	17,250,306	—	—
c.	Preferred stock - FV	—	—	—	—	—	—	—	—
d.	Common stock	—	—	—	—	—	—	—	—
e.	Mortgage loans - FV	—	—	—	—	—	—	—	—
f.	Real estate - FV	—	—	—	—	—	—	—	—
g.	Derivatives - FV	—	—	—	—	—	—	—	—
h.	Other invested assets - FV	—	—	—	—	—	—	—	327,855
i.	Total assets - FV	\$ —	\$ —	\$ —	\$ —	\$ —	\$ 17,250,306	\$ —	\$ 327,855

### 7. Collateral Pledged - Secured Borrowing

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Cash	\$ 13,118,149	\$ 8,282,164	\$ 12,395,918	\$ —
2. Securities (FV)	—	—	—	—
3. Securities (BACV)	XXX	XXX	XXX	XXX
4. Nonadmitted subset (BACV)	XXX	XXX	XXX	XXX
b. Ending Balance				
1. Cash	\$ 7,623,939	\$ 8,270,962	\$ 12,395,918	\$ —
2. Securities (FV)	—	—	—	—
3. Securities (BACV)	XXX	XXX	XXX	XXX
4. Nonadmitted subset (BACV)	XXX	XXX	XXX	XXX

### 8. Allocation of Aggregate Collateral Pledged by Remaining Contractual Maturity

	Amortized Cost	Fair Value
a. Overnight and Continuous	\$ —	\$ —
b. 30 days or less	—	—
c. 31 to 90 days	12,395,918	12,395,918
d. >90 days	—	—

### 9. Recognized Receivable for Return of Collateral - Secured Borrowing

None

### 10. Recognized Liability to Return Collateral - Secured Borrowing (Total)

None

### H. Repurchase Agreements Transactions Accounted for as a Sale

The Company had no reverse repurchase agreements transactions accounted for as secured borrowing transactions.

### I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

The Company had no reverse repurchase agreements transactions accounted for as a sale transaction.

### M. Working Capital Finance Investments

The Company had no working capital finance investments.

### N. Retained Assets

The Company had no offsetting and netting of assets and liabilities.

### R. Share of Cash Pool by Asset type

The Company did not participate in a short term investment pool as of September 30, 2021.

### Note 6 - Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

### Note 7 - Investment Income

No significant change.

## NOTES TO FINANCIAL STATEMENTS

### Note 8 - Derivative Instruments

#### H. Other Investment and/or Risk Management Activities

The premium payments for derivatives with financing premiums due within the next four years are listed in the table below, as well as the undiscounted premium commitments, the fair value of these contracts and the aggregate fair value excluding the impact of these premiums as of September 30, 2021 and December 31, 2020, respectively.

(amount in thousands)	
Fiscal Year	Derivative Premium Payments Due
2021	\$ —
2022	4,125
2023	10,958
2024	13,704
Thereafter	176,522
Total Future Settled Premiums	\$ 205,309

(amount in thousands)			
Date	Undiscounted Future Premium Commitments	Derivative Fair Value with Premium Commitments	Derivative Fair Value Excluding Impact of Future Settled Premiums
September 30, 2021	\$ 205,309	\$ 136,874	\$ 136,874
December 31, 2020	\$ 42,550	\$ (78,950)	\$ (121,500)

### Note 9 - Income Taxes

No significant change.

### Note 10 - Information Concerning Parent, Subsidiaries and Affiliates

Effective July 1, 2021, TL and certain of its affiliates, including but not limited to insurance companies (the "Talcott Companies"), entered into a new Amended and Restated Services and Cost Allocation Agreement, which superseded the previous Cost Allocation Agreements and authorizes the affiliates and TL to obtain a variety of operating services from each other to conduct their day to day businesses and to provide fair and equitable compensation for their services. Expenses covered under the Agreement are allocated based on cost plus basis.

### Note 11 - Debt

#### B. FHLB (Federal Home Loan Bank) Agreements

- The Company is a member of the Federal Home Loan Bank of Boston ("FHLB"). Membership allows the Company access to collateralized advances, which may be used to support various spread-based businesses or to enhance liquidity management. FHLB membership requires the Company to own member stock and borrowings require the purchase of activity-based stock in an amount (generally between 3% and 4% of the principal balance) based upon the term of the outstanding advances. FHLB stock held by the Company is classified within Page 2, Line 2.2 (Common stocks) in the General Account. As of September 30, 2021 there were no advances outstanding.

State law limits the Company's ability to pledge, hypothecate or otherwise encumber its assets. The amount of advances that can be taken by the Company are dependent on the assets pledged by the Company to secure the advances, and are therefore subject to this legal limit. The pledge limit is recalculated annually based on statutory admitted assets and capital and surplus. For 2021, the Company's pledge limit is \$786 million. The Company would need to seek prior written approval from the Department in order to exceed this limit. If the Company were to pursue borrowing additional amounts under its estimated capacity it may have to purchase additional shares of activity stock.

- a. FHLB Capital Stock - Aggregate Totals

#### 1. September 30, 2021

	1 Total 2+3	2 General Account	3 Separate Accounts
a. Membership Stock - Class A	\$ —	\$ —	\$ —
b. Membership Stock - Class B	2,586,400	2,586,400	—
c. Activity Stock	—	—	—
d. Excess Stock	—	—	—
e. Aggregate Total (a+b+c+d)	\$ 2,586,400	\$ 2,586,400	\$ —
f. Actual or estimated borrowing capacity as determined by the insurer	\$ 786,000,000	\$ 786,000,000	\$ —

#### 2. December 31, 2020

	1 Total 2+3	2 General Account	3 Separate Accounts
a. Membership Stock - Class A	\$ —	\$ —	\$ —
b. Membership Stock - Class B	2,147,700	2,147,700	—
c. Activity Stock	—	—	—
d. Excess Stock	—	—	—
e. Aggregate Total (a+b+c+d)	\$ 2,147,700	2,147,700	\$ —
f. Actual or estimated borrowing capacity as determined by the insurer	\$ 786,000,000	\$ 786,000,000	\$ —



## NOTES TO FINANCIAL STATEMENTS

## b. Membership Stock (Class A and B) Eligible for Redemption

Membership Stock		Eligible for Redemption					
		1 Current Period Total (2+3+4+5+6)	2 Not Eligible for Redemption	3 Less Than 6 Months	4 6 Months to Less than 1 Year	5 1 to Less than 3 Years	6 3 to 5 Years
1	Class A	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
2	Class B	2,586,400	2,586,400	—	—	—	—

## 3. Collateral Pledged to FHLB

## a. Amount Pledged as of September 30, 2021

		1 Fair Value	2 Carrying Value	3 Aggregate Total Borrowing
1	Current Year Total General and Separate Accounts (Total Collateral Pledged (Lines 2 + 3))	\$ 126,477,112	\$ 117,431,044	\$ —
2	Current Year General Account: Total Collateral Pledged	126,477,112	117,431,044	—
3	Current Year Separate Account: Total Collateral Pledged	—	—	—
4	Prior Year-end Total General and Separate Accounts: Total Collateral Pledged	—	—	—

## b. Maximum Amount Pledged During Reporting Period

		1 Fair Value	2 Carrying Value	3 Amount Borrowed at Time of Maximum Collateral
1	Current Year Total General and Separate Accounts (Maximum Collateral Pledged (Lines 2 + 3))	\$ 126,477,112	\$ 117,431,044	\$ —
2	Current Year General Account Maximum Collateral Pledged	126,477,112	117,431,044	—
3	Current Year Separate Account Maximum Collateral Pledged	—	—	—
4	Prior Year-end Total General and Separate Accounts Maximum Collateral Pledged	—	—	—

## 4. a. &amp; b. Borrowing from FHLB - Amount as of the Reporting Date

The Company had borrowings from the FHLB as of September 30, 2021.

## c. FHLB - Prepayment Obligations

The Company does not have any prepayment obligations as of September 30, 2021.

**Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans****A-D. Defined Benefit Plans**

The Company has no direct plans.

**Note 13 - Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations**

On May 18, 2021, Talcott Resolution received permission from the Department to pay an extraordinary dividend of \$500,000,000 from TL to its parent, Talcott Resolution Life, Inc. TL paid the dividend on June 29, 2021.

## NOTES TO FINANCIAL STATEMENTS

### Note 14 - Liabilities, Contingencies, and Assessments

#### A. Contingent Commitments

##### 2. Detail of Other Contingent Commitments

1	2	3	4	5
Nature and Circumstances of Guarantee and Key Attributes, Including Date and Duration of Agreement	Liability Recognition of Guarantee	Ultimate Financial Statement Impact if Action Under the Guarantee is Required	Maximum Potential Amount of Future Payments the Guarantor Could be Required to Make	Current Status of Payment or Performance Risk of Guarantee
1. In 1997, TL guaranteed the obligations of TLA with respect to life, accident and health insurance and annuity contracts. The guarantee was issued to provide an increased level of security to potential purchasers of TLA products. As of September 30, 2021 and December 31, 2020, no liability was recorded for this guarantee, as TLA was able to meet these policyholder obligations.	\$ —	Increase in Investments in SCA, Dividends to stockholders (capital contribution), Expense, or Other	Unlimited (1)	The guaranteed affiliate maintains surplus in addition to policyholder reserves. The payment or performance risk of this guarantee is low as it is unlikely that this guarantee will be triggered.
Total	\$ —		Unlimited	

(1) There is no limit on the Company's guarantee to pay policyholder obligations on behalf of the affiliate for the contracts covered in the guarantee agreement.

#### B. Assessments

No significant change.

#### C. Gain Contingencies

No significant change.

#### D. Claims related extra contractual obligations and bad faith losses stemming from lawsuits

No significant change.

#### E. Joint and Several Liabilities

No significant change.

#### F. All Other Contingencies

The Company is or may become involved in various legal actions, some of which assert claims for substantial amounts. Management expects that the ultimate liability, if any, with respect to such lawsuits, after consideration of provisions made for estimated losses and costs of defense, will not be material to the financial condition of the Company.

For additional information, please refer to the current and periodic reports filed by the Company with the United States Securities and Exchange Commission.

### Note 15 - Leases

No significant change.

### Note 16- Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No significant change.

### Note 17- Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

B. The Company had no transfer or servicing of financial assets.

C. The Company had no wash sales.

### Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

### Note 19 -Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant change.

### Note 20 - Fair Value Measurements

#### A. Fair Value Measurements

Fair value is determined based on the "exit price" notion which is defined as the price that would be received to sell an asset or paid to transfer a liability in the principal or most advantageous market for the asset or liability in an orderly transaction between market participants. Financial instruments carried at fair value in the Company's financial statements include certain bonds, stocks, derivatives, and Separate Account assets.

The Company's investment manager for the general account and guaranteed separate accounts (a registered investment adviser under the Investment Advisers Act of 1940), with oversight by the Company's Investment Management Department and its Finance and Investment Committee ("FIC"), a committee co-chaired by the Chief Investment Officer and the Chief Risk Officer of the Company, estimates the fair value for financial assets held in the Company's general account and guaranteed separate accounts based on the framework established in the fair value accounting guidance. The Company reviews its investment manager's pricing policy on a periodic basis, with any changes to be approved by the FIC. The Company reserves the right to take exception to its investment manager's pricing of a particular asset and, with FIC's approval, to adjust the price received from its investment manager for that particular asset. The Company estimates the fair value for financial liabilities based on the framework established in the fair value accounting guidance. The framework is based on the inputs used in valuation, gives the highest priority

## NOTES TO FINANCIAL STATEMENTS

to quoted prices in active markets and requires that observable inputs be used in the valuations when available. The Company categorizes its assets and liabilities measured at estimated fair value based on whether the significant inputs into the valuation are observable. The fair value hierarchy categorizes the inputs in the valuation techniques used to measure fair value into three broad Levels (Level 1, 2, or 3):

- Level 1 Unadjusted quoted prices for identical assets or liabilities in active markets that the Company has the ability to access at the measurement date.
- Level 2 Observable inputs, other than quoted prices included in Level 1, for the asset or liability, or prices for similar assets and liabilities.
- Level 3 Valuations that are derived from techniques in which one or more of the significant inputs are unobservable (including assumptions about risk). Because Level 3 fair values, by their nature, contain one or more significant unobservable inputs as there is little or no observable market for these assets and liabilities, considerable judgment is used to determine the Level 3 fair values. Level 3 fair values represent the Company's best estimate of amounts that could be realized in a current market exchange absent actual market exchanges.

In many situations, inputs used to measure the fair value of an asset or liability position may fall into different levels of the fair value hierarchy. In these situations, the Company's investment manager will determine the level in which the fair value falls based upon the lowest level input that is significant to the determination of the fair value. In most cases, both observable (e.g., changes in interest rates) and observable (e.g. changes in risk assumptions) inputs are used in determination of fair values that the Company's investment manager has classified within Level 3. Consequently, these values and the related gains and losses are based upon both observable and unobservable inputs. The Company's bonds included in Level 3 are classified as such because these securities are primarily within illiquid markets and/or prices by independent brokers.

1. The following table presents assets and (liabilities) carried at fair value by hierarchy level:

September 30, 2021					
(Amounts in thousands)	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)	Net Asset Value (NAV)	Total
<b>a. Assets accounted for at fair value</b>					
Preferred stocks - unaffiliated	\$ —	\$ 19,874	\$ 6,803	\$ —	\$ 26,677
Common stocks - unaffiliated	—	—	14,770	—	14,770
Cash equivalents	21,689	—	—	—	21,689
Total bonds, stocks and real estate	21,689	19,874	21,573	—	63,136
Derivative assets					
Interest rate derivatives	—	44,015	36,074	—	80,089
Macro hedge program	—	12,009	168,382	—	180,391
Total derivative assets	—	56,024	204,456	—	260,480
Separate Account assets [1]	37,009,320	41,980,255	360,415	—	79,349,990
Total assets accounted for at fair value	\$ 37,031,009	\$ 42,056,153	\$ 586,444	\$ —	\$ 79,673,606
<b>b. Liabilities accounted for at fair value</b>					
Derivative liabilities					
Credit derivatives	\$ —	\$ (70)	\$ —	\$ —	\$ (70)
Interest rate derivatives	—	(34,993)	—	—	(34,993)
Macro hedge program	—	(40,060)	(15,348)	—	(55,408)
Total liabilities accounted for at fair value	\$ —	\$ (75,123)	\$ (15,348)	\$ —	\$ (90,471)

[1] Excludes approximately \$1.7 billion of investment sales receivable net of investment purchases payable that are not subject to SSAP No. 100 (Fair Value Measurements).

### Valuation Techniques, Procedures and Controls

The Company determines the fair values of certain financial assets and liabilities based on quoted market prices where available and where prices represent reasonable estimates of fair value. The Company also determines fair values based on future cash flows discounted at the appropriate current market rate. Fair values reflect adjustments for counterparty credit quality, the Company's default spreads, liquidity and, where appropriate, risk margins on unobservable parameters. The following is a discussion of the methodologies used to determine fair values for the financial instruments listed in the preceding tables.

The fair value process is monitored by the Valuation Committee of the Company's investment manager, which is a cross-functional group of senior management that meets at least quarterly. The purpose of the committee is to oversee the pricing policy and procedures by ensuring objective and reliable valuation practices and pricing of financial instruments as well as addressing valuation issues and approving changes to valuation methodologies and pricing sources. There are also two working groups under the Valuation Committee of the Company's investment manager, a Securities Valuation Group and a Derivatives Valuation Group, which include various investment, operations, accounting, compliance and risk management professionals that meet on a regular basis, to review market data trends, pricing and trading statistics and results, and any proposed pricing methodology changes.

In addition, the Finance and Investment Committee of the Company, co-chaired by its Chief Investment Officer and Chief Financial Officer, is responsible for the approval and monitoring of the Valuation Policy of the Company as well as the adjudication of any valuation disputes thereunder. The Valuation Policy addresses valuation of all financial instruments held in the general account and guaranteed separate accounts of the Company, including all derivative positions. The Finance and Investment Committee meets regularly, and its members include a cross-functional group of senior management as well as various investment, accounting, finance, and risk management professionals.

The Company also has an enterprise-wide Operational Risk Management function which is responsible for establishing, maintaining and communicating the framework, principles and guidelines of the Company's operational risk management program. This includes model risk management which provides an independent review of the suitability, characteristics and reliability of model inputs as well as an analysis of significant changes to current models.

### Bonds and Stocks

The fair values of bonds and stocks in an active and orderly market (e.g., not distressed or forced liquidation) are determined by the Company's investment manager using a "waterfall" approach utilizing the following pricing sources: quoted prices for identical assets or liabilities, prices from third-party pricing services, independent broker quotations, or internal matrix pricing processes. Typical inputs used by these pricing sources include, but are not limited to, benchmark yields, reported trades, broker/dealer quotes, issuer spreads, benchmark securities, bids, offers, and/or estimated cash flows, prepayment speeds, and default rates. Most bonds do not trade daily. Based on the typical trading volumes and the lack of quoted market prices for bonds, third-party pricing services utilize matrix pricing to derive security prices. Matrix pricing relies on securities' relationships to other benchmark quoted securities, which trade more frequently. Pricing services utilize recently reported trades of identical or similar securities making adjustments through the reporting date based on the preceding outlined available market observable information. If there are no recently reported trades, the third-party pricing services may develop a security price using expected future cash flows based upon collateral performance and discounted at an estimated market rate. Both matrix pricing and discounted cash flow techniques develop prices by factoring in the time value for cash flows and risk, including liquidity and credit.

## NOTES TO FINANCIAL STATEMENTS

Prices from third-party pricing services may be unavailable for securities that are rarely traded or are traded only in privately negotiated transactions. As a result, certain securities are priced via independent broker quotations which utilize inputs that may be difficult to corroborate with observable market based data. Additionally, the majority of these independent broker quotations are non-binding.

The Company's investment manager utilizes an internally developed matrix pricing process for private placement securities for which the Company is unable to obtain a price from a third-party pricing service. The process is similar to the third-party pricing services. The Company's investment manager develops credit spreads each month using market based data for public securities adjusted for credit spread differentials between public and private securities which are obtained from a survey of multiple private placement brokers. The credit spreads determined through this survey approach are based upon the issuer's financial strength and term to maturity, utilizing independent public security index and trade information and adjusting for the non-public nature of the securities. Credit spreads combined with risk-free rates are applied to contractual cash flows to develop a price.

The Company's investment manager performs ongoing analyses of the prices and credit spreads received from third parties to ensure that the prices represent a reasonable estimate of the fair value. In addition, the Company's investment manager ensures that prices received from independent brokers represent a reasonable estimate of fair value through the use of internal and external cash flow models utilizing spreads, and when available, market indices. As a result of these analyses, if the Company's investment manager determines that there is a more appropriate fair value based upon the available market data, the price received from the third party is adjusted accordingly and approved by the Valuation Committee of the Company's investment manager.

The Company's investment manager conducts other specific monitoring controls around pricing. Daily, weekly and monthly analyses identify price changes over pre-determined thresholds for bonds and equity securities. Monthly analyses identify prices that have not changed, and missing prices. Also on a monthly basis, a second source validation is performed on most sectors. Analyses are conducted by a dedicated pricing unit that follows up with trading and investment sector professionals and challenges prices with vendors when the estimated assumptions used differs from what the Company's investment manager feels a market participant would use. Examples of other procedures performed include, but are not limited to, initial and ongoing review of third-party pricing services' methodologies, review of pricing statistics and trends and back testing recent trades.

The Company's investment manager has analyzed the third-party pricing services' valuation methodologies and related inputs, and has also evaluated the various types of securities in its investment portfolio to determine an appropriate fair value hierarchy level based upon trading activity and the observability of market inputs. Most prices provided by third-party pricing services are classified into Level 2 because the inputs used in pricing the securities are observable. Due to the lack of transparency in the process that brokers use to develop prices, most valuations that are based on brokers' prices are classified as Level 3. Some valuations may be classified as Level 2 if the price can be corroborated with observable market data.

### Derivative Instruments

Derivative instruments are fair valued using pricing valuation models for OTC derivatives that utilize independent market data inputs, quoted market prices for exchange-traded derivatives and OTC-cleared derivatives, or independent broker quotations.

The Company performs ongoing analysis of the valuations, assumptions, and methodologies used to ensure that the prices represent a reasonable estimate of the fair value. The Company performs various controls on derivative valuations which include both quantitative and qualitative analyses. Analyses are conducted by a cross-functional group of investment, actuarial, risk and information technology professionals that analyze impacts of changes in the market environment and investigate variances. There is a monthly analysis to identify market value changes greater than pre-defined thresholds, stale prices, missing prices and zero prices. Also on a monthly basis, a second source validation, typically to broker quotations, is performed for certain of the more complex derivatives and all new deals during the month. A model validation review is performed on any new models, which typically includes detailed documentation and validation to a second source. As to certain derivatives that are held by the Company as well as its investment manager's other clients, the Company's investment manager performs ongoing analysis of the valuations, assumptions, and methodologies used to ensure that the prices represent a reasonable estimate of the fair value. On a daily basis, the Company's investment manager compares market valuations to counterparty valuations for all OTC derivatives held by the Company for collateral purposes.

The Company utilizes derivative instruments to manage the risk associated with certain assets and liabilities. However, the derivative instrument may not be classified with the same fair value hierarchy level as the associated assets and liabilities. Therefore the realized and unrealized gains and losses on derivatives reported in Level 3 may not reflect the offsetting impact of the realized and unrealized gains and losses of the associated assets and liabilities.

### Valuation Inputs for Investments

For Level 1 investments, which are comprised of exchange traded equity securities and open-ended mutual funds, valuations are based on observable inputs that reflect quoted prices for identical assets in active markets that the Company has the ability to access at the measurement date.

For the Company's Level 2 and 3 bonds and stocks, typical inputs used by pricing techniques include, but are not limited to, benchmark yields, reported trades, broker/dealer quotes, issuer spreads, benchmark securities, bids, offers, and/or estimated cash flows, prepayment speeds, and default rates. Derivative instruments are valued using mid-market inputs that are predominantly observable in the market.

Descriptions of additional inputs used in the Company's Level 2 and Level 3 measurements are included in the following discussion:

**Level 2** The fair values of most of the Company's Level 2 investments are determined by management after considering prices received from third-party pricing services. These investments include mostly bonds and preferred stocks.

Asset-backed securities, collateralized loan obligations, commercial and residential mortgage-backed securities - Primary inputs also include monthly payment information, collateral performance, which varies by vintage year and includes delinquency rates, collateral valuation loss severity rates, collateral refinancing assumptions, and credit default swap indices. Commercial and residential mortgage-backed securities prices also include estimates of the rate of future principal prepayments over the remaining life of the securities. Such estimates are derived based on the characteristics of the underlying structure and prepayment speeds previously experienced at the interest rate levels projected for the underlying collateral.

All other corporate bonds, including surplus debentures - Primary inputs also include observations of credit default swap curves related to the issuer, and political events in emerging market economies where applicable.

State, municipalities and political subdivisions - Primary inputs also include Municipal Securities Rulemaking Board reported trades notices, and issuer financial statements.

Credit derivatives - Primary inputs include the swap yield curve and credit default swap curves.

Interest rate derivatives - Primary input is the swap yield curve.

**Level 3** Most of the Company's securities classified as Level 3 include less liquid securities such as lower quality asset-backed securities, commercial and residential mortgage-backed securities primarily backed by sub-prime loans. Also included in Level 3 are securities valued based on broker prices or broker spreads, without adjustments. Primary inputs for non-broker priced investments including structured securities, are consistent with the typical inputs used in Level 2 measurements noted above but are Level 3 due to their less liquid markets. Additionally, certain long-dated securities are priced based on third-party pricing services, including certain municipal securities, foreign government/government agency securities, and bank loans, which are included with corporate bonds. Primary inputs for these long-dated securities are consistent with the typical inputs used in the preceding described Level 1 and Level 2 measurements, but include benchmark interest rate or credit spread assumptions that are not observable in the marketplace. Primary inputs for privately traded equity securities are internal discounted cash flow models utilizing earnings multiples or other cash flow assumptions that are not observable. Significant inputs for Level 3 derivative contracts primarily include the typical inputs used in the preceding Level 1 and Level 2 measurements, but also may include equity and interest volatility, and swap yield curves beyond observable limits.

## NOTES TO FINANCIAL STATEMENTS

### Separate Account Assets

Guaranteed Separate Account investments for bonds, stocks, mortgage loans and limited partnerships are valued in the same manner, and using the same pricing sources and inputs, as the invested assets held in the General Account of the Company. Non-guaranteed Separate Account assets are primarily invested in mutual funds but also have investments in bonds, stocks, mortgage loans, limited partnerships and other alternative investments. Non-guaranteed Separate Account investments in mutual funds are valued by the underlying mutual funds in accordance to their valuation policies and procedures. Non-guaranteed Separate Account investments in bonds, stocks mortgage loans, limited partnerships and other alternative investments are generally valued by a third party accounting agent in the same manner using the same independent pricing service as the invested assets held in the General Account of the Company.

### Assets and Liabilities Measured at Fair Value Using Significant Unobservable Inputs (Level 3)

2. The table below provides a roll-forward of financial instruments carried at fair value using significant unobservable inputs (Level 3) for the quarter ended September 30, 2021:

(Amounts in thousands)	Ending Balance As of Prior Quarter End	Transfers into Level 3 [2]	Transfers out of Level 3 [2]	Total Gains (Losses) Included in:		Purchases	Sales	Settlements	Ending Balance As of Current Quarter End
				Net Income (1)	Surplus				
<b>Assets</b>									
Preferred stocks - unaffiliated	\$ 15,602	\$ —	\$ —	\$ 3,544	\$ —	\$ 165	\$ —	\$ (12,508)	\$ 6,803
Common stocks - unaffiliated	15,250	—	—	910	—	—	(1,043)	(347)	14,770
<b>Total bonds and stocks</b>	<b>30,852</b>	<b>—</b>	<b>—</b>	<b>4,454</b>	<b>—</b>	<b>165</b>	<b>(1,043)</b>	<b>(12,855)</b>	<b>21,573</b>
<b>Derivatives</b>									
Interest rate derivatives	40,754	—	—	—	(4,680)	—	—	—	36,074
Macro hedge program	30,348	—	—	—	(20,347)	54,473	—	88,560	153,034
<b>Total derivatives [3]</b>	<b>71,102</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>(25,027)</b>	<b>54,473</b>	<b>—</b>	<b>88,560</b>	<b>189,108</b>
Separate Accounts	373,620	(1)	\$ (7,273)	(6,562)	1,564	22,004	(18,527)	(4,410)	360,415
<b>Total assets</b>	<b>\$ 475,574</b>	<b>\$ (1)</b>	<b>\$ (7,273)</b>	<b>\$ (2,108)</b>	<b>\$ (23,463)</b>	<b>\$ 76,642</b>	<b>\$ (19,570)</b>	<b>\$ 71,295</b>	<b>\$ 571,096</b>

[1] All amounts in this column except Separate Account assets are reported in net realized capital gains (losses). All amounts are before income taxes.

[2] Transfers in and/or out of Level 3 are primarily attributable to changes in the availability of market observable information and changes to the bond and stock carrying value based on the lower of cost or market requirement.

[3] Derivative instruments are reported in this table on a net basis for asset/(liability) positions.

## NOTES TO FINANCIAL STATEMENTS

**B. Other Fair Value Disclosures**

Not applicable.

**C. Fair Values for All Financial Instruments by Levels 1, 2 and 3**

The tables below reflect the fair values and admitted values of all admitted assets and liabilities that are financial instruments excluding those accounted for under the equity method (subsidiaries, joint ventures and partnerships). The fair values are also categorized into the three-level fair value hierarchy as described in Note 20A.

(Amounts in thousands)	September 30, 2021						
Type of Financial Instrument	Aggregate Fair Value	Admitted Value	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
<b>Assets</b>							
Bonds - unaffiliated	\$ 7,279,580	\$ 6,335,824	\$ 131,143	\$ 6,587,885	\$ 560,552	\$ —	\$ —
Preferred stocks - unaffiliated	39,251	39,251	—	22,809	16,442	—	—
Common stocks - unaffiliated	14,770	14,770	—	—	14,770	—	—
Mortgage loans	1,292,468	1,228,347	—	—	1,292,468	—	—
Cash, cash equivalents and short-term investments - unaffiliated	261,020	261,039	44,539	204,183	12,298	—	—
Derivative-related assets	280,293	267,932	—	75,837	204,456	—	—
Contract loans	1,379,415	1,379,415	—	—	1,379,415	—	—
Surplus debentures	48,086	31,859	—	12,993	35,093	—	—
Separate Account assets [1]	79,349,990	79,349,990	37,009,320	41,980,255	360,415	—	—
<b>Total assets</b>	<b>\$ 89,944,873</b>	<b>\$ 88,908,427</b>	<b>\$ 37,185,002</b>	<b>\$ 48,883,962</b>	<b>\$ 3,875,909</b>	<b>\$ —</b>	<b>\$ —</b>
<b>Liabilities</b>							
Liability for deposit-type contracts	\$ (218,634)	\$ (123,204)	\$ —	\$ —	\$ (218,634)	\$ —	\$ —
Derivative related liabilities	(90,891)	(91,226)	—	(75,543)	(15,348)	—	—
Separate Account liabilities	(79,349,990)	(79,349,990)	(37,009,320)	(41,980,255)	(360,415)	—	—
<b>Total liabilities</b>	<b>\$ (79,659,515)</b>	<b>\$ (79,564,420)</b>	<b>\$ (37,009,320)</b>	<b>\$ (42,055,798)</b>	<b>\$ (594,397)</b>	<b>\$ —</b>	<b>\$ —</b>

[1] Excludes investment sales receivable net of investment purchases payable that are not subject to SSAP No. 100 of approximately \$1.7 billion as of September 30, 2021.

(Amounts in thousands)	December 31, 2020						
Type of Financial Instrument	Aggregate Fair Value	Admitted Value	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
<b>Assets</b>							
Bonds - unaffiliated	\$ 7,914,015	\$ 6,726,205	\$ 116,860	\$ 7,335,972	\$ 461,183	\$ —	\$ —
Preferred stocks - unaffiliated	39,938	38,401	—	14,376	25,562	—	—
Common stocks - unaffiliated	15,441	15,441	—	—	15,441	—	—
Mortgage loans	1,290,649	1,210,628	—	—	1,290,649	—	—
Cash and short-term investments - unaffiliated	462,609	462,643	290,851	158,725	13,033	—	—
Derivative related assets	332,295	270,607	—	173,717	158,578	—	—
Contract loans	1,324,817	1,324,817	—	—	1,324,817	—	—
Surplus debentures	50,255	31,736	—	12,578	37,677	—	—
Separate Account assets [1]	79,701,281	79,701,281	37,355,385	41,926,200	419,696	—	—
<b>Total assets</b>	<b>\$ 91,131,300</b>	<b>\$ 88,458,267</b>	<b>\$ 37,763,096</b>	<b>\$ 49,621,568</b>	<b>\$ 3,746,636</b>	<b>\$ —</b>	<b>\$ —</b>
<b>Liabilities</b>							
Liability for deposit-type contracts	\$ (230,873)	\$ (231,428)	\$ —	\$ —	\$ (230,873)	\$ —	\$ —
Derivative related liabilities	(362,045)	(359,103)	—	(68,693)	(293,352)	—	—
Separate Account liabilities	(79,701,281)	(79,701,281)	(37,355,385)	(41,926,200)	(419,696)	—	—
<b>Total liabilities</b>	<b>\$ (80,294,199)</b>	<b>\$ (80,291,812)</b>	<b>\$ (37,355,385)</b>	<b>\$ (41,994,893)</b>	<b>\$ (943,921)</b>	<b>\$ —</b>	<b>\$ —</b>

[1] Excludes investment sales receivable net of investment purchases payable that are not subject to SSAP No. 100 of approximately \$0.9 billion as of December 31, 2020.

The valuation methodologies used to determine the fair values of bonds, stocks and derivatives are described in the above Fair Value Measurements section of this note.

The amortized cost of cash, cash equivalents and short-term investments approximates fair value.

Fair values for mortgage loans on real estate were estimated using discounted cash flow calculations based on current lending rates for similar type loans. Current lending rates reflect changes in credit spreads and the remaining terms of the loans.

The fair value of contract loans was determined using current loan coupon rates which reflect the current rates available under the contracts. As a result, the fair value approximates the carrying value of the contract loans.

Fair values of liability for deposit-type contracts were estimated using average discounted cash flow calculations and current market interest rates.

The carrying amounts of the Separate Account liabilities approximate their fair values.

**D. At September 30, 2021, the Company had no investments where it was not practicable to estimate fair value.**

## NOTES TO FINANCIAL STATEMENTS

### Note 21 – Other Items

#### C. Other Disclosures

On June 30, 2021, the Company's indirect owners, Hopmeadow Holdings GP LLC, sold Hopmeadow Holdings, LP to Sutton Holdings GP LLC, a subsidiary of Sixth Street, a leading global investment firm. As a result of this sale and merger, the Company has new indirect owners. As part of the sale transaction, the Company paid a \$500 million dividend to its parent, TLI (see Note 13).

In October 2020, the Circuit Court of the State of Wisconsin approved the rehabilitation order filed by the Commissioner of Insurance of the State of Wisconsin to place Time Insurance Company (TIC) in rehabilitation. TLA assumes, through reinsurance, life and annuity reserves from TIC and also provides certain administrative services to TIC's policyholders. In turn, TLA retrocedes much of the reinsured reserves to nonaffiliated reinsurers which also provide certain administrative services to the covered policyholders. While the ultimate outcome of the rehabilitation is unknown at this time it is not expected to be material to TLA. TLA will continue to monitor the developments.

The continuing impact of the outbreak of the novel coronavirus ("COVID-19") and the related disruption to the worldwide economy are affecting companies across all industries. Worldwide health emergency measures to combat the spread of the virus have caused severe disruption resulting in an economic slowdown. The duration and impact of the ongoing COVID-19 public health crises on the financial markets, overall economy and our operations are still uncertain, as is the efficacy of government and central bank interventions. Additionally, further actions regulators may take in response to the COVID-19 public health crisis could impact financial markets and our operations. At this time, the Company is not able to reliably estimate the length and severity of the COVID-19 public health crises and, as such, cannot fully quantify its impact on the financial results, liquidity and capital resources of the Company and its operations in future periods. The Company has reviewed COVID-19 related Interpretations from the Statutory Accounting Principles Working Group of the NAIC. These interpretations as well as relief granted to customers to date have not had a material impact on the Company's financial condition or results of operations.

### Note 22 - Events Subsequent

On October 1, 2021, the Company's parent, TLI acquired Lombard International Administrative Services Company ("LIAS"), the administrator of Talcott's Corporate Owned Life Insurance ("COLI")/Bank Owned Life Insurance ("BOLI") business, from a subsidiary of the Blackstone Group. This is not expected to have a material impact on the Company's financial condition or results from operations.

The Company had no other material subsequent events through the filing date of November 9, 2021.

### Note 23 - Reinsurance

#### a. Ceded Reinsurance Report

##### Section 2 - Ceded Reinsurance Report - Part A

1. The Company has one reinsurance agreement in effect under which the reinsurer has a limited right to unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits. See Note 1 for further discussion of prescribed practices.
  - a. For the periods ended September 30, 2021, and December 31, 2020, the estimated amount of the aggregate reduction in surplus of this limited right to unilaterally cancel this reinsurance agreement by the reinsurer for which cancellation results in a net obligation of the Company to the reinsurer, and for which such obligation is not presently accrued was \$4,848,470 and \$7,221,623, respectively.
  - b. For the periods ended September 30, 2021, and December 31, 2020, the total amount of reinsurance credit taken for this agreement was \$6,137,304 and \$9,141,295, respectively.

### Note 24 - Retrospectively Rated Contracts & Contracts Subject to Redetermination

#### E. Risk-Sharing Provisions of the Affordable Care Act ("ACA")

The Company had no accident and health insurance premiums that are subject to the Affordable Care Act risk-sharing provisions.

### Note 25 - Changes in Incurred Losses and Loss Adjustment Expenses

The Company had no change to incurred losses or loss adjustment expenses.

### Note 26 - Intercompany Pooling Arrangements

No significant change.

### Note 27 - Structured Settlements

No significant change.

### Note 28 - Health Care Receivables

No significant change.

### Note 29 - Participating Policies

No significant change.

### Note 30 - Premium Deficiency Reserves

No significant change.

### Note 31 - Reserves for Life Contracts and Deposit-Type Contracts

No significant change.

### Note 32 - Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics

No significant change.

### Note 33 - Analysis of Life Actuarial Reserves by Withdrawal Characteristics

No significant change.

**NOTES TO FINANCIAL STATEMENTS**

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**Note 34 - Premium and Annuity Considerations Deferred and Uncollected**

No significant change.

**Note 35 - Separate Accounts**

No significant change.

**Note 36 - Loss/Claim Adjustment Expenses**

No significant change.



STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY  
**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**GENERAL**

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]
- 1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]
- 2.2 If yes, date of change: .....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [ X ] No [ ]  
 If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ ] No [ X ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. ....
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]  
 If yes, complete and file the merger history data file with the NAIC.
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ X ] N/A [ ]  
 If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. .... 12/31/2017
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. .... 12/31/2017
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). .... 05/10/2019
- 6.4 By what department or departments?  
 State of Connecticut Insurance Department
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [ X ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ X ] No [ ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ X ] No [ ]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
Talcott Resolution Distribution Company Inc. ....	Windsor CT .....	NO	NO	NO	YES

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY  
**GENERAL INTERROGATORIES**

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes  No   
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
 (c) Compliance with applicable governmental laws, rules and regulations;  
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
 (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? ..... Yes  No
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes  No
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

**FINANCIAL**

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes  No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ 10,954,770

**INVESTMENT**

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes  No
- 11.2 If yes, give full and complete information relating thereto:  
 The Company has \$202,456,676 of cash and bonds pledged as collateral for derivative activity; \$2,586,400 of FHLB capital stock; \$117,431,044 pledged as collateral for FHLB activity; \$12,328,933 of short term investments subject to reverse repurchase agreements, \$319,352,061 of bonds subject to repurchase agreements and \$2,978,170 securities pledged for repurchase activity..
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$ 12,716,159
13. Amount of real estate and mortgages held in short-term investments: ..... \$
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes  No
- 14.2 If yes, please complete the following:
- |   | 1<br>Prior Year-End<br>Book/Adjusted<br>Carrying Value | 2<br>Current Quarter<br>Book/Adjusted<br>Carrying Value |
|---|--|---|
| 14.21 Bonds .....   | \$ .....   | \$ .....  |
| 14.22 Preferred Stock .....   | \$ .....   | \$ .....  |
| 14.23 Common Stock .....  | \$ 684,233,495   | \$ 844,369,922  |
| 14.24 Short-Term Investments .....  | \$ .....   | \$ .....  |
| 14.25 Mortgage Loans on Real Estate .....   | \$ .....   | \$ .....  |
| 14.26 All Other .....   | \$ .....   | \$ .....  |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) ..... | \$ 684,233,495   | \$ 844,369,922  |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....                       | \$ .....   | \$ .....  |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes  No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes  No  N/A   
 If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. .... \$ .....
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 ..... \$ .....
- 16.3 Total payable for securities lending reported on the liability page. .... \$ .....

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY  
**GENERAL INTERROGATORIES**

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [ X ] No [ ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP Morgan Chase Bank N.A. ....	4 Chase Metro Tech Center 16th Floor Brooklyn NY 11245 .....
Federal Home Loan Bank of Boston .....	800 Boylston St. Boston MA 02199 .....

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
.....	.....	.....

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [ X ]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
.....	.....	.....	.....

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Hartford Investment Management Company .....	U.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? ..... Yes [ X ] No [ ]

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? ..... Yes [ X ] No [ ]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
10669 .....	Hartford Investment Management Company .....	FE0BULMG7PY8G4MG7C65 .....	SEC .....	DS.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [ X ] No [ ]
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
  - b. Issuer or obligor is current on all contracted interest and principal payments.
  - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? ..... Yes [ X ] No [ ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
  - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? ..... Yes [ X ] No [ ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
  - d. The fund only or predominantly holds bonds in its portfolio.
  - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
  - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [ ] No [ X ]

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY  
**GENERAL INTERROGATORIES**

**PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES**

**Life and Accident Health Companies/Fraternal Benefit Societies:**

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories: 1  
Amount
- 1.1 Long-Term Mortgages In Good Standing
- 1.11 Farm Mortgages ..... \$ .....  
 1.12 Residential Mortgages ..... \$ ..... 10,671,662  
 1.13 Commercial Mortgages ..... \$ ..... 1,217,675,486  
 1.14 Total Mortgages in Good Standing ..... \$ ..... 1,228,347,148
- 1.2 Long-Term Mortgages In Good Standing with Restructured Terms
- 1.21 Total Mortgages in Good Standing with Restructured Terms ..... \$ .....
- 1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months
- 1.31 Farm Mortgages ..... \$ .....  
 1.32 Residential Mortgages ..... \$ .....  
 1.33 Commercial Mortgages ..... \$ .....  
 1.34 Total Mortgages with Interest Overdue more than Three Months ..... \$ .....
- 1.4 Long-Term Mortgage Loans in Process of Foreclosure
- 1.41 Farm Mortgages ..... \$ .....  
 1.42 Residential Mortgages ..... \$ .....  
 1.43 Commercial Mortgages ..... \$ .....  
 1.44 Total Mortgages in Process of Foreclosure ..... \$ .....
- 1.5 Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2) ..... \$ ..... 1,228,347,148
- 1.6 Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter
- 1.61 Farm Mortgages ..... \$ .....  
 1.62 Residential Mortgages ..... \$ .....  
 1.63 Commercial Mortgages ..... \$ .....  
 1.64 Total Mortgages Foreclosed and Transferred to Real Estate ..... \$ .....
2. Operating Percentages:
- 2.1 A&H loss percent ..... %  
 2.2 A&H cost containment percent ..... %  
 2.3 A&H expense percent excluding cost containment expenses ..... %
- 3.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]
- 3.2 If yes, please provide the amount of custodial funds held as of the reporting date ..... \$ .....
- 3.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]
- 3.4 If yes, please provide the balance of the funds administered as of the reporting date ..... \$ .....
4. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [ X ] No [ ]
- 4.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ ]

**Fraternal Benefit Societies Only:**

- 5.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? ..... Yes [ ] No [ ] N/A [ ]
- 5.2 If no, explain:  
 .....
- 6.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? ..... Yes [ ] No [ ]
- 6.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount
.....	.....
.....	.....

**SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating
<b>NONE</b>									

**STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY**  
**SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS**

Current Year To Date - Allocated by States and Territories

States, Etc.	1	Life Contracts		Direct Business Only			7	
		2	3	4	5	6		
	Active Status (a)	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 Through 5	Deposit-Type Contracts	
1. Alabama	AL	L	263,411	705,047	188,858	7,900,569	9,057,885	20,348,190
2. Alaska	AK	L	123,610	103,407	45,769	2,598,682	2,871,468	5,249,650
3. Arizona	AZ	L	457,585	4,425,146	131,812	3,209,767	8,224,310	10,538,316
4. Arkansas	AR	L	596,942	599,404	88,680	2,698,128	3,983,154	2,944,415
5. California	CA	L	3,771,955	13,121,445	608,567	73,042,274	90,544,241	102,209,393
6. Colorado	CO	L	449,785	959,768	215,734	5,348,017	6,973,304	6,438,940
7. Connecticut	CT	L	1,432,025	3,341,422	204,022	23,920,187	28,897,656	12,841,429
8. Delaware	DE	L	144,566	439,156	22,891	1,992,605	2,599,218	3,399,928
9. District of Columbia	DC	L	21,697	281,132	22,549	280,678	606,056	1,977,760
10. Florida	FL	L	3,798,900	11,074,325	1,159,472	41,225,277	57,257,974	74,022,568
11. Georgia	GA	L	820,422	2,168,489	244,482	16,252,296	19,485,689	31,375,224
12. Hawaii	HI	L	555,416	596,610	1,040,145	2,363,043	4,555,214	8,661,703
13. Idaho	ID	L	117,899	488,456	60,289	1,142,961	1,809,605	1,250,085
14. Illinois	IL	L	1,213,596	5,089,304	319,272	16,419,635	23,041,807	28,548,914
15. Indiana	IN	L	502,453	1,663,145	240,486	10,005,495	12,411,579	8,849,938
16. Iowa	IA	L	191,502	1,443,119	60,701	2,800,111	4,495,433	10,468,038
17. Kansas	KS	L	166,162	221,974	93,739	3,134,291	3,616,166	4,968,908
18. Kentucky	KY	L	212,496	360,614	81,050	4,342,483	4,996,643	13,655,631
19. Louisiana	LA	L	327,256	800,568	112,578	24,567,029	25,807,431	16,927,581
20. Maine	ME	L	181,911	1,150,233	98,478	2,171,103	3,601,725	11,057,658
21. Maryland	MD	L	773,284	2,528,965	270,335	8,590,480	12,163,064	14,886,215
22. Massachusetts	MA	L	624,004	2,601,408	349,061	14,444,063	18,018,536	12,797,696
23. Michigan	MI	L	427,765	2,180,958	158,830	13,471,321	16,238,874	32,989,343
24. Minnesota	MN	L	1,213,340	2,635,384	192,593	64,070,122	68,111,439	20,745,760
25. Mississippi	MS	L	295,121	726,808	58,023	1,092,957	2,172,909	7,843,015
26. Missouri	MO	L	245,667	1,592,281	249,332	8,564,339	10,651,619	13,569,565
27. Montana	MT	L	118,226	603,340	27,037	3,331,900	4,080,503	3,181,316
28. Nebraska	NE	L	92,506	363,387	82,650	1,451,846	1,990,389	3,669,956
29. Nevada	NV	L	308,799	378,873	82,128	9,674,762	10,444,562	7,910,190
30. New Hampshire	NH	L	198,383	475,176	44,478	3,903,809	4,621,846	1,778,052
31. New Jersey	NJ	L	3,850,094	3,239,629	179,608	25,836,028	33,105,359	41,470,358
32. New Mexico	NM	L	167,584	561,312	49,702	1,813,107	2,591,705	1,202,725
33. New York	NY	L	55,174,796	20,100,799	3,189,714	43,931,268	122,396,577	22,966,137
34. North Carolina	NC	L	1,437,600	3,458,313	301,659	11,212,266	16,409,838	18,829,678
35. North Dakota	ND	L	99,960	411,008	10,670	8,484,984	9,006,622	11,048,212
36. Ohio	OH	L	861,375	2,370,330	439,423	11,294,899	14,966,027	18,949,992
37. Oklahoma	OK	L	388,648	919,142	219,673	3,542,573	5,070,036	5,377,354
38. Oregon	OR	L	282,735	1,120,664	126,124	7,559,558	9,089,081	7,370,915
39. Pennsylvania	PA	L	2,202,204	5,474,892	499,270	79,373,511	87,549,877	8,385,027
40. Rhode Island	RI	L	94,867	356,435	30,321	771,298	1,252,921	1,660,263
41. South Carolina	SC	L	7,965,677	1,263,970	165,526	6,844,610	16,239,783	9,789,453
42. South Dakota	SD	L	58,446	113,148	15,015	4,028,170	4,214,779	3,356,349
43. Tennessee	TN	L	686,114	1,926,704	213,259	16,488,803	19,314,880	28,776,794
44. Texas	TX	L	2,061,202	5,625,377	541,238	48,807,384	57,035,201	75,350,902
45. Utah	UT	L	205,569	209,009	64,246	1,818,114	2,296,938	5,101,920
46. Vermont	VT	L	86,979	59,144	414,271	3,504,833	4,065,227	4,238,855
47. Virginia	VA	L	2,032,772	2,918,926	1,172,395	8,644,408	14,768,501	16,654,010
48. Washington	WA	L	935,978	2,306,135	361,522	22,936,893	26,540,528	1,784,004
49. West Virginia	WV	L	52,815	361,672	75,925	3,440,759	3,931,171	11,590,397
50. Wisconsin	WI	L	455,724	1,388,016	180,882	16,921,272	18,945,894	1,073,268
51. Wyoming	WY	L	58,043		14,831	1,111,553	1,184,427	2,158,383
52. American Samoa	AS	N						
53. Guam	GU	N	575		182		757	144,533
54. Puerto Rico	PR	N	5,589		1,674	1,732	8,995	18,838
55. U.S. Virgin Islands	VI	N			1,513		1,513	2,423
56. Northern Mariana Islands	MP	N						
57. Canada	CAN	N	8,619		1,853	19,226	29,698	28,803
58. Aggregate Other Aliens	OT	XXX	18,327	(70,479)	5,222	112,331	65,401	445
59. Subtotal	XXX	98,838,976	117,233,490	14,829,759	702,509,810	933,412,035	792,435,415	
90. Reporting entity contributions for employee benefits plans	XXX							
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX	1,161,651				1,161,651		
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX							
93. Premium or annuity considerations waived under disability or other contract provisions	XXX	178,435				178,435		
94. Aggregate or other amounts not allocable by State	XXX							
95. Totals (Direct Business)	XXX	100,179,062	117,233,490	14,829,759	702,509,810	934,752,121	792,435,415	
96. Plus Reinsurance Assumed	XXX	200	1,532,901		450,650	1,983,751		
97. Totals (All Business)	XXX	100,179,262	118,766,391	14,829,759	702,960,460	936,735,872	792,435,415	
98. Less Reinsurance Ceded	XXX	204,745,516	39,997,437	14,829,581	696,999,871	956,572,405	792,565,004	
99. Totals (All Business) less Reinsurance Ceded	XXX	(104,566,254)	78,768,954	178	5,960,589	(19,836,533)	(129,589)	
<b>DETAILS OF WRITE-INS</b>								
58001. ZZZ Other Alien	XXX	18,327	(70,479)	5,222	112,331	65,401	445	
58002.	XXX							
58003.	XXX							
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX							
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	18,327	(70,479)	5,222	112,331	65,401	445	
9401.	XXX							
9402.	XXX							
9403.	XXX							
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX							
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX							

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG..... 51  
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....  
N - None of the above - Not allowed to write business in the state..... 6

R - Registered - Non-domiciled RRGs.....  
Q - Qualified - Qualified or accredited reinsurer.....

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	NAIC Company Code	ID Number	Directly Controlled By	Ownership Percentage
Alan Waxman (member of TAO Insurance Holdings, LLC)*					
Sixth Street Advisers, LLC	DE		45-2553330	Ultimate indirect control by Alan Waxman	
Sixth Street TAO Management, LLC	DE		90-1019036		
Sixth Street Insurance GP Holdco, LLC	DE				
Sixth Street Insurance Solutions, LP	DE		87-0910021		
Cadence ALM GP Holdco, LLC	DE		87-0910936	Ultimate indirect control by Alan Waxman	
Cadence ALM US, LP	DE		86-2807598		
Cadence Services US, LLC	DE		86-2807499		
Anthony Michael Muscolino (managing member of TAO Insurance Holdings, LLC)					
TAO Insurance Holdings, LLC**	DE		86-1594781		
TAO Sutton Parent, LLC	CYM				
Sixth Street TAO	DE				
Sixth Street TAO Partners (A), L.P.***	DE		46-5353884		
Sixth Street TAO Partners (B), L.P.***	DE		47-4568030		
Sixth Street TAO Partners (C), L.P.***	DE		47-4572811		
Sixth Street TAO Partners (D), L.P.	DE		82-2903961		
Sixth Street TAO Partners (E), L.P.	DE		82-2904161		
Sixth Street TAO Partners (F), L.P.	DE		83-1650890		
Sixth Street TAO Partners, L.P.***	DE		46-3722549		
PSERS TAO Partners Parallel Fund, L.P.***	DE		46-5536435		
Knight TAO, L.P.***	DE		47-1159382		
Super TAO Contingent MA, L.P.	DE		82-4028968		
Super TAO MA, L.P.***	DE		46-4328935		
TAO Sutton Holdings, LLC	CYM			TAO Insurance Holdings, LLC	100%
Sutton Investments, LLC	BMU			TAO Sutton Holdings, LLC	100%
Sutton Holdings Investments, Ltd.	BMU			Sutton Investments, LLC.	100%
TR Re Ltd.	BMU			Sutton Holdings Investments, Ltd.	100%
Sutton Re Holdings, Ltd.	BMU			Sutton Holdings Investments, Ltd.	100%
Sutton Life Re, Ltd.	BMU			Sutton Re Holdings, Ltd.	100%
Sutton Holdings GP, LLC	DE		86-1856539	Sutton Holdings Investments, Ltd.	100%
Hopmeadow Holdings, LP	DE		82-3930622	Sutton Holdings GP, LLC	100%
Hopmeadow Acquisition, Inc.	DE		82-3950446	Hopmeadow Holdings, LP	100%
Talcott Resolution Life, Inc.	DE		06-1470915	Hopmeadow Acquisition, Inc.	100%
Talcott Resolution Life Insurance Company	CT	88072	06-0974148	Talcott Resolution Life, Inc.	100%
Talcott Resolution Life and Annuity Insurance Company	CT	71153	39-1052598	Talcott Resolution Life Insurance Company	100%
Talcott Resolution Distribution Company, Inc.	CT		06-1408044	Talcott Resolution Life and Annuity Insurance Company	100%
Talcott Resolution Comprehensive Employee Benefit Service Company	CT		06-1120503	Talcott Resolution Life and Annuity Insurance Company	100%
American Maturity Life Insurance Company	CT	81213	06-1422508	Talcott Resolution Life Insurance Company	100%
Talcott Resolution International Life Reassurance Corporation	CT	93505	06-1207332	Talcott Resolution Life Insurance Company	100%
21 Church Street R, LLC	DE		83-2918805	Talcott Resolution Life Insurance Company	100%

\*Pursuant to the operating agreement of TAO Insurance Holdings, LLC, Alan Waxman, as a member of TAO Insurance Holdings, LLC, has the authority to appoint the managing member of TAO Insurance Holdings, LLC and has appointed A. Michael Muscolino.

\*\*TAO Insurance Holdings, LLC is the managing member of TAO Sutton Holdings, LLC and TAO Sutton Parent, LLC.

\*\*\*Indirect owners of Klaverblad Levensverzekering N.V., Lifetri Uitvaartverzekeringen N.V., and Lifetri Verzekeringen N.V.

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
4926	Hopmeadow Holding Grp	00000	86-1856539				Sutton Holdings GP, LLC	DE	UIP	Sutton Holdings Investments, Ltd.	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
4926	Hopmeadow Holding Grp	00000	82-3930622				Hopmeadow Holdings, LP	DE	UIP	Sutton Holdings, GP, LLC	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
4926	Hopmeadow Holding Grp	00000	82-3950446				Hopmeadow Acquisition, Inc.	DE	UIP	Hopmeadow Holdings, LP	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
4926	Hopmeadow Holding Grp	00000	06-1470915		0001032204		Talcott Resolution Life, Inc.	DE	UDP	Hopmeadow Acquisition, Inc.	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
4926	Hopmeadow Holding Grp	88072	06-0974148		0000045947		Talcott Resolution Life Insurance Company	CT	RE	Talcott Resolution Life, Inc.	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
4926	Hopmeadow Holding Grp	81213	06-1422508				American Maturity Life Insurance Company	CT	DS	Talcott Resolution Life Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
							Talcott Resolution International Life Reassurance Corporation	CT	DS	Talcott Resolution Life Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
4926	Hopmeadow Holding Grp	93505	06-1207332				21 Church Street R, LLC	DE	DS	Talcott Resolution Life Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
4926	Hopmeadow Holding Grp	00000	83-2918805				Talcott Resolution Life and Annuity Insurance Company	CT	DS	Talcott Resolution Life Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
4926	Hopmeadow Holding Grp	71153	39-1052598				Talcott Resolution Comprehensive Employee Benefit Service Company	CT	DS	Talcott Resolution Life Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
4926	Hopmeadow Holding Grp	00000	06-1120503				Talcott Resolution Life and Annuity Insurance Company	CT	DS	Talcott Resolution Life and Annuity Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	Y	
4926	Hopmeadow Holding Grp	00000	06-1408044		0000940622		Talcott Resolution Distribution Company	CT	DS	Talcott Resolution Life and Annuity Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	Y	

Asterisk	Explanation
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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

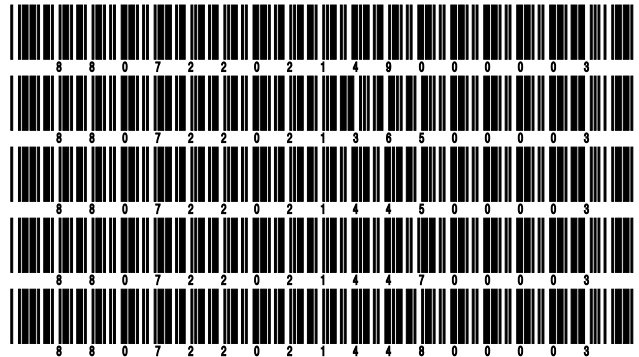
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? .....	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? .....	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? .....	YES
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC? .....	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC? .....	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC? .....	YES
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. ....	N/A

Explanation:

- 1.
- 2.
- 3.
- 5.
- 6.

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]



STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY  
**OVERFLOW PAGE FOR WRITE-INS**

Additional Write-ins for Liabilities Line 25

	1 Current Statement Date	2 December 31 Prior Year
2504. Provision for future dividends .....	13,070,150	12,248,392
2505. Accrued interest on derivatives in a liability position .....	2,423,515	1,740,829
2506. Miscellaneous liabilities .....	1,779,713	3,461,154
2507. Interest on policy or contract funds due or accrued .....	606,485	504,523
2597. Summary of remaining write-ins for Line 25 from overflow page	17,879,863	17,954,898

## STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE A - VERIFICATION**

## Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	14,128,205	14,500,000
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other than temporary impairment recognized .....		
8. Deduct current year's depreciation .....	278,846	371,795
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....	13,849,359	14,128,205
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10) .....	13,849,359	14,128,205

**SCHEDULE B - VERIFICATION**

## Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....	1,210,929,683	1,250,428,475
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....	136,012,268	173,846,062
2.2 Additional investment made after acquisition .....	9,575,524	44,149,808
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....	106,634	91,838
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....	(20,066)	186,363
7. Deduct amounts received on disposals .....	127,946,219	257,676,942
8. Deduct amortization of premium and mortgage interest points and commitment fees .....	27,766	95,921
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	1,228,630,058	1,210,929,683
12. Total valuation allowance .....	(282,912)	(301,597)
13. Subtotal (Line 11 plus Line 12) .....	1,228,347,146	1,210,628,086
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14) .....	1,228,347,146	1,210,628,086

**SCHEDULE BA - VERIFICATION**

## Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	539,290,532	467,333,796
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....	19,658,919	11,203,817
2.2 Additional investment made after acquisition .....	59,728,248	79,863,724
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....	2,214	2,722
5. Unrealized valuation increase (decrease) .....	112,542,399	16,962,425
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....	74,633,311	29,367,590
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		716
10. Deduct current year's other than temporary impairment recognized .....	39,283	6,709,078
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	656,549,719	539,290,532
12. Deduct total nonadmitted amounts .....		105,082
13. Statement value at end of current period (Line 11 minus Line 12) .....	656,549,719	539,185,450

**SCHEDULE D - VERIFICATION**

## Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	7,464,280,561	7,598,021,367
2. Cost of bonds and stocks acquired .....	1,073,741,918	1,818,696,447
3. Accrual of discount .....	5,414,168	10,176,577
4. Unrealized valuation increase (decrease) .....	161,856,403	(356,903,887)
5. Total gain (loss) on disposals .....	32,771,848	118,594,848
6. Deduct consideration for bonds and stocks disposed of .....	1,486,239,183	1,700,374,941
7. Deduct amortization of premium .....	26,655,717	31,260,841
8. Total foreign exchange change in book/adjusted carrying value .....	(3,200,380)	4,749,053
9. Deduct current year's other than temporary impairment recognized .....	218,401	2,488,906
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....	12,462,995	5,070,844
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	7,234,214,211	7,464,280,561
12. Deduct total nonadmitted amounts .....	12,298,438	12,214,756
13. Statement value at end of current period (Line 11 minus Line 12) .....	7,221,915,773	7,452,065,805

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	4,240,474,718	737,711,430	703,239,301	(165,734,678)	4,508,366,794	4,240,474,718	4,109,212,169	4,683,694,416
2. NAIC 2 (a) .....	1,948,631,462	207,392,182	272,894,352	149,942,372	2,029,245,976	1,948,631,462	2,033,071,665	1,998,376,848
3. NAIC 3 (a) .....	279,734,478	37,960,243	9,776,019	10,753,028	237,257,327	279,734,478	318,671,729	229,495,872
4. NAIC 4 (a) .....	51,299,499	13,773,271	1,812,684	(13,073,384)	49,830,559	51,299,499	50,186,702	39,485,437
5. NAIC 5 (a) .....	38,019,122	514,426	7,429,343	9,959,355	43,845,498	38,019,122	41,063,559	44,876,499
6. NAIC 6 (a) .....	56				70,971	56	56	91,186
7. Total Bonds	6,558,159,334	997,351,553	995,151,699	(8,153,307)	6,868,617,126	6,558,159,334	6,552,205,881	6,996,020,257
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	8,486,501			7,526		8,486,501	8,494,026	
9. NAIC 2 .....	14,060,050			254,925	13,796,520	14,060,050	14,314,975	12,842,075
10. NAIC 3 .....								
11. NAIC 4 .....	105,962			(105,962)		105,962		
12. NAIC 5 .....	11,406,195	81,036		105,962	12,052,656	11,406,195	11,593,193	12,529,553
13. NAIC 6 .....	13,662,351	150,379	8,964,195		13,341,228	13,662,351	4,848,535	13,029,811
14. Total Preferred Stock	47,721,059	231,415	8,964,195	262,451	39,190,404	47,721,059	39,250,729	38,401,439
15. Total Bonds and Preferred Stock	6,605,880,393	997,582,968	1,004,115,895	(7,890,856)	6,907,807,531	6,605,880,393	6,591,456,610	7,034,421,696

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ .....87,390,031 ; NAIC 2 \$ .....128,992,113 ; NAIC 3 \$ ..... ; NAIC 4 \$ ..... ; NAIC 5 \$ ..... ; NAIC 6 \$ .....

**SCHEDULE DA - PART 1**

## Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	112,842,424	xxx	112,837,267	310,978	

**SCHEDULE DA - VERIFICATION**

## Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	202,820,146	2,955,306
2. Cost of short-term investments acquired .....	201,685,974	249,160,842
3. Accrual of discount .....	56,309	199,767
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....	(14,959)	
6. Deduct consideration received on disposals .....	291,514,470	49,301,337
7. Deduct amortization of premium .....	190,576	194,432
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	112,842,424	202,820,146
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	112,842,424	202,820,146

**SCHEDULE DB - PART A - VERIFICATION**

Options, Caps, Floors, Collars, Swaps and Forwards

1. Book/Adjusted Carrying Value, December 31, prior year (Line 10, prior year)	(88,495,641)
2. Cost Paid/(Consideration Received) on additions	112,818,480
3. Unrealized Valuation increase/(decrease)	(51,177,771)
4. SSAP No. 108 adjustments	
5. Total gain (loss) on termination recognized	(131,676,206)
6. Considerations received/(paid) on terminations	(329,956,468)
7. Amortization	152,582
8. Adjustment to the Book/Adjusted Carrying Value of hedged item	
9. Total foreign exchange change in Book/Adjusted Carrying Value	5,127,913
10. Book/Adjusted Carrying Value at End of Current Period (Lines 1+2+3+4+5-6+7+8+9)	176,705,825
11. Deduct nonadmitted assets	
12. Statement value at end of current period (Line 10 minus Line 11)	176,705,825

**SCHEDULE DB - PART B - VERIFICATION**

Futures Contracts

1. Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year)	620,400
2. Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column)	(620,400)
3.1 Add:	
Change in variation margin on open contracts - Highly Effective Hedges	
3.11 Section 1, Column 15, current year to date minus	
3.12 Section 1, Column 15, prior year	
Change in variation margin on open contracts - All Other	
3.13 Section 1, Column 18, current year to date minus	
3.14 Section 1, Column 18, prior year	
3.2 Add:	
Change in adjustment to basis of hedged item	
3.21 Section 1, Column 17, current year to date minus	
3.22 Section 1, Column 17, prior year	
Change in amount recognized	
3.23 Section 1, Column 19, current year to date minus	
3.24 Section 1, Column 19, prior year plus	
3.25 SSAP No. 108 adjustments	
3.3 Subtotal (Line 3.1 minus Line 3.2)	
4.1 Cumulative variation margin on terminated contracts during the year	
4.2 Less:	
4.21 Amount used to adjust basis of hedged item	
4.22 Amount recognized	
4.23 SSAP No. 108 adjustments	
4.3 Subtotal (Line 4.1 minus Line 4.2)	
5. Dispositions gains (losses) on contracts terminated in prior year:	
5.1 Total gain (loss) recognized for terminations in prior year	
5.2 Total gain (loss) adjusted into the hedged item(s) for terminations in prior year	
6. Book/Adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2)	
7. Deduct total nonadmitted amounts	
8. Statement value at end of current period (Line 6 minus Line 7)	

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE DB - PART C - SECTION 1**

Replication (Synthetic Asset) Transactions Open as of Current Statement Date

Replication (Synthetic Asset) Transactions								Components of the Replication (Synthetic Asset) Transactions							
1 Number	2 Description	3 NAIC Designation or Other Description	4 Notional Amount	5 Book/Adjusted Carrying Value	6 Fair Value	7 Effective Date	8 Maturity Date	Derivative Instrument(s) Open			Cash Instrument(s) Held				
								9 Description	10 Book/Adjusted Carrying Value	11 Fair Value	12 CUSIP	13 Description	14 NAIC Designation or Other Description	15 Book/Adjusted Carrying Value	16 Fair Value
91278*BC7	BOND WITH INTEREST RATE SWAP	1.A	16,688,140	16,688,140	18,603,936	01/25/2021	01/27/2041	SWP: 1.49%(3ML) 01/27/41		(968,883)	442331-3K-8	HOUSTON TEX	1.D FE	16,688,140	19,572,819
91278*BC7	BOND WITH INTEREST RATE SWAP	1.A	128,311,860	125,611,146	160,418,414	01/25/2021	01/27/2041	SWP: 1.49%(3ML) 01/27/41		(7,449,554)	912810-RD-2	TREASURY BOND	1.A	125,611,146	167,867,968
91283#DNO	BOND WITH INTEREST RATE SWAP	2.C	1,225,887	1,234,040	1,312,702	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		65,896	00178U-AC-3	AMSR_20-SFR2 - ABS	1.E FE	1,234,040	1,246,805
91283#DNO	BOND WITH INTEREST RATE SWAP	2.C	2,988,699	2,989,550	3,345,223	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		160,654	3138ER-NP-1	FMIA 30YR	1.A	2,989,550	3,184,569
91283#DNO	BOND WITH INTEREST RATE SWAP	2.C	68,648	68,629	77,407	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		3,690	3138IJJ-AU-2	FMIA 30YR	1.A	68,629	73,717
91283#DNO	BOND WITH INTEREST RATE SWAP	2.C	7,531,542	7,606,921	8,356,735	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		404,850	3140JL-LL-5	FMIA 30YR	1.A	7,606,921	7,951,885
91283#DNO	BOND WITH INTEREST RATE SWAP	2.C	7,488,561	7,171,710	9,109,377	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		402,540	31396V-NH-7	FMIA_07-26	1.A	7,171,710	8,706,838
91283#DNO	BOND WITH INTEREST RATE SWAP	2.C	2,564,576	2,564,322	2,844,876	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		137,856	38218G-AA-0	GOODG_18-1 - ABS	1.A FE	2,564,322	2,707,020
91283#DNO	BOND WITH INTEREST RATE SWAP	2.C	4,425,967	4,425,967	4,757,431	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		237,913	69376B-AA-4	PACFEF_20-1A - ABS	1.A FE	4,425,967	4,519,518
91283#DNO	BOND WITH INTEREST RATE SWAP	2.C	3,236,342	2,972,679	4,190,544	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		173,966	747525-AV-5	QUALCOMM INCORPORATED	1.G FE	2,972,679	4,016,578
91283#DNO	BOND WITH INTEREST RATE SWAP	2.C	593,329	580,313	737,399	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		31,894	912810-SA-7	TREASURY BOND	1.A	580,313	705,505
91283#DNO	BOND WITH INTEREST RATE SWAP	2.C	28,440,577	29,995,473	37,759,415	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		1,528,793	912810-SE-9	TREASURY BOND	1.A	29,995,473	36,230,622
91283#DNO	BOND WITH INTEREST RATE SWAP	2.C	3,726,696	4,272,576	3,861,509	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		200,325	912810-SL-3	TREASURY BOND	1.A	4,272,576	3,661,185
91283#DNO	BOND WITH INTEREST RATE SWAP	2.C	34,297,778	34,297,778	41,756,993	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		1,843,640	90280*-AA-0	UFI JCGS KIWAJALEIN FEDERAL REC.	1.D	34,297,778	39,913,353
91283#DNO	BOND WITH INTEREST RATE SWAP	2.C	2,234,547	2,260,141	2,482,975	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		120,116	94989E-AK-3	WFCM_15-LC20	1.A FM	2,260,141	2,362,859
91283#DNO	BOND WITH INTEREST RATE SWAP	2.C	1,176,851	1,195,086	1,296,436	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		63,260	95000F-AY-3	WFCM_16-C35	3.B FM	1,195,086	1,233,176
91283#HD8	BOND WITH INTEREST RATE SWAP	1.F	19,360,000	19,931,359	30,847,912	06/04/2020	06/08/2050	SWP: 1.13%(3ML) 06/08/50		(3,301,812)	760719-BH-6	HSCB USA INC	1.G FE	19,931,359	34,149,724
91283#HD8	BOND WITH INTEREST RATE SWAP	1.F	90,640,000	86,468,019	61,026,087	06/04/2020	06/08/2050	SWP: 1.13%(3ML) 06/08/50		(15,458,483)	912810-SP-4	TREASURY BOND	1.A	86,468,019	76,484,570
91278*AY0	BOND WITH INTEREST RATE SWAP	1.C	6,436,862	7,010,667	10,141,782	09/21/2018	09/25/2048	SWP: 3.19%(3ML) 09/25/48		1,884,562	00287Y-BD-0	ABBVIE INC	2.B FE	7,010,667	8,257,219
91278*AY0	BOND WITH INTEREST RATE SWAP	1.C	15,483,622	11,360,125	19,158,839	09/21/2018	09/25/2048	SWP: 3.19%(3ML) 09/25/48		4,533,242	20030N-DT-5	COMCAST CORPORATION	1.G FE	11,360,125	14,625,597
91278*AY0	BOND WITH INTEREST RATE SWAP	1.C	2,227,982	2,316,934	3,528,580	09/21/2018	09/25/2048	SWP: 3.19%(3ML) 09/25/48		652,295	126408-HN-6	CSX CORP	2.A FE	2,316,934	2,876,285
91278*AY0	BOND WITH INTEREST RATE SWAP	1.C	3,120,042	3,119,949	4,107,784	09/21/2018	09/25/2048	SWP: 3.19%(3ML) 09/25/48		913,475	269330-AA-4	E3_19-1 - ABS	1.A FE	3,119,949	3,194,309
91278*AY0	BOND WITH INTEREST RATE SWAP	1.C	1,900,181	1,934,676	2,606,800	09/21/2018	09/25/2048	SWP: 3.19%(3ML) 09/25/48		556,328	50190D-AJ-5	LCCM_17-LC26	1.A FM	1,934,676	2,050,472
91278*AY0	BOND WITH INTEREST RATE SWAP	1.C	12,280,772	12,280,772	16,176,400	09/21/2018	09/25/2048	SWP: 3.19%(3ML) 09/25/48		3,595,523	629682-AA-3	NADG_19-1 - ABS	1.F FE	12,280,772	12,580,878
91278*AY0	BOND WITH INTEREST RATE SWAP	1.C	3,382,682	3,381,970	4,508,062	09/21/2018	09/25/2048	SWP: 3.19%(3ML) 09/25/48		990,370	86212X-AA-8	STR_19-1 - ABS	1.A FE	3,381,970	3,517,692
91278*AY0	BOND WITH INTEREST RATE SWAP	1.C	4,810,307	4,743,649	6,133,448	09/21/2018	09/25/2048	SWP: 3.19%(3ML) 09/25/48		1,408,345	27409L-AE-3	THE EAST OHIO GAS COMPANY	1.F FE	4,743,649	4,725,102
91278*AY0	BOND WITH INTEREST RATE SWAP	1.C	15,109,286	15,158,957	20,527,138	09/21/2018	09/25/2048	SWP: 3.19%(3ML) 09/25/48		4,423,645	89172U-AD-0	TPMT_16-4	1.A FM	15,158,957	16,103,493
91278*AY0	BOND WITH INTEREST RATE SWAP	1.C	18,680,634	18,824,916	25,130,432	09/21/2018	09/25/2048	SWP: 3.19%(3ML) 09/25/48		5,469,252	89173H-AC-0	TPMT_17-2	1.A FM	18,824,916	19,661,180
91278*AY0	BOND WITH INTEREST RATE SWAP	1.C	665,063	764,799	848,087	09/21/2018	09/25/2048	SWP: 3.19%(3ML) 09/25/48		194,715	912810-SL-3	TREASURY BOND	1.A	764,799	653,372
91278*AY0	BOND WITH INTEREST RATE SWAP	1.C	902,586	907,918	1,343,198	09/21/2018	09/25/2048	SWP: 3.19%(3ML) 09/25/48		264,256	912810-SF-6	TREASURY BOND	1.A	907,918	1,078,942
999999999 - Totals				432,139,182	506,995,922		XXX	XXX	XXX	3,082,670	XXX	XXX	XXX	432,139,182	503,913,252

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE DB - PART C - SECTION 2**

Replication (Synthetic Asset) Transactions Open

	First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Year To Date	
	1 Number of Positions	2 Total Replication (Synthetic Asset) Transactions Statement Value	3 Number of Positions	4 Total Replication (Synthetic Asset) Transactions Statement Value	5 Number of Positions	6 Total Replication (Synthetic Asset) Transactions Statement Value	7 Number of Positions	8 Total Replication (Synthetic Asset) Transactions Statement Value	9 Number of Positions	10 Total Replication (Synthetic Asset) Transactions Statement Value
1. Beginning Inventory .....	3	294,277,550	4	436,501,751	4	436,516,854			3	294,277,550
2. Add: Opened or Acquired Transactions.....	1	142,248,741							1	142,248,741
3. Add: Increases in Replication (Synthetic Asset) Transactions Statement Value.....	XXX	183,119	XXX	139,068	XXX	47,924	XXX		XXX	370,111
4. Less: Closed or Disposed of Transactions.....										
5. Less: Positions Disposed of for Failing Effectiveness Criteria.....										
6. Less: Decreases in Replication (Synthetic Asset) Transactions Statement Value.....	XXX	207,659	XXX	123,965	XXX	4,425,596	XXX		XXX	4,757,220
7. Ending Inventory .....	4	436,501,751	4	436,516,854	4	432,139,182			4	432,139,182



**SCHEDULE DB - VERIFICATION**

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

		Book/Adjusted Carrying Value Check
1.	Part A, Section 1, Column 14.....	176,705,825
2.	Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance.....	.....
3.	Total (Line 1 plus Line 2).....	176,705,825
4.	Part D, Section 1, Column 6.....	267,932,182
5.	Part D, Section 1, Column 7.....	(91,226,357)
6.	Total (Line 3 minus Line 4 minus Line 5).....	.....
		Fair Value Check
7.	Part A, Section 1, Column 16.....	189,401,780
8.	Part B, Section 1, Column 13.....	.....
9.	Total (Line 7 plus Line 8).....	189,401,780
10.	Part D, Section 1, Column 9.....	280,292,817
11.	Part D, Section 1, Column 10.....	(90,891,037)
12.	Total (Line 9 minus Line 10 minus Line 11).....	.....
		Potential Exposure Check
13.	Part A, Section 1, Column 21.....	48,210,264
14.	Part B, Section 1, Column 20.....	.....
15.	Part D, Section 1, Column 12.....	48,210,264
16.	Total (Line 13 plus Line 14 minus Line 15).....	.....

**SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	253,521,206	145,131,220
2. Cost of cash equivalents acquired .....	4,209,959,179	5,025,710,292
3. Accrual of discount .....	154,396	994,408
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....	(4,396)	(7,044)
6. Deduct consideration received on disposals .....	4,338,283,707	4,918,293,370
7. Deduct amortization of premium .....	540	14,300
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	125,346,138	253,521,206
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	125,346,138	253,521,206

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE B - PART 2**

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Loan Number	2 Location		3 State	4 Loan Type	5 Date Acquired	6 Rate of Interest	7 Actual Cost at Time of Acquisition	8 Additional Investment Made After Acquisition	9 Value of Land and Buildings
	City								
BHM2B4N40	MULTI-CITY		US		08/26/2021	2.750	1,153,471	930,705	2,940,014
0399999. Mortgages in good standing - Residential mortgages-all other							1,153,471	930,705	2,940,014
BHM1QNT87	PHOENIX		AZ		07/01/2021	3.840		(148,500)	
BHM1TM2K0	MIAMI		FL		09/27/2021	4.220		1,073,746	2,602,612
BHM274545	PHOENIX		AZ		09/22/2021	3.290		1,880,946	6,510,379
BHM2A22X4	TEMEOULA		CA		07/21/2021	2.900	3,150,000		5,269,330
BHM2ARS00	CHARLESTON		SD		09/30/2021	2.880	22,600,000		40,125,273
BHM2ASZ93	TRENTON		NJ		08/13/2021	2.400	4,100,000		7,531,711
BHM2AWLJB	PFLUGERVILLE		TX		09/28/2021	2.850	4,200,000		8,962,753
0599999. Mortgages in good standing - Commercial mortgages-all other							34,050,000	2,806,193	71,002,057
0899999. Total Mortgages in good standing							35,203,471	3,736,898	73,942,071
1699999. Total - Restructured Mortgages									
2499999. Total - Mortgages with overdue interest over 90 days									
3299999. Total - Mortgages in the process of foreclosure									
3399999 - Totals							35,203,471	3,736,898	73,942,071

**SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment					14 Book Value/Recorded Investment Excluding Accrued Interest on Disposal	15 Consid-eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	City	State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)					
BOA0FLYC2	SEATTLE	WA		02/24/2004	09/01/2021	51,550						51,550	51,550			
BHMO1FKF8	BALTIMORE	MD		12/21/2005	09/01/2021	80,623						80,623	80,623			
BHMO1GJ76	ONTARIO	CA		11/18/2015	09/01/2021	2,805						2,805	2,805			
BHMO1GJ84	ONTARIO	CA		11/18/2015	09/01/2021	2,905						2,905	2,905			
BHMO1JC69	NEW YORK	NY		05/10/2019	09/01/2021	35,430		(2,491)			(2,491)	32,940	32,940			
BHMO2T4T5	SIMI VALLEY	CA		09/05/2007	09/01/2021	197,230						197,230	197,230			
BHMO3Z7L4	MULTI-CITY	US		06/24/2014	09/01/2021	7,447		46			46	7,493	7,493			
BHMOJEH2	MULTI-CITY	US		04/14/2011	09/01/2021	5,749						5,749	5,749			
BHMOJEH22	MULTI-CITY	US		04/14/2011	09/01/2021	24,816						24,816	24,816			
BHMOJEP2	SANTA BARBARA	CA		04/14/2011	09/01/2021	21,097						21,097	21,097			
BHMOJIM53	STAMFORD	CT		03/18/2011	09/01/2021	179,782						179,782	179,782			
BHMOJKGK3	ALEXANDRIA	VA		10/11/2011	09/01/2021	140,586		(3,550)			(3,550)	137,036	144,136			
BHMOL6628	MULTI-CITY	US		04/11/2012	09/01/2021	20,651		200			200	20,851	20,851			
BHMOL6753	MULTI-CITY	US		04/11/2012	09/01/2021	44,084		(241)			(241)	43,842	43,842			
BHMOL8327	IRVINE	CA		03/28/2012	09/01/2021	74,079						74,079	74,079			
BHMOL8411	IRVINE	CA		03/28/2012	09/01/2021	67,906						67,906	67,906			
BHMOL8429	NEWPORT BEACH	CA		03/28/2012	09/01/2021	43,213						43,213	43,213			
BHMOL8BN5	GLEN BURNIE	MD		11/30/2011	09/01/2021	18,285						18,285	18,285			
BHMOLC8T7	SAN BRUNO	CA		01/08/2020	09/01/2021	201,843						201,843	201,843			
BHMOLK686	ENCINITAS	CA		02/16/2012	09/01/2021	116,624						116,624	116,624			
BHMOLZHT6	CARLSBAD	CA		04/03/2012	09/01/2021	18,407						18,407	18,407			
BHMOM2AN8	CHICAGO	IL		04/24/2012	09/01/2021	76,157						76,157	76,157			
BHMOM2C06	EVANSTON	IL		04/28/2015	09/01/2021	31,220						31,220	31,220			

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment					14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consid- eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other- Than- Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)					
BHMOM3UB0	BELLEVUE	WA		07/17/2012	09/01/2021	823,504						823,504	823,504			
BHMOMH1N5	WASHINGTON	DC		06/28/2012	09/01/2021	60,714						60,714	60,714			
BHMOMN8Z8	MULTI-CITY	US		05/10/2019	09/01/2021	10,142		(379)			(379)	9,763	9,763			
BHMOSMD47	FALLS CHURCH	VA		04/28/2015	09/01/2021	11,665						11,665	11,665			
BHMOT2S05	BOSTON	MA		04/28/2015	09/01/2021	5,518						5,518	5,518			
BHMOU0ZF9	BOSTON	MA		04/28/2015	09/01/2021	13,202						13,202	13,202			
BHMOURBFX2	NEW YORK	NY		08/07/2013	09/01/2021	31,231						31,231	31,231			
BHMOURC982	NEWPORT BEACH	CA		09/19/2013	09/01/2021	29,273						29,273	29,273			
BHMOURC688	BOSTON	MA		09/13/2013	09/01/2021	28,689						28,689	28,689			
BHM0V2CJ5	DALLAS	TX		10/03/2013	09/01/2021	31,357		(219)			(219)	31,139	31,576			
BHM0YQNM1	TIMONIUM	MD		01/15/2014	09/01/2021	97,624						97,624	97,624			
BHM13T3U0	CHARLESTON	SC		05/10/2019	08/01/2021	38,208		(74)			(74)	38,133	38,133			
BHM15T4C7	LAUREL	MD		05/10/2019	09/01/2021	31,011		1,451			1,451	32,462	32,462			
BHM15X6S1	GLEN MILLS	PA		05/10/2019	09/01/2021	43,067		1,717			1,717	44,784	44,784			
BHM1AKCX5	WESTMINSTER	MD		08/27/2015	09/01/2021	62,983						62,983	62,983			
BHM1D68D2	PALO ALTO	CA		11/05/2015	09/01/2021	4,155						4,155	4,155			
BHM1D68L4	PALO ALTO	CA		11/05/2015	09/01/2021	4,642						4,642	4,642			
BHM1DBX43	ONTARIO	CA		11/18/2015	09/01/2021	5,163						5,163	5,163			
BHM1E2204	CHICAGO SUBMARKETS	IL		12/08/2015	09/01/2021	17,639						17,639	17,639			
BHM1EZC10	RALEIGH	NC		01/19/2016	09/01/2021	21,930						21,930	21,930			
BHM1J0ZN7	SAN ANTONIO	TX		03/01/2016	09/01/2021	18,975						18,975	18,975			
BHM1K89H0	CARROLLTON	TX		02/16/2017	09/01/2021	48,764						48,764	48,764			
BHM1LLN27	LOGAN TOWNSHIP	NJ		12/01/2016	09/01/2021	34,041						34,041	34,041			
BHM1MK122	CHARLESTON	SC		01/20/2017	09/01/2021	142,038						142,038	142,038			
BHM1R8X11	JERicho	NY		08/31/2017	09/01/2021	239,208		2,253			2,253	241,461	241,461			
BHM1T12H3	COLUMBUS	OH		12/19/2017	09/01/2021	11,324						11,324	11,324			
BHM1TLVF8	ARLINGTON	TX		06/28/2018	09/01/2021	264,454						264,454	264,454			
BHM1U1P06	DALLAS	TX		06/28/2018	09/01/2021	91,912						91,912	91,912			
BHM1ZBA51	WILMINGTON	SC		05/10/2019	09/01/2021	56,797						56,797	56,797			
BHM20ZLX1	DEAR PARK	NY		09/30/2019	09/01/2021	61,269						61,269	61,269			
BHM21LBR5	MADISON	WI		02/11/2020	09/01/2021	52,500						52,500	52,500			
BHM2889H2	SALT LAKE CITY	UT		03/01/2021	09/01/2021							65,310	65,310			
0299999. Mortgages with partial repayments						3,855,484		(1,287)			(1,287)	3,919,507	3,927,044			
BHMOKTYG0	IRVINE	CA		10/26/2011	07/01/2021	12,659,873		2			2	12,659,874	12,659,874			
BHM1TZS31	THOUSAND OAKS	CA		03/02/2018	07/16/2021	4,000,000						4,000,000	4,000,000			
BHM1W00I3	MARI COPA	AZ		08/23/2018	07/15/2021	5,716						5,716	5,716			
BHM21C6E0	MIAMI	FL		08/14/2019	07/15/2021	26,400,707		77,919			77,919	26,486,164	26,478,626			
0399999. Mortgages disposed						43,066,296		77,920			77,920	43,151,754	43,144,216			
0599999 - Totals						46,921,780		76,633			76,633	47,071,261	47,071,261			

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE BA - PART 2**

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 NAIC Designation, NAIC Designation Modifier and SVO Admini- strative Symbol	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made After Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership		
		3 City	4 State											
BHM12K-V7-4	APOLLO INVESTMENT FUND IX LP	NEW YORK	NY	CAPITAL CALL		08/26/2021	3		2,196,100		9,662,242	0.196		
BHM1NO-07-5	ARLINGTON CAPITAL PARTNERS IV LP	CHEVY CHASE	MD	CAPITAL CALL		07/07/2021	3		61,548			2.430		
BHM1UW-77-0	BRYNWOOD PARTNERS VIII LP	GREENWICH	CT	CAPITAL CALL		07/28/2021	3		16,000		1,037,480	0.457		
BHM1MK-H0-5	CAROUSEL CAPITAL PARTNERS IV LP	CHARLOTTE	NC	CAPITAL CALL		07/20/2021	3		14,538		813,043	1.846		
BHM1NV-L3-9	CAROUSEL CAPITAL PARTNERS V LP	CHARLOTTE	NC	CAPITAL CALL		07/20/2021	3		23,601		623,664	1.947		
BHM298-JB-3	CAROUSEL CAPITAL PARTNERS VI LP	CHARLOTTE	NC	CAPITAL CALL		07/20/2021			21,529		4,447,892	0.643		
BHM27R-3Z-7	CENTURY DOXA CO-INVEST L.P.	BOSTON	MA	CAPITAL CALL		09/28/2021			653,584			2.700		
BHM1HH-9D-3	CENTURY FOCUSED FUND IV LP	BOSTON	MA	CAPITAL CALL		09/13/2021	3		1,973,828		558,614	3.715		
BHM2AU-AF-2	CIVC PARTNERS FUND VI LP	CHICAGO	IL	DIRECT WITH ISSUER		07/27/2021		171,299			2,828,701	0.750		
BHM1CS-2E-9	CORTEC GROUP FUND VI LP	NEW YORK	NY	CAPITAL CALL		07/12/2021	3		108,652		1,582,009	2.606		
BHM2AL-CS-2	COVENTURE - SPOTTER	NEW YORK	NY	CAPITAL CALL		07/12/2021		4,160,977	3,731,204		12,107,819	13.673		
BHM2AZ-K9-4	ELLIOTT ASSOCIATES LP - TALCOTT	NEW YORK	NY	HIMCO OPERATIONAL TRANSACTION		07/01/2021		14,565,579				0.238		
BHM1GI-W0-3	ENCORE CONSUMER CAPITAL FUND III L	SAN FRANCISCO	CA	CAPITAL CALL		09/07/2021	3		626,356		1,045,589	3.379		
BHM14X-G2-8	FS EQUITY PARTNERS VII LP	LOS ANGELES	CA	CAPITAL CALL		08/30/2021	3		6,471		273,946	0.426		
BHM1JX-C1-8	GAMUT INVESTMENT FUND I LP	NEW YORK	NY	CAPITAL CALL		07/12/2021	3		71,157		4,541,912	1.068		
BHM020-B6-3	HALYARD CAPITAL FUND II L.P.	NEW YORK	NY	CAPITAL CALL		07/22/2021	3		20,891		1,099,435	4.796		
BHM1VF-GN-1	HEARTWOOD PARTNERS III LP	NORWALK	CT	CAPITAL CALL		08/12/2021	3		53,716		6,330,780	2.915		
BHM1TX-MA-6	LEEDS EQUITY PARTNERS VI LP	NEW YORK	NY	CAPITAL CALL		07/26/2021	3		286,228		81,381	0.680		
BHM23L-7I-7	LEXINGTON CAPITAL PART IX TR	NEW YORK	NY	CAPITAL CALL		09/27/2021			2,020,525		11,697,420	0.143		
BHM1NJ-BT-9	LEXINGTON MIDDLE MARKET INV IV	NEW YORK	NY	CAPITAL CALL		09/27/2021			917,099		11,539,288	1.038		
BHM25F-BE-3	MADISON INDUSTRIES HOLDINGS LLC	CHICAGO	IL	CAPITAL CALL		07/23/2021		3,326,220			7,450,733	0.857		
BHM1EM-SA-0	MIDOUTH EQUITY PARTNERS III LP	ATLANTA	GA	CAPITAL CALL		09/27/2021	3		71,721		1,020,233	2.457		
BHM1SN-JJ-9	ONE ROCK CAPITAL PARTNERS II LP	NEW YORK	NY	CAPITAL CALL		07/09/2021	3		94,080		3,063,573	1.664		
BHM1T8-E2-8	PAMLICO CAPITAL IV LP	CHARLOTTE	NC	CAPITAL CALL		07/01/2021	3		27,998		940,457	0.824		
BHM1NB-HY-4	PARTHENON INVESTORS V LP	BOSTON	MA	CAPITAL CALL		09/23/2021	3		221,483		2,469,142	1.206		
BHM1QN-S2-8	RIVERSIDE STRATEGIC CAPITAL FUND I	NEW YORK	NY	CAPITAL CALL		09/03/2021	3		300,978		2,833,370	3.908		
BHM1JV-Y9-1	SAW MILL CAPITAL PARTNERS II LP	BRIARCLIFF MANOR	NY	CAPITAL CALL		09/01/2021	3		275,625		5,343,771	4.076		
BHM1K1-G4-6	STRATEGIC PARTNERS FUND VII LP	NEW YORK	NY	CAPITAL CALL		07/30/2021		8,703			8,530,680	0.315		
BHM1X3-6F-4	TAILWIND CAPITAL PARTNERS III LP	NEW YORK	NY	CAPITAL CALL		09/24/2021	3		1,709,671		5,227,374	1.028		
BHM1JM-43-7	TAILWIND DISTINCT INVESTOR LP	KENILWORTH	NJ	CAPITAL CALL		09/27/2021	3		1,905		20,428	3.810		
BHM1TJ-8F-2	TRINITY HUNT PARTNERS V LP	DALLAS	TX	CAPITAL CALL		08/27/2021	3		1,345,139		3,808,915	3.908		
BHM19E-G1-7	UPFRONT GROWTH I LP	SANTA MONICA	CA	CAPITAL CALL		07/01/2021	1		7,639		1,284,506	5.935		
BHM1SZ-U2-1	UPFRONT GROWTH II LP	SANTA MONICA	CA	CAPITAL CALL		07/01/2021	1		32,875		5,026,281	16.152		
BHM197-VC-1	UPFRONT V LP	SANTA MONICA	CA	CAPITAL CALL		07/12/2021	1		83,941		1,961,142	1.836		
BHM1SY-9H-2	UPFRONT VI LP	SANTA MONICA	CA	CAPITAL CALL		07/01/2021	1		672,435		3,265,628	3.121		
BHM1UE-C8-2	VMG PARTNERS IV LP	SAN FRANCISCO	CA	CAPITAL CALL		07/21/2021	3		246,730		3,435,084	3.500		
1999999. Joint Venture Interests - Common Stock - Unaffiliated									18,897,855		21,230,230		125,952,532	XXX
BHM126-SJ-7	MERITEX INDUSTRIAL PORTFOLIO JV	MINNEAPOLIS	MIN.	CAPITAL CALL		08/12/2021			989				28,903	XXX
2199999. Joint Venture Interests - Real Estate - Unaffiliated									989					XXX
4899999. Total - Unaffiliated									18,897,855		21,231,218		125,952,532	XXX
4999999. Total - Affiliated														XXX
5099999 - Totals									18,897,855		21,231,218		125,952,532	XXX

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE BA - PART 3**

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Change in Book/Adjusted Carrying Value						15	16	17	18	19	20	
		3	4					9	10	11	12	13	14							
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Depreciation) or (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book/Adjusted Carrying Value (9+10-11+12)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value Less Encumbrances on Disposal	Consideration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Investment Income	
BHM12K-V7-4	APOLLO INVESTMENT FUND IX LP	NEW YORK	NY	CAPITAL DISTRIBUTION	03/15/2019	08/26/2021	310,000	12,349				12,349		322,349	322,349				36,644	
BHM03W-XD-0	APOLLO INVESTMENT FUND VII	NEW YORK	NY	CAPITAL DISTRIBUTION	03/15/2013	09/17/2021	489,992	365,565				365,565		855,557	855,557				417,460	
BHM02X-9R-5	CARLYLE PARTNERS V L.P.	WASHINGTON	DC	CAPITAL DISTRIBUTION	12/04/2012	08/31/2021	352,353	22,861				22,861		375,214	375,214				24,175	
BHM0LK-H0-5	CAROUSEL CAPITAL PARTNERS IV LP	CHARLOTTE	NC	CAPITAL DISTRIBUTION	09/27/2017	08/06/2021	53,878	(27,688)				(27,688)		26,190	26,190				34,938	
BHM020-P8-1	CLEARVIEW CAPITAL FUND II LP	GREENWICH	CT	CAPITAL DISTRIBUTION	11/20/2015	09/30/2021	21,712	(13,387)				(13,387)		8,325	8,325				41,005	
BHM1CS-2E-9	CORTEC GROUP FUND VI LP	NEW YORK	NY	CAPITAL DISTRIBUTION	03/10/2016	07/20/2021	5,757,894	417,450				417,450		6,175,344	6,175,344				796,021	
BHM025-UB-7	DLJ INVESTMENT PARTNERS III LP	NEW YORK	NY	CAPITAL DISTRIBUTION	12/23/2016	09/03/2021	51,256							51,256	51,256				22,781	
BHM1RX-UR-2	ELLIOTT ASSOCIATES LP	NEW YORK	NY	HIMCO OPERATIONAL TRANSACTION	10/02/2017	07/01/2021	16,118,397	(1,562,392)				(1,562,392)		14,556,005	14,556,005				9,574	
BHM2AZ-K9-4	ELLIOTT ASSOCIATES LP - TALCOTT	NEW YORK	NY	CAPITAL DISTRIBUTION	07/01/2021	07/13/2021								272,970	272,970				(179)	
995724-51-5	FINANCIAL TECHNOLOGY VENTURES II	SAN FRANCISCO	CA	CAPITAL DISTRIBUTION	05/11/2004	09/27/2021	389,239	249,865				249,865		639,104	639,104					
BHM1JX-C1-8	GAMUT INVESTMENT FUND I LP	NEW YORK	NY	CAPITAL DISTRIBUTION	03/31/2017	07/12/2021	25,993	(1,825)				(1,825)		24,168	24,168					
BHM020-B6-3	HALYARD CAPITAL FUND II L.P.	NEW YORK	NY	CAPITAL DISTRIBUTION	10/17/2012	08/11/2021	1,170,687	(71,857)				(71,857)		1,098,830	1,098,830				148,772	
995742-02-0	J W CHILDS EQUITY PARTNERS III	BOSTON	MA	CAPITAL DISTRIBUTION	08/07/2013	09/27/2021	4,458	(4,366)				(4,366)		92	92					
BHM1S8-E4-5	KKR REAL ESTATE CREDIT OPPORTUNITY	NEW YORK	NY	CAPITAL DISTRIBUTION	10/26/2017	08/26/2021	403,436	33,354				33,354		436,790	436,790				(1,044)	
BHM23L-7N-7	LEXINGTON CAPITAL PART IX TR	NEW YORK	NY	CAPITAL DISTRIBUTION	01/15/2020	08/30/2021	91,884	(18,120)				(18,120)		73,764	73,764				7,482	
BHM1K2-P8-5	MONOMOY CAPITAL PARTNERS III LP	NEW YORK	NY	CAPITAL DISTRIBUTION	10/28/2019	09/30/2021	317,715	(73,515)				(73,515)		244,200	244,200					
BHM1EM-SA-0	MSOUTH EQUITY PARTNERS III LP	ATLANTA	GA	CAPITAL DISTRIBUTION	10/24/2016	07/27/2021	3,050,534	(220,741)				(220,741)		2,829,793	2,829,793				723,119	
BHM032-S5-9	NEW SILK ROUTE PE ASIA FUND	NEW YORK	NY	CAPITAL DISTRIBUTION	07/15/2008	07/20/2021	1,441,126							1,441,126	1,441,126				(2)	
995734-20-9	OZ DOMESTIC PARTNERS	GRAND CAYMAN	KY	HIMCO OPERATIONAL TRANSACTION	01/02/2002	08/24/2021		13				13		13	13				(13)	
BHM1T8-E2-8	PAMLICO CAPITAL IV LP	CHARLOTTE	NC	CAPITAL DISTRIBUTION	06/25/2018	09/07/2021	420,144	(32,706)				(32,706)		387,438	387,438					
BHM1QN-52-8	RIVERSIDE STRATEGIC CAPITAL FUND I	NEW YORK	NY	CAPITAL DISTRIBUTION	05/23/2017	09/03/2021	1,380,864	96,787				96,787		1,477,651	1,477,651				127,505	
BHM1XQ-D6-5	SRS EQUITY HOLDINGS LLC - TALCOTT	COLORADO SPRINGS	CO	HIMCO OPERATIONAL TRANSACTION	11/01/2018	08/31/2021	3,004,991	796,619				796,619		3,801,610	3,801,610				7,966,306	
BHM045-M8-1	STONE ARCH CAPITAL II LP	MINNEAPOLIS	MN	CAPITAL DISTRIBUTION	12/24/2010	08/31/2021	1,580,888	(136,463)				(136,463)		1,444,424	1,444,424				286,930	
BHM1X3-6F-4	TAILWIND CAPITAL PARTNERS III LP	NEW YORK	NY	CAPITAL DISTRIBUTION	09/26/2018	08/11/2021	134,438	31,649				31,649		166,087	166,087					
BHM0JQ-VC-0	UCC-LRS LLC	NEW YORK	NY	HIMCO OPERATIONAL TRANSACTION	05/01/2015	08/31/2021		600				600		600	600				(600)	
BHM19E-G1-7	UPFRONT GROWTH I LP	SANTA MONICA	CA	CAPITAL DISTRIBUTION	09/02/2015	08/13/2021	100,248	(22,558)				(22,558)		77,690	77,690				6,356	
BHM1SZ-U2-1	UPFRONT GROWTH II LP	SANTA MONICA	CA	CAPITAL DISTRIBUTION	12/19/2017	09/23/2021	6,518,924	(2,514,183)				(2,514,183)		4,004,741	4,004,741				7,515	
BHM1KJ-Y0-5	UPFRONT IV ANCILLARY LP	SANTA CLARA	CA	CAPITAL DISTRIBUTION	10/28/2016	09/23/2021	5,136,353	(2,903,552)				(2,903,552)		2,232,801	2,232,801				316,919	
BHM197-VC-1	UPFRONT V LP	SANTA MONICA	CA	CAPITAL DISTRIBUTION	05/15/2017	08/27/2021	164,191	(42,472)				(42,472)		121,719	121,719				31	
BHM19Y-9W-2	UPFRONT VI LP	SANTA MONICA	CA	CAPITAL DISTRIBUTION	12/19/2017	07/01/2021	8,675	(850)				(850)		7,825	7,825					
<b>1999999. Joint Venture Interests - Common Stock - Unaffiliated</b>							48,500,268	(5,619,563)				(5,619,563)		43,153,675	43,153,675				10,971,696	
BHM0XV-KH-4	CAMDEN PINEY POINT (JV EQUITY)	HOUSTON	TX	CAPITAL DISTRIBUTION	02/27/2014	07/08/2021	2,672,829	876,149				876,149		3,548,978	3,548,978				32,833	
BHM1DQ-ZM-8	PRESTON PARK	NAPERVILLE	IL	HIMCO OPERATIONAL TRANSACTION	10/29/2015	08/19/2021	2,411,116	800,734				800,734		3,251,292	3,251,292				2,849,125	
BHM0ZF-3B-9	SILVER OAKS (JV EQUITY)	EVANSTON	IL	HIMCO OPERATIONAL TRANSACTION	03/21/2017	08/17/2021		272				272		272	272				8,140	
<b>2199999. Joint Venture Interests - Real Estate - Unaffiliated</b>							5,083,945	1,677,156				1,677,156		6,800,543	6,800,543				2,890,098	
<b>4899999. Total - Unaffiliated</b>							53,584,214	(3,942,407)				(3,942,407)		49,954,218	49,954,218					13,861,795
<b>4999999. Total - Affiliated</b>																				
<b>5099999 - Totals</b>							53,584,214	(3,942,407)					(3,942,407)		49,954,218	49,954,218				13,861,795

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
912810-SZ-2	TREASURY BOND		09/30/2021	Various		133,721,831	133,500,000	264,538	1.A
91282C-CJ-8	TREASURY NOTE		07/13/2021	BARCLAYS CAPITAL INC		41,123,320	41,000,000	13,648	1.A
91282C-CK-5	TREASURY NOTE		07/13/2021	CITIGROUP GLOBAL MARKETS, INC		27,932,188	28,000,000	1,332	1.A
<b>0599999. Subtotal - Bonds - U.S. Governments</b>						<b>202,777,339</b>	<b>202,500,000</b>	<b>279,518</b>	<b>XXX</b>
3137H2-IN-1	FHMS K131 IS		09/10/2021	GOLDMAN SACHS & CO LLC		1,256,275		6,844	1.A
BHM2BR-ZL-8	GOLDEN ST TOBACCO SEC CORP		09/30/2021	JEFFERIES & CO, INC		10,845,150	10,800,000		1.D FE
<b>3199999. Subtotal - Bonds - U.S. Special Revenues</b>						<b>12,101,425</b>	<b>10,800,000</b>	<b>6,844</b>	<b>XXX</b>
01741R-AL-6	ALLEGHENY TECHNOLOGIES INCORPORATE		09/20/2021	Various		172,660	172,000	143	4.C FE
00180U-AE-5	AIMSR_21-SFR3 - ABS		09/10/2021	GOLDMAN SACHS & CO LLC		1,649,988	1,650,000		1.G FE
05323*-AA-7	AUTOMATION SMC HOLDINGS INC		09/30/2021	SCHEDULED ACQUISITION		1,244	1,244		5.C
05324*-AA-2	AUTOMATION SMC HOLDINGS INC		09/30/2021	SCHEDULED ACQUISITION		286,782	273,056		5.C
BHM2BF-T6-8	AUTOMATION SOLUTIONS INC		09/30/2021	SCHEDULED ACQUISITION		4,205			5.B GI
05401A-AR-2	AVOLON HOLDINGS FUNDING LTD	D.	08/18/2021	Various		965,103	1,054,065		2.C FE
05685A-AG-3	BCC_21-4A - ABS		08/12/2021	JEFFERIES & CO, INC		3,750,000	3,750,000		1.C FE
07387*-AA-2	BEAR SWAMP FINANCE LP		09/30/2021	SCHEDULED ACQUISITION		14,752	14,752		2.C PL
102590-AF-3	BOWLES FLUIDICS CORPORATION		07/01/2021	CANCELLED TRADE		(59,134)	(59,134)		5.A
102590-A8-5	BOWLES FLUIDICS CORPORATION		09/30/2021	Various		(62,909)	(62,909)		5.A
103557-AC-8	BOYNE USA INC		09/21/2021	JANE STREET EXECUTION SERVICES LLC		72,591	70,000	1,358	4.B FE
10569E-AD-3	BRAVO_21-NQM2		08/12/2021	CREDIT SUISSE SECURITIES (USA) LLC		2,180,989	2,181,000	6,240	2.B FE
10569E-AE-1	BRAVO_21-NQM2		08/12/2021	CREDIT SUISSE SECURITIES (USA) LLC		6,299,787	6,300,000	23,972	3.B FE
056054-AK-5	BX_19-XL		07/14/2021	GOLDMAN SACHS & CO LLC		4,468,916	4,468,349	297	2.C FE
12434C-AQ-7	BX_21-SDMF		09/17/2021	MORGAN STANLEY & CO, LLC		6,281,048	6,300,000		3.C FE
05609V-AN-5	BX_21-VOLT		09/15/2021	CITIGROUP GLOBAL MARKETS, INC		5,000,000	5,000,000		1.G FE
05609V-AQ-8	BX_21-VOLT		09/15/2021	CITIGROUP GLOBAL MARKETS, INC		4,200,000	4,200,000		2.C FE
13033D-AH-8	CAHFA_21-2 IS	C.	09/28/2021	CITIGROUP GLOBAL MARKETS, INC		1,335,893		13,036	1.B FE
1248EP-CD-3	CCO HOLDINGS LLC/CCO HOLDINGS CAPI		09/02/2021	GOLDMAN SACHS & CO LLC		205,640	194,000	154	3.B FE
1248EP-CN-1	CCO HOLDINGS LLC/CCO HOLDINGS CAPI		08/02/2021	Various		234,629	224,000	2,604	3.B FE
15135U-AX-7	CENOVUS ENERGY INC	A.	09/09/2021	JP MORGAN SECURITIES LLC		12,708,352	12,800,000		2.C FE
12553S-AG-9	CIFC_21-6A - ABS		09/22/2021	CITIGROUP GLOBAL MARKETS, INC		4,800,000	4,800,000		1.C FE
185899-AL-5	CLEVELAND-CLIFFS INC		09/30/2021	Various		799,886	755,000	1,797	3.C FE
12654A-AA-9	CNX MIDSTREAM PARTNERS LP		09/15/2021	WELLS FARGO ADVISORS, LLC		72,000	72,000		4.A FE
19260Q-AC-1	COINBASE GLOBAL INC		09/14/2021	GOLDMAN SACHS & CO LLC		4,000	4,000		3.A FE
19260Q-AD-9	COINBASE GLOBAL INC		09/14/2021	GOLDMAN SACHS & CO LLC		4,000	4,000		3.A FE
20030N-DR-9	COMCAST CORPORATION		08/19/2021	CONVERSION		1,519,633	2,545,864		1.G FE
20030N-DT-5	COMCAST CORPORATION		08/19/2021	Various		17,288,430	23,801,319		1.G FE
20030N-DV-0	COMCAST CORPORATION		08/19/2021	Various		22,652,580	31,153,150		1.G FE
212015-AT-8	CONTINENTAL RESOURCES INC		08/16/2021	Various		5,406,180	4,524,000	23,303	3.A FE
126307-BB-2	CSC HOLDINGS LLC		08/18/2021	MFG SECURITIES AMERICAS INC		397,500	400,000	3,621	3.C FE
23636A-BB-6	DANSKE BANK A/S	D.	09/07/2021	JP MORGAN SECURITIES LLC		23,762,000	23,762,000		1.F FE
25470X-BD-6	DISH DBS CORP		09/03/2021	CONVERSION		240,000	240,000	3,383	4.C FE
24381V-AD-2	DRMT_21-3		09/24/2021	CREDIT SUISSE SECURITIES (USA) LLC		6,071,796	6,071,934	11,415	2.B FE
278300-AL-2	EATON_20-1A - ABS	C.	08/13/2021	WELLS FARGO ADVISORS, LLC		5,000,000	5,000,000		1.C FE
29002H-AN-9	ELMIW3_19-3A - ABS		09/10/2021	MORGAN STANLEY & CO, LLC		3,000,000	3,000,000		1.C FE
14308C-AA-1	FLY LEASING LTD	D.	08/02/2021	EXCHANGE		534,599	557,000		4.C FE
364760-AP-3	GAP INC		09/13/2021	CITIGROUP GLOBAL MARKETS, INC		24,000	24,000		3.C FE
382550-BL-4	GOODYEAR TIRE & RUBBER COMPANY (TH		07/22/2021	JP MORGAN SECURITIES LLC		119,985	114,000	1,077	3.C FE
428102-AE-7	HESS MIDSTREAM OPERATIONS LP		08/02/2021	GOLDMAN SACHS & CO LLC		76,000	76,000		3.A FE
457030-AK-0	INGLES MARKETS INCORPORATED		07/06/2021	BARCLAYS CAPITAL INC		70,175	70,000	163	3.B FE
460599-AF-0	INTERNATIONAL GAME TECHNOLOGY PLC	D.	09/08/2021	WELLS FARGO ADVISORS, LLC		281,840	271,000	5,124	3.C FE
50203T-AA-4	LFS TOPCO LLC		09/14/2021	GOLDMAN SACHS & CO LLC		85,000	85,000		4.B FE
61765Y-AE-2	MSC_16-PSQ		07/01/2021	Various				(468)	1.D FM
55354G-AH-3	MSCI INC		08/04/2021	FLOW TRANSFERS US INSTITUTIONAL TRA		32,025	30,000	270	3.A FE
55354G-AQ-3	MSCI INC		08/03/2021	MORGAN STANLEY & CO, LLC		24,000	24,000		3.A FE
62912X-AC-8	NOPL PIPECO LLC		07/12/2021	BARCLAYS CAPITAL INC		736,323	510,000	3,191	2.C FE
670001-AG-1	NOVELIS CORP		07/26/2021	BANC OF AMERICA SECURITIES LLC		7,000	7,000		4.A FE
670001-AH-9	NOVELIS CORP		08/24/2021	Various		255,730	255,000	338	4.A FE

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
67400E-AS-3	OAKCL 19-3A - ABS		08/25/2021	WELLS FARGO ADVISORS, LLC		6,200,000	6,200,000		1.C FE
67095#-AA-9	OMEGA ACQUISITION CORP		07/01/2021	SCHEDULED ACQUISITION		29,073	29,073		5.B
BHM20Y-YL-6	OMEGA ACQUISITION CORP		07/01/2021	SCHEDULED ACQUISITION		9,692	9,692		5.B GI
682691-AA-8	ONEMAIN FINANCE CORP		07/26/2021	GOLDMAN SACHS & CO LLC		200,250	200,000	2,956	3.B FE
67118A-AQ-8	OPGT 21-PORT		09/30/2021	MORGAN STANLEY & CO. LLC		4,992,890	5,005,455		3.C FE
67118A-AS-4	OPGT 21-PORT		09/30/2021	MORGAN STANLEY & CO. LLC		9,975,183	10,000,000		4.C FE
69527A-AA-4	PACTIV EVERGREEN GROUP ISSUER INC	C.	09/21/2021	CREDIT SUISSE SECURITIES (USA) LLC		122,000	122,000		4.A FE
70932M-AC-1	PENNYMAC FINANCIAL SERVICES INC		09/15/2021	Various		314,469	313,000	38	4.A FE
71376L-AE-0	PERFORMANCE FOOD GROUP INC		07/12/2021	BMO CAPITAL MARKETS CORP.		77,000	77,000		4.A FE
72147K-AG-3	PILGRIMS PRIDE CORP		08/19/2021	BMO CAPITAL MARKETS CORP.		101,000	101,000		3.A FE
737446-AQ-7	POST HOLDINGS INC		09/20/2021	Various		451,527	444,000	7,650	4.B FE
69354N-AD-8	PRA GROUP INC		09/17/2021	TRUIST SECURITIES, INC		206,000	206,000		3.A FE
75888H-AN-1	REG12_21-1A - ABS	C.	09/28/2021	BNP PARIBAS SECURITIES CORP		4,800,000	4,800,000		1.C FE
75984Y-AC-2	REG20_21-2A - ABS	C.	08/04/2021	BNP PARIBAS SECURITIES CORP		3,500,000	3,500,000		1.C FE
74965L-AB-7	RLJ LODGING TRUST LP		09/09/2021	WELLS FARGO ADVISORS, LLC		176,000	176,000		3.C FE
78649*-AC-7	SAFEMARK INC - A		09/30/2021	SCHEDULED ACQUISITION		25,664	25,664		5.C
78649*-AD-5	SAFEMARK INC - B		09/30/2021	SCHEDULED ACQUISITION		279,808	279,808		5.C
81725W-AK-9	SENSATA TECHNOLOGIES BV	D.	08/20/2021	JANE STREET EXECUTION SERVICES LLC		204,394	200,000	3,222	3.C FE
82967N-BL-1	SIRIUS XM RADIO INC		08/03/2021	Various		201,335	201,000		3.B FE
845467-AS-8	SOUTHWESTERN ENERGY COMPANY		08/23/2021	Various		98,155	98,000		3.B FE
7846EL-AD-9	SPOMI SA	D.	09/24/2021	GOLDMAN SACHS & CO LLC		201,000	200,000	139	3.A FE
82670Q-AE-9	SPEAK 21-9A - ABS	C.	07/19/2021	JP MORGAN SECURITIES LLC		7,000,000	7,000,000		1.C FE
85774D-AD-6	SPST_21-WL1 - ABS		07/19/2021	JEFFERIES & CO. INC.		6,300,000	6,300,000	6,104	2.B FE
85573P-AD-4	STAR_21-4		09/24/2021	CREDIT SUISSE SECURITIES (USA) LLC		2,256,127	2,256,169	3,929	2.B FE
89613J-AL-4	TAH_20-SFR2 - ABS		09/21/2021	BANC OF AMERICA SECURITIES LLC		2,104,023	2,046,000	3,850	2.C FE
88033G-DL-1	TENET HEALTHCARE CORPORATION		08/23/2021	Various		167,474	165,000	1,568	4.A FE
89055F-AC-7	TOPBUILD CORP		09/28/2021	BANC OF AMERICA SECURITIES LLC		48,000	48,000		3.B FE
89688W-AD-3	TRK_21-INV1		07/20/2021	MORGAN STANLEY & CO. LLC		2,999,906	3,000,000	5,816	2.B FE
897051-AC-2	TRONOX INC		08/02/2021	Various		402,254	395,000	6,287	4.C FE
90931C-AA-6	UNITED AIRLINES 2019-1 CLASS AA PA		08/18/2021	ROBERT W. BAIRD & CO. INCORPORATED		885,254	810,563	15,203	1.E FE
90932L-AG-2	UNITED AIRLINES INC		08/23/2021	Various		401,749	389,000	4,349	3.B FE
911365-BN-3	UNITED RENTALS (NORTH AMERICA) INC		08/18/2021	GOLDMAN SACHS & CO LLC		128,495	124,000	482	3.B FE
<b>3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>						<b>197,845,939</b>	<b>211,412,321</b>	<b>162,608</b>	<b>XXX</b>
05254H-AA-2	AUSTRALIA AND NEW ZEALAND BANKING	D.	09/17/2021	CITIGROUP GLOBAL MARKETS, INC		3,511,466	2,971,000	52,149	2.B FE
05565A-HN-6	BNP PARIBAS SA	D.	09/23/2021	BNP PARIBAS SECURITIES CORP		14,323,943	13,044,000	318,061	2.C FE
456837-AR-4	ING GROEP NV	D.	09/16/2021	CREDIT SUISSE SECURITIES (USA) LLC		15,575,000	14,000,000	277,278	3.A FE
780097-BO-3	NATWEST GROUP PLC	D.	09/20/2021	RBC CAPITAL MARKETS, LLC		2,483,744	2,200,000	30,067	3.A FE
90352J-AF-0	UBS GROUP AG	D.	09/17/2021	CREDIT SUISSE SECURITIES (USA) LLC		16,556,250	15,000,000	147,482	2.C FE
<b>4899999. Subtotal - Bonds - Hybrid Securities</b>						<b>52,450,403</b>	<b>47,215,000</b>	<b>825,036</b>	<b>XXX</b>
01881U-AH-8	ALLIANT HOLDINGS INTERMEDIATE LLC		07/19/2021	Various		(75)	(75)		4.B FE
BHM1VQ-06-3	BAUSCH HEALTH COMPANIES INC	A.	09/30/2021	Various		(69,247)	(69,299)		3.B FE
BHM2BP-B6-1	CATALENT PHARMA SOLUTIONS INC		09/30/2021	JP MORGAN SECURITIES LLC		21,945	22,000		3.A FE
40482#-AA-7	HV EIGHT LLC		07/30/2021	NOUMURA SECURITIES INTERNATIONAL INC		14,035,000	14,035,000		1.G Z
52729K-AP-1	LEVEL 3 PARENT LLC		09/30/2021	BANC OF AMERICA SECURITIES LLC		297,000	300,000		3.A FE
BHM2BR-XQ-9	MOZART BORROWER LP		09/30/2021	BANC OF AMERICA SECURITIES LLC		376,110	378,000		4.A FE
80875A-AP-6	SCIENTIFIC GAMES INTERNATIONAL INC		09/30/2021	BANC OF AMERICA SECURITIES LLC		447,750	450,000		4.A FE
BHM299-W4-2	UNITED AIRLINES INC		07/28/2021	JP MORGAN SECURITIES LLC		195,213	194,000		3.B FE
<b>8299999. Subtotal - Bonds - Unaffiliated Bank Loans</b>						<b>15,303,695</b>	<b>15,309,625</b>		<b>XXX</b>
<b>8399997. Total - Bonds - Part 3</b>						<b>480,478,801</b>	<b>487,236,946</b>	<b>1,274,006</b>	<b>XXX</b>
<b>8399998. Total - Bonds - Part 5</b>						<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>
<b>8399999. Total - Bonds</b>						<b>480,478,801</b>	<b>487,236,946</b>	<b>1,274,006</b>	<b>XXX</b>
47630E-11-5	JENSEN HUGHES HOLDINGS CORP		09/30/2021	HIMCO OPERATIONAL TRANSACTION	1,582,940	150,379	1.00		6. *
58331#-11-8	MPE FLOW HOUSE INC		09/30/2021	HIMCO OPERATIONAL TRANSACTION	137,530	14,335	1.00		5.B
<b>8499999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred</b>						<b>164,714</b>	<b>XXX</b>		<b>XXX</b>
BHM1PT-U2-8	PLASTIC COMPONENTS INC		09/30/2021	HIMCO OPERATIONAL TRANSACTION	244,890	24,489	1.00		5.B GI

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
87403F-12-2	TAILWIND CUMMING HOLDING CORPORATI		09/30/2021	HIMCO OPERATIONAL TRANSACTION	844,250	42,213	1.00		5.B GI
8599999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Redeemable Preferred						66,701	XXX		XXX
8999997. Total - Preferred Stocks - Part 3						231,415	XXX		XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						231,415	XXX		XXX
9799997. Total - Common Stocks - Part 3							XXX		XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks							XXX		XXX
9899999. Total - Preferred and Common Stocks						231,415	XXX		XXX
9999999 - Totals						480,710,216	XXX	1,274,006	XXX

















STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	For-foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	
90139*-AA-3	TWIN BROOK II - ONSHORE - ABS		09/21/2021	Various		6,629,665	6,629,665	6,613,090	6,614,159				2,364		6,616,523		13,141	13,141	191,924	06/09/2026	1.E PL	
73019#-AA-0	UNION PACIFIC RR 2012-A		09/13/2021	SCHEDULED REDEMPTION		189,803	189,803	189,803	189,803						189,803				5,694	09/13/2027	1.D	
73019#-AB-8	UNION PACIFIC RR 2012-B		09/13/2021	SCHEDULED REDEMPTION		196,947	196,947	196,947	196,947						196,947				5,908	09/13/2027	1.D	
73019#-AC-6	UNION PACIFIC RR 2012-C		09/13/2021	SCHEDULED REDEMPTION		179,905	179,905	179,905	179,905						179,905				5,397	09/13/2027	1.D	
90931C-AA-6	UNITED AIRLINES 2019-1 CLASS AA PA		08/25/2021	SCHEDULED REDEMPTION		299,627	299,627	302,527	266,235		(2,900)		(2,900)		299,627				11,742	08/25/2031	1.E FE	
92331K-AA-6	VENTR 23R - ABS		07/19/2021	Various		1,494,740	1,494,740	1,494,740	1,494,740						1,494,740				13,544	07/19/2028	1.A FE	
92331K-AZ-5	VENTR 23R - ABS		07/16/2021	CALL TRANSACTION		7,300,000	7,300,000	7,300,000	7,300,000						7,300,000				108,046	07/19/2028	1.B FE	
92331K-BB-7	VENTR 23R - ABS		07/16/2021	CALL TRANSACTION		9,100,000	9,100,000	9,100,000	9,100,000						9,100,000				178,216	07/19/2028	1.D FE	
91845#-AA-2	VERIZON CORPORATE SERVICES GROUP I		09/15/2021	SCHEDULED REDEMPTION		34,649	34,649	34,649	34,649						34,649				954	05/15/2035	2.A	
92558N-AC-6	VIBR 19-11A - ABS		09/15/2021	CALL TRANSACTION		10,715,000	10,715,000	10,715,000	10,715,000						10,715,000				209,924	07/20/2032	1.C FE	
92783#-AA-4	VIRGINIA INTERNATIONAL GATEWAY INC		09/30/2021	SCHEDULED REDEMPTION		15,105	15,105	15,105	15,105						15,105				297	06/30/2030	1.G PL	
92840V-AA-0	VISTRA OPERATIONS COMPANY LLC		08/06/2021	BANC OF AMERICA SECURITIES LLC CITIGROUP GLOBAL		472,770	459,000	457,875	458,137		80		80		458,218		14,552	14,552	23,772	09/01/2026	3.B FE	
92840V-AB-8	VISTRA OPERATIONS COMPANY LLC		08/05/2021	MARKETS, INC		882,938	850,000	884,333	875,022		(4,580)		(4,580)		870,442		12,496	12,496	47,016	02/15/2027	3.B FE	
93145#-AA-5	WALGREEN LEASE PASS THRU TR 2011 - ABS		09/25/2021	SCHEDULED REDEMPTION		56,315	56,315	56,315	56,315						56,315				2,079	12/25/2036	2.B	
92922F-4S-4	WAMU_05-AR13		09/25/2021	SCHEDULED REDEMPTION		39,066	39,066	35,453	37,628		1,438		1,438		39,066				236	10/25/2045	1.D FM	
92925C-BD-3	WAMU_05-AR19		09/25/2021	SCHEDULED REDEMPTION		35,112	35,112	31,992	32,310		2,802		2,802		35,112				237	12/25/2045	1.D FM	
126650-AY-6	WELLS FARGO BANK NW (CVS 02 CTL) S - ABS		09/10/2021	SCHEDULED REDEMPTION		31,133	31,133	31,133	31,133						31,133				1,353	10/10/2027	2.B	
9497EN-AE-3	WFHET 05-3		09/27/2021	SCHEDULED REDEMPTION		82,929	82,929	74,662	81,810		1,119		1,119		82,929				317	11/25/2035	1.D FM	
96042G-AJ-1	WLAKE 18-3		08/15/2021	SCHEDULED REDEMPTION		2,582,736	2,582,736	2,582,422	2,582,689		47		47		2,582,736				31,466	10/16/2023	1.A FE	
98162D-AJ-8	WOSAT 18-1A		09/15/2021	SCHEDULED REDEMPTION		1,036,028	1,036,028	1,035,891	1,035,986		43		43		1,036,028				28,808	01/15/2025	1.A FE	
<b>3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>						<b>274,613,605</b>	<b>269,834,592</b>	<b>268,206,705</b>	<b>261,364,780</b>		<b>291,616</b>		<b>291,616</b>		<b>269,468,092</b>		<b>3,205,571</b>	<b>3,205,571</b>	<b>9,471,890</b>	<b>XXX</b>	<b>XXX</b>	
01881U-AH-8	ALLIANT HOLDINGS INTERMEDIATE LLC		09/30/2021	SINKING FUND TRANSACTION		1,178	1,178	1,169	1,169						1,170		8	8	41	10/08/2027	4.B FE	
BHM11VQ-06-3	BAUSCH HEALTH COMPANIES INC	A	08/03/2021	SINKING FUND TRANSACTION		210,167	210,167	207,065	37,987		325		325		207,408		2,759	2,759	3,372	06/02/2025	3.B FE	
BHM271U-U5-2	ENERGIZER HOLDINGS INC		07/01/2021	SINKING FUND TRANSACTION		290	290	289	289						289		1	1	4	12/22/2027	3.A FE	
BHM29Y-R3-5	INDIGO MERGER SUB INC		09/27/2021	SINKING FUND TRANSACTION		883	883	878							878		4	4		06/16/2028	3.A FE	
BHM29Z-6E-1	INDIGO MERGER SUB INC		09/27/2021	SINKING FUND TRANSACTION		220	220	219							219		1	1		07/01/2028	3.A FE	
15669G-AH-7	LUMEN TECHNOLOGIES INC		09/30/2021	SINKING FUND TRANSACTION		3,160	3,160	3,156	3,156						3,157		3	3	55	03/15/2027	3.A FE	
76090L-AE-4	RESIDEO FUNDING INC		09/30/2021	SINKING FUND TRANSACTION		563	563	561							561		1	1	8	02/09/2028	3.B FE	
78466D-BF-0	SS&C TECHNOLOGIES HOLDINGS INC		08/31/2021	SINKING FUND TRANSACTION		2,678	2,678	2,682	2,678						2,678				38	04/16/2025	3.B FE	
BHM299-W4-2	UNITED AIRLINES INC		09/30/2021	SINKING FUND TRANSACTION		1,351	1,351	1,344							1,345		6	6	16	04/21/2028	3.B FE	
BHM21Y-1D-9	WELLS ENTERPRISES INC		09/30/2021	SINKING FUND TRANSACTION		2,402	2,402	2,396	2,397		1		1		2,398		4	4	54	03/29/2025	4.A FE	
92985*-AA-4	WHITEHORSE LIQ.PRTNS III TERM LOAN		09/08/2021	SINKING FUND TRANSACTION		1,754,281	1,754,281	1,754,281							1,754,281				10,972	03/22/2025	2.B Z	
<b>8299999. Subtotal - Bonds - Unaffiliated Bank Loans</b>						<b>1,977,172</b>	<b>1,977,172</b>	<b>1,974,040</b>	<b>47,677</b>		<b>328</b>		<b>328</b>		<b>1,974,383</b>		<b>2,788</b>	<b>2,788</b>	<b>14,561</b>	<b>XXX</b>	<b>XXX</b>	
<b>8399997. Total - Bonds - Part 4</b>						<b>444,239,772</b>	<b>433,306,817</b>	<b>431,443,315</b>	<b>277,039,498</b>		<b>106,620</b>		<b>106,620</b>		<b>432,339,274</b>		<b>7,901,397</b>	<b>7,901,397</b>	<b>12,662,104</b>	<b>XXX</b>	<b>XXX</b>	
<b>8399998. Total - Bonds - Part 5</b>						<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>
<b>8399999. Total - Bonds</b>						<b>444,239,772</b>	<b>433,306,817</b>	<b>431,443,315</b>	<b>277,039,498</b>		<b>106,620</b>		<b>106,620</b>		<b>432,339,274</b>		<b>7,901,397</b>	<b>7,901,397</b>	<b>12,662,104</b>	<b>XXX</b>	<b>XXX</b>	
26139#-11-5	DPL HOLDING CORP - DALLAS SER A		08/10/2021	HIMCO OPERATIONAL TRANSACTION		214,243	3,126,985	1.00	2,142,433				2		2,142,433		984,552	984,552	82,530		6. *	
23989*-12-3	DPL HOLDING CORPORATION		08/10/2021	HIMCO OPERATIONAL TRANSACTION		682,176	9,380,769	1.00	6,821,762				2		6,821,762		2,559,007	2,559,007	262,782		6. *	

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	For-foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	
8499999	Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred					12,507,754	XXX	8,964,195	8,618,882	3			3		8,964,195		3,543,559	3,543,559	345,312	XXX	XXX	
8999997	Total - Preferred Stocks - Part 4					12,507,754	XXX	8,964,195	8,618,882	3			3		8,964,195		3,543,559	3,543,559	345,312	XXX	XXX	
8999998	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999	Total - Preferred Stocks					12,507,754	XXX	8,964,195	8,618,882	3			3		8,964,195		3,543,559	3,543,559	345,312	XXX	XXX	
..261398-10-7	DPL HOLDING CORP - DALLAS		08/10/2021	HIMCO OPERATIONAL TRANSACTION	108.000	347,438		120,000	120,000						120,000		227,438	227,438				
..233994-51-6	DPL HOLDING CORPORATION		08/10/2021	HIMCO OPERATIONAL TRANSACTION	324.000	1,042,314		360,000	360,000						360,000		682,314	682,314				
..74339*-90-9	PROJECT GRILL		08/31/2021	HIMCO OPERATIONAL TRANSACTION	161,194.030																	
9199999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Other					1,389,751	XXX	480,000	480,000						480,000		909,751	909,751		XXX	XXX	
9799997	Total - Common Stocks - Part 4					1,389,751	XXX	480,000	480,000						480,000		909,751	909,751		XXX	XXX	
9799998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999	Total - Common Stocks					1,389,751	XXX	480,000	480,000						480,000		909,751	909,751		XXX	XXX	
9899999	Total - Preferred and Common Stocks					13,897,506	XXX	9,444,195	9,098,882	3			3		9,444,195		4,453,310	4,453,310	345,312	XXX	XXX	
9999999	Totals					458,137,277	XXX	440,887,510	286,138,380	3	106,620		106,623		441,783,469		12,354,708	12,354,708	13,007,416	XXX	XXX	

E05.8

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23														
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)														
007999999. Subtotal - Purchased Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108														XXX																			XXX	XXX		
014999999. Subtotal - Purchased Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108														XXX																						
SIPTN: 15Y RTR 3ML(3.08%) 02/28/28	VAGLB Hedge - Macro	N/A	A	JP MORGAN CHASE BANK	02/27/2018	02/28/2028		10,000,000	3.09	725,000			1,589,030	XXX	1,589,030	(395,050)							0003													
SIPTN: 20Y RTR 3ML(3.04%) 02/28/28	VAGLB Hedge - Macro	N/A	A	JP MORGAN CHASE BANK	02/27/2018	02/28/2028		5,000,000	3.05	439,000			1,001,250	XXX	1,001,250	(255,160)							0003													
SIPTN: 10Y RTR 3ML(3.21%) 02/27/25	VAGLB Hedge - Macro	N/A	A	JP MORGAN CHASE BANK	02/27/2018	02/27/2025		5,000,000	3.21	285,000			610,470	XXX	610,470	(203,015)							0003													
SIPTN: 15Y RTR 3ML(3.19%) 02/27/25	VAGLB Hedge - Macro	N/A	A	JP MORGAN CHASE BANK	02/27/2018	02/27/2025		20,000,000	3.19	1,499,000			3,369,220	XXX	3,369,220	(1,088,520)							0003													
SIPTN: 20Y RTR 3ML(3.07%) 02/27/25	VAGLB Hedge - Macro	N/A	A	JP MORGAN CHASE BANK	02/27/2018	02/27/2025		5,000,000	3.08	428,000			1,000,290	XXX	1,000,290	(329,480)							0003													
S&P IDX CALL @ 4160 06/30/25	VAGLB Hedge - Macro	N/A	E	JP MORGAN CHASE BANK	06/05/2020	06/30/2025		62,028,576	4.160	2,160,000			9,597,788	XXX	9,597,788	4,450,498							0003													
015999999. Subtotal - Purchased Options - Hedging Other - Call Options and Warrants														XXX	17,168,048	2,179,273																	XXX	XXX		
S&P IDX PUT @ 2800 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro	N/A	E	HSBC BANK PLC	07/12/2018	06/20/2025		153,779,178	2,800.00			18,267,528	^	7,507,126	7,507,126	(3,894,632)							0003													
S&P IDX PUT @ 2800 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro	N/A	E	JP MORGAN CHASE BANK	07/13/2018	06/20/2025		153,779,178	2,800.00			18,367,805	^	7,507,126	7,507,126	(3,893,194)							0003													
RTY IDX PUT @ 1670 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro	N/A	E	HSBC BANK PLC	08/02/2018	06/20/2025		132,262,320	1,670.00			17,159,001	^	10,167,687	10,167,687	(4,140,376)							0003													
MXEA IDX PUT @ 1920 - PREMIUM PAYABLE 06/19/26	VAGLB Hedge - Macro	N/A	E	CREDIT SUISSE FB INT	08/16/2018	06/19/2026		118,855,157	1,920.00			18,294,665	^	12,323,307	12,323,307	(4,592,504)							0003													
S&P IDX PUT @ 2850 - PREMIUM PAYABLE 06/16/28	VAGLB Hedge - Macro	N/A	E	GOLDMAN SACHS INTL	08/16/2018	06/16/2028		151,194,654	2,850.00			22,745,857	^	11,926,518	11,926,518	(3,960,649)							0003													
S&P IDX PUT @ 2850 - PREMIUM PAYABLE 06/16/28	VAGLB Hedge - Macro	N/A	E	GOLDMAN SACHS INTL	08/21/2018	06/16/2028		151,194,654	2,850.00			22,460,165	^	11,926,531	11,926,531	(3,969,740)							0003													
S&P IDX PUT @ 2900 - PREMIUM PAYABLE 06/16/28	VAGLB Hedge - Macro	N/A	E	GOLDMAN SACHS INTL	09/17/2018	06/16/2028		148,610,130	2,900.00			22,068,555	^	12,198,966	12,198,966	(4,080,878)							0003													
S&P IDX PUT @ 2750 - PREMIUM PAYABLE 12/19/25	VAGLB Hedge - Macro	N/A	E	BANK OF AMERICA, NA	11/09/2018	12/19/2025		157,225,210	2,750.00			18,024,985	^	8,061,213	8,061,213	(3,903,843)							0003													
NDX IDX PUT @ 11000 - PREMIUM PAYABLE 06/30/23	VAGLB Hedge - Macro	N/A	E	BANK OF AMERICA, NA	06/07/2021	06/30/2023		22,328,216	11,000.00			953,753	^	557,289	557,289	(396,464)							0003													
NDX IDX PUT @ 12750 - PREMIUM PAYABLE 06/30/25	VAGLB Hedge - Macro	N/A	E	BANK OF AMERICA, NA	06/07/2021	06/30/2025		16,452,370	12,750.00			1,961,533	^	1,677,998	1,677,998	(283,535)							0003													
NDX IDX PUT @ 12500 - PREMIUM PAYABLE 06/28/24	VAGLB Hedge - Macro	N/A	E	BANK OF AMERICA, NA	06/07/2021	06/28/2024		19,390,293	12,500.00			1,893,024	^	1,482,248	1,482,248	(410,776)							0003													
S&P IDX PUT @ 3900 - PREMIUM PAYABLE 06/28/24	VAGLB Hedge - Macro	N/A	E	BANK OF AMERICA, NA	06/16/2021	06/28/2024		73,400,482	3,900.00			6,648,385	^	6,532,968	6,532,968	(115,416)							0003													
S&P IDX PUT @ 3800 - PREMIUM PAYABLE 06/30/22	VAGLB Hedge - Macro	N/A	E	BANK OF AMERICA, NA	06/15/2021	06/30/2022		103,380,960	3,800.00			1,962,715	^	450,423	450,423	(1,512,292)							0003													
S&P IDX PUT @ 3875 - PREMIUM PAYABLE 06/30/23	VAGLB Hedge - Macro	N/A	E	BANK OF AMERICA, NA	06/16/2021	06/30/2023		86,150,800	3,875.00			5,403,179	^	4,890,841	4,890,841	(512,338)							0003													

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/16/28	VAGLB Hedge - Macro Hedge	N/A	E	CITIBANK, N.A. E570DZIZ7FF32TWEFA76	07/14/2021	06/16/2028		7,775,110	3,500.00		951,823		982,920	^	982,920	31,097						0003	
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/21/24	VAGLB Hedge - Macro Hedge	N/A	E	CITIBANK, N.A. E570DZIZ7FF32TWEFA76	07/14/2021	06/21/2024		14,576,715	3,500.00		1,004,171		1,089,878	^	1,089,878	85,707						0003	
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/18/27	VAGLB Hedge - Macro Hedge	N/A	E	CITIBANK, N.A. E570DZIZ7FF32TWEFA76	07/14/2021	06/18/2027		7,775,110	3,500.00		866,009		898,857	^	898,857	32,847						0003	
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/19/26	VAGLB Hedge - Macro Hedge	N/A	E	CITIBANK, N.A. E570DZIZ7FF32TWEFA76	07/14/2021	06/19/2026		9,231,058	3,500.00		916,268		957,741	^	957,741	41,472						0003	
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	CITIBANK, N.A. E570DZIZ7FF32TWEFA76	07/14/2021	06/20/2025		10,363,941	3,500.00		884,153		934,473	^	934,473	50,320						0003	
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/16/23	VAGLB Hedge - Macro Hedge	N/A	E	CITIBANK, N.A. E570DZIZ7FF32TWEFA76	07/14/2021	06/16/2023		14,576,715	3,500.00		733,284		791,624	^	791,624	58,340						0003	
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/17/22	VAGLB Hedge - Macro Hedge	N/A	E	CITIBANK, N.A. E570DZIZ7FF32TWEFA76	07/14/2021	06/17/2022		14,576,715	3,500.00		387,174		368,164	^	368,164	(19,010)						0003	
NDX IDX PUT @ 12500 - PREMIUM PAYABLE 06/30/26	VAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK 7H6GLXDRUGOFU57RNE97	07/15/2021	06/30/2026		14,102,031	12,500.00		1,605,592		1,542,866	^	1,542,866	(62,726)						0003	
MXEA IDX PUT @ 2040 - PREMIUM PAYABLE 06/30/22	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKGJMYJYLN8C3868	08/03/2021	06/30/2022		16,607,784	2,040.00		191,346		56,799	^	56,799	(134,548)						0003	
MXEA IDX PUT @ 2250 - PREMIUM PAYABLE 06/30/23	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKGJMYJYLN8C3868	08/03/2021	06/30/2023		14,143,992	2,250.00		1,092,159		1,128,572	^	1,128,572	36,413						0003	
NDX IDX PUT @ 12500 - PREMIUM PAYABLE 06/30/27	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKGJMYJYLN8C3868	08/09/2021	06/30/2027		11,751,693	12,500.00		1,321,352		1,402,065	^	1,402,065	80,713						0003	
S&P IDX PUT @ 4000 - PREMIUM PAYABLE 06/30/26	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKGJMYJYLN8C3868	08/05/2021	06/30/2026		53,413,496	4,000.00		7,007,015		7,308,093	^	7,308,093	301,078						0003	
S&P IDX PUT @ 4000 - PREMIUM PAYABLE 06/30/27	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKGJMYJYLN8C3868	08/06/2021	06/30/2027		45,659,924	4,000.00		6,534,504		6,907,588	^	6,907,588	373,084						0003	
NDX IDX PUT @ 11280 - PREMIUM PAYABLE 06/30/22	VAGLB Hedge - Macro Hedge	N/A	E	BANK OF AMERICA, NA B4TYDEB6KMZ0031MB27	06/04/2021	06/30/2022		26,382,550	11,280.00		371,849		13,055	^	13,055	(358,794)						0003	
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/17/27	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC MP615ZYZBEU3UXPYFY54	09/20/2021	09/17/2027		17,230,160	2,600.00		1,018,428		1,004,270	^	1,004,270	(14,158)						0003	
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/18/26	VAGLB Hedge - Macro Hedge	N/A	E	BARCLAYS BANK PLC G5GSEF7VJP517OUK5573	09/20/2021	09/18/2026		20,676,192	2,600.00		1,048,722		1,045,036	^	1,045,036	(3,687)						0003	
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/15/23	VAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK 7H6GLXDRUGOFU57RNE97	09/21/2021	09/15/2023		25,845,240	2,600.00		590,971		603,039	^	603,039	12,068						0003	
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/20/24	VAGLB Hedge - Macro Hedge	N/A	E	GOLDMAN SACHS INTL W22LR0WP21HZNB6K528	09/21/2021	09/20/2024		25,845,240	2,600.00		877,493		861,279	^	861,279	(16,214)						0003	
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/16/22	VAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK 7H6GLXDRUGOFU57RNE97	09/21/2021	09/16/2022		25,845,240	2,600.00		276,499		273,486	^	273,486	(3,013)						0003	

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

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S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/15/28	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC ..... MP615ZYZBEU3UXPYFY54	09/20/2021	09/15/2028		17,230,160	2,600.00		1,130,071		1,133,061	^	1,133,061	2,990						0003	
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/19/25	VAGLB Hedge - Macro Hedge	N/A	E	BARCLAYS BANK PLC . G5GSEF7VJP5170UK5573	09/21/2021	09/19/2025		24,122,224	2,600.00		1,019,771		1,016,884	^	1,016,884	(2,887)						0003	
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/19/26	VAGLB Hedge - Macro Hedge	N/A	E	BARCLAYS BANK PLC . G5GSEF7VJP5170UK5573	09/22/2021	06/19/2026		20,921,722	3,500.00		2,103,182		2,170,670	^	2,170,670	67,489						0003	
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/17/22	VAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK ..... 7H6GLXDRUGUFU57RNE97	09/23/2021	06/17/2022		32,806,225	3,500.00		676,365		828,586	^	828,586	152,221						0003	
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/16/23	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT ..... E58DKGIJYYJLNC3868	09/23/2021	06/16/2023		32,806,225	3,500.00		1,559,679		1,781,621	^	1,781,621	221,941						0003	
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK ..... 7H6GLXDRUGUFU57RNE97	09/23/2021	06/20/2025		24,096,379	3,500.00		2,021,101		2,172,669	^	2,172,669	151,569						0003	
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/21/24	VAGLB Hedge - Macro Hedge	N/A	E	SOCIETE GENERALE ... C2RNE81BXP4R0TD8PU41	09/23/2021	06/21/2024		32,806,225	3,500.00		2,258,551		2,452,869	^	2,452,869	194,318						0003	
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/18/27	VAGLB Hedge - Macro Hedge	N/A	E	BARCLAYS BANK PLC . G5GSEF7VJP5170UK5573	09/22/2021	06/18/2027		18,070,130	3,500.00		2,062,078		2,089,031	^	2,089,031	26,953						0003	
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/16/28	VAGLB Hedge - Macro Hedge	N/A	E	SOCIETE GENERALE ... C2RNE81BXP4R0TD8PU41	09/22/2021	06/16/2028		18,070,130	3,500.00		2,265,574		2,284,402	^	2,284,402	18,827						0003	
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/17/27	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC ..... MP615ZYZBEU3UXPYFY54	09/27/2021	09/17/2027		17,230,160	2,600.00		944,259		1,004,272	^	1,004,272	60,013						0003	
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/15/28	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC ..... MP615ZYZBEU3UXPYFY54	09/27/2021	09/15/2028		17,230,160	2,600.00		1,071,205		1,133,066	^	1,133,066	61,860						0003	
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/19/25	VAGLB Hedge - Macro Hedge	N/A	E	BARCLAYS BANK PLC . G5GSEF7VJP5170UK5573	09/28/2021	09/19/2025		24,122,224	2,600.00		1,008,440		1,016,884	^	1,016,884	8,445						0003	
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/18/26	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC ..... MP615ZYZBEU3UXPYFY54	09/27/2021	09/18/2026		20,676,192	2,600.00		979,641		1,045,039	^	1,045,039	65,397						0003	
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/16/22	VAGLB Hedge - Macro Hedge	N/A	E	BARCLAYS BANK PLC . G5GSEF7VJP5170UK5573	09/29/2021	09/16/2022		25,845,240	2,600.00		255,964		273,486	^	273,486	17,522						0003	
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/15/23	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC ..... MP615ZYZBEU3UXPYFY54	09/29/2021	09/15/2023		25,845,240	2,600.00		582,100		603,039	^	603,039	20,938						0003	
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/20/24	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC ..... MP615ZYZBEU3UXPYFY54	09/28/2021	09/20/2024		25,845,240	2,600.00		850,440		861,279	^	861,279	10,840						0003	
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/17/27	VAGLB Hedge - Macro Hedge	N/A	E	SOCIETE GENERALE ... C2RNE81BXP4R0TD8PU41	09/29/2021	09/17/2027		17,230,160	2,600.00		980,018		1,004,271	^	1,004,271	24,253						0003	
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/15/28	VAGLB Hedge - Macro Hedge	N/A	E	SOCIETE GENERALE ... C2RNE81BXP4R0TD8PU41	09/29/2021	09/15/2028		17,230,160	2,600.00		1,094,788		1,133,064	^	1,133,064	38,276						0003	
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/19/25	VAGLB Hedge - Macro Hedge	N/A	E	SOCIETE GENERALE ... C2RNE81BXP4R0TD8PU41	09/30/2021	09/19/2025		20,925,408	2,600.00		852,423		871,615	^	871,615	19,193						0003	

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23																		
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)																		
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/18/26	VAGLB Hedge - Macro Hedge	N/A	E	SOCIETE GENERALE 02RNE81BXP4R0TD8PU41	09/30/2021	09/18/2026		19,181,624	2,600.00		948,096		957,950	^	957,950	9,853							0003																	
0169999999. Subtotal - Purchased Options - Hedging Other - Put Options																			228,553,708	151,213,804	(34,005,637)								XXX	XXX										
0219999999. Subtotal - Purchased Options - Hedging Other																			5,536,000	228,553,708	168,381,852	(31,826,364)								XXX	XXX									
0289999999. Subtotal - Purchased Options - Replications																							XXX								XXX	XXX								
0359999999. Subtotal - Purchased Options - Income Generation																							XXX									XXX	XXX							
HIBERNATION HOLDING CO																																								
MES PARTNERS INC - WTS					06/30/2014			44,016																																
AUTOMATION SMC HOLDINGS INC - WTS					09/22/2020			279,423																																
WILSHIRE NEW YORK PARTNERS III LP					02/03/2021			651																																
TURBO CAYMAN - WTS #1					12/28/2000			16																																
					06/30/2009			123																																
0369999999. Subtotal - Purchased Options - Other - Call Options and Warrants																												XXX	XXX											
0429999999. Subtotal - Purchased Options - Other																																XXX	XXX							
0439999999. Total Purchased Options - Call Options and Warrants																				5,536,000		17,168,048	2,179,273									XXX	XXX							
0449999999. Total Purchased Options - Put Options																					228,553,708		151,213,804	(34,005,637)									XXX	XXX						
0459999999. Total Purchased Options - Caps																																		XXX	XXX					
0469999999. Total Purchased Options - Floors																																			XXX	XXX				
0479999999. Total Purchased Options - Collars																																					XXX	XXX		
0489999999. Total Purchased Options - Other																																					XXX	XXX		
0499999999. Total Purchased Options																				5,536,000	228,553,708		168,381,852	XXX	168,381,852	(31,826,364)									XXX	XXX				
0569999999. Subtotal - Written Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108																																					XXX	XXX		
0639999999. Subtotal - Written Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108																																							XXX	XXX
SWIPTN: 15Y RTP 3ML (3.08%) 02/28/28	VAGLB Hedge - Macro Hedge	N/A	A	JP MORGAN CHASE BANK 7H6GLXDRUGOFU57RNE97	02/27/2018	02/28/2028		10,000,000	3.09	(711,000)			(327,430)		(327,430)	(109,310)							0003																	
SWIPTN: 20Y RTP 3ML (3.04%) 02/28/28	VAGLB Hedge - Macro Hedge	N/A	A	JP MORGAN CHASE BANK 7H6GLXDRUGOFU57RNE97	02/27/2018	02/28/2028		5,000,000	3.05	(426,000)			(192,790)		(192,790)	(56,015)							0003																	
SWIPTN: 10Y RTP 3ML (3.21%) 02/27/25	VAGLB Hedge - Macro Hedge	N/A	A	JP MORGAN CHASE BANK 7H6GLXDRUGOFU57RNE97	02/27/2018	02/27/2025		5,000,000	3.21	(248,000)			(56,035)		(56,035)	(32,480)							0003																	
SWIPTN: 15Y RTP 3ML (3.19%) 02/27/25	VAGLB Hedge - Macro Hedge	N/A	A	JP MORGAN CHASE BANK 7H6GLXDRUGOFU57RNE97	02/27/2018	02/27/2025		20,000,000	3.19	(1,308,100)			(322,040)		(322,040)	(163,500)							0003																	
SWIPTN: 20Y RTP 3ML (3.07%) 02/27/25	VAGLB Hedge - Macro Hedge	N/A	A	JP MORGAN CHASE BANK 7H6GLXDRUGOFU57RNE97	02/27/2018	02/27/2025		5,000,000	3.08	(417,000)			(110,525)		(110,525)	(48,160)							0003																	
S&P IDX PUT @ 1400 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC MP615ZYZBEU3UXPYFY54	07/12/2018	06/20/2025		153,779,178	1,400.00	(3,240,591)			(1,159,636)	^	(1,159,636)	742,482							0003																	
S&P IDX PUT @ 1400 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK 7H6GLXDRUGOFU57RNE97	07/13/2018	06/20/2025		153,779,178	1,400.00	(3,345,127)			(1,159,635)	^	(1,159,635)	740,983							0003																	
RTY IDX PUT @ 835 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC MP615ZYZBEU3UXPYFY54	08/02/2018	06/20/2025		132,262,320	835.00	(2,828,863)			(1,126,231)	^	(1,126,231)	938,524							0003																	
MXEA IDX PUT @ 960 - PREMIUM PAYABLE 06/19/26	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKMLJYYYJLN8C3868	08/16/2018	06/19/2026		118,855,157	960.00	(3,053,955)			(2,339,005)	^	(2,339,005)	529,927							0003																	
S&P IDX PUT @ 1425 - PREMIUM PAYABLE 06/16/28	VAGLB Hedge - Macro Hedge	N/A	E	GOLDMAN SACHS INTL W22LR0WP21HZNB6K528	08/16/2018	06/16/2028		151,194,654	1,425.00	(5,384,058)			(2,392,724)	^	(2,392,724)	790,026							0003																	
S&P IDX PUT @ 1425 - PREMIUM PAYABLE 06/16/28	VAGLB Hedge - Macro Hedge	N/A	E	GOLDMAN SACHS INTL W22LR0WP21HZNB6K528	08/21/2018	06/16/2028		151,194,654	1,425.00	(5,146,182)			(2,392,735)	^	(2,392,735)	797,595							0003																	

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23				
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)				
S&P IDX PUT @ 1450 - PREMIUM PAYABLE 06/16/28	VAGLB Hedge - Macro Hedge	N/A	E	GOLDMAN SACHS INTL	09/17/2018	06/16/2028		148,610,130	1,450.00		(5,228,011)		(2,454,971)	^	(2,454,971)	844,986						0003				
S&P IDX PUT @ 1375 - PREMIUM PAYABLE 12/19/25	VAGLB Hedge - Macro Hedge	N/A	E	BANK OF AMERICA, NA	11/09/2018	12/19/2025		157,225,210	1,375.00		(3,334,615)		(1,314,620)	^	(1,314,620)	836,134						0003				
0659999999. Subtotal - Written Options - Hedging Other - Put Options										(3,110,100)	(31,561,402)		(15,348,377)	XXX	(15,348,377)	5,811,192				XXX	XXX					
0709999999. Subtotal - Written Options - Hedging Other										(3,110,100)	(31,561,402)		(15,348,377)	XXX	(15,348,377)	5,811,192					XXX	XXX				
0779999999. Subtotal - Written Options - Replications														XXX							XXX	XXX				
0849999999. Subtotal - Written Options - Income Generation														XXX								XXX	XXX			
0919999999. Subtotal - Written Options - Other														XXX								XXX	XXX			
0929999999. Total Written Options - Call Options and Warrants														XXX									XXX	XXX		
0939999999. Total Written Options - Put Options										(3,110,100)	(31,561,402)		(15,348,377)	XXX	(15,348,377)	5,811,192						XXX	XXX			
0949999999. Total Written Options - Caps														XXX									XXX	XXX		
0959999999. Total Written Options - Floors														XXX										XXX	XXX	
0969999999. Total Written Options - Collars														XXX											XXX	XXX
0979999999. Total Written Options - Other														XXX											XXX	XXX
0989999999. Total Written Options										(3,110,100)	(31,561,402)		(15,348,377)	XXX	(15,348,377)	5,811,192									XXX	XXX
SIP: 2.06%(3ML) 03/07/22	Bond Portfolio	D PART 1	A	BARCLAYS BANK PLC	03/05/2012	03/07/2022		150,000,000	2.06%(3ML)			2,131,525			1,244,958					493,450		100/100				
SIP: 2.12%(3ML) 03/13/22	Bond Portfolio	D PART 1	A	CME	03/09/2012	03/13/2022		150,000,000	2.12%(3ML)			2,197,084			1,342,008					502,732		100/100				
SIP: 1.99%(1ML) 03/20/22	Bond Portfolio	D PART 1	A	CME	12/20/2016	03/20/2022		380,000,000	1.99%(1ML)			5,363,477			3,386,138					1,300,485		99/100				
SIP: 2.12%(3ML) 03/16/22	Bond Portfolio	D PART 1	A	CME	12/20/2016	03/16/2022		200,000,000	2.12%(3ML)			2,919,864			1,806,330					676,413		99/100				
0999999999. Subtotal - Swaps - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108 - Interest Rate														XXX	7,779,434						2,973,080	XXX	XXX			
CSWP: USD 5.97%(EUR 5.38%) 04/29/24	JP MORGAN CHASE TREASURY AB	D PART 1	D	BANK	08/25/2004	04/29/2024		12,223,235	5.38%			76,970			507,000					586,508		646,000	98,183	100/100		
CSWP: USD 5.75%(EUR 5.38%) 04/29/24	JP MORGAN CHASE TREASURY AB	D PART 1	D	HSBC BANK PLC	10/18/2004	04/29/2024		15,511,267	5.38%			104,313			1,114,800					1,576,228		775,200	124,594	100/100		
CSWP: USD 5.79%(GBP 5.50%) 06/05/26	JP MORGAN CHASE EASTERN POWER NETWORKS PLC	D PART 1	D	BANK	10/20/2004	06/05/2026		21,920,860	5.50%			229,509			5,718,270					6,731,007		228,780	237,166	100/100		
CSWP: USD 3.00%(EUR 0.98%) 10/27/24	JP MORGAN CHASE D8286#AA8 - SIRONA DENTAL SERVICES GMBH	D PART 1	D	BANK	10/05/2016	10/27/2024		3,364,500	0.98%			49,824			(116,850)					(20,700)		193,800	29,508	100/100		
CSWP: USD 3.20%(EUR 1.34%) 10/31/26	JP MORGAN CHASE 031100H82 - AMETEK INC	D PART 1	D	CITIBANK, N.A.	10/14/2016	10/31/2026		3,303,000	1.34%			43,868			(175,800)					(104,053)		193,800	37,251	100/100		
CSWP: USD 4.00%(EUR 1.84%) 12/07/27	JP MORGAN CHASE B95508AA9 - UMICORE SA	D PART 1	D	CITIBANK, N.A.	04/05/2017	12/07/2027		5,331,571	1.84%			77,627			(463,179)					(295,755)		323,000	66,319	100/100		
CSWP: USD 3.11%(EUR 1.62%) 01/07/31	JP MORGAN CHASE 03063#AD6 - AMERICOLD REALTY OPERATING PARTNER	D PART 1	D	BANK	10/30/2020	01/07/2031		15,178,759	1.62%			168,303			112,409					57,265		839,800	231,155	100/100		
1019999999. Subtotal - Swaps - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108 - Foreign Exchange														XXX	8,530,500						3,200,380		824,176	XXX	XXX	
1049999999. Subtotal - Swaps - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108														XXX	16,309,934							3,200,380		3,797,256	XXX	XXX
1109999999. Subtotal - Swaps - Hedging Effective Variable Annuity Guarantees Under SSAP No.108														XXX										XXX	XXX	
SIP: 3ML(5.68%) 05/23/26	OFFSET	OFFSET	C	DEUTSCHE BANK, AG	05/19/2006	05/23/2026		115,000,000	3ML(5.68%)			(4,750,960)			(24,698,363)					(24,698,363)		7,421,696	1,239,465	0001		
SIP: 3ML(4.12%) 09/01/40	OFFSET	OFFSET	A	CME	07/01/2011	09/01/2040		5,100,000	3ML(4.12%)			(151,108)			(1,948,275)					(1,948,275)		647,954	110,959	0001		
SIP: 3ML(3.58%) 09/01/40	OFFSET	OFFSET	A	CME	08/03/2011	09/01/2040		15,600,000	3ML(3.58%)			(399,003)			(4,558,775)					(4,558,775)		1,856,439	339,405	0001		
SIP: 3ML(2.88%) 09/01/40	OFFSET	OFFSET	A	JP MORGAN CHASE	11/10/2011	09/01/2040		6,400,000	3ML(2.88%)			(129,793)			(1,195,421)					(1,195,421)		612,998	139,243	0001		
SIP: 2.51%(3ML) 05/23/26	OFFSET	OFFSET	C	BARCLAYS BANK PLC	11/17/2011	05/23/2026		115,000,000	2.51%(3ML)			2,014,247			7,958,375					7,958,375		(4,556,655)	1,239,465	0001		

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23														
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/(Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)														
SIP: 2.77%(3ML) 09/01/40	OFFSET	OFFSET	A	JP MORGAN CHASE BANK 7H6GLXDRUGOFU57RNE97	12/05/2011	09/01/2040		15,600,000	2.77%(3ML)			303,794	2,633,994		2,633,994	(1,471,756)				339,405		0001														
SIP: 2.44%(3ML) 09/01/40	OFFSET	OFFSET	A	BARCLAYS BANK PLC G5GSEF7VJP5170UK5573	07/02/2012	09/01/2040		5,100,000	2.44%(3ML)			86,743	581,375		581,375	(458,734)				110,959		0001														
SIP: 2.44%(3ML) 09/01/40	OFFSET	OFFSET	A	BARCLAYS BANK PLC G5GSEF7VJP5170UK5573	07/02/2012	09/01/2040		6,400,000	2.44%(3ML)			108,853	729,568		729,568	(575,666)				139,243		0001														
SIP: 3ML(2.81%) 04/11/24	OFFSET	OFFSET	C	CME LCZ7XYGSLJUHFXNXND88	04/09/2014	04/11/2024		15,000,000	3ML(2.81%)			(295,647)	(863,680)		(863,680)	391,906				119,330		0001														
SIP: 3ML(2.81%) 04/11/24	OFFSET	OFFSET	C	CME LCZ7XYGSLJUHFXNXND88	04/09/2014	04/11/2024		30,000,000	3ML(2.81%)			(591,294)	(1,727,360)		(1,727,360)	783,812				238,661		0001														
SIP: 2.57%(3ML) 04/11/24	OFFSET	OFFSET	C	CME LCZ7XYGSLJUHFXNXND88	05/15/2014	04/11/2024		9,000,000	2.57%(3ML)			161,391	464,477		464,477	(219,057)				71,598		0001														
SIP: 2.60%(3ML) 04/11/24	OFFSET	OFFSET	C	CME LCZ7XYGSLJUHFXNXND88	06/02/2014	04/11/2024		15,000,000	2.60%(3ML)			272,191	784,897		784,897	(368,319)				119,330		0001														
SIP: 2.60%(3ML) 04/11/24	OFFSET	OFFSET	C	CME LCZ7XYGSLJUHFXNXND88	06/02/2014	04/11/2024		14,000,000	2.60%(3ML)			254,044	732,570		732,570	(343,765)				111,375		0001														
SIP: 2.66%(3ML) 04/11/24	OFFSET	OFFSET	C	CME LCZ7XYGSLJUHFXNXND88	06/03/2014	04/11/2024		7,000,000	2.66%(3ML)			129,805	375,631		375,631	(174,680)				55,687		0001														
SIP: 2.57%(3ML) 10/03/47	Bond Portfolio	D PART 1	C	CME LCZ7XYGSLJUHFXNXND88	09/29/2017	10/03/2047		75,000,000	2.57%(3ML)			1,335,981	11,404,361		11,404,361	(9,378,557)				1,913,039		0002														
SIP: 2.51%(3ML) 10/05/67	Bond Portfolio	D PART 1	C	CME LCZ7XYGSLJUHFXNXND88	09/29/2017	10/05/2067		250,000,000	2.51%(3ML)				36,073,965		36,073,965	(23,606,848)				8,481,951		0002														
SIP: 2.56%(3ML) 10/03/47	Bond Portfolio	D PART 1	C	CME LCZ7XYGSLJUHFXNXND88	09/29/2017	10/03/2047		52,000,000	2.56%(3ML)			924,525	7,856,671		7,856,671	(6,497,820)				1,326,374		0002														
SIP: 2.56%(3ML) 10/03/47	Bond Portfolio	D PART 1	C	CME LCZ7XYGSLJUHFXNXND88	09/29/2017	10/03/2047		70,000,000	2.56%(3ML)			1,241,665	10,493,443		10,493,443	(8,739,422)				1,785,503		0002														
SIP: 2.57%(3ML) 04/25/29	VAGLB Hedge - Macro	N/A	A	CME LCZ7XYGSLJUHFXNXND88	04/23/2019	04/25/2029		140,000,000	2.57%(3ML)			2,508,142	12,008,522		12,008,522	(8,436,842)				1,926,285		0003														
SIP: 1.05%(3ML) 03/04/30	VAGLB Hedge - Macro	N/A	A	CME LCZ7XYGSLJUHFXNXND88	03/02/2020	03/04/2030		140,000,000	1.05%(3ML)			916,829	(4,785,802)		(4,785,802)	(7,089,926)				2,032,429		0003														
SIP: 1.05%(3ML) 03/04/30	VAGLB Hedge - Macro	N/A	A	CME LCZ7XYGSLJUHFXNXND88	03/02/2020	03/04/2030		140,000,000	1.05%(3ML)			918,929	(4,763,154)		(4,763,154)	(7,092,487)				2,032,429		0003														
SIP: 1.02%(3ML) 03/05/30	VAGLB Hedge - Macro	N/A	A	CME LCZ7XYGSLJUHFXNXND88	03/03/2020	03/05/2030		140,000,000	1.02%(3ML)			882,146	(5,140,477)		(5,140,477)	(7,052,913)				2,032,759		0003														
SIP: 0.78%(3ML) 03/10/30	VAGLB Hedge - Macro	N/A	A	CME LCZ7XYGSLJUHFXNXND88	03/06/2020	03/10/2030		140,000,000	0.78%(3ML)			637,110	(7,836,443)		(7,836,443)	(6,760,554)				2,034,409		0003														
SIP: 0.78%(3ML) 03/10/30	VAGLB Hedge - Macro	N/A	A	CME LCZ7XYGSLJUHFXNXND88	03/06/2020	03/10/2030		140,000,000	0.78%(3ML)			636,060	(7,847,790)		(7,847,790)	(6,759,271)				2,034,409		0003														
SIP: 1.37%(3ML) 09/22/31	VAGLB Hedge - Macro	N/A	A	CME LCZ7XYGSLJUHFXNXND88	09/20/2021	09/22/2031		260,000,000	1.37%(3ML)			80,640	(4,991,857)		(4,991,857)	(4,991,857)				4,107,581		0003														
<b>1119999999. Subtotal - Swaps - Hedging Other - Interest Rate</b>																																				
CDS: COX COMMUNICATIONS (COXENT) PAY 1.00%	VARIOUS	D PART 1	B	GOLDMAN SACHS BANK U K03XUN7C6T14HNAVLU02	09/21/2016	12/20/2021		17,000,000	CREDIT EVENT(1.00%)	75,221		(128,917)	(35,092)		(35,092)	129,290				(10,757)		2.B	0004													
CDS: COX COMMUNICATIONS (COXENT) PAY 1.00%	VARIOUS	D PART 1	B	CREDIT SUISSE FB INT E58DKGMLJYJYL8C3868	09/21/2016	12/20/2021		17,000,000	CREDIT EVENT(1.00%)	75,221		(128,917)	(35,092)		(35,092)	129,290				(10,757)		2.B	0004													
<b>1129999999. Subtotal - Swaps - Hedging Other - Credit Default</b>																																				
TRSNP: SPX FORWARD	VAGLB Hedge - Macro	N/A	E	JP MORGAN CHASE BANK 7H6GLXDRUGOFU57RNE97	03/31/2021	06/30/2025		62,028,576	0.00%(SPX)				(4,694,568)		(4,694,568)	(4,694,568)					600,644		0003													
<b>1149999999. Subtotal - Swaps - Hedging Other - Total Return</b>																																				
<b>1169999999. Subtotal - Swaps - Hedging Other</b>																																				
SIP: 3.19%(3ML) 09/25/48	91278*AYO - BOND WITH INTEREST RATE SWAP		B	CME LCZ7XYGSLJUHFXNXND88	09/21/2018	09/25/2048		85,000,000	3.19%(3ML)			1,906,107				24,886,009					2,208,589		0001													
SIP: 2.13%(3ML) 06/10/29	91283#DNO - BOND WITH INTEREST RATE SWAP		B	CME LCZ7XYGSLJUHFXNXND88	06/06/2019	06/10/2029		50,000,000	2.13%(3ML)			734,727				2,682,125					693,660		0001													
SIP: 2.14%(3ML) 06/10/29	91283#DNO - BOND WITH INTEREST RATE SWAP		B	CME LCZ7XYGSLJUHFXNXND88	06/06/2019	06/10/2029		50,000,000	2.14%(3ML)			735,852				2,693,269					693,660		0001													

E06.5

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23															
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)															
SWP: 1.13%(3ML) 06/08/50	91283#H08 - BOND WITH INTEREST RATE SWAP		A	CME LCZ7XYGSLJUHFXNXND88	.06/04/2020	.06/08/2050		110,000,000	1.13%(3ML)			792,429			(18,760,294)					2,946,833																	
SWP: 1.49%(3ML) 01/27/41	91278*BC7 - BOND WITH INTEREST RATE SWAP		B	CME LCZ7XYGSLJUHFXNXND88	.01/25/2021	.01/27/2041		145,000,000	1.49%(3ML)			1,282,989			(8,418,438)					3,188,329																	
1179999999. Subtotal - Swaps - Replication - Interest Rate																																					
1229999999. Subtotal - Swaps - Replication																																					
1289999999. Subtotal - Swaps - Income Generation																																					
1349999999. Subtotal - Swaps - Other																																					
1359999999. Total Swaps - Interest Rate																																					
1369999999. Total Swaps - Credit Default																				150,442																	
1379999999. Total Swaps - Foreign Exchange																																					
1389999999. Total Swaps - Total Return																																					
1399999999. Total Swaps - Other																																					
1409999999. Total Swaps																																					
1479999999. Subtotal - Forwards																																					
1509999999. Subtotal - SSAP No. 108 Adjustments																																					
1689999999. Subtotal - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108																																					
1699999999. Subtotal - Hedging Effective Variable Annuity Guarantees Under SSAP No.108																																					
1709999999. Subtotal - Hedging Other																				2,576,342	196,992,306	6,837,456	170,009,175														
1719999999. Subtotal - Replication																																					
1729999999. Subtotal - Income Generation																																					
1739999999. Subtotal - Other																																					
1749999999. Subtotal - Adjustments for SSAP No. 108 Derivatives																																					
1759999999 - Totals																				2,576,342	196,992,306	25,651,924	176,705,825	XXX	189,401,780	(123,311,484)	3,200,380		(21,514)	48,210,264	XXX	XXX					

(a)

Code	Description of Hedged Risk(s)
A	INTEREST
B	CREDIT
C	DURATION
D	CURRENCY
E	EQUITY INDEX

(b)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period
0001	This derivative is part of an offsetting relationship in which an open hedge was effectively terminated as a result of the Company entering into a new derivative with offsetting terms.
0002	This derivative is part of a hedge program designed to adjust portfolio duration by either increasing or decreasing duration to approach a targeted level. For the nine months ended September 30, 2021, the hedge has been effective at achieving its objective.
0003	This derivative is part of the Company's macro program, which hedges against the economic risk arising from guaranteed minimum death benefit (GMDB) and guaranteed minimum withdrawal benefit (GMWB) liabilities and contract revenues. For the nine months ended September 30, 2021, the hedge has been effective at achieving its objective.
0004	This derivative is part of a hedge program designed to decrease specified asset spread duration to approach a targeted level. For the nine months ended September 30, 2021, the hedge has been effective at achieving its objective.

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE DB - PART B - SECTION 1**

Futures Contracts Open as of the Current Statement Date

1 Ticker Symbol	2 Number of Contracts	3 Notional Amount	4 Description	5 Description of Item(s) Hedged, Used for Income Generation or Replicated	6 Schedule/ Exhibit Identifier	7 Type(s) of Risk(s) (a)	8 Date of Maturity or Expiration	9 Exchange	10 Trade Date	11 Transaction Price	12 Reporting Date Price	13 Fair Value	14 Book/ Adjusted Carrying Value	Highly Effective Hedges			18 Cumulative Variation Margin for All Other Hedges	19 Change in Variation Margin Gain (Loss) Recognized in Current Year	20 Potential Exposure	21 Hedge Effectiveness at Inception and at Quarter-end (b)	22 Value of One (1) Point																			
														15 Cumulative Variation Margin	16 Deferred Variation Margin	17 Change in Variation Margin Gain (Loss) Used to Adjust Basis of Hedged Item																								
<b>NONE</b>																																								
1759999999 - Totals																																							XXX	XXX

Broker Name	Beginning Cash Balance	Cumulative Cash Change	Ending Cash Balance
BARCLAYS CAPITAL INC	620,400	(620,400)	
<b>Total Net Cash Deposits</b>	620,400	(620,400)	

(a) Code \_\_\_\_\_ Description of Hedged Item \_\_\_\_\_

NONE

(b) Code \_\_\_\_\_ Financial or Economic Impact of the Hedge at the End of the Reporting Period \_\_\_\_\_

E07

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE DB - PART D - SECTION 1**

Counterparty Exposure for Derivative Instruments Open as of Current Statement Date

1 Description of Exchange, Counterparty or Central Clearinghouse	2 Master Agreement (Y or N)	3 Credit Support Annex (Y or N)	Counterparty Offset		Book/Adjusted Carrying Value			Fair Value			12 Potential Exposure	13 Off-Balance Sheet Exposure
			4 Fair Value of Acceptable Collateral	5 Present Value of Financing Premium	6 Contracts With Book/Adjusted Carrying Value >0	7 Contracts With Book/Adjusted Carrying Value <0	8 Exposure Net of Collateral	9 Contracts With Fair Value >0	10 Contracts With Fair Value <0	11 Exposure Net of Collateral		
0199999999 - Aggregate Sum of Exchange Traded Derivatives			XXX	XXX	XXX							
BARCLAYS BANK PLC	Y	Y	11,189,000	7,498,157	16,881,309			18,126,267		6,937,267	1,983,118	177,270
BANK OF AMERICA, NA	Y	Y		33,884,807	23,666,036	(1,314,620)		23,666,036	(1,314,620)	22,351,416		
CITIBANK, N.A.	Y	Y		5,742,883	6,023,656	(638,979)		6,023,656	(399,808)	5,623,848	103,570	
CREDIT SUISSE FB INT	Y	Y		32,946,767	30,908,045	(2,374,097)		30,908,045	(2,374,097)	28,533,948		
DEUTSCHE BANK, AG	Y	Y				(24,698,363)			(24,698,363)		1,239,465	
GOLDMAN SACHS BANK U	Y	Y				(35,092)			(35,092)			
GOLDMAN SACHS INTL	Y	Y		52,393,819	36,913,295	(7,240,430)		36,913,295	(7,240,430)	29,672,865		
HSBC BANK PLC	Y	Y		35,933,218	25,573,639	(2,285,872)		26,035,067	(2,285,873)	23,749,194	124,592	
JP MORGAN CHASE BANK	Y	Y	10,780,000	20,193,207	39,067,494	(8,175,294)		40,104,596	(8,079,144)	21,245,452	1,675,304	1,594,297
SOCIETE GENERALE	Y	Y		8,399,451	8,704,171		304,720	8,704,171		8,704,171		
0299999999 - Total NAIC 1 Designation			21,969,000	196,992,309	187,737,645	(46,762,747)	304,720	190,481,133	(46,427,427)	146,818,161	5,126,049	1,771,567
0899999999 - Aggregate Sum of Central Clearinghouses (Excluding Exchange Traded)			50,208,804		80,194,537	(44,463,610)		89,811,684	(44,463,610)		43,084,215	28,606,339
0999999999 - Gross Totals			72,177,804	196,992,309	267,932,182	(91,226,357)	304,720	280,232,817	(90,891,037)	146,818,161	48,210,264	30,377,906
1. Offset per SSAP No. 64												
2. Net after right of offset per SSAP No. 64					267,932,182	(91,226,357)						

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE DB - PART D - SECTION 2**

Collateral for Derivative Instruments Open as of Current Statement Date

Collateral Pledged by Reporting Entity

1	2	3	4	5	6	7	8	9
Exchange, Counterparty or Central Clearinghouse	Type of Asset Pledged	CUSIP Identification	Description	Fair Value	Par Value	Book/Adjusted Carrying Value	Maturity Date	Type of Margin (I, V or IV)
BANK OF AMERICA, NA	Treasury	912810-RD-2	TREASURY BOND	3,113,036	2,354,000	2,305,294	11/15/2043	
BANK OF AMERICA, NA	Treasury	912810-SD-1	TREASURY BOND	375,329	314,000	294,857	08/15/2048	
BANK OF AMERICA, NA	Treasury	912810-SE-9	TREASURY BOND	1,061,490	825,000	878,583	11/15/2048	
BANK OF AMERICA, NA	Treasury	912810-SF-6	TREASURY BOND	1,148,854	958,000	963,660	02/15/2049	
BANK OF AMERICA, NA	Treasury	912810-SL-3	TREASURY BOND	445,209	452,000	518,208	02/15/2050	
BANK OF AMERICA, NA	Treasury	912810-SZ-2	TREASURY BOND	2,215,034	2,249,000	2,296,103	08/15/2051	
BANK OF AMERICA, NA	Treasury	91282C-BL-4	TREASURY NOTE	4,972,373	5,126,000	5,019,772	02/15/2031	
BANK OF AMERICA, NA	Treasury	91282C-CK-5	TREASURY NOTE	330,536	331,000	330,536	06/30/2023	
CITIBANK, N.A.	Treasury	912810-SF-6	TREASURY BOND	184,680	154,000	155,623	02/15/2049	
CITIBANK, N.A.	Treasury	912810-SZ-2	TREASURY BOND	511,556	519,400	530,278	08/15/2051	
CME	Treasury	912810-RD-2	TREASURY BOND	115,052,750	87,000,000	85,167,591	11/15/2043	I
CME	Treasury	912810-SE-9	TREASURY BOND	36,026,311	28,000,000	29,530,808	11/15/2048	I
CREDIT SUISSE FB INT	Cash		Cash	1,387,000	1,387,000	1,387,000		
CREDIT SUISSE FB INT	Treasury	91282C-BL-4	TREASURY NOTE	3,878,179	3,998,000	3,891,287	02/15/2031	
DEUTSCHE BANK, AG	Loan-backed and Structured	3132DV-3R-4	FHLMC 30YR UMBS SUPER	224,904	608,000	219,121	07/01/2049	
DEUTSCHE BANK, AG	Loan-backed and Structured	3132Y1-UJ-5	FHLMC GOLD 30YR	568,182	1,539,000	541,542	08/01/2048	
DEUTSCHE BANK, AG	Loan-backed and Structured	3133KH-CP-9	FHLMC 30YR UMBS	662,481	1,570,000	666,244	12/01/2049	
DEUTSCHE BANK, AG	Loan-backed and Structured	3138EL-5M-1	FINMA 30YR	4,657,391	14,810,085	4,371,634	11/01/2043	
DEUTSCHE BANK, AG	Loan-backed and Structured	3138ER-NP-1	FINMA 30YR	3,586,686	7,650,000	3,378,473	10/01/2046	
DEUTSCHE BANK, AG	Loan-backed and Structured	3138WJ-AU-2	FINMA 30YR	401,452	585,000	372,159	10/01/2046	
DEUTSCHE BANK, AG	Loan-backed and Structured	3138XB-XY-5	FINMA 30YR	1,481,691	4,948,000	1,391,325	12/01/2043	
DEUTSCHE BANK, AG	Loan-backed and Structured	3140FN-AS-3	FINMA 30YR	342,565	985,000	327,517	07/01/2047	
DEUTSCHE BANK, AG	Loan-backed and Structured	3140J8-LL-5	FINMA 30YR	3,960,063	10,448,000	3,779,321	09/01/2046	
DEUTSCHE BANK, AG	Loan-backed and Structured	3140J9-EJ-6	FINMA 30YR	1,114,321	2,110,000	1,061,010	11/01/2047	
DEUTSCHE BANK, AG	Loan-backed and Structured	3140XA-LY-6	FINMA 30YR UMBS SUPER	2,445,345	2,545,000	2,436,203	03/01/2051	
DEUTSCHE BANK, AG	Loan-backed and Structured	3140XA-SJ-2	FINMA 30YR UMBS SUPER	1,202,602	1,472,000	1,193,109	12/01/2049	
DEUTSCHE BANK, AG	Loan-backed and Structured	31410L-VC-3	FINMA 30YR	9,316,824	13,144,000	8,684,778	01/01/2047	
GOLDMAN SACHS BK USA	Treasury	912810-SA-7	TREASURY BOND	168,198	141,000	137,907	02/15/2048	
GOLDMAN SACHS INTL	Treasury	912810-SF-6	TREASURY BOND	932,994	778,000	782,596	02/15/2049	
GOLDMAN SACHS INTL	Treasury	912810-SP-4	TREASURY BOND	15,813,269	18,701,000	17,840,230	08/15/2050	
GOLDMAN SACHS INTL	Treasury	912810-SZ-2	TREASURY BOND	1,099,145	1,116,000	1,139,373	08/15/2051	
GOLDMAN SACHS INTL	Treasury	91282C-BL-4	TREASURY NOTE	490,835	506,000	497,696	02/15/2031	
GOLDMAN SACHS INTL	Treasury	91282C-CK-5	TREASURY NOTE	6,490,880	6,500,000	6,490,880	06/30/2023	
HSBC BANK PLC	Treasury	912810-RD-2	TREASURY BOND	3,726,651	2,818,000	2,758,691	11/15/2043	
HSBC BANK PLC	Treasury	912810-SF-6	TREASURY BOND	712,338	594,000	597,509	02/15/2049	
HSBC BANK PLC	Treasury	912810-SP-4	TREASURY BOND	6,828,092	8,075,000	7,703,324	08/15/2050	
HSBC BANK PLC	Treasury	912810-SZ-2	TREASURY BOND	635,259	645,000	658,509	08/15/2051	
HSBC BANK PLC	Treasury	91282C-BL-4	TREASURY NOTE	1,942,000	2,002,000	1,955,210	02/15/2031	
HSBC BANK PLC	Treasury	91282C-CK-5	TREASURY NOTE	202,715	203,000	202,715	06/30/2023	
<b>0199999999 - Total</b>				<b>239,709,220</b>	<b>238,160,485</b>	<b>202,456,676</b>	<b>XXX</b>	<b>XXX</b>

Collateral Pledged to Reporting Entity

1	2	3	4	5	6	7	8	9
Exchange, Counterparty or Central Clearinghouse	Type of Asset Pledged	CUSIP Identification	Description	Fair Value	Par Value	Book/Adjusted Carrying Value	Maturity Date	Type of Margin (I, V or IV)
BARCLAYS BANK PLC	Cash	656SEF7JP5170UK5573	Cash	11,189,000	11,189,000	XXX		
CME	Cash	LC27XYGSLJUHFXNXD88	Cash	50,208,804	50,208,804	XXX		V

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

Collateral Pledged to Reporting Entity

1 Exchange, Counterparty or Central Clearinghouse	2 Type of Asset Pledged	3 CUSIP Identification	4 Description	5 Fair Value	6 Par Value	7 Book/Adjusted Carrying Value	8 Maturity Date	9 Type of Margin (I, V or IV)
JP MORGAN CHASE BANK .....	Cash .....	7H6GLXDRUGOFUS7PNE97 ...	Cash .....	10,780,000	10,780,000	XXX		
.....	.....	.....	.....	.....	.....	.....	.....	.....
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.....	.....	.....	.....	.....	.....	.....	.....	.....
0299999999 - Total				72,177,804	72,177,804	XXX	XXX	XXX

E09.1

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

**N O N E**

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE E - PART 1 - CASH**

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
JP Morgan Chase Bank N.A ..... New York, NY .....		0.000			342,216	542,247	10,940,548	.XXX.
JP Morgan Chase Bank N.A ..... New York, NY .....		0.000				657,067	730,765	.XXX.
Bank of America ..... New York, NY .....		0.000			2,698,906	1,831,959	2,197,379	.XXX.
Bank of America ..... New York, NY .....		0.000			2,623,178	1,074,952	2,173,006	.XXX.
JP Morgan Chase Bank N.A ..... New York, NY .....		0.000			580,551	716,378	3,089,179	.XXX.
JP Morgan Chase Bank N.A ..... New York, NY .....		0.000			1,266,308	15,949,443	257,042	.XXX.
JP Morgan Chase Bank GB ..... New York, NY .....		0.000			481,232	492,478		.XXX.
JP Morgan Chase Bank N.A ..... New York, NY .....		0.000				880,892	2,519,043	.XXX.
0199998. Deposits in ... 44 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX			924,667	700,297	943,686	.XXX.
0199999. Totals - Open Depositories	XXX	XXX			8,917,056	22,845,713	22,850,648	.XXX.
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						.XXX.
0299999. Totals - Suspended Depositories	XXX	XXX						.XXX.
0399999. Total Cash on Deposit	XXX	XXX			8,917,056	22,845,713	22,850,648	.XXX.
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				.XXX.
0599999. Total - Cash	XXX	XXX			8,917,056	22,845,713	22,850,648	.XXX.



STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE INSURANCE COMPANY

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due and Accrued	Amount Received During Year
0599999	Total - U.S. Government Bonds							
1099999	Total - All Other Government Bonds							
1799999	Total - U.S. States, Territories and Possessions Bonds							
2499999	Total - U.S. Political Subdivisions Bonds							
3199999	Total - U.S. Special Revenues Bonds							
	BAT INTL FINANCE PLC		09/20/2021	0.000	10/12/2021	9,999,450		550
	BARCLAYS BANK PLC NEW YORK BRANCH		09/20/2021	0.000	12/17/2021	9,997,438		371
	CANADIAN PACIFIC RAILWAY COMPANY		09/23/2021	0.000	10/07/2021	8,999,805		260
	FIDELITY NATL INFO SERV		09/16/2021	0.000	10/13/2021	9,999,333		833
	GLAXOSMITHKLINE FINANCE PLC		09/02/2021	0.000	10/28/2021	9,999,391		635
	HYUNDAI CAPITAL AMERICA		08/30/2021	0.000	11/01/2021	9,998,644		413
	ING US FUNDING LLC		08/18/2021	0.000	11/15/2021	9,998,751		1,223
	QUALCOMM INCORPORATED		08/10/2021	0.000	10/14/2021	4,999,816		719
	QUALCOMM INCORPORATED		09/08/2021	0.000	11/18/2021	4,999,268		338
	VW CREDIT INC		09/16/2021	0.000	10/05/2021	9,999,844		583
	VIRGINIA ELECTRIC AND POWER COMPAN		08/06/2021	0.000	10/25/2021	9,998,912		2,334
3299999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations					98,990,653		8,260
	HERACLES GB - 2021		09/30/2021	1.800	12/15/2021	4,549,068	2,502	
3399999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Residential Mortgage-Backed Securities					4,549,068	2,502	
3899999	Total - Industrial and Miscellaneous (Unaffiliated) Bonds					103,539,720	2,502	8,260
4899999	Total - Hybrid Securities							
5599999	Total - Parent, Subsidiaries and Affiliates Bonds							
6099999	Subtotal - SVO Identified Funds							
6599999	Subtotal - Unaffiliated Bank Loans							
7699999	Total - Issuer Obligations					98,990,653		8,260
7799999	Total - Residential Mortgage-Backed Securities					4,549,068	2,502	
7899999	Total - Commercial Mortgage-Backed Securities							
7999999	Total - Other Loan-Backed and Structured Securities							
8099999	Total - SVO Identified Funds							
8199999	Total - Affiliated Bank Loans							
8299999	Total - Unaffiliated Bank Loans							
8399999	Total Bonds					103,539,720	2,502	8,260
481240-37-5	JPMORGAN 100% US TRS SEC CL CAP		09/30/2021	0.000		1,025,599		680
4812C2-23-9	JPMORGAN US TREASURY PLUS CL CAP		09/30/2021	0.000		3,736,134		13,589
8599999	Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO					4,761,733		14,270
4812C0-67-0	JPM US GOVT MM - CP		09/30/2021	0.000		17,044,683		18,432
8699999	Subtotal - All Other Money Market Mutual Funds					17,044,683		18,432
9999999	Total Cash Equivalents					125,346,137	2,502	40,962